

## UNIFORM FINITE GENERATION OF THE ISOMETRY GROUPS OF EUCLIDEAN AND NON-EUCLIDEAN GEOMETRY

FRANKLIN LOWENTHAL

**I. Introduction.** A connected Lie group  $H$  is generated by a pair of one-parameter subgroups if every element of  $H$  can be written as a finite product of elements chosen alternately from the two one-parameter subgroups. If, moreover, there exists a positive integer  $n$  such that every element of  $H$  possesses such a representation of length at most  $n$ , then  $H$  is said to be uniformly finitely generated by the pair of one-parameter subgroups. In this case, define the order of generation of  $H$  as the least such  $n$ ; otherwise define it as infinity.

For the isometry group of the spherical geometry, or equivalently for the rotation group  $SO(3)$ , the order of generation is always finite. In fact, if  $\psi$  denotes the angle between the axes of rotation of the two one-parameter subgroups, the order of generation is 3 for  $\psi = \pi/2$  and  $k + 2$  for

$$\pi/(k + 1) \leq \psi < \pi/k \quad (k \geq 2)$$

[1]. In contrast, it is shown in this paper that the order of generation of the isometry group of the Euclidean geometry is either 3 or  $\infty$  and that the order of generation of the isometry group of the hyperbolic geometry is either 3, 4, 6 or  $\infty$ .

The isometry group of the Euclidean geometry is a subgroup of the affine group  $w = az + \beta$ ,  $a \neq 0$ ,  $\alpha, \beta$  complex. This latter group has order of generation equal to either 4 or 5 while all its other proper connected Lie subgroups (except  $w = az + \beta$ ,  $a > 0$ ,  $\beta$  complex, which is not generated by any pair of one-parameter subgroups) have order of generation always equal to their dimension. In particular, the subgroups  $w = e^{(1+b)t}z + \beta$ ,  $b$  a fixed, non-zero real number,  $t$  real,  $\beta$  complex, all have order of generation equal to 3 [2].

It is of interest to observe that for all the above groups the minimum order of generation is equal to their dimension. This is the best possible result since it is a simple consequence of Sard's Theorem [5, pp. 45-55] that the order of generation of a Lie group must be  $\geq$  its dimension. For the groups considered here, this will be proved directly.

**II. Preliminaries.** The isometry group of the Euclidean geometry, denoted by  $G$ , consists of all transformations  $w = \alpha z + \beta$ ,  $\alpha, \beta$  complex,  $|\alpha| = 1$ . Choosing the Poincaré half-plane as the model for the hyperbolic geometry,

---

Received September 3, 1970 and in revised form, October 23, 1970.

one finds that its isometry group, denoted by  $H$ , consists of all transformations  $w = (az + b)/(cz + d)$ ,  $a, b, c, d$  real,  $ad - bc > 0$ .  $H$  is isomorphic to  $SL(2, R)$ , the group of all  $2 \times 2$  real matrices having determinant equal to  $+1$ , provided that we identify the matrices  $A$  and  $-A$ .

The infinitesimal transformations, i.e. elements of the Lie algebra, of  $G$  are of the form  $\epsilon = \gamma w + \delta$ ,  $\gamma, \delta$  complex,  $\text{Re} \gamma = 0$ . This means that the one-parameter subgroups of  $G$  are the solutions of the differential system

$$(1) \quad \frac{dw}{dt} = \gamma w + \delta, \quad w(0, z) = z,$$

i.e. for each  $t$ ,  $-\infty < t < +\infty$ ,  $w(t, z)$  is an element of  $G$  and the set of all solutions of (1) forms a one-parameter subgroup. It is worth noting that these one-parameter subgroups can be determined by means of functional equations instead of using Lie group theory. In fact, if  $w_t = \alpha_t z + \beta_t$  is a one-parameter subgroup of the *affine* group, then the condition  $w_t \circ w_s = w_{t+s}$  yields  $\alpha_t \alpha_s = \alpha_{t+s}$  and  $\alpha_t \beta_s + \beta_t = \beta_{t+s}$ . These equations imply that  $\alpha_t = e^{\gamma t}$  and  $\beta_t = \delta t$  if  $\gamma = 0$  and  $\beta_t = \lambda(1 - e^{\gamma t})$  if  $\gamma \neq 0$  which agrees with the solution of (1) (provided  $\lambda = -\delta/\gamma$ ).

The infinitesimal transformations of  $H$  are given by  $\epsilon = pw^2 + 2qw + r$ ,  $p, q, r$  real. Under the transformations of the Lie algebra induced by inner automorphisms of the group, the discriminant of the infinitesimal transformation is an absolute invariant. An infinitesimal transformation and the one-parameter subgroup that it generates are classified as elliptic, parabolic or hyperbolic depending upon whether its discriminant is negative, zero or positive, respectively. Thus  $\epsilon = \gamma w + \delta$  is elliptic if  $\gamma^2 < 0$ , parabolic if  $\gamma = 0$ , and never hyperbolic while  $\epsilon = pw^2 + 2qw + r$  is elliptic if  $q^2 - pr < 0$ , parabolic if  $q^2 - pr = 0$ , and hyperbolic if  $q^2 - pr > 0$ .

Given a pair of infinitesimal transformations  $\epsilon$  and  $\eta$ , denote by  $T_t$  and  $S_s$ , respectively, the generated one-parameter subgroups. The orbit under  $\epsilon$  or  $T_t$  of the point  $z_0$  is defined as  $\{T_t(z_0), -\infty < t < +\infty\}$ ; similarly, one defines the orbit of  $z_0$  under  $\eta$ .

A pair of distinct infinitesimal transformations of  $G$  may be simultaneously transformed into one and only one of the normal forms

$$(2) \quad \begin{array}{lll} (a) \ \epsilon = 1, & \eta = \sigma, & \text{Im } \sigma \neq 0, \text{ both parabolic,} \\ (b) \ \epsilon = iw, & \eta = 1, & \epsilon \text{ elliptic, } \eta \text{ parabolic,} \\ (c) \ \epsilon = iw, & \eta = i(w - a), & a > 0, \text{ both elliptic,} \end{array}$$

by means of a suitably chosen inner automorphism of  $G$ . In case (a), the subgroup generated by  $T_t$  and  $S_s$  is not  $G$  but rather the two-dimensional subgroup of  $G$  consisting of all translations  $w = z + \beta$ ,  $\beta$  complex. In both cases (b) and (c), the subgroup generated by  $T_t$  and  $S_s$  is  $G$  since it is easily established that  $\epsilon, \eta$ , and

$$[\epsilon, \eta] = \eta \frac{d\epsilon}{dw} - \epsilon \frac{d\eta}{dw}$$

are linearly independent over the reals [3].

If  $\epsilon$  and  $\eta$  are infinitesimal transformations of  $H$ , then  $T_t$  and  $S_s$  generate  $H$  if and only if  $\epsilon$  and  $\eta$  have no common roots [4]. A pair of infinitesimal transformations of  $H$  with no common roots may be simultaneously transformed by means of an inner automorphism of  $H$  into one and only one of the normal forms [4].

- (3) (a)  $\epsilon = w^2 + 1, \quad \eta = w^2 + c^2, \quad c > 1,$  both elliptic,
- (b)  $\epsilon = w^2 + 1, \quad \eta = w^2 - c^2, \quad c \geq 1,$   $\epsilon$  elliptic,  
 $\eta$  hyperbolic,
- (c)  $\epsilon = w^2 + 1, \quad \eta = 1$   $\epsilon$  elliptic,  
 $\eta$  parabolic,
- (d)  $\epsilon = 1, \quad \eta = w^2,$  both parabolic,
- (e)  $\epsilon = 1, \quad \eta = w^2 - 1$   $\epsilon$  parabolic,  
 $\eta$  hyperbolic,
- (f)  $\epsilon = w, \quad \eta = (w - 1)(w - r), \quad r > 1,$  both hyperbolic  
with roots separating,
- (g)  $\epsilon = w, \quad \eta = (w - 1)(w + r), \quad r \geq 1,$  both hyperbolic  
with roots interlacing.

III.

**THEOREM 1.** *If the whole group  $G$  is generated by two one-parameter subgroups, then the order of generation is 3 or  $\infty$ . It is 3 if one is elliptic and the other parabolic, and it is  $\infty$  if both are elliptic.*

*Proof.* If both  $T_t$  and  $S_s$  are elliptic, then for every positive integer  $n$  the subset of  $G$  consisting of all finite products of  $T_t$  and  $S_s$  of length at most  $n$  is compact. But  $G$  is not compact.

If  $T_t$  is elliptic,  $S_s$  parabolic, assume that their infinitesimal transformations have the form (2)(b), i.e.  $\epsilon = iw, \eta = 1$ . Let  $W(z) \in G$  and suppose that  $W(\gamma) = 0, \gamma \neq 0$ . Choose the rotation  $T_t$  so that  $\text{Im } T_t(\gamma) = 0$ : there are, in fact, two possible choices for  $T_t$ . Next, select the translation  $S_s$  so that  $S_s T_t(\gamma) = 0$ . Since  $|W(0)| = |S_s T_t(0)|$ , it is possible to find a rotation  $T_u$  such that  $T_u S_s T_t(0) = W(0)$ . Since  $W$  and  $T_u S_s T_t$  agree on  $0, \gamma$ , and  $\infty, W = T_u S_s T_t$ . Since the transformation  $W(z) = z - i$  cannot be represented as a product of length 2, the order of generation of  $G$  is 3; it is worth observing that, in fact, all transformations of  $G$  of the form  $w = \pm z + \beta, \text{Im } \beta \neq 0$ , cannot be represented as a product  $S_u T_t S_s$  so that the roles of the two one-parameter subgroups are not interchangeable.

IV.

**THEOREM 2.** *If the whole group  $H$  is generated by two one-parameter subgroups, then the order of generation is 3, 4, 6 or  $\infty$ . It is  $\infty$  if both are elliptic, 3 if exactly one is elliptic, and 4 in all other cases except that it is 6 if both are hyperbolic with interlacing fixed points.*

*Proof.* Refer to the list of normal forms in (3).

(a) *Both elliptic.* Since  $H$  is not compact, the order of generation of  $H$  must be infinite.

(b)  $\epsilon = w^2 + 1, \eta = w^2 - c^2, c \geq 1$ . The orbits under the elliptic infinitesimal transformation  $\epsilon$  are just the circles of Apollonius with respect to its fixed points  $i$  and  $-i$ , while the orbits under the hyperbolic infinitesimal transformation  $\eta$  are the arcs of the family of all circles through  $c$  and  $-c$  that lie in the upper and lower half planes, respectively, together with the two intervals of the real axis determined by  $c$  and  $-c$ .

Let  $W(z) \in H$  and assume that  $W(\gamma) = i, \gamma \neq i$  (note that  $\text{Im } \gamma > 0$ ). The orbit of  $\gamma$  under  $\epsilon$  intersects the orbit of  $i$  under  $\eta$  at exactly two points. Choose  $T_t$  so that  $T_t(\gamma)$  is one of these two points and then select  $S_s$  so that  $S_s T_t(\gamma) = i$ . Now both  $W(0)$  and  $S_s T_t(0)$  are on the real axis which is an orbit under  $\epsilon$  so that it is possible to find  $T_u$  such that  $T_u S_s T_t(0) = W(0)$ . Since  $W$  and  $T_u S_s T_t$  agree on  $0, \gamma$ , and  $\bar{\gamma}$  (complex conjugate of  $\gamma$ ),  $W = T_u S_s T_t$ . It is clear that the order of generation of  $H$  is at least 3; in fact, all hyperbolic transformations in  $H$  exactly one of whose fixed points is  $c$  or  $-c$  together with all parabolic transformations in  $H$  with either  $+c$  or  $-c$  as fixed point cannot even be represented as a product  $S_u T_t S_s$ . For if  $T_t$  is not the identity, the product  $S_u T_t S_s$  leaves neither  $c$  nor  $-c$  fixed.

(c)  $\epsilon = w^2 + 1, \eta = 1$ . The orbits under the parabolic infinitesimal transformation  $\eta$  are just the family of parallel lines  $\text{Im } z = \text{const}$ . Let  $W(z) \in H$  be as above. Observe that the orbit of  $\gamma$  under  $\epsilon$  intersects the orbit of  $i$  under  $\eta$  at two points and then proceed as above. Note that all hyperbolic transformations in  $H$  one of whose fixed points is  $\infty$  cannot be represented as a product  $S_u T_t S_s$ .

In the remaining cases the order of generation of  $H$  must be at least 4 since any hyperbolic transformation of  $H$  one of whose fixed points is a root of  $\epsilon$ , the other a root of  $\eta$ , cannot be represented as a product of length 3.

(d)  $\epsilon = 1, \eta = w^2$ . In this case it will be convenient to work with  $\text{SL}(2, R)$ , identifying the matrices  $A$  and  $-A$ . One easily finds that  $T_t(z) = z + t, S_s(z) = z/(sz + 1)$  or in matrix form

$$T_t = \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix}, \quad S_s = \begin{pmatrix} 1 & 0 \\ s & 1 \end{pmatrix}.$$

Since

$$(4) \quad \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ s & 1 \end{pmatrix} \begin{pmatrix} 1 & v \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 + st & v + t + stv \\ s & 1 + sv \end{pmatrix},$$

every matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  in  $\text{SL}(2, R)$  with  $c \neq 0$  can be represented as a product of length 3: choose  $s = c, t = (a - 1)/c, v = (d - 1)/c$ . Similarly, every matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  in  $\text{SL}(2, R)$  with  $b \neq 0$  can be represented as a product

$$\begin{pmatrix} 1 & 0 \\ v & 1 \end{pmatrix} \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ s & 1 \end{pmatrix}.$$

Since

$$(5) \quad \begin{pmatrix} a & 0 \\ 1 & 1/a \end{pmatrix} \begin{pmatrix} 1 & 0 \\ -a & 1 \end{pmatrix} = \begin{pmatrix} a & 0 \\ 0 & 1/a \end{pmatrix},$$

where  $\begin{pmatrix} a & 0 \\ 1 & 1/a \end{pmatrix}$  is representable as a product of  $T_t$  and  $S_s$  of length 3, the order of generation of  $H$  is 4.

(e)  $\epsilon = 1, \eta = w^2 - 1$ . Let  $(x, y)$  be an ordered pair of distinct points on the real axis, one of which could be  $\infty$ . Define  $\text{order}(x, y)$  (with respect to  $-1$  and  $1$ ) as the least positive integer  $k$  for which there exists a transformation  $W(z) \in H$  expressible as a product of  $T_t$  and  $S_s$  of length  $k$  with  $W(x) = -1, W(y) = 1$ . Since  $-1$  and  $1$  are the fixed points of  $S_s(z)$ , the last (reading from right to left) element of any product of  $T_t$  and  $S_s$  of length  $k = \text{order}(x, y)$  representing  $W(z)$  must always be a  $T_t$ . If  $\text{order}(x, y) = k$ , then every transformation  $V(z) \in H$  such that  $V(x) = -1, V(y) = 1$ , can be expressed as a product of  $T_t$  and  $S_s$  of length at most  $k + 1$  since for some  $s, -\infty < s < +\infty$ ,

$$(6) \quad V(z) = S_s W(z).$$

Hence, to prove that the order of generation of  $H$  is 4, it suffices to prove that  $\text{order}(x, y) \leq 3$  for all  $(x, y)$ .

Since  $T_t(z) = z + t$  is the one-parameter translation subgroup, it is clear that  $\text{order}(x, y) = 1$  if and only if  $y = x + 2$ . Hence  $\text{order}(x, y) \leq 2$  if and only if there is an element  $S_s(z)$  such that  $S_s(y) = S_s(x) + 2$ . In particular, if  $|x| < 1$  and if either  $|y| > 1$  or  $y = \infty$ , then  $\text{order}(x, y) \leq 2$ ; there are three cases to consider:

- (a')  $x < y \leq x + 2$ ,
- (b')  $x + 2 < y$ ,
- (c')  $y = \infty$  or  $y < -1$ .

The continuous function  $f(s) = S_s(y) - S_s(x)$  satisfies

$$f(0) = S_0(y) - S_0(x) = y - x.$$

For  $y > 1, y \neq \infty$ , there is a  $v > 0$  such that  $S_v(y) = \infty$ . The function  $f(s)$  is strictly increasing on  $(-\infty, v)$ , and

$$\lim_{s \rightarrow -\infty} f(s) = \lim_{s \rightarrow -\infty} S_s(y) - \lim_{s \rightarrow -\infty} S_s(x) = 1 - 1 = 0, \quad \lim_{s \rightarrow v^-} f(s) = +\infty.$$

In case (a'),  $f(0) \leq 2$  and hence there exists an  $s \geq 0$  such that  $f(0) = 2$ ; in case (b'),  $f(0) > 2$  and hence there exists an  $s < 0$  such that  $f(s) = 2$ . In case (c'), first choose  $S_u(z)$  such that  $S_u(y) > 1, S_u(y) \neq \infty$ . Since  $-1 < x < 1, -1 < S_u(x) < 1$ , and hence either case (a') or case (b') applies to the pair  $(S_u(x), S_u(y))$  (there exists an  $S_s(z)$  such that  $S_s S_u(y) = S_s S_u(x) + 2$  or  $S_{s+u}(y) = S_{s+u}(x) + 2$ ). A similar argument shows that if  $|y| < 1$ , and if either  $|x| > 1$  or  $x = \infty$ , then  $\text{order}(x, y) \leq 2$ .

If  $x \neq \infty$ , then there exists a translation  $T_t(z)$  such that  $|T_t(x)| < 1$ , and  $|T_t(y)| > 1$  or  $T_t(y) = \infty$  and hence  $\text{order}(x, y) \leq 3$ . If  $x = \infty, y \neq \infty$ ,

there exists a translation  $T_t(z)$  such that  $|T_t(y)| < 1$ ,  $T_t(x) = T_t(\infty) = \infty$  and again it follows that  $\text{order}(x, y) \leq 3$ .

In the last two cases define  $\text{order}(x, y)$  (with respect to 0 and  $\infty$ ) as the least positive integer  $k$  for which there exists a transformation  $W(z) \in H$  expressible as a product of  $T_t$  and  $S_s$  of length  $k$  with  $W(x) = 0$ ,  $W(y) = \infty$ . In both cases,  $\epsilon = z$ , and thus the fixed points of  $T_t(z)$  are 0 and  $\infty$ . The last element of any product of  $T_t$  and  $S_s$  of length  $k = \text{order}(x, y)$  representing  $W(z)$  must always be an  $S_s$ . If  $\text{order}(x, y) = k$ , then every transformation  $V(z) \in H$  such that  $V(x) = 0$ ,  $V(y) = \infty$  can be expressed as a product of  $T_t$  and  $S_s$  of length at most  $k + 1$  since for some  $t$ ,  $-\infty < t < +\infty$ ,

$$(7) \quad V(z) = T_t W(z).$$

Finally, observe that  $\text{order}(\infty, 0)$  must be odd since both the first and last elements of the product of length  $k = \text{order}(\infty, 0)$ , representing  $W(z)$ , must be an  $S_s$ .

(f)  $\epsilon = w$ ,  $\eta = (w - 1)(w - r)$ ,  $r > 1$ . Since  $T_t(z) = e^t z$ , all transformations of this one-parameter subgroup preserve the ratio  $x/y$ , i.e.

$$T_t(x)/T_t(y) = x/y$$

for all  $t$ . The fixed points of the one-parameter subgroup  $S_s(z)$  are 1 and  $r$ ;  $\lim_{s \rightarrow +\infty} S_s(x) = 1$  for  $x \neq r$  and  $\lim_{s \rightarrow -\infty} S_s(x) = r$  for  $x \neq 1$ . Let  $I$  be the open interval  $1 < x < r$  and  $J$  the open interval  $\{x > r\} \cup \{x < 1\} \cup \{\infty\}$ . Note that if  $S_s(x) = 0$ , then  $x \in J$  and if  $S_s(y) = \infty$ , then  $y \in J$ .

If  $\text{order}(x, y) = 1$ , then clearly  $x \in J$ ,  $y \in J$ . Further, for each  $x \in J$ , there is a unique  $y \in J$ , denoted by  $g(x)$ , such that  $\text{order}(x, g(x)) = 1$ ; in fact, given  $x \in J$ , choose  $s(x)$  such that  $S_{s(x)}(x) = 0$ ; then

$$y = g(x) = S_{-s(x)}(\infty) = S_{s(x)}^{-1}(\infty).$$

Clearly  $g$  is a continuous function from  $J$  into the projective line. If  $y_0 = g(\infty)$ , then  $r < y_0 < +\infty$  and if  $s_0$  is the unique solution of  $S_{s_0}(0) = \infty$ , then  $x_0 = S_{s_0}^{-1}(0)$  satisfies  $0 < x_0 < 1$ . The behaviour of  $g$  is as follows: on  $(r, +\infty)$ ,  $g$  is strictly increasing,  $r < g(x) < x$ ,  $\lim_{x \rightarrow r+} g(x) = r$ , and  $\lim_{x \rightarrow +\infty} g(x) = y_0$ ; on  $(-\infty, 0]$ ,  $g$  is strictly increasing,  $\lim_{x \rightarrow -\infty} g(x) = y_0$ , and  $\lim_{x \rightarrow 0-} g(x) = g(0) = +\infty$ ; finally, on  $(0, 1)$ ,  $g$  is again strictly increasing,  $-\infty < g(x) < x$ ,  $\lim_{x \rightarrow 0+} g(x) = -\infty$ ,  $g(x_0) = 0$ , and  $\lim_{x \rightarrow 1-} g(x) = 1$ . Hence the range of  $x/g(x)$  on  $(r, +\infty)$  is  $(1, +\infty)$ ; on  $(-\infty, 0)$ ,  $(-\infty, 0)$ ; on  $(0, x_0)$ ,  $(-\infty, 0)$ ; on  $(x_0, 1)$ ,  $(1, +\infty)$ .

It follows from the above characterization of the points of order 1 that if  $x/y < 0$  or if both  $x/y > 1$  and  $x > 0$ , then  $\text{order}(x, y) \leq 2$ . Assume first that  $x/y < 0$  and  $x < 0$ . Choose  $x' < 0$  such that  $x'/g(x') = x/y$  and then select  $T_t(z)$  such that  $T_t(x) = x'$ ; clearly  $T_t(y) = g(x')$  and  $\text{order}(x', g(x')) = 1$ . If  $x/y < 0$  but  $x > 0$ , choose  $x' > 0$  such that  $x'/g(x') = x/y$  and then proceed as above. If  $x/y > 1$  and  $x > 0$ , choose  $x' > 0$  such that  $x'/g(x') = x/y$  and then again proceed as above. Further,  $\text{order}(\infty, y) \leq 2$  if  $y > 0$ : choose  $T_t(z)$

such that  $T_t(y) = y_0$  and then note that  $\text{order}(\infty, y_0) = 1$ . Since  $T_t$  preserves the ratio  $x/y$  and takes both the positive and negative real axes into themselves, it follows that if  $0 < x/y < 1$  or if both  $x < 0$  and  $y < 0$  or if  $x = 0, y \neq \infty$  or if  $x = \infty, y \leq 0$ , then  $\text{order}(x, y) > 2$ .

Next, it will be shown that for all  $(x, y)$  except those satisfying  $1 \leq x < y \leq r$ ,  $\text{order}(x, y) \leq 3$ .

If  $x \in J$ , then there exists an  $S_s(z)$  such that  $-\infty < S_s(x) < 0$  and

$$0 < S_s(y) < +\infty.$$

Since  $S_s(x)/S_s(y) < 0$ ,  $\text{order}(S_s(x), S_s(y)) \leq 2$ . Similarly, if  $y \in J$ , choose  $S_s(z)$  such that  $-\infty < S_s(y) < 0$  and  $0 < S_s(x) < +\infty$ . If  $1 \leq x \leq r, 1 \leq y \leq r$ , and  $x > y$ , then  $\text{order}(x, y) = 2$ . However, if  $1 \leq x < y \leq r$ , then since  $1 \leq S_s(x) < S_s(y) \leq r$  for all  $s$ , it follows that  $\text{order}(S_s(x), S_s(y)) > 2$  for all  $s$  and hence  $\text{order}(x, y) > 3$ . In this case, since there does exist a  $T_t(z)$  such that  $T_t(y) \in J$ ,  $\text{order}(x, y) = 4$ .

Equation (7) implies that unless  $1 \leq x < y \leq r$ , all transformations  $V(z) \in H$  such that  $V(x) = 0, V(y) = \infty$ , can be expressed as a product of  $T_t$  and  $S_s$  of length at most 4; in the exceptional case, (7) provides the estimate 5 which is unsatisfactory since the more careful analysis given below shows that  $V(z)$  can also in this case be expressed as a product of length 4. Note that if  $V(x) = 0, V(y) = \infty$ , where  $1 \leq x < y \leq r$ , then  $V(0)$  lies on the negative real axis and, conversely, all such  $V(z) \in H$ .

Note that all  $W(z) \in H, W(0) = \infty$ , and  $W(\infty) > 0$  can be represented as a product  $T_t S_s T_u$ ; in fact, choose  $s = s_0, T_t$  such that  $T_t S_{s_0}(\infty) = W(\infty)$  (possible as  $S_{s_0}(\infty) > 0$ ) and finally  $T_u$  such that  $T_u(W^{-1}(0)) = x_0$  (possible since  $W^{-1}(0) > 0$ ). Then  $W(z)$  and  $T_t S_s T_u(z)$  agree on  $0, \infty$ , and  $W^{-1}(0)$  and hence are identical. Assume that  $1 \leq x < y \leq r$ . Any transformation  $W(z) \in H$  such that  $W(0) = \infty, W(x) < 0$ , and  $W(y) > 0$  satisfies  $W(\infty) > 0$  and hence is expressible as a product  $T_t S_s T_u$  of length 3. Next, observe that if for  $x' < 0, s(x')$  is such that  $S_{s(x')}(x') = 0$ , then the function  $h(x') = S_{s(x')}(\infty)$  is strictly decreasing and

$$\lim_{x' \rightarrow -\infty} h(x') = 0, \quad \lim_{x' \rightarrow 0^-} h(x') = -\infty.$$

Given  $V(z) \in H$  such that  $V(x) = 0, V(y) = \infty, 1 \leq x < y \leq r$ , choose  $x' < 0$  such that  $h(x') = V(0)$  and then choose  $W(z)$  such that  $W(x) = x', W(y) = g(x')$ , and  $W(0) = \infty$ . Then  $V(z)$  and  $S_{s(x')}W(z)$  agree on  $x, y$  and on 0 and therefore  $V(z) = S_{s(x')}W(z)$ . But  $W(z)$  is expressible as a product of length 3 so that the order of generation of  $H$  is again 4.

(g)  $\epsilon = w, \eta = (w - 1)(w + r), r \geq 1$ . The fixed points of the one-parameter subgroup  $S_s(z)$  are 1 and  $-r$ ;  $\lim_{s \rightarrow +\infty} S_s(x) = -r$  for  $x \neq 1$  and  $\lim_{s \rightarrow -\infty} S_s(x) = 1$  for  $x \neq -r$ . Let  $I$  be the open interval  $-r < x < 1$  and  $J$  the open interval  $\{x < -r\} \cup \{x > 1\} \cup \{\infty\}$ . Note that if  $S_s(x) = 0$ , then  $x \in I$  and if  $S_s(y) = \infty$ , then  $y \in J$ .

If  $\text{order}(x, y) = 1$ , then  $x \in I, y \in J$ . Further, for each  $x \in I$ , there is a unique  $y \in J$ , denoted by  $g(x)$ , such that  $\text{order}(x, g(x)) = 1$ . Clearly  $g$  is a continuous function from  $I$  into  $J$  whose behaviour is as follows: on  $(0, 1)$ ,  $g$  is strictly decreasing,  $\lim_{x \rightarrow 0^+} g(x) = +\infty$ , and  $\lim_{x \rightarrow 1^-} g(x) = 1$ ; on  $(-r, 0)$ ,  $g$  is again strictly decreasing,  $\lim_{x \rightarrow -r^+} g(x) = -r$ , and  $\lim_{x \rightarrow 0^-} g(x) = -\infty$ . Therefore the range of  $x/g(x)$  on  $(0, 1)$  is  $(0, 1)$ , and on  $(-r, 0)$  it is again  $(0, 1)$ . It easily follows that  $\text{order}(x, y) \leq 2$  if and only if  $0 < x/y < 1$  (except that  $\text{order}(0, \infty) = 1$ ).

Next it will be established that  $\text{order}(x, y) \leq 3$  if and only if  $x \in I$  or  $y \in J$ . Assume first that  $x \in I$  and  $y \in \bar{I}$  (closure of  $I$ ). If  $x < y$ , there is an  $S_s(z)$  such that  $0 < S_s(x) < S_s(y)$  since  $\lim_{s \rightarrow -\infty} S_s(x) = 1$  and  $S_s(x) < S_s(y) \leq 1$  for all  $s$ . If  $x > y$ , there is an  $S_s(z)$  such that  $S_s(y) < S_s(x) < 0$ . In either case,  $0 < S_s(x)/S_s(y) < 1$  and hence  $\text{order}(S_s(x), S_s(y)) \leq 2$ . If  $x \in I$  and  $y \in J$ , there is an  $S_s(z)$  such that  $0 < S_s(x) < 1 < S_s(y)$  since

$$\lim_{s \rightarrow -\infty} S_s(x) = \lim_{s \rightarrow -\infty} S_s(y) = 1;$$

again  $\text{order}(S_s(x), S_s(y)) \leq 2$ . Finally, if  $x \in \bar{J}$  and  $y \in J$ , there exists either an  $S_s(z)$  such that  $1 \leq S_s(x) < S_s(y)$  or an  $S_s(z)$  such that

$$S_s(y) < S_s(x) \leq -r$$

and in both cases  $\text{order}(S_s(x), S_s(y)) \leq 2$ . Conversely, if  $x \in \bar{J}$  and  $y \in \bar{I}$ , then for all  $s$ , either  $S_s(x)/S_s(y) > 1$  or  $S_s(x)/S_s(y) < 0$  or  $S_s(x) = \infty$  or  $S_s(y) = 0$  and in all these instances,  $\text{order}(S_s(x), S_s(y)) > 2$  for all  $s$ . In particular,  $\text{order}(\infty, 0) > 3$ ; since  $\text{order}(\infty, 0)$  must be odd, it follows that  $\text{order}(\infty, 0) \geq 5$ . In fact,  $\text{order}(\infty, 0) = 5$ ; this is a simple consequence of the fact proved below that  $\text{order}(x, y) \leq 4$  for all  $(x, y) \neq (\infty, 0)$ .

If  $(x, y) \neq (\infty, 0)$ , then either  $x \neq \infty$  and there exists a  $T_t(z)$  such that  $T_t(x) \in I$  or  $y \neq 0$  and there exists a  $T_t(z)$  such that  $T_t(y) \in J$ . In either case, it follows that  $\text{order}(T_t(x), T_t(y)) \leq 3$  and thus  $\text{order}(x, y) \leq 4$ .

It follows that the order of generation of  $H$  is  $\leq 6$ ; to complete the proof of Theorem 2 it suffices to show that the transformation  $w = -r/z \in H$  cannot be expressed as a product of  $T_t$  and  $S_s$  of length  $\leq 5$ . This transformation takes  $0$  into  $\infty$ ,  $\infty$  into  $0$ ,  $1$  into  $-r$ , and  $-r$  into  $1$ . Since  $\text{order}(\infty, 0) = 5$ ,  $w = -r/z$  cannot be expressed as a product of length  $< 5$ , and, moreover if it is expressible as a product of length  $5$ , such a product must begin and end with an  $S_s(z)$ . Since  $1$  and  $-r$  are the fixed points of  $S_s(z)$ , it would follow that there exists a product  $T_t S_s T_u$  that takes  $1$  into  $-r$  and  $-r$  into  $1$ . This implies that

$$S_s T_u(1) < 0 \quad \text{and} \quad S_s T_u(-r) > 0.$$

Clearly  $T_u(1) \neq 1$ ; if  $T_u(1) > 1$ , then  $T_u(-r) < -r$  and hence  $S_s T_u(1) < 0$  implies that

$$(8) \quad S_s T_u(1) < S_s T_u(-r) < -r;$$



if  $T_u(1) < 1$ , then  $T_u(-r) > -r$  and hence  $S_s T_u(1) < 0$  implies that

$$(9) \quad S_s T_u(-r) < S_s T_u(1) < 0.$$

In either case,  $S_s T_u(-r) < 0$ , and a contradiction is obtained.

*Remark 1.* It can be shown that every  $V(z) \in H$  such that  $V(0) = \infty$ ,  $V(\infty) = 0$  except  $V(z) = -r/z$  can be expressed as a product of  $T_t$  and  $S_s$  of length 5.

*Remark 2.* To understand why  $w = -r/z$  could not be expressed as a product of length 5, consider the inner automorphism of  $H$  induced by

$$V(z) = (z + r)/(z - 1).$$

Note that although  $V(z) \notin H$ ,  $V^{-1}HV = H$ . Further, since  $V(\infty) = 1$ ,  $V(0) = -r$ ,  $V(1) = \infty$ , and  $V(-r) = 0$ , it is clear that  $V$  transforms the pair of infinitesimal transformations  $\epsilon, \eta$  into the pair  $\eta, \epsilon$ . Suppose that there were a product  $T_t S_s T_u$  that took 1 into  $-r$ ,  $-r$  into 1; then

$$(10) \quad V^{-1}T_t V V^{-1}S_s V V^{-1}T_u V = V^{-1}T_t S_s T_u V$$

would take 0 into  $\infty$  and  $\infty$  into 0. Hence  $\text{order}(\infty, 0) = 3$ , a contradiction. What has really been shown is that  $\text{order}(\infty, 0)$  (with respect to 0 and  $\infty$ ) must equal  $\text{order}(-r, 1)$  (with respect to 1 and  $-r$ ).

*Remark 3.* Observe that in both cases (e) and (g), the order of generation of  $H$  is equal to the maximum of the order  $(x, y) + 1$  while in (f) the order of generation of  $H$  is equal to the maximum of order  $(x, y)$ .

It is of interest to compare the situation for the isometry group of the spherical geometry with that for the isometry groups of the hyperbolic and Euclidean geometry. For the latter two groups the order of generation is uniquely determined by the nature of the infinitesimal transformations, i.e., elliptic, parabolic or hyperbolic, except that if both are hyperbolic, then the order of generation depends upon whether or not the roots separate or interlace. For the isometry group of the spherical geometry, both infinitesimal transformations must be elliptic and the order of generation depends upon the magnitude of the cross-ratio of the roots.

V. Let  $n \neq \infty$  be the order of generation of a connected Lie group by  $T_t$  and  $S_s$ . It is of interest to determine whether every element of the group can, in fact, be represented as a product of length  $n$  whose last element is a  $T_t$ ; a dual question may be asked of  $S_s$ . Note that any element that can be expressed as a product of length  $< n$  can be expressed both as a product of length  $n$  whose last element is a  $T_t$  and one whose last element is an  $S_s$  by inserting the identity  $I = T_0 = S_0$  an appropriate number of times.

If there is an inner automorphism of the group (or even if there is any automorphism of the group) that interchanges the two one-parameter subgroups, then both questions must have the same answer. The same conclusion holds under the quite different assumption that  $n$  is even; if an element is not representable as a product of length  $n$  ending in a  $T_i$ , then its inverse is not representable as a product of length  $n$  ending in an  $S_s$ .

For  $SO(3)$ , the answer to both questions is in the affirmative (both questions must have the same answer since every pair of one-parameter subgroups of  $SO(3)$  can be interchanged by some inner automorphism) [1]. From the proof of Theorem 1 it follows that every element of  $G$  can be expressed as a product  $T_u S_s T_u$  but not all elements of  $G$  can be expressed as a product  $S_u T_i S_s$  ( $T_i$  is elliptic;  $S_s$  is parabolic). From the proof of Theorem 2 the same conclusion follows for  $H$  in case  $T_i$  is elliptic and  $S_s$  parabolic or hyperbolic. If the order of generation of  $H$  is 4 or 6, then both questions must have the same answer. It is in the affirmative except if both one-parameter subgroups are hyperbolic with roots separating. If both are parabolic, this is clear from the proof of Theorem 2. The assertion in the remaining cases follows directly from the observation in Remark 3 that if one is parabolic and the other hyperbolic or if both are hyperbolic with roots interlacing, then all pairs  $(x, y)$  have order less than the order of generation of  $H$  while if both are hyperbolic with roots separating, then there exist pairs  $(x, y)$  whose order equals the order of generation of  $H$ .

## REFERENCES

1. F. Lowenthal, *Uniform finite generation of the rotation group*, Rocky Mountain Journal of Mathematics (to appear).
2. ——— *Uniform finite generation of the affine group*, Pacific J. Math. (submitted for publication).
3. ——— *On generating subgroups of the Moebius group by pairs of infinitesimal transformations*, Pacific J. Math. 26 (1968), 141–147.
4. ——— *On subsemigroups of the projective group on the line*, Can. J. Math. 20 (1968), 1001–1011.
5. S. Sternberg, *Lectures on differential geometry*, pp. 45–55 (Prentice-Hall, Englewood Cliffs, N.J., 1964).

*University of Oregon,  
Eugene, Oregon*