

Admissible Majorants for Model Subspaces of H^2 , Part I: Slow Winding of the Generating Inner Function

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Abstract. A model subspace K_Θ of the Hardy space $H^2 = H^2(\mathbb{C}_+)$ for the upper half plane \mathbb{C}_+ is $H^2(\mathbb{C}_+) \ominus \Theta H^2(\mathbb{C}_+)$ where Θ is an inner function in \mathbb{C}_+ . A function $\omega: \mathbb{R} \mapsto [0, \infty)$ is called an *admissible majorant* for K_Θ if there exists an $f \in K_\Theta$, $f \not\equiv 0$, $|f(x)| \leq \omega(x)$ almost everywhere on \mathbb{R} . For some (mainly meromorphic) Θ 's some parts of $\text{Adm } \Theta$ (the set of all admissible majorants for K_Θ) are explicitly described. These descriptions depend on the rate of growth of $\arg \Theta$ along \mathbb{R} . This paper is about slowly growing arguments (slower than x). Our results exhibit the dependence of $\text{Adm } B$ on the geometry of the zeros of the Blaschke product B . A complete description of $\text{Adm } B$ is obtained for B 's with purely imaginary ("vertical") zeros. We show that in this case a unique minimal admissible majorant exists.

1 Introduction

1.1 Historical Background

Let Θ be an inner function in the upper half plane \mathbb{C}_+ . The *model subspace* K_Θ of the Hardy space $H^2(\mathbb{C}_+)$ generated by Θ is, by definition, the orthogonal complement of $\Theta H^2(\mathbb{C}_+)$:

$$K_\Theta = H^2(\mathbb{C}_+) \ominus \Theta H^2(\mathbb{C}_+).$$

By Beurling's famous theorem the spaces $\Theta H^2(\mathbb{C}_+)$ are the only shift invariant closed subspaces of $H^2(\mathbb{C}_+)$, *i.e.*, invariant with respect to multiplication by any exponential $e^{i\sigma z}$, $\sigma > 0$ [4]. This is why K_Θ is often called a shift *coinvariant subspace* of $H^2(\mathbb{C}_+)$. We prefer the shorter term model subspace which appeared due to connections of K_Θ 's with the Nagy-Foias model of contractions in a Hilbert space [28], [29].

The model subspaces are an important theme of complex and harmonic analysis. Their properties and numerous connections with various topics in analysis can be found, *e.g.*, in the work of Douglas, Shapiro, Shields [11], Cohn [9], Dyakonov [13], Volberg [32], Treil [33], Nikolski [29], Ahern, Clark [1], Alexandrov [2], and in the monograph of Cima, Ross [8]. The spaces K_Θ generated by meromorphic Θ are

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closely related to the de Branges Hilbert spaces of entire functions [6]. A very particular but extremely important case is $K_{\rho^{i\sigma z}}$, since $e^{-i\sigma z/2}K_{\rho^{i\sigma z}}$ is the Paley-Wiener space of entire functions of type at most $\sigma/2$ and square summable along the real line \mathbb{R} .

We call a measurable non-negative function $\omega: \mathbb{R} \mapsto [0, \infty)$ an *admissible majorant* for K_Θ , and we write $\omega \in \text{Adm } \Theta$, if there exists a non-zero function $f \in K_\Theta$ satisfying

$$(1.1) \quad |f(x)| \leq \omega(x)$$

almost everywhere on \mathbb{R} . Here $f(x)$ denotes $\lim_{\varepsilon \rightarrow 0^+} f(x + i\varepsilon)$ wherever the limit exists. It is well known that $f(x)$ is defined almost everywhere on \mathbb{R} and $f \in L^2(\mathbb{R})$ [22, page 114]. The subspace of $L^2(\mathbb{R})$ formed by all boundary traces of elements of $H^2(\mathbb{C}_+)$ is isometric to $H^2(\mathbb{C}_+)$ and is denoted by $H^2(\mathbb{R})$ [12, pages 190–191].

Our aim is to describe some classes of admissible majorants for some classes of model subspaces. A necessary condition for an ω to be in $\text{Adm } \Theta$ is the convergence of its logarithmic integral

$$(1.2) \quad \mathcal{L}(\omega) = \int_{-\infty}^{\infty} \frac{\Omega^+(x)}{1+x^2} dx,$$

where

$$(1.3) \quad \Omega(x) = -\log \omega(x).$$

If $\mathcal{L}(\omega) = \infty$, then the only $f \in H^2(\mathbb{R})$ satisfying (1.1) is zero [12, pages 189–190]. The convergence of $\mathcal{L}(\omega)$ is also sufficient for the existence of a non-zero $f \in H^2(\mathbb{R})$ satisfying (1.1), or even $|f(x)| = \omega(x)$ a.e., provided $\omega \in L^2(\mathbb{R})$ [22, page 120]. But functions in a K_Θ are *much more analytic* than an average element of $H^2(\mathbb{R})$. Namely, the elements of K_Θ admit pseudo-analytic (or rather pseudo-meromorphic) continuations to the lower half plane \mathbb{C}_- : for any $f \in K_\Theta$ there exists a function g , meromorphic and of the Nevanlinna class in \mathbb{C}_- , such that

$$\lim_{\varepsilon \rightarrow 0^+} g(x - i\varepsilon) = f(x)$$

almost everywhere on \mathbb{R} . If Θ is analytic on an interval $I \subset \mathbb{R}$, then g is the classical analytic continuation of f across I . So it is natural to expect that the mere convergence of $\mathcal{L}(\omega)$ is too weak to ensure the inclusion $\omega \in \text{Adm } \Theta$. It may happen that for a *nice* ω (say, decreasing on $[0, \infty)$, even and smooth) the integral $\mathcal{L}(\omega)$ is finite, but the decrease of ω is still too fast to let ω be in $\text{Adm } \Theta$. We provide two examples. The first one is quite simple.

Example 1.1 Θ is a finite Blaschke product with zeros $z_1, \dots, z_n \in \mathbb{C}_+$. Then K_Θ is the set of all rational functions P/Q where $Q(z) = (z - z_1) \cdots (z - z_n)$ and P is a polynomial of degree at most $n - 1$. In this case

$$\frac{1}{(1 + |x|)^{n+1}} \notin \text{Adm } \Theta$$

although $\mathcal{L}(\omega) < \infty$.

Note that $(1 + |x|)^{-n} \in \text{Adm } \Theta$, and this majorant is *sharp* in the sense that if $\omega(x) = o(|x|^{-n})$ as $|x| \rightarrow \infty$, then $\omega \notin \text{Adm } \Theta$. The following example is much more interesting and delicate.

Example 1.2 $\Theta(z) = e^{i\sigma z}$, $\sigma > 0$. The admissibility of ω means that (1.1) holds for a non-zero Paley-Wiener function f (entire, of type at most $\sigma/2$ and square summable along \mathbb{R}). In this case any nice ω with $\mathcal{L}(\omega) < \infty$ is in $\text{Adm } \Theta$, but the regularity assumptions cannot be dropped. (A concrete form of regularity of ω entailing its admissibility is stated at the end of this subsection.)

The characterization of $\text{Adm } e^{i\sigma z}$ is a difficult problem related to the uncertainty principle in harmonic analysis (see Chapter 3 of Part II of [17]). A complete and palpable description of $\text{Adm } e^{i\sigma z}$ is hardly possible, but Beurling and Malliavin found sufficient conditions for an ω to be in that class (actually in $\bigcap_{\sigma>0} \text{Adm } e^{i\sigma z}$). The theorem of Beurling and Malliavin (the so called *multiplier theorem*) is one of the deepest results of harmonic analysis of the twentieth century [5]. Several proofs are known now. For the present state of this topic see books [23], [24] and [25].

1.2 Our Approach

In the present paper and in [18], we discuss $\text{Adm } \Theta$ for certain inner Θ 's. We concentrate mainly on the special case of *meromorphic* Θ 's, that is we assume Θ coincides in \mathbb{C}_+ with a meromorphic function whose poles are in \mathbb{C}_- . In other words

$$(1.4) \quad \Theta(z) = e^{i\sigma z} B(z)$$

where $\sigma \geq 0$ and B is a meromorphic Blaschke product for \mathbb{C}_+ (either B is finite or its zeros tend to infinity). The case $B(z) \equiv 1$, *i.e.*, the Blaschke product with the empty set of zeros, is exactly the Beurling-Malliavin case. Our results *here* are devoted mainly to the case $\sigma = 0$. (Note that $K_{\Theta_1 \Theta_2} = K_{\Theta_1} \oplus \Theta_1 K_{\Theta_2}$ [1], whence $\text{Adm } \Theta_1 \Theta_2 \supset \text{Adm } \Theta_1 + \text{Adm } \Theta_2$). The Beurling-Malliavin case ($\sigma > 0$ and $B \equiv 1$) and some other similar cases will be considered in [18].

We turn to the case $\sigma = 0$, *i.e.*, $\Theta = B$ in (1.4). The set $\text{Adm } B$ depends on $B^{-1}(0)$, or to be more precise, on the divisor of B , *i.e.*, $B^{-1}(0)$ and the multiplicities of zeros. We obtain a quite satisfactory description of $\text{Adm } B$ for purely imaginary (*vertical*) zeros. The *horizontal* case (say, zeros on a line $\Im z = c$, $c > 0$) is much more difficult and for certain sets $B^{-1}(0)$ is similar to the Beurling-Malliavin case. In [18] we obtain some partial results in this direction.

Any meromorphic inner function Θ can be written as $\Theta(x) = e^{i\varphi(x)}$ on \mathbb{R} , where φ is real and continuous (in fact, real analytic). We call φ a continuous argument of Θ and denote it by $\arg \Theta$. Thus $\arg \Theta$ is defined up to a constant. This function is increasing. In this paper we consider situations gravitating to our Example 1.1: $\Theta = B$, and $\arg B$ grows slowly (so that the unit vector $B(x)$ is winding slowly as x grows from $-\infty$ to ∞ ; note that in Example 1.1, an extreme case, $\arg B$ is just bounded). In this paper, as a rule, $(\arg B)'(x) = o(1)$ as $|x| \rightarrow \infty$. On the other hand, in the Beurling-Malliavin case (Example 1.2) $\arg \Theta(x) = \sigma x$ is linear. Some

inner functions Θ with $\arg \Theta(x)$ growing almost linearly (and even faster) will be analyzed in [18]. The technique used there is different from that of the present paper.

The statements of our main results involve comparison of functions on \mathbb{R} . Let ω_1 and ω_2 be such functions. We write

$$\omega_1 \prec \omega_2,$$

if $\omega_1(x) \leq C\omega_2(x)$ for all $x \in \mathbb{R}$ and a positive number C . We say that ω_1 and ω_2 are *comparable*, and write

$$\omega_1 \asymp \omega_2,$$

if $\omega_1 \prec \omega_2$ and $\omega_2 \prec \omega_1$.

An element ω of $\text{Adm } \Theta$ is called a *minimal majorant* for K_Θ if any $\omega_1 \in \text{Adm } \Theta$ satisfying $\omega_1 \prec \omega$ is comparable with ω . We will be also interested in the uniqueness of a minimal majorant. We say that the minimal majorant $\omega \in \text{Adm } \Theta$ is unique if it is strictly positive, continuous, and any minimal, strictly positive and continuous majorant for K_Θ is comparable with ω .

In this paper we prove the *existence of unique minimal majorants* for some spaces K_B , give their explicit expressions and prove their uniqueness. (Note that if $\arg \Theta$ grows fast, then, as a rule, the minimal majorant for K_Θ does not exist, see [18]).

1.3 Our Main Themes

The main results of this paper are as follows. First, we completely characterize the unique minimal admissible majorant for model subspaces generated by a meromorphic Blaschke product with zeros on the imaginary axis.

Theorem 1.3 *Let $\{b_k\}_{k \geq 1}$ be an increasing sequence of positive numbers, and $\sum_{k=1}^\infty 1/b_k < \infty$. Let B be the Blaschke product with zeros $\{ib_k\}_{k \geq 1}$. Put*

$$E(z) = \prod_{k=1}^\infty \left(1 + \frac{z}{ib_k}\right).$$

Then $1/|E(x)|$ is in $\text{Adm } B$ and it is the unique minimal majorant for K_B . Moreover,

$$\log |E(x)| \asymp \int_0^x \frac{n(t)}{t} dt + x^2 \int_x^\infty \frac{n(t)}{t^3} dt,$$

where $n(t)$ is the counting function of the sequence $\{b_k\}_{k \geq 1}$.

The convergence of $\sum_{k=1}^\infty 1/b_k$ coincides with the Blaschke condition and cannot be weakened. But to obtain a similar result for more general sets of zeros in \mathbb{C}_+ (not necessarily vertical) we need somewhat stronger conditions.

Theorem 1.4 *Let $\{z_k\}_{k \geq 1}$ be a sequence in the upper half plane \mathbb{C}_+ such that $\lim_{k \rightarrow \infty} |z_k| = \infty$ and*

$$\sum_{k=1}^\infty \frac{\log |z_k|}{|z_k|} < \infty.$$

Let B be the Blaschke product with zeros $\{z_k\}_{k \geq 1}$. Put

$$E(z) = \prod_{k=1}^{\infty} \left(1 - \frac{z}{\bar{z}_k}\right),$$

so that $B(z) = E^*(z)/E(z)$ where $E^*(z) = \overline{E(\bar{z})}$. If

$$\frac{1}{|E(x)|} \in L^2(\mathbb{R}),$$

then $1/|E(x)| \in \text{Adm } B$. Moreover, the majorant $1/|E(x)|$ is minimal and unique.

We provide some examples to show that the condition $1/|E(x)| \in L^2(\mathbb{R})$ is not a consequence of the assumption $\sum_{k=1}^{\infty} \log |z_k|/|z_k| < \infty$ and hence we have to insert it in the theorem (criteria for $1/E(x) \in L^2(\mathbb{R})$ can be deduced from [7] and [34]). The next result is our only theorem dealing with a general (not necessarily meromorphic) inner function Θ . It generalizes an essential part of Theorem 1.4.

Theorem 1.5 Suppose there exists an outer function $O \in H^1(\mathbb{C}_+)$ such that

$$O(x) = |O(x)|\Theta(x)$$

almost everywhere on \mathbb{R} . Then $\sqrt{|O(x)|}$ is a minimal majorant for K_{Θ} .

As a matter of fact a stronger assertion is proved in Theorem 5.2. Theorem 1.5 is an easy corollary of the complete description of moduli of elements of K_{Θ} obtained by Dyakonov in [13] (in [13] the L^p -analogs of K_{Θ} are also considered; we only need a particular case of Dyakonov's result). From Dyakonov's criterion we deduce a complete description of $\text{Adm } \Theta$ (Theorem 4.4). This result yields a parameterization of $\text{Adm } \Theta$: putting $\Omega = \log 1/\omega$ we obtain a representation of $e^{2i\Omega}$ in terms of free parameters m and I where m is an arbitrary element of $L^\infty(dt)$ such that $m\omega \in L^2(dt)$, $\log m \in L^1(dt/(1+t^2))$, and I is an arbitrary inner function. This parametrization is used in the proof of Theorem 5.2; it is an important element of [18].

2 Representations of K_{Θ}

In this section we discuss several aspects of model subspaces generated by a meromorphic inner function.

2.1 Reminder on Blaschke Products

Let $\{z_k\}_{k \geq 1}$ be a sequence of complex numbers in the upper half plane \mathbb{C}_+ . (Sometimes we allow the index k to range through \mathbb{Z} .) Let

$$b_k(z) = e^{i\alpha_k} \cdot \frac{z - z_k}{z - \bar{z}_k},$$

where a real α_k is so chosen that

$$e^{i\alpha_k} \cdot \frac{i - z_k}{i - \bar{z}_k} \geq 0.$$

The rational function $B_K = \prod_{k=1}^K b_k$ is called a *finite Blaschke product* for the upper half plane; B_K is analytic at each point of the real line and $|B_K(x)| = 1$ for $x \in \mathbb{R}$. The relation

$$\sum_{k=1}^{\infty} \frac{\Im z_k}{|z_k + i|^2} < \infty$$

is a necessary and sufficient condition for the uniform convergence of B_K on compact sets, disjoint from the closure of $\{\bar{z}_k ; k \geq 1\}$, to a non-zero analytic function

$$B(z) = \prod_{k=1}^{\infty} \left(e^{i\alpha_k} \cdot \frac{z - z_k}{z - \bar{z}_k} \right) = \lim_{K \rightarrow \infty} B_K(z),$$

and we call B an *infinite Blaschke product* for the upper half plane [22, page 120]. We have $|B(z)| < 1$ for $z \in \mathbb{C}_+$. Therefore, by Fatou's theorem [22, page 57], for almost all $x \in \mathbb{R}$, $\lim_{z \rightarrow x} B(z)$ exists. Denoting that limit by $B(x)$ (wherever it exists), one has $|B(x)| = 1$ almost everywhere [22, page 66].

2.2 Meromorphic Blaschke products

A Blaschke sequence in the upper half plane, $\{z_k\}_{k \geq 1}$, has no accumulation point on the real line if and only if

$$\lim_{k \rightarrow \infty} |z_k| = \infty.$$

Here, since the z_k stay away from zero, a necessary and sufficient condition for the uniform convergence of B_K to B on compact sets disjoint from $\{\bar{z}_k ; k \geq 1\}$ is

$$(2.1) \quad \sum_{k=1}^{\infty} \frac{\Im z_k}{|z_k|^2} < \infty.$$

In this case, B is a meromorphic function with poles at the \bar{z}_k . For this reason, it is called a *meromorphic Blaschke product*. The function B is analytic at each point of \mathbb{R} , and

$$|B(x)| = 1 \quad \text{for } x \in \mathbb{R}.$$

Let us multiply B by a constant of modulus one to get $B(0) = 1$. Then for each z different from all the \bar{z}_k ,

$$B(z) = \prod_{k=1}^{\infty} \frac{1 - z/z_k}{1 - z/\bar{z}_k}.$$

2.3 Representation of a Meromorphic Blaschke Product as $E^*(z)/E(z)$

The following result is a direct corollary of a theorem of M. G. Krein on entire functions of the Hermite-Biehler class [26, pages 317–318]. We give a direct proof.

Lemma 2.1 *Every meromorphic Blaschke product can be represented as*

$$B(z) = \frac{\overline{E(\bar{z})}}{E(z)} \quad \text{for } z \in \mathbb{C},$$

where E is an entire function with zeros at the \bar{z}_k . The order of \bar{z}_k as a zero of E is the same as its order as a pole of B .

Proof Put

$$(2.2) \quad E_k(z) = \left(1 - \frac{z}{\bar{z}_k}\right) \exp\left\{\Re\left(\frac{1}{\bar{z}_k}\right)z + \cdots + \frac{1}{k}\Re\left(\frac{1}{\bar{z}_k^k}\right)z^k\right\}.$$

Suppose $|z| \leq R$. Then, for $|z_k| \geq 2R$,

$$\begin{aligned} \log E_k(z) &= -\frac{z}{\bar{z}_k} - \frac{1}{2}\left(\frac{z}{\bar{z}_k}\right)^2 - \cdots - \frac{1}{k}\left(\frac{z}{\bar{z}_k}\right)^k - \cdots \\ &\quad + \Re\left(\frac{1}{\bar{z}_k}\right)z + \frac{1}{2}\Re\left(\frac{1}{\bar{z}_k^2}\right)z^2 + \cdots + \frac{1}{k}\Re\left(\frac{1}{\bar{z}_k^k}\right)z^k \\ &= -i\Im\left(\frac{1}{\bar{z}_k}\right)z - \frac{i}{2}\Im\left(\frac{1}{\bar{z}_k^2}\right)z^2 - \cdots - \frac{i}{k}\Im\left(\frac{1}{\bar{z}_k^k}\right)z^k \\ &\quad - \frac{1}{k+1} \cdot \left(\frac{z}{\bar{z}_k}\right)^{k+1} - \frac{1}{k+2} \cdot \left(\frac{z}{\bar{z}_k}\right)^{k+2} - \cdots. \end{aligned}$$

Here, we are using the branch of the logarithm which is zero at 1. Since $|\Im(w^n)| \leq n|w|^{n-1}|\Im w|$ for every $w \in \mathbb{C}$, we have

$$\begin{aligned} |\log E_k(z)| &\leq \left|\Im\left(\frac{1}{\bar{z}_k}\right)\right|R + \cdots + \frac{1}{k}\left|\Im\left(\frac{1}{\bar{z}_k^k}\right)\right|R^k \\ &\quad + \frac{1}{k+1} \cdot \frac{1}{2^{k+1}} + \frac{1}{k+2} \cdot \frac{1}{2^{k+2}} + \cdots \\ &\leq \left|\Im\left(\frac{1}{\bar{z}_k}\right)\right|R + \cdots + \left|\Im\left(\frac{1}{\bar{z}_k}\right)\right|\frac{1}{|\bar{z}_k|^{k-1}}R^k + \frac{1}{2^k} \\ &\leq \frac{\Im z_k}{|z_k|^2}\left(1 + \frac{1}{2} + \cdots + \frac{1}{2^{k-1}}\right)R + \frac{1}{2^k} \\ &\leq 2R \cdot \frac{\Im z_k}{|z_k|^2} + \frac{1}{2^k}. \end{aligned}$$

By this inequality and by (2.1), $\sum_{|z_k| \geq 2R} \log E_k(z)$ converges absolutely and uniformly for $|z| \leq R$. Thus $\prod_{|z_k| \geq 2R} E_k(z)$ converges uniformly for such values of z . Therefore,

$$E(z) = \prod_{k=1}^{\infty} \left(1 - \frac{z}{\bar{z}_k}\right) \exp\left\{\Re\left(\frac{1}{\bar{z}_k}\right)z + \dots + \frac{1}{k} \Re\left(\frac{1}{\bar{z}_k^k}\right)z^k\right\}$$

is an entire function and

$$\overline{E(\bar{z})} = \prod_{k=1}^{\infty} \left(1 - \frac{z}{z_k}\right) \exp\left\{\Re\left(\frac{1}{z_k}\right)z + \dots + \frac{1}{k} \Re\left(\frac{1}{z_k^k}\right)z^k\right\}.$$

The relation $\overline{E(\bar{z})}/E(z) = B(z)$ is now clear by inspection. ■

In the general case, E is not necessarily of exponential type. But if more is known about the growth of the z_k as $k \rightarrow \infty$, the degrees of the polynomials figuring in the exponential factors in (2.2) can be diminished. If, for instance,

$$\sum_{k=1}^{\infty} \frac{1}{|z_k|} < \infty,$$

all of those polynomials can be taken equal to zero (and the exponential factors dropped altogether). See also [6, page 14].

2.4 Representation of Meromorphic Inner Functions

Meromorphic inner functions are generalizations of meromorphic Blaschke products. We call an inner function $\Theta \in H^\infty(\mathbb{C}_+)$ meromorphic if it is continuous (or equivalently, analytic) up to \mathbb{R} . It is easy to see that the set of meromorphic inner functions coincides with the set of all products $B(z)e^{i\sigma z}$ where B is a meromorphic Blaschke product and σ is a non-negative real number.

The entire function E constructed in Lemma 2.1 enjoys the following property:

$$(2.3) \quad |E^*(z)| < |E(z)|$$

for all $z \in \mathbb{C}_+$ and all its zeros are in the lower half plane. On the other hand, any such E generates a meromorphic inner function Θ , namely

$$(2.4) \quad \Theta(z) = E^*(z)/E(z)$$

for all $z \in \mathbb{C}_+$. Indeed Lemma 2.1 shows that any meromorphic inner function Θ can be represented by (2.4) with a suitable entire function satisfying (2.3) and having zeros only in the lower half plane. For if $\Theta(z) = B(z)e^{i\sigma z}$, then by Lemma 2.1,

$$\Theta(z) = \frac{E^*(z)}{E(z)} e^{i\sigma z} = \frac{E^*(z)e^{i\sigma z/2}}{E(z)e^{-i\sigma z/2}} = \frac{E_1^*(z)}{E_1(z)},$$

and $E_1(z) = E(z)e^{-i\sigma z/2}$ satisfies (2.3) and all its zeros are in the lower half plane.

Subsections 2.5 and 2.6 contain some well known facts on the spaces K_Θ . We state them (with short proofs) for reader's convenience.

2.5 Various Descriptions of K_Θ

Let Θ be an arbitrary inner function for the upper half plane. Then $\Theta H^2(\mathbb{R})$ is a closed subspace of the Hilbert space $H^2(\mathbb{R})$. According to the notation introduced in Section 1, the orthogonal complement of $\Theta H^2(\mathbb{R})$ in $H^2(\mathbb{R})$ is denoted by K_Θ . Thus

$$H^2(\mathbb{R}) = \Theta H^2(\mathbb{R}) \oplus K_\Theta.$$

The following lemma gives another characterization of K_Θ which can be used as the definition of it in all Hardy spaces $H^p(\mathbb{R})$, $0 < p \leq \infty$.

Lemma 2.2 For each inner function Θ

$$K_\Theta = H^2(\mathbb{R}) \cap \overline{\Theta H^2(\mathbb{R})}.$$

Proof We use the properties $\Theta \in H^\infty$ and $\Theta \bar{\Theta} = 1$. By definition, $f \in K_\Theta$ if and only if $f \in H^2(\mathbb{R})$ and

$$\int_{-\infty}^{\infty} f(x) \overline{\Theta(x)g(x)} dx = 0$$

for each $g \in H^2(\mathbb{R})$. Thus, $f \in K_\Theta$ if and only if $f \in H^2(\mathbb{R})$ and

$$\int_{-\infty}^{\infty} \frac{f(x)}{\Theta(x)} \overline{g(x)} dx = 0$$

for each $g \in H^2(\mathbb{R})$. This condition is equivalent to $f/\Theta \in \overline{H^2(\mathbb{R})}$. Therefore $f \in K_\Theta$ if and only if $f \in H^2(\mathbb{R})$ and also $f \in \overline{\Theta H^2(\mathbb{R})}$. ■

An inner function Θ is already defined in the upper half plane and it is analytic there. Its nontangential limits at the points of the real line define a measurable unimodular function there. It can be extended to the lower half plane by putting

$$\Theta(z) = \frac{1}{\overline{\Theta(\bar{z})}} \quad \text{for } z \in \mathbb{C}_-.$$

Let $h \in L^2(\mathbb{R})$. Then the Poisson integral formula

$$P_h(z) = \frac{1}{\pi} \int_{-\infty}^{\infty} \frac{|\Im z|}{|z-t|^2} h(t) dt, \quad z \in \mathbb{C} \setminus \mathbb{R},$$

gives an extension of h to the upper and to the lower half planes. It can be shown that $h \in H^2(\mathbb{R})$ if and only if P_h , as a function defined in the upper half plane, is in $H^2(\mathbb{C}_+)$. Similarly, $h \in \overline{H^2(\mathbb{R})}$ if and only if P_h , as a function defined in the lower half plane, is in $H^2(\mathbb{C}_-)$. An $f \in K_\Theta$ belongs in particular to $H^2(\mathbb{R})$. Therefore it has an extension $f(z)$ to the upper half plane, belonging to $H^2(\mathbb{C}_+)$ and given there by the formula

$$f(z) = P_f(z) \quad \text{for } z \in \mathbb{C}_+.$$

The extension of an $f \in K_\Theta$ to the lower half plane is indirect (depending on Θ). For such an f we have $\Theta f \in H^2(\mathbb{R})$ by Lemma 2.2, so, by the preceding observation, Θf has an analytic extension to the lower half plane, equal there to

$$\frac{1}{\pi} \int_{-\infty}^{\infty} \frac{|\Im z|}{|z - t|^2} \overline{\Theta(t)} f(t) dt = P_{\Theta f}(z), \quad z \in \mathbb{C}_-.$$

We then define the extension of $f \in K_\Theta$ to \mathbb{C}_- by putting

$$f(z) = \Theta(z)P_{\Theta f}(z) \quad \text{for } z \in \mathbb{C}_-,$$

with $\Theta(z)$ defined as above in \mathbb{C}_- [11]. This extension is at least meromorphic in the lower half plane. We have $\lim_{z \rightarrow x} \Theta(z) = \Theta(x)$ and $\lim_{z \rightarrow x} f(z) = f(x)$ for almost all $x \in \mathbb{R}$. In these limits, z is allowed to tend to x non-tangentially from either half plane. With these definitions, Lemma 2.2 yields the following characterization of K_Θ .

Theorem 2.3 *The space K_Θ consists precisely of the functions $f \in L^2(\mathbb{R})$ having extension to the whole complex plane \mathbb{C} , as defined above, so that $f \in H^2(\mathbb{C}_+)$ and $f/\Theta \in H^2(\mathbb{C}_-)$.*

A function $f \in K_\Theta$ can be continued analytically across intervals of \mathbb{R} on which Θ is analytic. This result has important consequences in characterizing elements of K_B when B is a meromorphic Blaschke product.

Theorem 2.4 *If Θ is analytic in a neighborhood of the interval $(a, b) \subset \mathbb{R}$ then any $f \in K_\Theta$ is also analytic there.*

2.6 Paley-Wiener Spaces

Let $\sigma > 0$. Then $\Theta(x) = \exp(i\sigma x)$ is an entire inner function. In this case, the functions $f(x) \in K_\Theta$ differ by the factor $\exp(i\sigma x/2)$ from those in a Paley-Wiener space.

Theorem 2.5 *Let $\sigma > 0$. Then $f \in K_{e^{i\sigma x}}$ if and only if f is an entire function of exponential type, square integrable on the real line, with $-\sigma \leq h_+ \leq 0$ and $0 \leq h_- \leq \sigma$, where*

$$h_+ = \limsup_{y \rightarrow \infty} \frac{\log |f(iy)|}{y} \quad \text{and} \quad h_- = \limsup_{y \rightarrow \infty} \frac{\log |f(-iy)|}{y}.$$

Proof Since $\Theta(x) = \exp(i\sigma x)$ is analytic across \mathbb{R} , each $f \in K_{e^{i\sigma x}}$ is also analytic there. Furthermore, $f \in H^2(\mathbb{C}_+)$ and $f/\Theta \in H^2(\mathbb{C}_-)$ imply that f is analytic on \mathbb{C}_+ and also on \mathbb{C}_- , that $f \in L^2(\mathbb{R})$, and besides that the support of the Fourier-Plancherel transform of f is a subset of $[0, \sigma]$. Thus $\hat{f} \in L^1(\mathbb{R}) \cap L^2(\mathbb{R})$, and for each $z = x \in \mathbb{R}$, $f(z) = \int_0^\sigma \hat{f}(t)e^{izt} dt$. By the uniqueness theorem for analytic functions, equality holds everywhere. Therefore f is an entire function of exponential type with the indicated growth conditions on the imaginary axis.

The if part is an easy consequence of the celebrated Paley-Wiener theorem. ■

Corollary 2.6 Each $f \in K_{e^{i\sigma x}}$ has the representation

$$(2.5) \quad f(z) = \int_0^\sigma \hat{f}(t)e^{izt} dt,$$

where $\hat{f} \in L^2(0, \sigma)$.

2.7 The Model Subspace K_Θ With a Meromorphic Θ

Let $\{z_k\}_{k=1}^\infty$ be a sequence in the upper half plane with $z_k \rightarrow \infty$ as $k \rightarrow \infty$. Let $\{m_k\}_{k=1}^\infty$ be a sequence of positive integers. Suppose that

$$\sum_{k=1}^\infty \frac{m_k \Im z_k}{|z_k|^2} < \infty.$$

Then

$$B(z) = \prod_{k=1}^\infty \left(\frac{\bar{z}_k}{z_k} \cdot \frac{z - z_k}{z - \bar{z}_k} \right)^{m_k}$$

is a meromorphic Blaschke product. Put $\Theta(z) = B(z)e^{i\sigma z}$, $\sigma \geq 0$.

Theorem 2.7 Let $\Theta(z) = B(z)e^{i\sigma z}$, $\sigma \geq 0$ and B be a meromorphic Blaschke product. Then the space K_Θ consists precisely of the meromorphic functions f with poles of order at most m_k at the \bar{z}_k , such that $f \in H^2(\mathbb{C}_+)$ and also $f/\Theta \in H^2(\mathbb{C}_-)$.

Proof Let $f \in K_\Theta$. Then by Theorem 2.3, f and f/Θ are respectively analytic in the upper and lower half planes. Hence $f = \Theta \cdot f/\Theta$ is a meromorphic function in the lower half plane, with poles of order at most m_k at the \bar{z}_k . Finally, by Theorem 2.4, f is analytic at each point of the real line.

If, on the other hand, $f \in H^2(\mathbb{C}_+)$ and $f/\Theta \in H^2(\mathbb{C}_-)$, then at least $f \in L^2(\mathbb{R})$. Thus $f \in K_\Theta$ by Theorem 2.3. ■

Corollary 2.8 Let $\Theta(z) = B(z)e^{i\sigma z}$, $\sigma \geq 0$ and B be a meromorphic Blaschke product with zeros of order m_k at z_k , $k \geq 1$. Then, for each j , $1 \leq j \leq m_k$, we have $(z - \bar{z}_k)^{-j} \in K_\Theta$.

Corollary 2.9 Let B be the finite Blaschke product

$$B(z) = \prod_{k=1}^K \left(\frac{z - z_k}{z - \bar{z}_k} \right)^{m_k}.$$

Then K_B consists precisely of the linear combinations of the fractions $(z - \bar{z}_k)^{-j_k}$ where $1 \leq k \leq K$ and $1 \leq j_k \leq m_k$. Thus $f \in K_B$ if and only if

$$f(z) = \frac{P(z)}{\prod_{k=1}^K (z - \bar{z}_k)^{m_k}},$$

where P is a polynomial of degree at most $m_1 + \dots + m_K - 1$.

Suppose now that Θ is any meromorphic Blaschke product. Then, according to Lemma 2.1 and the paragraph after it, $\Theta = E^*/E$, where E is an entire function satisfying (2.3). This observation enables us to give another characterization of K_Θ .

Theorem 2.10 *Let $\Theta = E^*/E$, where E is an entire function satisfying (2.3). Then the space K_Θ consists precisely of functions of the form f/E where f is an entire function with both $f/E \in H^2(\mathbb{C}_+)$ and $f/E^* \in H^2(\mathbb{C}_-)$.*

Proof Let $g \in K_\Theta$. Then by Theorem 2.7, g is a meromorphic function with poles of order at most m_k at the \bar{z}_k . Hence gE is an entire function, where E is the entire function furnished by Lemma 2.1. Put $f = gE$. Then $f/E = g \in H^2(\mathbb{C}_+)$, and $f/E^* = g/\Theta \in H^2(\mathbb{C}_-)$. On the other hand, if f satisfies these conditions, then $f/E \in K_\Theta$ by Theorem 2.7. ■

2.8 Model Subspaces K_Θ and the de Branges Spaces $\mathcal{H}(E)$

Any entire function E satisfying (2.3) generates the de Branges space

$$\mathcal{H}(E) = \{f : f \text{ is entire, } f/E \text{ and } f^*/E \in H^2(\mathbb{C}_+)\}$$

with norm $\|f\|_{\mathcal{H}(E)} = \|f/E\|_{L^2(\mathbb{R})}$. Theorem 2.10 shows that $\mathcal{H}(E)$ and K_Θ are isometric as Hilbert spaces. Indeed, the operator $f \mapsto f/E$ is an isometry of $\mathcal{H}(E)$ onto K_Θ with $\Theta = E^*/E$. Theorem 2.10 also enables us to estimate the growth of a function $g \in K_B$ in the complex plane (see Theorem 3.1 below).

3 What Happens if $1/E \in K_B$?

Here we turn to the main results of this paper and explicitly describe admissible majorants for spaces K_B generated by certain meromorphic Blaschke products. The results are sharp, since our majorants turn out to be the best possible ones in a sense. We use symbols \prec and \succ as defined in the Introduction.

3.1 Blaschke Products $B = E^*/E$ when E is of Zero Type

Let $\Im z > 0$ and consider the finite Blaschke product

$$(3.1) \quad B(z) = \prod_{k=1}^K \left(\frac{1 - z/z_k}{1 - z/\bar{z}_k} \right)^{m_k} = \frac{\overline{E(\bar{z})}}{E(z)},$$

where $E(z) = \prod_{k=1}^K (1 - z/\bar{z}_k)^{m_k}$. Then the model space K_B precisely consists of

$$(3.2) \quad f(z) = \frac{P(z)}{\prod_{k=1}^K (1 - z/\bar{z}_k)^{m_k}} = \frac{P(z)}{E(z)},$$

where P is a polynomial of degree at most $m_1 + \dots + m_K - 1$. In particular $1/E(z) \in K_B$ and we have $1/|E(x)| \asymp (1 + |x|)^{-(m_1 + \dots + m_K)}$, which, by (3.2), is the fastest possible

rate of decrease (along \mathbb{R}) for elements of K_B . That is why $1/|E(x)|$ deserves to be called the *minimal admissible majorant* for K_B . In the following we show that this idea can be appropriately generalized for a class of infinite Blaschke products.

Let $\{z_k\}_{k=1}^\infty$ be a sequence in the upper half plane with $z_k \rightarrow \infty$ as $k \rightarrow \infty$. Let $\{m_k\}_{k=1}^\infty$ be a sequence of positive integers. Suppose that $\sum_{k=1}^\infty \frac{m_k \Im z_k}{|z_k|^2} < \infty$. Then

$$B(z) = \prod_{k=1}^\infty \left(\frac{1 - z/z_k}{1 - z/\bar{z}_k} \right)^{m_k}$$

is a meromorphic Blaschke product. We know that the model space K_B consists precisely of the meromorphic functions $f(z)$ with poles of order at most m_k at the \bar{z}_k , such that $f(z) \in H^2(\mathbb{C}_+)$ and also $f(z)/B(z) \in H^2(\mathbb{C}_-)$. Thus, according to the representation $B(z) = E^*(z)/E(z)$ where $E(z)$ is an entire function with zeros of order m_k at the \bar{z}_k , the space K_B consists precisely of functions of the form $f(z) = g(z)/E(z)$ where $g(z)$ is an entire function with both

$$(3.3) \quad \frac{g(z)}{E(z)} \in H^2(\mathbb{C}_+) \quad \text{and} \quad \frac{g(z)}{E(\bar{z})} \in H^2(\mathbb{C}_-).$$

Here we provide conditions to ensure $1/E(z) \in K_B$ and besides $1/|E(x)|$ to have the fastest possible rate of decrease (along \mathbb{R}) for elements of K_B .

This representation (3.3) enables us to estimate the growth of $g(z)$ in terms of $E(z)$ for $z \in \mathbb{C}$.

Theorem 3.1 *Let $f \in K_B$. Then, for the entire function $g(z) = f(z)E(z)$, we have*

$$|g(x + iy)| \leq C |E(x + i|y|)|$$

for $|y| \geq 1$, and

$$|g(x + iy)| \leq C \max\{|E(\xi + i\eta)| : |\xi - x| \leq 2, 0 \leq \eta \leq 2\}$$

for $|y| < 1$. Here C is a constant depending on f .

Proof Since $f(z) = g(z)/E(z) \in H^2(\mathbb{C}_+)$, we have

$$|f(x + iy)| \leq \frac{\text{Const}}{\sqrt{y}}$$

for $y > 0$ [22, page 112]. We thus have

$$|g(x + iy)| \leq \frac{\text{Const}}{\sqrt{y}} |E(x + iy)| \quad \text{for } y > 0.$$

Again, $g(z)/E^*(z) \in H^2(\mathbb{C}_-)$, so we find in like manner that

$$|g(x + iy)| \leq \frac{\text{Const}}{\sqrt{|y|}} |E(x - iy)| = \frac{\text{Const}}{\sqrt{|y|}} |E(x + i|y|)|$$

for $y < 0$. These two estimates give us our first relation. For the second one we use the estimates in Cauchy’s formula, applied to the entire function $g(z)$. Assuming that $|\Im z| \leq 1$, we can write

$$g(z) = \frac{1}{2\pi i} \int_{\Gamma} \frac{g(\zeta)}{\zeta - z} d\zeta$$

with Γ a square of side 4 having $x = \Re z$ as its center. Since the integral $\int_{-2}^2 \frac{d\eta}{\sqrt{|\eta|}}$ is finite, the second relation follows immediately. ■

In the following we mainly use a simple consequence of this theorem.

Corollary 3.2 *Let $f(z) = g(z)/E(z) \in K_B$. If $E(z)$ is an entire function of exponential type zero, then so is $g(z)$.*

The following result shows that for a meromorphic Blaschke product $B(z) = E^*(z)/E(z)$, the majorant $1/|E(x)|$ has, in some sense, the best possible rate of decrease as $|x| \rightarrow \infty$. But it is more interesting when $1/E(x) \in K_B$.

Theorem 3.3 *Let $B(z) = E^*(z)/E(z)$ where $E(z)$ is an entire function of exponential type zero. Let $f \in K_B$ and suppose that*

$$|f(x)| \leq \frac{1}{|E(x)|} \quad \text{for } x \in \mathbb{R}.$$

If

$$\liminf_{|x| \rightarrow \infty} |f(x)E(x)| = 0,$$

then $f \equiv 0$.

Proof Referring to Corollary 3.2 we see that the entire function $g(z) = f(z)E(z)$ is in particular entire and of zero exponential type. By the hypothesis, we also have

$$|g(x)| = |f(x)E(x)| \leq 1, \quad x \in \mathbb{R}.$$

A Phragmén-Lindelöf theorem therefore implies that $g(z)$ is bounded in both the upper and lower half planes [23, page 28]. It is therefore constant, so, since $g(x_n)$ tends to zero for a sequence x_n tending to $+\infty$ or $-\infty$, it is zero. ■

3.2 Sharpness of a Minimal Majorant

Let Θ be an inner function and $\omega \in \text{Adm } \Theta$. A minimal majorant ω is *sharp* in the following sense: If $f \in K_{\Theta}$ and $|f| \prec \omega$, then either $f \equiv 0$ or $\omega \prec |f|$ (since $|f| \in \text{Adm } \Theta$ whenever $f \not\equiv 0$). In particular, for a positive minimal $\omega \in \text{Adm } \Theta$, if $f \in K_{\Theta}$ and $|f| \prec \omega$ and $\liminf_{|x| \rightarrow \infty} |f(x)|/\omega(x) = 0$, then $f \equiv 0$.

Returning to the finite Blaschke product (3.1), we conclude that $(1+|x|)^{-(m_1+\dots+m_K)}$ is the unique *positive* and continuous minimal majorant for K_B . The positivity assumption is essential. There exist other minimal majorants not comparable with $(1+|x|)^{-(m_1+\dots+m_K)}$, e.g., $|x|(1+|x|)^{-(1+m_1+\dots+m_K)}$.

From now on we concentrate on a situation generalizing (3.1).

Lemma 3.4 *Let B be a Blaschke product. Suppose that $B = E^*/E$ where E is an entire function of zero exponential type whose zeros are in the lower half plane \mathbb{C}_- . If $f \in K_B$ and $|f| \prec 1/|E|$, then $f = \text{Const}/E$.*

Proof According to Corollary 3.2, $f = g/E$ where g is entire and of zero exponential type. Our assumption, $|f| \prec 1/|E|$, implies that g is bounded on \mathbb{R} . By a Phragmen-Lindelöf theorem [23, page 28] g is bounded on \mathbb{C} and thus constant. ■

Theorem 3.5 *Let B be a Blaschke product. Suppose that $B = E^*/E$ where E is an entire function of zero exponential type whose zeros are in the lower half plane \mathbb{C}_- . If $1/E \in K_B$, then $1/|E|$ is the unique minimal positive and continuous majorant.*

Proof Since $1/E \in K_B$ the inclusion $1/|E| \in \text{Adm } B$ is immediate. Now assume that $\omega \in \text{Adm } B$ and $\omega \prec 1/|E|$. Hence there exists a non-zero $f \in K_B$ satisfying $|f(x)| \leq \omega(x)$ on \mathbb{R} and thus $|f| \prec 1/|E|$. By Lemma 3.4, $f = C/E$ with a nonzero constant C . Therefore, $1/|E| \prec \omega$ and $\omega \asymp 1/|E|$, so that $1/|E|$ is minimal.

To prove the uniqueness property, take a minimal positive and continuous $\omega \in \text{Adm } B$. Then $\omega \geq |g|/|E|$ on \mathbb{R} , where $g \not\equiv 0$ is entire and of zero type. We are going to prove that g is a nonzero constant, whence $\omega \succ 1/|E|$ and, by minimality of ω , $\omega \asymp 1/|E|$. Suppose g is not a constant. Then, by the Hadamard theorem [23, page 16], g has a zero, i.e., $g(a) = 0$ for an $a \in \mathbb{C}$. Then, by Theorem 2.10,

$$f_1(z) = \frac{g(z)}{(z-a)E(z)} = \frac{f(z)}{z-a} \in K_B.$$

If $a \in \mathbb{C} \setminus \mathbb{R}$, then, clearly $\omega_1(x) = \omega(x)(1 + |x|)^{-1} \succ |f_1(x)|$, and thus $\omega_1 \in \text{Adm } B$ which is impossible since ω is minimal. If $a \in \mathbb{R}$, then still $\omega_1 \succ |f_1|$ due to the estimate $\min\{\omega(x) : a-1 \leq x \leq a+1\} > 0$, (by positiveness and continuity of ω), and once again we get a contradiction with the minimality of ω . ■

3.3 Some Cases of Non-Existence of Minimal Majorants

Let $B = E^*/E$ where $E(z) = \prod_{k=1}^{\infty} (1 - z/\bar{z}_k)$ is a canonical product for the sequence $\{z_k\}_{k=1}^{\infty}$ in the upper half plane satisfying $\lim_{k \rightarrow \infty} |z_k| = \infty$ and

$$(3.4) \quad \sum_{k=1}^{\infty} \frac{\log |z_k|}{|z_k|} < \infty.$$

Here we have the following dichotomy.

Theorem 3.6 *Let B be a meromorphic Blaschke product satisfying the conditions in the last paragraph. Then, either*

- (a) $1/E(x) \in L^2(\mathbb{R})$, and $1/|E(x)|$ is a minimal and positive majorant for K_B .
or

- (b) $1/E(x) \notin L^2(\mathbb{R})$, and there is no minimal continuous and positive majorant for K_B .
 Moreover, if ω is a positive and continuous admissible majorant for K_B , then so is $\omega(x)/(1 + |x|)$.

Proof In case (b) suppose $\omega \in \text{Adm } B \cap \mathcal{C}(\mathbb{R})$, $\omega(x) > 0$ for all $x \in \mathbb{R}$. Then $|f(x)| \leq \omega(x)$, $x \in \mathbb{R}$, for a non-zero $f \in K_B$, $f = g/E$ where g is an entire function of type zero and not identically zero (see Theorem 2.10 and Corollary 3.2). Then g cannot be a constant function, since otherwise $1/E(x) \in L^2(\mathbb{R})$, and thus $g(a) = 0$ for a point $a \in \mathbb{C}$. Then

$$\frac{\omega(x)}{1 + |x|} \succ \frac{|f(x)|}{|x - a|}$$

whereas $f(z)/(z - a) \in K_B$, as in the proof of Theorem 3.5, and thus $\omega(x)/(1 + |x|) \in \text{Adm } B$.

In case (a) the proof will be given at the end of Subsection 3.4 after some preparation and with essential use of (3.4) (it has not been used in case (b)). ■

3.4 A Sufficient Condition for $E \in \text{Cart}$ and $1/E \in K_B$

An entire function f is said to belong to the Cartwright class if it is of finite exponential type, *i.e.*,

$$(3.5) \quad |f(z)| \leq Ae^{B|z|}$$

for all $z \in \mathbb{C}$ and some $A, B > 0$, and

$$(3.6) \quad \int_{-\infty}^{\infty} \frac{\log^+ |f(x)|}{1 + x^2} dx < \infty.$$

In this case we write $f \in \text{Cart}$.

Suppose $\{z_k\}_{k=1}^{\infty}$ is a sequence in \mathbb{C}_+ , $\lim_{k \rightarrow \infty} |z_k| = \infty$ and

$$(3.7) \quad \sum_{k=1}^{\infty} \frac{1}{|z_k|} < \infty.$$

If $n(t) = \text{Card}\{k : |z_k| < t\}$, then (3.7) is equivalent to $\int_1^{\infty} n(t)/t^2 dt < \infty$. Hence the canonical product $E(z) = \prod_{k=1}^{\infty} (1 - z/\bar{z}_k)$ converges and defines an entire function of zero exponential type, *i.e.*, the constant B in (3.5) can be taken arbitrarily small. In this section we assume the stronger condition (3.4) which is equivalent to

$$(3.8) \quad \int_1^{\infty} \frac{\log t}{t^2} n(t) dt < \infty,$$

and ensures that E is an outer function in the upper half plane.

Lemma 3.7 Let $\{z_k\}_{k=1}^\infty$ be a sequence in \mathbb{C}_+ , $\lim_{k \rightarrow \infty} |z_k| = \infty$ and suppose that $\sum_{k=1}^\infty \log |z_k|/|z_k| < \infty$. Then the entire function $E(z) = \prod_{k=1}^\infty (1 - z/\bar{z}_k) \in \text{Cart}$ and is outer in the upper half plane, i.e.,

$$\log |E(z)| = \frac{1}{\pi} \int_{-\infty}^\infty \frac{\Im z}{|z - t|^2} \log |E(t)| dt$$

for each $z \in \mathbb{C}_+$.

Proof We first show that $E(z)$ is in the Cartwright class. Since

$$|E(x)| \leq \prod_{k=1}^\infty \left(1 + \frac{|x|}{|z_k|}\right),$$

for $x \in \mathbb{R}$, we have

$$\log^+ |E(x)| \leq \sum_{k=1}^\infty \log \left(1 + \frac{|x|}{|z_k|}\right) = \int_0^\infty \log \left(1 + \frac{|x|}{t}\right) dn(t).$$

Integration by parts gives

$$\log^+ |E(x)| \leq \int_0^\infty \frac{|x|n(t)}{t(t + |x|)} dt.$$

Hence

$$\begin{aligned} & \int_{-\infty}^\infty \frac{\log^+ |E(x)|}{1 + x^2} dx \\ & \leq \int_{-\infty}^\infty \int_0^\infty \frac{|x|n(t)}{t(t + |x|)(1 + x^2)} dt dx \\ & = \int_0^\infty \left\{ \int_0^\infty \frac{2x}{t(t + x)(1 + x^2)} dx \right\} n(t) dt \\ & = \int_0^\infty \left\{ \frac{1}{1 + t^2} \log \left(\frac{1 + x^2}{(t + x)^2} \right) + \frac{2}{t(1 + t^2)} \arctan x \right\} \Bigg|_{x=0}^{x \rightarrow \infty} n(t) dt \\ & = \int_0^\infty \left\{ \frac{\pi}{t(1 + t^2)} + \frac{2 \log t}{1 + t^2} \right\} n(t) dt, \end{aligned}$$

which is finite by (3.8). Therefore, $E(z)$ has the representation

$$\log |E(z)| = A \Im z + \frac{1}{\pi} \int_{-\infty}^\infty \frac{\Im z}{|z - t|^2} \log |E(t)| dt$$

in the upper half plane, where

$$A = \lim_{y \rightarrow \infty} \frac{\log |E(iy)|}{y}.$$

This representation is comprehensively studied in the third chapter of [23]. Thus it is enough to show that $A = 0$. Since, for each $y > 0$,

$$\sqrt{1 + \frac{y^2}{|z_k|^2}} \leq \left| 1 - \frac{iy}{\bar{z}_k} \right| \leq 1 + \frac{y}{|z_k|},$$

we thus have

$$0 \leq \log |E(iy)| \leq \sum_{k=1}^{\infty} \log \left(1 + \frac{y}{|z_k|} \right).$$

Hence

$$0 \leq \log |E(iy)| \leq \int_0^{\infty} \log \left(1 + \frac{y}{t} \right) dn(t).$$

Integration by parts gives

$$0 \leq \frac{\log |E(iy)|}{y} \leq \int_0^{\infty} \frac{n(t)}{t(t+y)} dt.$$

Now, by the dominated convergence theorem, we have

$$\lim_{y \rightarrow \infty} \int_0^{\infty} \frac{n(t)}{t(t+y)} dt = 0.$$

Thus $A = \lim_{y \rightarrow \infty} \log |E(iy)|/y = 0$. ■

The following result will be used in our investigation of minimal majorants. It is well known that an outer function square summable along \mathbb{R} is in $H^2(\mathbb{C}_+)$. Combining this fact with Lemma 3.7, we arrive at the following conclusion.

Theorem 3.8 *Let $\{z_k\}_{k=1}^{\infty}$ be a sequence in \mathbb{C}_+ , $\lim_{k \rightarrow \infty} |z_k| = \infty$ and suppose that $\sum_{k=1}^{\infty} \log |z_k|/|z_k| < \infty$. Put $E(z) = \prod_{k=1}^{\infty} (1 - z/\bar{z}_k)$ and $B(z) = E^*(z)/E(z)$. Then $1/E(z) \in K_B$ if and only if $1/E(x) \in L^2(\mathbb{R})$.*

Proof If $1/E(x) \in L^2(\mathbb{R})$, then, by Lemma 3.7 and a variation of Smirnov’s theorem, $1/E(x) \in H^2(\mathbb{R})$. At the same time,

$$\frac{1/E(x)}{B(x)} = \frac{1/E(x)}{E(x)/E(x)} = \frac{1}{E(x)} \in \overline{H^2(\mathbb{R})}.$$

thus by Theorem 2.3, $1/E(z) \in K_B$. ■

Now we are ready to complete the proof of Theorem 3.6: in case (a), $1/|E(x)|$ is a minimal positive majorant for K_B just by the combination of Theorems 3.5 and 3.8.

In Subsections 3.5 and 3.6 we give examples clarifying some points in the proofs of Theorems 3.6 and 3.8.

3.5 A Blaschke Product $B = E^*/E$ With $E \in \text{Cart}$, but $1/E \notin K_B$

The assumption $1/E \in K_B$ in our Theorem 3.6 poses a problem. As we shall see now, this inclusion may fail even if the Blaschke sequence fulfills the much stronger condition (3.4) and the zeros z_k all lie on the ray $\{y = x\} \cap \mathbb{C}_+$. The following example shows that $1/E$ can be far away from being an element of the model space K_B . Let us consider the Blaschke sequence $z_k = \sqrt{2}2^k e^{i\pi/4}$ where z_k has the multiplicity $[a^k]$ with $a < 2$ so that $\sum_{k=1}^\infty [a^k] \log |z_k|/|z_k| < \infty$ is fulfilled. The choice of a will be specified later (it will be close to 2). Fix $n \geq 1$ and let $2^n \leq x < 2^{n+1}$. Since $E(z) = \prod_{k=1}^\infty (1 - z/\bar{z}_k)^{[a^k]}$, we have

$$\log |E(x)|^2 = \sum_{k=1}^\infty [a^k] \log \left(1 + \frac{x^2}{2 \cdot 4^k} - \frac{x}{2^k} \right).$$

The terms corresponding to $1 \leq k \leq n - 1$ are positive and the rest are negative. Hence

$$\begin{aligned} \sum_{k=1}^{n-1} [a^k] \log \left(1 + \frac{x^2}{2 \cdot 4^k} - \frac{x}{2^k} \right) &\leq \sum_{k=1}^{n-1} [a^k] \log \left(4 \cdot \frac{x^2}{4^k} \right) \\ &\leq \sum_{k=1}^{n-1} a^k \log(4^{n+2-k}) \\ &= \left((n+2) \sum_{k=1}^{n-1} a^k - \sum_{k=1}^{n-1} k a^k \right) \log 4 \\ &= \left((n+2) \frac{a^n - 1}{a - 1} - \frac{na^n(a - 1) - a(a^n - 1)}{(a - 1)^2} \right) \log 4 \\ &= \frac{(3a - 2)a^n - (n + 2)(a - 1) - a}{(a - 1)^2} \log 4 \\ &\leq \left(\frac{(3a - 2)}{(a - 1)^2} \log 4 \right) a^n. \end{aligned}$$

On the other hand, we have

$$\begin{aligned} \sum_{k=n}^\infty [a^k] \left| \log \left(1 + \frac{x^2}{2 \cdot 4^k} - \frac{x}{2^k} \right) \right| &\geq \sum_{k=n}^\infty [a^k] \left(\frac{x}{2^k} - \frac{x^2}{2 \cdot 4^k} \right) \\ &\geq \sum_{k=n}^\infty [a^k] \left(\frac{2^n}{2^k} - \frac{4^{n+1}}{2 \cdot 4^k} \right) = \sum_{k=0}^\infty [a^{k+n}] \left(\frac{1}{2^k} - \frac{2}{4^k} \right) \\ &\geq \sum_{k=0}^\infty \frac{a^{k+n}}{2} \left(\frac{1}{2^k} - \frac{2}{4^k} \right) = \left(\frac{1}{2 - a} - \frac{4}{4 - a} \right) a^n. \end{aligned}$$

We choose a such that

$$\left(\frac{1}{2-a} - \frac{4}{4-a}\right) > 2a + \left(\frac{3a-2}{(a-1)^2} \log 4\right).$$

Thus, for $2^n \leq x < 2^{n+1}$,

$$\log |E(x)| \leq -a^{n+1} \leq -x^{\log a / \log 2}.$$

Therefore, for each $x \geq 2$,

$$|E(x)| \leq \exp(-x^{\log a / \log 2}).$$

This example shows that $1/|E(x)|$ can be very big for large positive x , so that $1/E(x)$ is not even in $L^2(\mathbb{R})$, and thus $1/E(z)$ is not in K_B .

3.6 A Blaschke Product $B = E^*/E$ With $E \notin \text{Cart}$

The condition (3.4) cannot be dropped if we want E to belong to the Cartwright class. Here we give an example of E with zeros on the ray $\{y = -x\} \cap \mathbb{C}_+$ and satisfying (3.7) but

$$\int_0^\infty \frac{\log^+ |E(x)|}{1+x^2} dx = \infty.$$

In our example of Section 3.5 the ray was bent to the right to make the zeros closer to $(0, \infty)$ and $|E(x)|$ small on that interval. Now, our ray is bent to the left, so that the zeros are far from $(0, \infty)$ and thus $|E(x)|$ is big for large positive x 's.

Let us consider the Blaschke sequence $z_k = \sqrt{2}2^k e^{i3\pi/4}$ with multiplicity $\lfloor \frac{2^k}{k \log^2 k} \rfloor$, $k \geq 2$. Fix $n \geq 2$ and let $2^n \leq x < 2^{n+1}$. Then, with a very generous estimate, we have

$$\begin{aligned} \log |E(x)|^2 &= \sum_{k=2}^\infty \left\lfloor \frac{2^k}{k \log^2 k} \right\rfloor \log \left(1 + \frac{x^2}{2 \cdot 4^k} + \frac{x}{2^k} \right) \\ &\geq \sum_{k=n+2}^\infty \left(\frac{1}{2} \cdot \frac{2^k}{k \log^2 k} \right) \cdot \frac{1}{2} \left(\frac{x^2}{2 \cdot 4^k} + \frac{x}{2^k} \right) \\ &\geq \frac{x}{4} \sum_{k=n+2}^\infty \frac{1}{k \log^2 k} \geq \frac{x}{8 \log \log x}. \end{aligned}$$

Thus $\log^+ |E(x)|$ is not summable with respect to $dx/(1+x^2)$. This example shows that the condition $\sum_k 1/|z_k| < \infty$ is not enough to ensure that $E(z)$ is in the Cartwright class.

3.7 Blaschke Products With Zeros on the Imaginary Axis

In our examples of Sections 3.5 and 3.6 we could place our zeros on any line $y = mx$ with $m > 0$ or $m < 0$ but *not* on the imaginary axis. For purely imaginary zeros the Blaschke condition (2.1) (coinciding with (3.7)) is *sufficient* for the inclusion $1/E \in K_B$. Note that (3.7) is equivalent to the Blaschke condition (2.1) for any sequence $\{z_k\}_{k=1}^\infty$ situated in a Stoltz domain and $|z_k| \rightarrow \infty$ as $k \rightarrow \infty$, but it is only for *purely vertical* zeros that it guarantees $1/E \in K_B$.

Lemma 3.9 *Let $b_k > 0, k \geq 1$, and suppose that $\sum_{k=1}^\infty 1/b_k < \infty$. Then the entire function $E(z) = \prod_{k=1}^\infty (1 + z/ib_k)$ is outer in the upper half plane.*

Proof Naturally, we first show that $E(z)$ is in the Cartwright class. But in this case $|E(x)|^2 = \prod_{k=1}^\infty (1 + \frac{x^2}{b_k^2}), x \in \mathbb{R}$. Thus we have

$$0 \leq \log |E(x)| = \frac{1}{2} \sum_{k=1}^\infty \log \left(1 + \frac{x^2}{b_k^2} \right) = \frac{1}{2} \int_0^\infty \log \left(1 + \frac{x^2}{t^2} \right) dn(t).$$

Integration by parts gives

$$\log |E(x)| = \int_0^\infty \frac{x^2 n(t)}{t(t^2 + x^2)} dt.$$

Hence

$$\begin{aligned} \int_{-\infty}^\infty \frac{\log |E(x)|}{1 + x^2} dx &\leq \int_{-\infty}^\infty \int_0^\infty \frac{x^2 n(t)}{t(t^2 + x^2)(1 + x^2)} dt dx \\ &= \int_0^\infty \left\{ \int_{-\infty}^\infty \frac{x^2}{t(t^2 + x^2)(1 + x^2)} dx \right\} n(t) dt \\ &= \int_0^\infty \left\{ 2\pi i \cdot \frac{i}{2t(t^2 - 1)} + 2\pi i \cdot \frac{it}{2t(1 - t^2)} \right\} n(t) dt \\ &= \int_0^\infty \frac{\pi}{t(t + 1)} n(t) dt < \infty. \end{aligned}$$

Therefore, $E(z)$ has the representation

$$\log |E(z)| = A\Im z + \frac{1}{\pi} \int_{-\infty}^\infty \frac{\Im z}{|z - t|^2} \log |E(t)| dt$$

in the upper half plane. But to show that $A = 0$, we proceed as in the proof of Lemma 3.7 and use the convergence of $\int_1^\infty \frac{n(t)}{t^2} dt$. ■

In contrast to Theorem 3.8, when zeros are on the imaginary axis no extra condition is needed to ensure $1/E \in K_B$.

Theorem 3.10 Let $b_k > 0, k \geq 1$, and suppose that $\sum_{k=1}^\infty 1/b_k < \infty$. Put $E(z) = \prod_{k=1}^\infty (1 + z/ib_k)$ and $B(z) = \prod_{k=1}^\infty \frac{1-z/ib_k}{1+z/ib_k}$. Then $1/E \in K_B$.

Proof Since

$$|E(x)|^2 = \prod_{k=1}^\infty \left(1 + \frac{x^2}{b_k^2}\right) \geq \left(1 + \frac{x^2}{b_1^2}\right),$$

we have $1/E \in L^2(\mathbb{R})$. Thus, by Lemma 3.9, $1/E \in H^2(\mathbb{C}_+)$, whence $1/E \in K_B$ (see the proof of Theorem 3.8). ■

3.8 Asymptotic Behavior of E

The asymptotic behavior of the majorant $\omega(x) = \prod_{k=1}^\infty 1/\sqrt{1 + x^2/b_k^2}$, studied in the Section 3.7, can be made explicit if the sequence $\{b_k\}_{k=1}^\infty$ is regular. If, for example, $b_k = k^p, k \geq 1$ and $p > 1$, then the asymptotic of $\log |E(x)|$ for $|x| \rightarrow \infty$ can be found in [26, page 64]. Indeed, we will show that there are positive constants c, C and A with

$$Ae^{c|x|^{1/p}} \leq |E(x)| \leq e^{C|x|^{1/p}}$$

for $x \in \mathbb{R}$. Here we study some estimates to illustrate the following phenomenon: for purely imaginary z_k 's and for some nice ω (even and decreasing on $(0, \infty)$) the mere convergence of the logarithmic integral $\mathcal{L}(\omega) = \int_{-\infty}^\infty \frac{\Omega^+(x)}{1+x^2} dx$ does not imply the inclusion $\omega \in \text{Adm } B$. This is in contrast to the situation when z_k 's are on a line parallel to \mathbb{R} (see [18]).

Let $n(t)$ denote the number of b_k in the interval $(0, t)$. Integration by parts gives

$$\int_0^b \frac{dn(t)}{t} = \frac{n(b)}{b} + \int_0^b \frac{n(t)}{t^2} dt,$$

so that the convergence of $\sum_{k=1}^\infty 1/b_k$ implies $\int_0^\infty \frac{n(t)}{t^2} dt < \infty$ and $n(t) = o(t)$ ($t \rightarrow \infty$). Hence,

$$\begin{aligned} \log(|E(x)|^2) &= \sum_{k=1}^\infty \log\left(1 + \frac{x^2}{b_k^2}\right) = \int_{b_1}^\infty \log\left(1 + \frac{x^2}{t^2}\right) dn(t) \\ &= \left(1 + \frac{x^2}{t^2}\right) n(t) \Big|_{t=b_1}^\infty + \int_{b_1}^\infty \frac{2x^2 n(t)}{t(t^2 + x^2)} dt = 2x^2 \int_{b_1}^\infty \frac{n(t)}{t(t^2 + x^2)} dt, \end{aligned}$$

and thus

$$(3.9) \quad \log |E(x)| \asymp \int_0^x \frac{n(t)}{t} dt + x^2 \int_x^\infty \frac{n(t)}{t^3} dt.$$

Therefore, if

$$n(t) \asymp t^\alpha,$$

for some α in $(0, 1)$, then there are positive constants c, C and A with

$$Ae^{c|x|^\alpha} \leq |E(x)| \leq e^{C|x|^\alpha}$$

for all $x \in \mathbb{R}$. We conclude that $e^{-c|x|^\alpha} \in \text{Adm } B$ whereas $\omega \notin \text{Adm } B$ if $\omega(x) = o(e^{-C|x|^\alpha})$ ($|x| \rightarrow \infty$), since $1/|E|$ is a minimal majorant for K_B . These statements can be made more precise depending on the concrete nature of b_k . Here we only mention that for $b_k = k^2$, by a direct computation using the Euler product for $\sin z$, we obtain

$$\left| \prod_{k=1}^{\infty} \left(1 + \frac{x}{ik^2} \right) \right| \approx \frac{1}{2\pi\sqrt{|x|}} e^{\frac{\pi}{\sqrt{2}}\sqrt{|x|}}$$

as $|x| \rightarrow \infty$ ($x \in \mathbb{R}$), *i.e.*, the quotient of the left and right sides tends to one. Thus

$$\sqrt{1+|x|} \exp(-\pi\sqrt{|x|/2}) \in \text{Adm } B,$$

where

$$B(z) = \prod_{k=1}^{\infty} \left(\frac{1 - z/ik^2}{1 + z/ik^2} \right),$$

but

$$(3.10) \quad (1+|x|)^\varepsilon \exp(-\pi\sqrt{|x|/2}) \notin \text{Adm } B,$$

for all $\varepsilon < 1/2$. Especially,

$$(3.11) \quad \exp(-|x|^\alpha) \notin \text{Adm } B,$$

for all $\alpha > 1/2$.

4 Moduli of Elements In K_Θ

This section contains an important ingredient to be used in the rest of this paper and throughout [18]. Let Θ be an inner function, and write

$$|K_\Theta| = \{|f| : f \in K_\Theta\}.$$

4.1 Hilbert transform

We conclude this paper with a generalization of Theorem 1.3 to minimal majorants for K_Θ 's with an arbitrary inner Θ (Theorem 5.1 in Section 5.1). To do so we need to make a digression devoted to the Hilbert transform and present it in a form we need. Sections 4.2–4.7 are mainly devoted to admissibility criteria (to be used in the proof of Theorem 5.1 and in [18]).

Let u be a real function in $L^1(\frac{dt}{1+t^2})$. Then

$$U(z) = \frac{1}{\pi} \int_{-\infty}^{\infty} \frac{\Im z}{|z-t|^2} u(t) dt$$

is a harmonic function in the upper half plane with

$$\lim_{z \neq x} U(z) = u(x)$$

for almost all $x \in \mathbb{R}$. Let V be a harmonic conjugate of U . Such a function V is defined up to an additive constant. It is well known that $\lim_{z \neq x} V(z)$ exists for almost all $x \in \mathbb{R}$ [22, page 58]. This limit is called a *Hilbert transform of u* , and is denoted by \tilde{u} . Since \tilde{u} depends on V , it is defined up to an additive constant. Furthermore, the Hilbert transform of a constant function is another constant. Hence the Hilbert transforms of u and $u+c$ are the same up to an additive constant. Thus we assume that the correspondence $u \leftrightarrow \tilde{u}$ is between two classes of functions, each class consisting of a real function and all those obtainable by adding real constants to it. The formula

$$V(z) = \frac{1}{\pi} \int_{-\infty}^{\infty} \left(\frac{\Re z - t}{|z - t|^2} + \frac{t}{1 + t^2} \right) u(t) dt$$

gives a harmonic conjugate of U . Here the term $\frac{t}{1+t^2}$ is included to ensure the convergence of the integral. In this case, $\lim_{z \neq x} V(z)$ is equal to

$$\lim_{\varepsilon \rightarrow 0} \frac{1}{\pi} \int_{|x-t|>\varepsilon} \left(\frac{1}{x-t} + \frac{t}{1+t^2} \right) u(t) dt$$

for almost all $x \in \mathbb{R}$ [22, page 110]. This limit is usually written as

$$\frac{1}{\pi} \int_{\mathbb{R}} \left(\frac{1}{x-t} + \frac{t}{1+t^2} \right) u(t) dt.$$

The sign $\int_{\mathbb{R}}$ represents a *singular integral*; it is usually not an integral in the ordinary sense. We thus have a representation of the form

$$(4.1) \quad \tilde{u}(x) = \frac{1}{\pi} \int_{\mathbb{R}} \left(\frac{1}{x-t} + \frac{t}{1+t^2} \right) u(t) dt.$$

Remark Suppose u in (4.1) vanishes in $(x_0 - \varepsilon, x_0 + \varepsilon)$ where $x_0 \in \mathbb{R}$, $\varepsilon > 0$. Then \tilde{u} is analytic at x_0 . Indeed, the integral in (4.1) becomes

$$\int_{\mathbb{R} \setminus (x_0 - \varepsilon, x_0 + \varepsilon)} \frac{1 + xt}{x - t} \cdot \frac{u(t)}{1 + t^2} dt$$

and thus converges uniformly with respect to complex values of x satisfying $|x - x_0| < \varepsilon/2$.

The following result is an immediate consequence of the theorems of Kolmogorov [22, page 98] and Smirnov [22, page 74].

Theorem 4.1 *If u and \tilde{u} are in $L^1(\frac{dt}{1+t^2})$, then*

$$\tilde{\tilde{u}} = -u.$$

Under certain conditions we can drop the term $\frac{t}{1+t^2}$ in (4.1) or replace it by something else. For example, if $\int_{-\infty}^{\infty} \frac{|u(t)|}{1+|t|} dt < \infty$, a harmonic conjugate can be defined by the formula

$$V(z) = \frac{1}{\pi} \int_{-\infty}^{\infty} \frac{\Re z - t}{|z - t|^2} u(t) dt.$$

In this case, $\lim_{z \rightarrow x} V(z)$ is equal to

$$\lim_{\varepsilon \rightarrow 0} \frac{1}{\pi} \int_{|x-t|>\varepsilon} \frac{u(t)}{x-t} dt$$

for almost all $x \in \mathbb{R}$, and we can write

$$(4.2) \quad \tilde{u}(x) = \frac{1}{\pi} \int_{\mathbb{R}} \frac{u(t)}{x-t} dt.$$

This formula can be used when $u \in L^p(dt)$, $1 \leq p < \infty$, since then by Hölder's inequality $\int_{-\infty}^{\infty} \frac{|u(t)|}{1+|t|} dt < \infty$. When $u \in L^\infty(dt)$, formula (4.2) does not always work, and then we have to use (4.1). On the other hand, if u is bounded on \mathbb{R} and $|u(t)| \leq C|t|$ in a neighborhood of the origin, then

$$\tilde{u}(x) = \frac{1}{\pi} \int_{\mathbb{R}} \left(\frac{1}{x-t} + \frac{1}{t} \right) u(t) dt$$

for almost all $x \in \mathbb{R}$.

The Hilbert transform appears in the construction of outer functions: given a Lebesgue measurable $h \geq 0$ on \mathbb{R} with $\log h \in L^1(\frac{dt}{1+t^2})$, put

$$O(x) = O_h(x) = \exp(\log h(x) + i\widetilde{\log h}(x)) = h(x) \exp(i\widetilde{\log h}(x))$$

for almost all $x \in \mathbb{R}$ (since h and $\widetilde{\log h}$ are defined almost everywhere). Obviously $|O_h(x)| = h(x)$ for almost all $x \in \mathbb{R}$, and thus $O_h \in H^p(\mathbb{R})$, $0 < p \leq \infty$, if and only if $h \in L^p(dt)$; O_h , or its analytic counterpart

$$O_h(z) = \exp\left(\frac{i}{\pi} \int_{-\infty}^{\infty} \left(\frac{1}{z-t} + \frac{t}{1+t^2}\right) \log h(t) dt\right), \quad z \in \mathbb{C}_+,$$

is the outer function with modulus h [22, page 120].

4.2 A Complete Characterization of $|K_\Theta|$

The following lemma connects $|K_\Theta|$ to Θ . It is a particular case of a more general result by Dyakonov [13]. We give a direct proof for reader's convenience.

Lemma 4.2 *Let the function $h(x) \geq 0$ be defined and measurable on \mathbb{R} . Then $h \in |K_\Theta|$ if and only if $h^2\Theta \in H^1(\mathbb{R})$. Furthermore, if $h \in |K_\Theta|$, then*

$$h \exp(i\widetilde{\log h})$$

is an outer function in K_Θ .

Proof Suppose that $h \in |K_\Theta|$. Then there is a real function φ defined on \mathbb{R} such that $h \exp(i\varphi) \in K_\Theta$. Hence by Lemma 2.2, $h \exp(i\varphi) \in H^2(\mathbb{R})$ and $h \exp(i\varphi) \in \Theta H^2(\mathbb{R})$. Thus $h \exp(i\varphi)$ and $\Theta h \exp(-i\varphi)$ are both in $H^2(\mathbb{R})$. Therefore

$$h^2\Theta = h \exp(i\varphi) \cdot \Theta h \exp(-i\varphi) \in H^1(\mathbb{R}).$$

On the other hand, suppose that $h^2\Theta \in H^1(\mathbb{R})$. Since $h^2 = |h^2\Theta| \in |H^1(\mathbb{R})|$, $O = h \exp(i\widetilde{\log h})$ is an outer function in $H^2(\mathbb{R})$, and there is, besides, an inner function I such that $h^2\Theta = O^2I$. Thus

$$\bar{O}\Theta = h \exp(-i\widetilde{\log h}) \cdot \Theta = \frac{h^2\Theta}{h \exp(i\widetilde{\log h})} = \frac{O^2I}{O} = OI \in H^2(\mathbb{R}).$$

Therefore $O \in K_\Theta$. ■

In the following, we consider functions $\omega \geq 0$ defined on \mathbb{R} . We always write $\Omega(x)$ for $-\log \omega(x)$. It will be assumed throughout the remaining discussion that

$$\int_{-\infty}^{\infty} \frac{|\Omega(x)|}{1+x^2} dx < \infty.$$

Note that we are not, for now, assuming $\omega(x)$ to be bounded above, and, therefore, $\Omega(x)$ is not assumed to be bounded below.

Lemma 4.3 *Let m be a non-negative measurable function on \mathbb{R} with $m \not\equiv 0$. Then the following are equivalent.*

- (a) $m\omega \in |K_\Theta|$;
- (b) $m\omega \in L^2(dt)$, $\log m \in L^1(\frac{dt}{1+t^2})$, and there is an inner function I such that

$$\Theta \exp(2i\widetilde{\Omega}) = I \exp(2i\widetilde{\log m}).$$

Furthermore, if (a) or (b) holds, then

$$m\omega \exp(i\widetilde{\log(m\omega)})$$

is an outer function in K_Θ .

Proof Suppose that $m\omega \in |K_\Theta|$. Then by Lemma 4.2, $m^2\omega^2\Theta$ is a non-zero function in $H^1(\mathbb{R})$. Thus, by the Smirnov factorization theorem, $m^2\omega^2\Theta = OI$, where O and I are respectively the outer and inner factors of $m^2\omega^2\Theta$. Hence $(m\omega)^2 = |m^2\omega^2\Theta| \in L^1(dt)$ and $\log |m^2\omega^2\Theta| = 2\log m + 2\log \omega \in L^1(\frac{dt}{1+t^2})$. Thus $m\omega \in L^2(dt)$, and $\log m \in L^1(\frac{dt}{1+t^2})$. Furthermore,

$$\begin{aligned} m^2\omega^2\Theta &= OI = m^2\omega^2 \exp(i\widetilde{\log(m^2\omega^2)}) \cdot I \\ &= m^2\omega^2 \exp(2i\widetilde{\log m}) \exp(2i\widetilde{\log \omega}) \cdot I. \end{aligned}$$

Hence $\Theta \exp(2i\widetilde{\Omega}) = I \exp(2i\widetilde{\log m})$.

Now suppose that (b) holds. Since $m\omega \in L^2(dt)$, and $\log(m\omega) \in L^1(\frac{dt}{1+t^2})$,

$$O = m^2\omega^2 \exp(2i\widetilde{\log m} + 2i\widetilde{\log \omega})$$

is an outer function in $H^1(\mathbb{R})$. Therefore

$$\begin{aligned} m^2\omega^2\Theta &= m^2\omega^2 \exp(-2i\widetilde{\Omega}) \cdot \exp(2i\widetilde{\Omega})\Theta \\ &= m^2\omega^2 \exp(-2i\widetilde{\Omega}) \cdots \exp(2i\widetilde{\log m}) \cdot I \\ &= m^2\omega^2 \exp(2i\widetilde{\log m} + 2i\widetilde{\log \omega}) \cdot I = OI \in H^1. \end{aligned}$$

Hence by Lemma 4.2, $m\omega \in |K_\Theta|$, and $m\omega \exp(i\widetilde{\log(m\omega)})$ is an outer function in K_Θ . ■

4.3 A Criterion for Admissibility

We use Lemma 4.3 to characterize admissible majorants for K_Θ .

Theorem 4.4 *Given a measurable function $\omega(x) \geq 0$ on \mathbb{R} , the following are equivalent.*

- (a) *There exists an $f \in K_\Theta$ with $f \not\equiv 0$ and $|f| \leq \omega$, i.e., $\omega \in \text{Adm } \Theta$;*
- (b) *There exists an $m \in L^\infty(dt)$ with $m \geq 0$, $m\omega \in L^2(dt)$ and $\log m \in L^1(\frac{dt}{1+t^2})$, such that, for some inner function I , we have*

$$\Theta \exp(2i\widetilde{\Omega}) = I \exp(2i\widetilde{\log m}).$$

Moreover, if (a) or (b) holds,

$$m\omega \exp(i\widetilde{\log(m\omega)})$$

is an outer function in K_Θ .

Proof There exists a non-zero function $f \in K_\Theta$ with $|f| \leq \omega$ if and only if there is an $m \in L^\infty(\mathbb{R})$, $m \geq 0$, $m \not\equiv 0$, such that $m\omega \in |K_\Theta|$. Now apply Lemma 4.3. We see in that way that $f = m\omega \exp(i\widetilde{\log(m\omega)})$ will do the job. ■

We are going to rephrase Theorem 4.4 in terms of the argument of Θ . But first we have to define this notation.

4.4 Circular Part and Arguments of a Complex Valued Function

Let $u: \mathbb{R} \mapsto \mathbb{C}$ be a Lebesgue measurable unimodular function on \mathbb{R} , i.e., $|u(x)| = 1$ almost everywhere on \mathbb{R} . Denote by Arg the function defined on $\mathbb{C} \setminus \{0\}$ by the identity

$$e^{i \text{Arg}(\zeta)} = \frac{\zeta}{|\zeta|}, \quad \text{Arg}(\zeta) \in (-\pi, \pi].$$

Then $\text{Arg} \circ u (= \text{Arg } u)$ is Lebesgue measurable on \mathbb{R} and $\exp(i \text{Arg } u) = u$ almost everywhere on \mathbb{R} . Any real Lebesgue measurable function φ satisfying $\exp(i\varphi) = u$ almost everywhere on \mathbb{R} is called an *argument* of u . Let us call $\text{Arg } u$ the *principal argument* of u . Clearly, $\text{Arg } u \in L^\infty(dt)$, and any argument φ of u can be written as $\text{Arg } u + 2\pi S$ where S is a Lebesgue measurable integer valued function on \mathbb{R} .

If u is unimodular and *continuous* on \mathbb{R} , then it has a continuous argument which is unique up to an additive constant $2\pi k$, $k \in \mathbb{Z}$; this argument is in $\mathcal{C}^p(\mathbb{R})$ if u is. For example, the continuous argument of $e^{i\sigma x}$, $\sigma > 0$, is σx , whereas $\text{Arg } e^{i\sigma x}$ is a sawtooth $2\pi/\sigma$ periodic function coinciding with σx on $(-\pi/\sigma, \pi/\sigma]$.

Let $f: \mathbb{R} \mapsto \mathbb{C}$ be a Lebesgue measurable function on \mathbb{R} . Suppose $f(x) \neq 0$ almost everywhere on \mathbb{R} . We call $f/|f|$ the *circular part* of f (since $f(x)/|f(x)|$ is the projection of the points $f(x)$ on the unit circle \mathbb{T}). By definition an argument of $f/|f|$ is an argument of f .

4.5 Continuous Arguments of a Meromorphic Blaschke Product

Since a meromorphic Blaschke product B is analytic and non-vanishing on \mathbb{R} , there is a real C^∞ function, say $\arg B$, such that

$$B(x) = \exp(i \arg B(x)) \quad \text{for } x \in \mathbb{R}.$$

This function is unique up to an additive constant $2\pi k$, $k \in \mathbb{Z}$, so that $\arg B(x) + 2\pi k$ is the general form of continuous arguments of $B(x)$. Thus its derivative is defined uniquely. In the simple case where

$$b_{z_k}(x) = \frac{\bar{z}_k}{z_k} \cdot \frac{z - z_k}{z - \bar{z}_k} = \exp(i \arg b_{z_k}(x)),$$

we have, by taking the logarithmic derivative,

$$(4.3) \quad \frac{d \arg b_{z_k}(x)}{dx} = \frac{b'_{z_k}(x)}{i b_{z_k}(x)} = \frac{2\Im z_k}{|x - z_k|^2}.$$

Since $b_{z_k}(0) = B(0) = 1$, we can (and do) always assume that $\arg b_{z_k}(0) = 0$, and similarly that $\arg B(0) = 0$. Then,

$$(4.4) \quad \arg b_{z_k}(x) = \int_0^x \frac{2\Im z_k}{|t - z_k|^2} dt = 2 \arctan\left(\frac{x - \Re z_k}{\Im z_k}\right) + 2 \arctan\left(\frac{\Re z_k}{\Im z_k}\right).$$

Lemma 4.5 If $B(z) = \prod_{k=1}^{\infty} b_{z_k}(z)$ is a meromorphic Blaschke product, then

$$\frac{B'(x)}{B(x)} = i \frac{d \arg B(x)}{dx} = 2i \sum_{k=1}^{\infty} \frac{\Im z_k}{|x - z_k|^2}$$

for each $x \in \mathbb{R}$. The series converges uniformly on compact subsets of \mathbb{R} .

Proof The sequence $B_K = \prod_{k=1}^K b_{z_k}$ converges uniformly to B on compact sets disjoint from $\{\bar{z}_k ; k \geq 1\}$. Since \mathbb{R} is disjoint from the sets $\{\bar{z}_k ; k \geq 1\}$ and $\{z_k ; k \geq 1\}$, $\sum_{k=1}^K b'_{z_k}/b_{z_k}$ converges uniformly to B'/B on compact subsets of \mathbb{R} [10, page 174]. ■

Corollary 4.6 If $B(z) = \prod_{k=1}^{\infty} b_{z_k}(z)$ is a meromorphic Blaschke product, then

$$\arg B(x) = \sum_{k=1}^{\infty} \arg b_{z_k}(x)$$

for each $x \in \mathbb{R}$. The series converges uniformly on every bounded interval.

Proof By Lemma 4.5 and the monotone convergence theorem

$$\begin{aligned} \arg B(x) &= \int_0^x \frac{d \arg B(t)}{dt} dt = \int_0^x \sum_{k=1}^{\infty} \frac{2\Im z_k}{|t - z_k|^2} dt \\ &= \sum_{k=1}^{\infty} \int_0^x \frac{2\Im z_k}{|t - z_k|^2} dt = \sum_{k=1}^{\infty} \arg b_{z_k}(x). \end{aligned}$$

■

4.6 de Branges' Phase Function

Let Θ be a meromorphic inner function. As we saw in Section 2.4, $\Theta(x) = \overline{E(x)}/E(x)$, $x \in \mathbb{R}$, where E is an entire function satisfying (2.3). Since E does not vanish on $\mathbb{C}_+ \cup \mathbb{R}$, it has a continuous $\arg E(x)$ which coincides with $-\varphi(x) + k\pi$ where φ is the so called *phase function* of E [6, page 54], and k is an integer. The phase function plays an outstanding role in the de Branges theory.

Now, a continuous argument of Θ , $\arg \Theta$, can be expressed as follows:

$$\arg \Theta(x) = -2 \arg E(x) = -2\varphi(x) + 2k\pi, \quad k \in \mathbb{Z}.$$

4.7 A Sufficient Condition for Admissibility

The condition

$$\Theta \exp(2i\tilde{\Omega}) = I \exp(2i\widetilde{\log m}).$$

in Theorem 4.4 is equivalent to

$$(4.5) \quad \text{Arg } \Theta + 2\tilde{\Omega} = \text{Arg } I + 2\widetilde{\log m} + 2\pi S,$$

where $\text{Arg } \Theta$ and $\text{Arg } I$ are the principal arguments of Θ and I and S is a measurable integer valued function on \mathbb{R} . Thus we arrive at the following *sufficient* condition for an ω to be in $\text{Adm } \Theta$.

Theorem 4.7 *Suppose there exists an $m \in L^\infty(dt)$ with $m \geq 0$, $m\omega \in L^2(dt)$ and $\log m \in L^1(\frac{dt}{1+t^2})$, such that*

$$\arg \Theta + 2\tilde{\Omega} = 2\widetilde{\log m} + S,$$

where S is a step function with values all equal to integral multiples of 2π . Then $\omega \in \text{Adm } \Theta$.

Proof The identity $\arg \Theta + 2\tilde{\Omega} = 2\widetilde{\log m} + S$ implies 4.5. Now apply Theorem 4.4. ■

5 Θ is the Circular Part of an Outer Function

Here we prove Theorem 1.5 stated in the Introduction. Let Θ be an inner function in \mathbb{C}_+ . Then there exist many outer functions O whose circular part is Θ . Indeed, take any bounded argument of Θ (say, the principal one, $\text{Arg } \Theta$) and put $P = -\widetilde{\text{Arg } \Theta}$. Then $P \in L^p(dt/(1+t^2))$, $1 \leq p < \infty$, since $\text{Arg } \Theta$ is bounded [14, page 114]. Put $h = \exp P$ and $O = O_h$. We have $O_h = \exp(P + i \text{Arg } \Theta)$ and $O_h \bar{\Theta} = \exp P \geq 0$.

5.1 Θ as the Circular Part of an Outer Function in $H^1(\mathbb{R})$

We restate Theorem 1.5 in a slightly different form.

Theorem 5.1 *Suppose Θ is the circular part of an outer function $O \in H^1(\mathbb{R})$. Then $\sqrt{|O(x)|}$ is a minimal majorant for K_Θ . Moreover, $\sqrt{|O(x)|} \in |K_\Theta|$.*

Proof Put $h(x) = \sqrt{|O(x)|}$, so that $O = O_{h^2}$. Then

$$h^2(x)\Theta(x) = |O(x)|\Theta(x) = O_h(x) \in H^1(\mathbb{R}),$$

and thus by Lemma 4.2 $h \in |K_\Theta|$. Hence $h \in \text{Adm } \Theta$.

Suppose $\omega \in \text{Adm } \Theta$ and $\omega \prec h$. Hence ω/h is a non-negative bounded function. Therefore, the following more general result (Theorem 5.2) implies $h \prec \omega$. ■

Theorem 5.2 *Let O be an arbitrary outer function (not necessarily in $H^1(\mathbb{R})$). Suppose Θ is its circular part. Put $h(x) = \sqrt{|O(x)|}$. If $\omega \in \text{Adm } \Theta$ and*

$$(5.1) \quad \int_{-\infty}^{\infty} \frac{\omega(x)}{h(x)} \cdot \frac{dx}{1+x^2} < \infty,$$

then $h \prec \omega$.

Proof Put $\alpha = \omega/h$. Then $\log \alpha \in L^1(dx/(1+x^2))$, (since $\log \omega$ and $\log h \in L^1(dx/(1+x^2))$). The inclusion $\omega \in \text{Adm } \Theta$ means $m\omega \in |K_\Theta|$ for an $m \in L^\infty(dt)$, $0 \leq m \leq 1$, and, by Lemma 4.2, $m^2\omega^2\Theta = m^2\alpha^2h^2\Theta \in H^1(\mathbb{R})$. Thus $m^2\alpha^2\omega^2\Theta = O_{m^2\alpha^2h^2}I$ where I is inner. But $\log(m\alpha) \in L^1(dx/(1+x^2))$, since $\log(m\alpha h)$ and $\log h$ are in $L^1(dx/(1+x^2))$, whence $O_{m^2\alpha^2}$ makes sense, and

$$O_{m^2\alpha^2} = \frac{O_{m^2\alpha^2h^2}}{O_{h^2}} = \frac{m^2\alpha^2h^2\Theta\bar{I}}{h^2\Theta} = m^2\alpha^2\bar{I}$$

almost everywhere on \mathbb{R} , so that $m^2\alpha^2 = O_{m^2\alpha^2}I$; (5.1) means $\alpha^2 \in L^{1/2}(dx/(1+x^2))$, whence $m^2\alpha^2 \in L^{1/2}(dx/(1+x^2))$, and thus $(O_{m^2\alpha^2} \circ \gamma)(I \circ \gamma) \in H^{1/2}(\mathbb{D})$ where γ is a conformal mapping of the unit disc onto \mathbb{C}_+ . But an element of $H^{1/2}(\mathbb{D})$ with non-negative boundary values almost everywhere on $\mathbb{T} = \{|z| = 1\}$ is constant [27]. We see that $m\alpha = \text{Const} > 0$, and $m \leq 1$ implies $\alpha \geq c$ for a positive constant c . ■

Now, the hypothesis of Theorem 1.5 means there exists an argument $\arg \Theta$ of Θ (i.e., $\text{Arg } \Theta + 2\pi S$ where S is an integer valued Lebesgue measurable function on \mathbb{R}) satisfying

$$(5.2) \quad \arg \Theta = \tilde{P},$$

where P is a real element of $L^1(dx/(1+x^2))$ such that $\exp P \in L^1(dt)$; actually, $P = \log |O|$. A condition sufficient for the existence of such P is this:

$$(5.3) \quad \arg \Theta \text{ and } \widetilde{\arg \Theta} \in L^1(dx/(1+x^2)) \text{ and } \exp(-\widetilde{\arg \Theta}) \in L^1(dt).$$

In this case, we just put $P = -\widetilde{\arg \Theta}$ and (5.2) follows.

5.2 Another Interpretation of $\sum_k \log |z_k|/|z_k| < \infty$

We can now illustrate these facts by our Theorem 1.4. Under the assumptions of Theorem 1.4, (5.2) is fulfilled with $P(x) = -2 \log |E(x)|$. Indeed,

$$\arg \Theta = -2 \arg E = -2 \widetilde{\log |E|},$$

since E is an outer function in the upper half plane. Moreover, $\exp P(x) = 1/|E(x)|^2 \in L^1(dt)$, since $1/|E(x)| \in L^2(dt)$. The condition (5.3) is fulfilled if and only if $\sum_{k=1}^\infty \log b_k/b_k < \infty$. Since

$$\arg B(x) = 2 \sum_{k=1}^\infty \arctan\left(\frac{x}{b_k}\right),$$

we have

$$\int_{-\infty}^\infty \frac{|\arg B(x)|}{1+x^2} dx = 4 \sum_{k=1}^\infty \int_0^\infty \frac{\arctan(x/b_k)}{1+x^2} dx.$$

But

$$\int_0^\infty \frac{\arctan(x/b_k)}{1+x^2} dx \asymp \frac{1}{b_k} + \int_1^\infty \frac{\arctan(x/b_k)}{x^2} dx \asymp \frac{1}{b_k} + \int_{1/b_k}^\infty \frac{\arctan t}{t^2} dt \asymp \frac{\log b_k}{b_k}.$$

Therefore,

$$\int_{-\infty}^\infty \frac{|\arg B(x)|}{1+x^2} dx \asymp \sum_{k=1}^\infty \frac{\log b_k}{b_k}.$$

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