

# Descending Rational Points on Elliptic Curves to Smaller Fields

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*Abstract.* In this paper, we study the Mordell-Weil group of an elliptic curve as a Galois module. We consider an elliptic curve  $E$  defined over a number field  $K$  whose Mordell-Weil rank over a Galois extension  $F$  is 1, 2 or 3. We show that  $E$  acquires a point (points) of infinite order over a field whose Galois group is one of  $C_n \times C_m$  ( $n = 1, 2, 3, 4, 6, m = 1, 2$ ),  $D_n \times C_m$  ( $n = 2, 3, 4, 6, m = 1, 2$ ),  $A_4 \times C_m$  ( $m = 1, 2$ ),  $S_4 \times C_m$  ( $m = 1, 2$ ). Next, we consider the case where  $E$  has complex multiplication by the ring of integers  $\mathcal{O}$  of an imaginary quadratic field  $\mathfrak{K}$  contained in  $K$ . Suppose that the  $\mathcal{O}$ -rank over a Galois extension  $F$  is 1 or 2. If  $\mathfrak{K} \neq \mathbb{Q}(\sqrt{-1})$  and  $\mathbb{Q}(\sqrt{-3})$  and  $h_{\mathfrak{K}}$  (class number of  $\mathfrak{K}$ ) is odd, we show that  $E$  acquires positive  $\mathcal{O}$ -rank over a cyclic extension of  $K$  or over a field whose Galois group is one of  $\mathrm{SL}_2(\mathbb{Z}/3\mathbb{Z})$ , an extension of  $\mathrm{SL}_2(\mathbb{Z}/3\mathbb{Z})$  by  $\mathbb{Z}/2\mathbb{Z}$ , or a central extension by the dihedral group. Finally, we discuss the relation of the above results to the vanishing of  $L$ -functions.

## 1 Introduction

Let  $E$  be an elliptic curve defined over a number field  $K$ . By the Mordell-Weil theorem, the group  $E(K)$  of points of  $E$  with coordinates in  $K$  is finitely generated. We write  $\mathrm{rank}(E(K))$  for the rank of  $E(K)$  modulo torsion. Let  $F$  be a finite Galois extension of  $K$  with group  $G$ . In this paper, we consider the Mordell-Weil group  $E(F)$  as a  $\mathbb{Z}[G]$ -module. Since the torsion subgroup  $E(F)_{\mathrm{tors}}$  has been extensively studied (see for example, Serre [21]), we shall restrict ourselves to the free part of  $E(F)$ . The question of studying this as a Galois module was raised in the works of Mazur [10], Mazur and Swinnerton-Dyer [11], Coates and Wiles [3] Rohrlich [17], and [18], to name a few.

Philosophically, it is of interest to note one basic difference between the free part and the torsion part as Galois modules. For example, consider the Galois module of  $\ell$ -torsion points  $E[\ell]$ . The field  $K(E[\ell])$  obtained by adjoining the coordinates of points in  $E[\ell]$  has Galois group contained in  $\mathrm{Aut}(E[\ell]) \simeq \mathrm{GL}_2(\mathbb{Z}/\ell)$ . Serre's theorem tells us that if  $E$  is without complex multiplication, then for large  $\ell$ , it is in fact equal to  $\mathrm{GL}_2(\mathbb{Z}/\ell)$ . On the other hand, let  $K(E(F)_{\mathrm{free}})$  be the field generated by adjoining the coordinates of any free  $\mathbb{Z}[\mathrm{Gal}(F/K)]$ -submodule of  $E(F) \otimes \mathbb{Q}$  to  $K$  and suppose that  $\mathrm{rank}(E(F)) = r$ , then  $\mathrm{Gal}(K(E(F)_{\mathrm{free}})/K)$  is conjugate to a subgroup of  $\mathrm{GL}_r(\mathbb{Z})$ . This imposes two restrictions on this Galois group. Firstly, by Jordan's theorem (see for example, [6], Theorem 14.12), a finite subgroup of  $\mathrm{GL}_r(\mathbb{C})$  has a normal Abelian subgroup of index bounded by a function of  $r$  alone. Secondly, this is an integral

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representation. By the work of Nori [15], there are many restrictions on the finite subgroups of  $GL_r(\mathbb{Z})$ . Another restriction imposed on these Galois groups arises from the fact that the height pairing on the Mordell-Weil group is respected by the action of Galois.

In another direction, there is the connection with the  $L$  function of the elliptic curve. A well known theorem of Coates and Wiles [3] for CM elliptic curves asserts that if  $E(K)$  is infinite, then the  $L$ -function  $L(E/K, s)$  vanishes at  $s = 1$ . From the work of Kolyvagin [8], a similar result is known for (modular) elliptic curves over  $\mathbb{Q}$ . This is in accordance with the general conjecture of Birch and Swinnerton-Dyer. Here, we shall discuss the following:

**Problem 1** Let  $F/K$  be a finite Galois extension. If  $E(F)$  is infinite, does  $L(E/F, s)$  vanish at  $s = 1$ ?

Since the extensions of Coates-Wiles and Kolyvagin theorems to Abelian extensions are known (due respectively to Arthaud [1], and Rubin [19] in the CM-case and Kato (unpublished) in the modular case), we will show that the existence of an Abelian subextension  $M$  of  $F/K$  with  $E(M)$  infinite implies a positive answer to Problem 1 (see Theorem 4). So we shall consider the following related problem.

**Problem 2** Let  $F/K$  be a finite Galois extension. If  $E(F)$  is infinite, then under what conditions can we produce an Abelian subextension  $M$  of  $K$  ( $K \subseteq M \subseteq F$ ) such that  $E(M)$  is infinite?

We wish to draw the analogy of this question with a result of Stark [23] for Artin  $L$ -functions. He shows that if  $F/K$  is Galois and the Dedekind zeta function  $\zeta_F(s)$  has a simple zero at a point  $s = s_0$ , then there is a subextension  $K \subseteq M \subseteq F$  with the property that  $\zeta_M(s_0) = 0$  and  $M/K$  is Abelian (in fact, cyclic). Moreover, if  $N$  is any other subfield satisfying  $\zeta_N(s_0) = 0$ , we must have  $M \subseteq N$ .

In Section 4, we consider an elliptic curve  $E$  defined over  $K$  whose Mordell-Weil rank over a Galois extension  $F$  is 1 or 2. If the rank of  $E(F)$  is one, we observe (Theorem 1, (i)) that a Stark type result holds here. If the rank of  $E(F)$  is two, we show that  $E$  acquires two points of infinite order over a cyclic extension of  $K$  with Galois group  $C_n$  ( $n = 1, 2, 3, 4, 6$ ) contained in  $F$  or over a dihedral extension with Galois group  $D_n$  ( $n = 2, 3, 4, 6$ ). Then we establish a similar result in the rank three case (Theorem 1(iii)). In the case that  $E$  has complex multiplication, we can also study the Mordell-Weil group  $E(F)$  as an  $\mathcal{O}[G]$ -module. Here  $E$  has complex multiplication by the ring of integers  $\mathcal{O}$  of an imaginary quadratic field  $\mathfrak{K}$  contained in  $K$ . We are able to establish the analogues of the above results in the case that  $E(F)$  has  $\mathcal{O}$ -rank 1 or 2 (Theorems 2 and 3).

In the final section, by considering the order of vanishing of the  $L$ -function of  $E$  at a point  $s = \omega$ , we investigate some analytic analogues of our results in Section 4. In the case of a simple zero, we prove an analogue of Stark's theorem for a certain class of elliptic curves (Corollary 1). Also, by analogy with [14], we formulate a statement for higher order zeros but it would depend on the holomorphy of the  $L$ -functions obtained by twisting the  $L$ -function of  $E$  with certain Artin characters (see Proposition 6).

It is clear that much work remains to be done to elucidate the Galois module

structure of the Mordell-Weil group. We hope that the explicit results of this paper may help in this effort.

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## 2 The Minimal Subfield

**Definition** Let  $E$  be an elliptic curve defined over  $K$  and let  $F/K$  be an extension (not necessarily Galois) of number fields. Suppose that  $\text{rank}(E(F)) = r$ , then the minimal subfield  $F_r$  is a subfield with  $K \subseteq F_r \subseteq F$ , such that

- (i)  $\text{rank}(E(F_r)) = \text{rank}(E(F))$ .
- (ii) If  $K \subseteq M \subseteq F$  and  $\text{rank}(E(M)) = \text{rank}(E(F))$ , then  $F_r \subseteq M$ .

**Proposition 1** For any finite extension  $F/K$  and elliptic curve  $E$  defined over  $K$  with  $\text{rank}(E(F)) = r$ ,  $F_r$  exists and is unique. Also, if  $F/K$  is Galois then  $F_r/K$  is Galois.

**Proof** We need only prove that if  $K \subseteq M_1, M_2 \subseteq F$  are subfields such that

$$\text{rank}(E(M_1)) = \text{rank}(E(M_2)) = r$$

then

$$\text{rank}(E(M_1 \cap M_2)) = r.$$

Indeed,  $E(M_1) \otimes \mathbb{Q} = E(M_2) \otimes \mathbb{Q}$ . Hence, there is a lattice  $L$  contained in  $E(M_1) \cap E(M_2)$  which is of finite index in both  $E(M_1)$  and  $E(M_2)$ . But then  $L$  is fixed by  $\text{Gal}(\bar{F}/M_1)$  and by  $\text{Gal}(\bar{F}/M_2)$  where  $\bar{F}$  is the normal closure of  $F/K$ . Thus, it is fixed by  $\text{Gal}(\bar{F}/(M_1 \cap M_2))$  and so it is contained in  $E(M_1 \cap M_2)$ . Thus the rank of  $E(M_1 \cap M_2)$  is  $r$  as claimed.

If  $F/K$  is Galois, we can apply this argument to  $M$  and a conjugate of  $M$ , and from this, we see that the minimal subfield is necessarily Galois over  $K$ . ■

Now we give another description of the minimal subfield. Let  $F/K$  be a finite Galois extension, then since  $\text{Gal}(F/K)$  acts on  $E(F) \otimes \mathbb{Q}$ , we have a representation

$$\rho: \text{Gal}(F/K) \rightarrow \text{Aut}(E(F) \otimes \mathbb{Q}) \simeq \text{GL}_r(\mathbb{Q})$$

where  $\text{rank}(E(F)) = r$ . Then, there exists a free submodule of  $E(F) \otimes \mathbb{Q}$  of rank  $r$  on which  $\text{Gal}(F/K)$  acts. For example, if  $m = |E(F)_{\text{tors}}|$ , then we can take  $mE(F)$ . Each such submodule  $X$  (say) gives a representation

$$\rho_X: \text{Gal}(F/K) \rightarrow \text{Aut}(X) \simeq \text{GL}_r(\mathbb{Z}).$$

Moreover, different choices of  $X$  yield representations isomorphic over  $\mathbb{Q}$ . In particular,  $\text{Ker}(\rho_X)$  is equal to  $\text{Ker}(\rho)$  and is independent of  $X$ . Thus, the field  $K(X)$  obtained by adjoining the coordinates of points in  $X$  to  $K$  is independent of the choice of  $X$ . We denote this field by  $K(E(F)_{\text{free}})$ .

**Proposition 2** *Let  $F/K$  be a finite Galois extension. If  $\text{rank}(E(F)) = r \geq 1$ , then*

- (i) *there is a subextension  $M$ , Galois over  $K$  such that  $E(M) \otimes \mathbb{Q} = E(F) \otimes \mathbb{Q}$  and the representation*

$$\rho_f: \text{Gal}(M/K) \rightarrow \text{Aut}(E(M) \otimes \mathbb{Q})$$

- is faithful. Moreover,  $\text{Im}(\rho_f)$  is conjugate to a finite subgroup of  $\text{GL}_r(\mathbb{Z})$ .*
- (ii)  $M = K(E(F)_{\text{free}})$ .
- (iii)  $M$  is the minimal subfield defined in the beginning of the section.

**Proof** (i) Suppose that  $\rho$  is the representation of  $\text{Gal}(F/K)$  in  $E(F) \otimes \mathbb{Q}$ . Let  $M$  be the fixed field of  $\ker \rho$ . Since

$$(E(F) \otimes \mathbb{Q})^{\text{Ker } \rho} = (E(F) \otimes \mathbb{Q})^{\text{Gal}(F/M)} = E(M) \otimes \mathbb{Q}$$

(see [17], p. 126) and since  $M$  is the fixed field of  $\ker \rho$ ,  $\text{Gal}(F/M)$  acts trivially on  $E(F) \otimes \mathbb{Q}$ . This shows that  $E(F) \otimes \mathbb{Q} = E(M) \otimes \mathbb{Q}$  and  $\rho_f$  is faithful. The argument before the proposition shows that  $\text{Im}(\rho_f)$  is conjugate to a finite subgroup of  $\text{GL}_r(\mathbb{Z})$ .

- (ii) This is clear from the argument before the proposition.
- (iii) Let  $K \subseteq L \subseteq F$  and  $\text{rank}(E(L)) = \text{rank}(E(F))$ , then from the proof of Proposition 1, we know that  $\text{rank}(E(L \cap M)) = \text{rank}(E(M))$  and  $E(M) \otimes \mathbb{Q} = E(L \cap M) \otimes \mathbb{Q}$ . This shows that  $\text{Gal}(M/(L \cap M))$  acts trivially on  $E(M) \otimes \mathbb{Q}$  and therefore it is contained in the kernel of the representation  $\rho_f$ . But  $\ker \rho_f = \{\text{id}\}$ , which implies that  $\text{Gal}(M/(L \cap M)) = \{\text{id}\}$ . Thus  $L \cap M = M$  and therefore  $M \subseteq L$ . This proves that  $M$  is the minimal subfield. ■

**Proposition 3** *Let  $F/K$  be a finite Galois extension, then the degree of the minimal subfield  $F_r$  over  $K$  is bounded as a function of  $r$  alone.*

**Proof** By Proposition 2, we can consider  $\text{Gal}(F_r/K)$  as a finite subgroup of  $\text{GL}_r(\mathbb{Z})$  (and therefore  $\text{GL}_r(\mathbb{C})$ ). By Jordan’s theorem a finite subgroup of  $\text{GL}_r(\mathbb{C})$  has a normal Abelian subgroup  $G_1$  whose index is bounded by a function of  $r$  alone. So it is enough to prove that the order of  $G_1$  is bounded by a function of  $r$  alone.

Now, let  $L$  be the fixed field of  $G_1$  in  $F_r/K$ , and let  $\rho_1$  be the restriction of the representation  $\rho_f$  (defined in Proposition 2) to  $G_1 = \text{Gal}(F_r/L)$ . Then

$$\rho_1 = \psi_1 \oplus \psi_2 \oplus \cdots \oplus \psi_r$$

where  $\psi_i$ ’s are one dimensional characters of  $G_1$ . Since the values of the  $\psi_i$  satisfy a degree  $r$  polynomial over  $\mathbb{Q}$ , if  $\psi_i$  takes values in  $\mathbb{Q}(\zeta_{m_i})$ , we must have  $\phi(m_i) \leq r$ . Since  $\rho_1$  is faithful, this implies that the order of  $G_1$  is bounded by a function of  $r$  alone. ■

### 3 Group Theoretic Lemmas

In this section, we collect some group theoretic results which will be needed in the sequel.

**Lemma 1** *Let the representation  $\rho: G \rightarrow \text{GL}_2(\mathbb{Z})$  be faithful, then*

- (i) *if  $\rho$  is reducible,  $G$  is cyclic  $C_n$  ( $n = 1, 2, 3, 4, 6$ ) or  $G \simeq \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z} \simeq D_2$ .*
- (ii) *if  $\rho$  is irreducible,  $G$  is dihedral  $D_n$  ( $n = 3, 4, 6$ ).*

**Proof** (i) Suppose that  $\rho$  is reducible. Let  $\chi$  be the character of  $\rho$ . Then  $\chi = \psi_1 + \psi_2$  over  $\mathbb{C}$ , where  $\psi_1$  and  $\psi_2$  are one dimensional characters of  $G$ . As the characteristic polynomial of  $\rho$  has coefficients in  $\mathbb{Z}$ , we must have  $\psi_1 = \overline{\psi_2}$  or  $\psi_1$  and  $\psi_2$  characters of order 2. Since  $\rho$  is faithful, in the latter case,  $G \simeq \mathbb{Z}/2$  or  $G \simeq \mathbb{Z}/2 \oplus \mathbb{Z}/2 \simeq D_2$  and in the former case,  $G$  is cyclic.

Now if  $r$  is a generator of the cyclic group  $G$  and  $\text{ord}(r) = n$ , then  $\rho(r)$  is conjugate to a diagonal matrix over  $\mathbb{C}$  like

$$\begin{pmatrix} e^{\frac{2\pi ih}{n}} & 0 \\ 0 & e^{-\frac{2\pi ih}{n}} \end{pmatrix}$$

where  $0 \leq h < n$  and  $(h, n) = 1$ . Here,  $e^{\frac{2\pi ih}{n}}$  is a primitive  $n$ -th root of unity which is also a root of a quadratic polynomial over  $\mathbb{Z}$  (i.e. the characteristic polynomial of the above matrix). Therefore  $\phi(n) = [\mathbb{Q}(e^{\frac{2\pi ih}{n}}) : \mathbb{Q}] \leq 2$  and so  $n = 1, 2, 3, 4, 6$ .

(ii) Since  $\rho$  is faithful, we can consider  $G$  as a finite subgroup of  $\text{GL}_2(\mathbb{R})$ . We know that a finite subgroup of  $\text{GL}_2(\mathbb{R})$  is conjugate to a subgroup of  $\text{O}_2(\mathbb{R})$  and is therefore cyclic or dihedral (see [16], p. 22, Theorem 9). As  $\rho$  is irreducible,  $G \simeq D_n = \langle r, s; r^n = 1, s^2 = 1, srs = r^{-1} \rangle$ . Let  $H = \langle r \rangle$ , then  $\chi|_H = \psi_1 + \psi_2$  over  $\mathbb{C}$ , where  $\psi_1(r) = e^{\frac{2\pi ih}{n}}$  and  $\psi_2(r) = e^{-\frac{2\pi ih}{n}}$  (see [20], p. 37), so by reasoning similar to part (i),  $\text{ord}(H) = n = 1, 2, 3, 4, 6$ . Moreover,  $n \neq 1, 2$  since in these cases  $D_n$  is Abelian. ■

Let  $H_1$  and  $H_2$  be subgroups of a group  $G$  and let  $x \in G$ . Set

$$J(H_1, H_2, x) = H_2 \cup \{xg \mid g \in H_1, g \notin H_2\}.$$

**Lemma 2** *Let  $H_1$  and  $H_2$  be subgroups of a group  $G$  such that  $H_2 \subset H_1$  and  $[H_1 : H_2] = 2$ . Let  $x \in G - H_2$  be an element of order 2 which commutes with all elements of  $H_1$ . Then*

- (i)  *$J(H_1, H_2, x)$  is a subgroup of  $G$ .*
- (ii)  *$H_1 \simeq H_2 \times C_2$  if  $x \in H_1$ .*
- (iii)  *$H_1 \simeq J(H_1, H_2, x)$  if  $x \notin H_1$ .*

**Proof** It is straightforward. ■

**Lemma 3** *Let the representation  $\rho: G \rightarrow \text{GL}_3(\mathbb{Z})$  be faithful, then  $G$  is isomorphic to one of the following:*

$$C_n \times C_m, \quad D_p \times C_m, \quad A_4 \times C_m, \quad S_4 \times C_m$$

where  $n = 1, 2, 3, 4, 6$ ,  $p = 2, 3, 4, 6$  and  $m = 1, 2$ .

**Proof** Since  $\rho$  is faithful we consider  $G$  as a finite subgroup of  $\text{O}_3(\mathbb{R})$ . First suppose that  $G \subset \text{SO}_3(\mathbb{R})$ . Then it is known that  $G$  is either cyclic, dihedral,  $A_4$ ,  $S_4$  or  $A_5$  (see [16], p. 35, Theorem 11). Note that in this case if  $A \in G$ , then there is an orthonormal matrix  $P$  such that

$$P^{-1}AP = \begin{pmatrix} \cos \alpha & -\sin \alpha & 0 \\ \sin \alpha & \cos \alpha & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

(see [16], p. 35, Corollary 1), with  $\text{tr}(P^{-1}AP) \in \mathbb{Z}$ . Therefore  $2 \cos \alpha \in \mathbb{Z}$ . It is easily seen from here that if  $G \subset \text{SO}_3(\mathbb{R})$ , the order of any element of  $G$  must be 2, 3, 4 or 6, and therefore  $G$  must be one of the following

$$(*) \quad C_n (n = 1, 2, 3, 4, 6), \quad D_p (p = 2, 3, 4, 6), \quad A_4, \quad S_4.$$

Now suppose that  $G \not\subset \text{SO}_3(\mathbb{R})$ . Let  $G_s = G \cap \text{SO}_3(\mathbb{R})$  and note that  $-I$  ( $I$  is the identity matrix) is an element of order 2 in  $\text{O}_3(\mathbb{R})$  which is not in  $G_s$  and it commutes with all elements of  $G$ . Therefore, by Lemma 2, either  $G \simeq G_s \times C_2$  or  $G \simeq J(G, G_s, -I)$ .  $G_s$  and  $J(G, G_s, -I)$  are finite subgroups of  $\text{SO}_3(\mathbb{R})$  and therefore they are in the list given in (\*). This completes the proof. ■

Now let  $\mathcal{O}$  denote the ring of integers of an imaginary quadratic field  $\mathfrak{K}$ . We fix an embedding  $\mathfrak{K} \hookrightarrow \mathbb{C}$ .

**Notation** We denote the center of a group  $G$  by  $\text{Cent}(G)$ .

**Lemma 4** *Let  $G$  be a group with a normal subgroup  $H$  of prime index. Let  $\rho: G \rightarrow \text{GL}_2(\mathcal{O})$  be a faithful and irreducible representation of  $G$ , and let  $\chi$  be the character of  $\rho$ . Then*

- (i) *either  $\chi = \text{Ind}_H^G \psi$ ,  $\psi(1) = 1$  or  $\chi|_H$  is irreducible. In the case that  $\chi = \text{Ind}_H^G \psi$ ,  $\psi(1) = 1$ , let us set  $N = \text{Ker } \psi$ .*
- (ii) *If  $N = \{\text{id}\}$ , then  $H \simeq C_n$  ( $n = 2, 3, 4, 6, 8, 12$ ).*
- (iii) *If  $N \neq \{\text{id}\}$  and  $[G : H] = 2$  then for all  $\sigma \in G - H$  we have  $N \cap \sigma^{-1}N\sigma = \{\text{id}\}$ .*

**Proof** (i) By Proposition 24 of [20] (p. 61), there exists a subgroup  $J$  of  $G$ , unequal to  $G$  and containing  $H$  such that either  $\chi = \text{Ind}_J^G \psi$ ,  $\psi(1) = 1$  or  $\chi|_J$  is isotypic. Since  $H$  has prime index in  $G$  then  $J = H$ .

If  $\chi|_H$  is isotypic and reducible then  $H \subset \text{Cent}(G)$ . But  $G/H$  is cyclic and therefore  $G/\text{Cent}(G)$  is also cyclic. This implies that  $G$  is Abelian which is a contradiction

since  $G$  has a two dimensional irreducible representation. The only other possibility is that  $\chi|_H$  is irreducible.

(ii) Since  $\psi$  is faithful,  $H$  is isomorphic to a finite subgroup of  $C^\times$  and therefore is cyclic. A characteristic polynomial argument similar to the one in Lemma 1 shows that the order  $n$ , say, of this group can only be 2, 3, 4, 5, 6, 8, 10 or 12 ( $n \neq 1$ , since  $G$  cannot be Abelian). Since  $H$  is cyclic,  $\chi|_H = \psi + \psi'$ .

Now if  $n = 5$ ,  $\psi$  and  $\psi'$  take values in the group of 5-th roots of unity, and therefore  $\chi|_H$  takes values in  $\mathbb{Q}(\zeta_5) \cap \mathfrak{R} = \mathbb{Q}$ . The characteristic polynomial of  $\rho|_H$  has real coefficients and so either  $\psi$  and  $\psi'$  are both real or  $\psi'$  is the complex conjugate of  $\psi$ . Since  $\psi$  has order 5, the first case cannot occur. Hence, we are in the second case, and this implies that the character  $\chi|_H$  takes values in  $\mathbb{Q}(\zeta_5)^+$  which is not  $\mathbb{Q}$  and this is a contradiction. Therefore,  $n \neq 5$ . In a similar way, we can show that  $n \neq 10$ .

(iii) If  $N \neq \{\text{id}\}$  then  $N$  cannot be normal in  $G$ . Indeed, if  $N \triangleleft G$  then  $N \subset \text{Ker } \chi$  and this is not possible as  $\rho$  is faithful. Now  $[G : H] = 2$  and therefore there exists exactly one conjugate of  $N$ , say  $N' = \sigma^{-1}N\sigma$ . Then  $N \cap N' = \{\text{id}\}$  because  $N \cap N' \subset \text{Ker } \chi, N \cap N' \triangleleft G$  and  $\rho$  is faithful. ■

**Remark 1** If  $\mathfrak{R} \neq \mathbb{Q}(\sqrt{-1})$  and  $\mathbb{Q}(\sqrt{-3})$ , in part (ii) of Lemma 2, we can prove that  $n$  is not equal to 8 and 12. This is true since in these cases  $\chi|_H$  takes values in  $\mathbb{Q}(\zeta_8)^+$  or  $\mathbb{Q}(\zeta_{12})^+$  which are not  $\mathbb{Q}$ .

**Lemma 5** Let  $5 \nmid d_{\mathfrak{R}}$  (discriminant of  $\mathfrak{R}$ ). Then, the order of any finite subgroup of  $\text{GL}_2(\mathcal{O})$  is not divisible by 5.

**Proof** Let  $G$  be a finite subgroup of  $\text{GL}_2(\mathcal{O})$ . By Dirichlet’s theorem on primes in arithmetic progressions, there are infinitely many primes  $q \equiv 2 \pmod{5}$  such that  $q$  splits completely in  $\mathcal{O}$ . Let  $q = q_1q_2$  in  $\mathcal{O}$ . We choose  $q$  large enough such that the restriction of the reduction map

$$\text{GL}_2(\mathcal{O}) \rightarrow \text{GL}_2(\mathcal{O}/q_1\mathcal{O})$$

to  $G$  is injective. But  $\text{Card}(\text{GL}_2(\mathcal{O}/q_1\mathcal{O})) = \text{Card}(\text{GL}_2(\mathbb{Z}/q\mathbb{Z})) = (q^2-1)(q^2-q) \equiv 1 \pmod{5}$ . This proves the lemma. ■

**Lemma 6** Let  $G$  be a subgroup of  $\text{GL}_2(\mathcal{O})$ , then either  $G$  is Abelian or  $\text{Cent}(G) \simeq \{\text{id}\}, \mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/3\mathbb{Z}, \mathbb{Z}/4\mathbb{Z}, \mathbb{Z}/6\mathbb{Z}$ .

**Proof** We consider  $G$  as a subgroup of  $\text{GL}_2(\mathfrak{R})$ . Let

$$C(G) = \{\alpha \in \text{GL}_2(\mathfrak{R}) : \alpha\gamma = \gamma\alpha \text{ for all } \gamma \in G\}.$$

Then,  $G$  is either Abelian or

$$C(G) = \left\{ \begin{pmatrix} c & 0 \\ 0 & c \end{pmatrix} : c \in \mathfrak{R}^* \right\}$$

(see [22], p. 179, Problem 2.6(a)). Now the lemma follows from the facts that

$$\text{Cent}(G) = C(G) \cap G$$

and  $\mathcal{O}^* \simeq \{\text{id}\}, \mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/4\mathbb{Z}, \mathbb{Z}/6\mathbb{Z}$ . ■

#### 4 $E(F)$ of $\mathbb{Z}$ -rank 1, 2, 3 or $\mathcal{O}$ -rank 1 or 2

In this section, we assume that  $E(F)$  is infinite of either  $\mathbb{Z}$ -rank  $\leq 3$  or  $\mathcal{O}$ -rank  $\leq 2$ . We apply the results of the previous section to determine the minimal subfield in the case that  $E(F)$  has  $\mathbb{Z}$ -rank 1, 2 or 3. We also consider the case that  $E$  has multiplication by the ring of integers  $\mathcal{O}$  of an imaginary quadratic field  $\mathfrak{K}$  and  $E(F)$  has  $\mathcal{O}$ -rank 1 or 2. In the latter situation, we are able to determine the minimal subfield in all cases but one.

**Theorem 1** *Let  $E$  be an elliptic curve defined over  $K$  and let  $F$  be a finite Galois extension of  $K$ . Let  $M$  be the minimal subfield.*

- (i) *If  $\text{rank}(E(F)) = 1$ , then  $M$  is a cyclic subextension of  $K$  and  $[M : K] = 1$  or  $2$ .*
- (ii) *If  $\text{rank}(E(F)) = 2$ , then  $M$  is either a cyclic subextension of  $K$  and  $[M : K] = 1, 2, 3, 4, 6$  or a dihedral subextension of  $K$  and  $[M : K] = 4, 6, 8, 12$ .*
- (iii) *If  $\text{rank}(E(F)) = 3$ , then  $\text{Gal}(M/K)$  is one of the following:*

$$C_n \times C_m, \quad D_p \times C_m, \quad A_4 \times C_m, \quad S_4 \times C_m$$

where  $n = 1, 2, 3, 4, 6, p = 2, 3, 4, 6$  and  $m = 1, 2$ .

**Proof** (i)  $M/K$  is the subextension given in Proposition 2. It is clear that since  $\rho_f$  is faithful,  $\text{Gal}(M/K)$  is isomorphic to a subgroup of  $\text{GL}_1(\mathbb{Z}) \simeq \mathbb{Z}^* = \{\pm 1\}$  which is cyclic and has order 1 or 2.

(ii), (iii) Let  $\rho_f$  be the faithful representation given in Proposition 2. Applying Lemmas 1 and 3 on  $\rho_f$  imply the results. ■

Now we show that in part (ii) of Theorem 1,  $M$  cannot be a dihedral extension of degree 12 of  $K$ , if we assume the Birch and Swinnerton-Dyer conjecture and some other assumptions.

Let  $M$  be a dihedral extension of  $\mathbb{Q}$  and let  $C$  be the fixed field of the cyclic subgroup  $H$  of the dihedral Galois group in  $M/\mathbb{Q}$ . So  $[C : \mathbb{Q}] = 2$  and  $[M : C] = n$  (say) ( $n \geq 3$ ). We have

$$L(E/M, s) = L(E/C, s) \prod_i L(E/\mathbb{Q} \otimes \text{Ind}_H^G \psi_i, s)^2$$

where  $\psi_i$  are characters of  $H = \text{Gal}(M/C)$ . Since  $G$  is dihedral, the twisted  $L$ -function  $L(E/\mathbb{Q} \otimes \text{Ind}_H^G \psi_i, s)$  has root number  $\pm 1$ , depending on the parity of the order of vanishing of the twisted  $L$ -function at  $s = 1$ .

Now assume that the Birch and Swinnerton-Dyer conjecture is true. Then the assumption that  $\text{rank}(E(M)) = 2$ , and the above factorization of  $L$ -functions implies that we have the following possibilities:



- (i)  $L(E/C, 1) = 0$ .
- (ii) exactly one of the factors  $L(E/\mathbb{Q} \otimes \text{Ind}_H^G \psi_i, s)$  has a simple zero at  $s = 1$ .

In the first case, we must have  $L(E/C, s)$  vanishing to order 2 at  $s = 1$  and none of the two-dimensional twists vanishes. In particular, all the root numbers must satisfy

$$w(E/\mathbb{Q} \otimes \text{Ind}_H^G \psi_i) = 1$$

for all  $i$ . In the second case,  $L(E/C, 1) \neq 0$  and there is a unique  $i$  such that  $L(E/\mathbb{Q} \otimes \text{Ind}_H^G \psi_i, 1) = 0$ . Since this zero is simple

$$w(E/\mathbb{Q} \otimes \text{Ind}_H^G \psi_i) = -1.$$

Moreover, as none of the others vanish, all of the other root numbers are equal to +1.

Now it is clear that if  $M$  is the minimal subfield then (i) cannot be true and thus we are in the situation (ii).

**Proposition 4** *Let  $E$  be a modular elliptic curve of conductor  $N$  defined over  $\mathbb{Q}$  and suppose that the Birch and Swinnerton-Dyer conjecture is true. Also with the above notation assume that  $N$  and conductor of  $\text{Ind}_H^G \psi_i$ 's are relatively prime and for all  $i$ ,  $\chi_i = \det(\text{Ind}_H^G \psi_i)$  is even. Then, in part (ii) of Theorem 1 (for  $K = \mathbb{Q}$ ) the minimal subfield  $M$  cannot be a dihedral extension of degree 12.*

**Proof** Let  $M$  be the minimal subfield in Theorem 1 and follow the notations before the proposition. By a result of Rohrlich (see [17], p. 125, Proposition 1), the root number can be calculated as follows. Let  $\chi_i$  be the determinant of  $\text{Ind}_H^G \psi_i$ . If  $\chi_i$  is even, then

$$w(E/\mathbb{Q} \otimes \text{Ind}_H^G \psi_i) = \chi_i(N).$$

Now,  $\chi_i$  is a quadratic character which can be computed by the following formula:

$$\chi_i = \epsilon \psi_i \circ \text{Ver}$$

where  $\epsilon$  is the character of  $C/\mathbb{Q}$  and  $\text{Ver}$  is the transfer map (Verlagerung) given by

$$\text{Ver}(g) = \begin{cases} g^2 & \text{if } g \notin H \\ g \cdot \delta g \delta^{-1} & \text{if } g \in H. \end{cases}$$

Here,  $\delta$  is a fixed element of  $G - H$  of order 2. Now,  $\psi(\delta g \delta^{-1}) = \overline{\psi(g)}$  and so  $\psi \circ \text{Ver}$  is trivial on  $H$ . Moreover,  $\text{Ver}(\delta) = 1$ . Hence,  $\psi_i \circ \text{Ver} = 1$  and  $\chi_i = \epsilon$  is a quadratic character independent of  $\psi_i$ . Thus, the root numbers  $w(E/\mathbb{Q} \otimes \text{Ind}_H^G \psi_i)$ 's are all equal. But from the argument before the proposition, we know that there is a unique  $i$  such that  $w(E/\mathbb{Q} \otimes \text{Ind}_H^G \psi_i) = -1$  and all of the others are +1. Now since the number of irreducible two dimensional characters of  $D_n$  is  $\frac{n-1}{2}$  if  $n$  is odd and  $\frac{n-2}{2}$  if  $n$  is even, we have  $\epsilon(N) = -1$  and  $n = 3$  or  $4$ . ■

Now let  $E$  be an elliptic curve defined over a number field  $K$  which has complex multiplication by  $\mathcal{O}$ , the ring of integers of an imaginary quadratic number field  $\mathfrak{K}$

contained in  $K$  ( $\mathfrak{R} \subseteq K$ ), and let  $F$  be a finite Galois extension of  $K$ . (We fix once and for all an embedding  $\mathfrak{R} \hookrightarrow \mathbb{C}$ .) Since  $E$  has complex multiplication by  $\mathcal{O}$  and  $E$  is defined over  $K$ , we can fix an isomorphism between the ring of endomorphisms of  $E$  and  $\mathcal{O}$  and equip  $E(F)$  with an  $\mathcal{O}$  action. (Note that all the endomorphisms of  $E$  are defined over  $K$ .)

We consider the submodule  $mE(F)$  of the  $\mathcal{O}$ -module  $E(F)$ , where  $m$  is the order of the  $\mathcal{O}$ -torsion submodule of  $E(F)$ , then  $mE(F)$  is a finitely generated torsion free module over  $\mathcal{O}$  which is projective since  $\mathcal{O}$  is a Dedekind domain. Moreover, there exist free  $\mathcal{O}$ -modules  $M_1$  and  $M_2$ , such that

$$M_1 \subset mE(F) \subset M_2$$

and  $M_1$  and  $M_2$  have the same rank. We call this common rank, the  $\mathcal{O}$ -rank of  $E(F)$ . (For the above algebraic facts, see [9], p. 168, Problems 11 and 13.) Note that  $2 \operatorname{rank}_{\mathcal{O}}(E(F)) = \operatorname{rank}(E(F))$ .

**Remark 2** If the field of complex multiplication  $\mathfrak{R}$  is not contained in  $K$ , still we can consider  $E(F)$  as an  $\mathcal{O}$ -module if we assume that  $\mathfrak{R}K \subset F$ . Also, we want to mention that the upcoming results in this section are also valid for elliptic curves with complex multiplication by a non-maximal order in  $\mathfrak{R}$ .

Now we can consider the  $\mathfrak{R}$ -module  $mE(F) \otimes_{\mathcal{O}} \mathfrak{R} = E(F) \otimes_{\mathcal{O}} \mathfrak{R}$  as a representation space for  $\operatorname{Gal}(F/K)$  to get the following representation:

$$\rho: \operatorname{Gal}(F/K) \rightarrow \operatorname{Aut}(E(F) \otimes_{\mathcal{O}} \mathfrak{R}) \simeq \operatorname{GL}_r(\mathfrak{R})$$

where  $r = \operatorname{rank}_{\mathcal{O}}(E(F))$ . It is clear that we can define an  $\mathcal{O}$ -analogue of the minimal subfield and establish an  $\mathcal{O}$ -analogue of Propositions 1, 2 and 3. Note that in the  $\mathcal{O}$ -analogue of Proposition 2, we have to assume that  $r$  and  $h_{\mathfrak{R}}$  (the class number of  $\mathfrak{R}$ ) are relatively prime to make sure that  $\operatorname{Im}(\rho_f)$  is conjugate to a finite subgroup of  $\operatorname{GL}_r(\mathcal{O})$ . (For more explanation about this condition see [4], Theorem 23.17, p. 530.) Also note that if  $\operatorname{rank}_{\mathcal{O}}(E(F)) = r$  then the  $\mathcal{O}$ -minimal subfield is the same as the minimal subfield  $F_{2r}$  defined in the beginning of Section 2.

**Proposition 5** *If  $\operatorname{rank}_{\mathcal{O}}(E(F)) = 1$ , then the minimal subfield is a cyclic subextension  $M$  of  $K$  and  $[M : K] = 1, 2, 3, 4$  or  $6$ .*

**Proof** Since  $(h_{\mathfrak{R}}, 1) = 1$ , the argument before the proposition implies that  $\operatorname{Im}(\rho_f)$  can be considered as a subgroup of  $\operatorname{GL}_1(\mathcal{O})$ . Now the proof is exactly the  $\mathcal{O}$ -analogue of part (i) of Theorem 1. Note that  $\operatorname{GL}_1(\mathcal{O}) \simeq \mathcal{O}^*$  which is cyclic and has order 1, 2, 4 or 6. ■

If  $\operatorname{rank}_{\mathcal{O}}(E(F)) = 2$  and  $h_{\mathfrak{R}}$  is odd, then  $\rho(\operatorname{Gal}(F/K))$  is isomorphic to a finite subgroup of  $\operatorname{GL}_2(\mathcal{O})$ . We apply the group theoretic lemmas of the previous section to obtain some useful information about the representation  $\rho$  and the group  $\operatorname{Gal}(F/K)$ .

**Theorem 2** Suppose that  $h_{\mathfrak{R}}$  is odd and  $\text{rank}_{\mathcal{O}}(E(F)) = 2$ . Then there is a Galois subextension  $K \subseteq S \subseteq F$  with  $\text{rank}_{\mathcal{O}}(E(S)) > 0$  such that  $G = \text{Gal}(S/K)$  is one of the following:

- (i)  $G$  is cyclic of order 1, 2, 3, 4, 6, 8, or 12.
- (ii)  $G/\text{Cent}(G) \simeq D_n$ . More precisely  $G$  satisfies one of the following:
  - (a)  $G \simeq D_3$ .
  - (b)  $\text{Cent}(G) \simeq \mathbb{Z}/2\mathbb{Z}$  and  $G/\text{Cent}(G) \simeq D_n$  ( $n = 2, 3, 4, 6, 8$ ).
  - (c)  $\text{Cent}(G) \simeq \mathbb{Z}/3\mathbb{Z}$  and  $G/\text{Cent}(G) \simeq D_n$  ( $n = 2, 3, 4, 6$ ).
  - (d)  $\text{Cent}(G) \simeq \mathbb{Z}/4\mathbb{Z}$  and  $G/\text{Cent}(G) \simeq D_n$  ( $n = 2, 3, 4$ ).
  - (e)  $\text{Cent}(G) \simeq \mathbb{Z}/6\mathbb{Z}$  and  $G/\text{Cent}(G) \simeq D_n$  ( $n = 2, 3, 6$ ).
- (iii)  $\text{Cent}(G) \neq \{id\}$  and  $G/\text{Cent}(G) \simeq A_4$  or  $S_4$ .

In (ii) and (iii),  $\text{rank}_{\mathcal{O}}(E(S)) = 2$ . In fact,  $S$  is the minimal subfield in these cases.

**Proof** Let  $\rho: \text{Gal}(F/K) \rightarrow GL_2(\mathcal{O})$  be the representation of  $\text{Gal}(F/K)$  in  $E(F) \otimes_{\mathcal{O}} \mathfrak{R}$  and  $\chi$  be its character. By the  $\mathcal{O}$ -analogue of Proposition 2, we can assume that  $\rho$  is faithful. Also we know that  $G/\text{Cent}(G)$  is isomorphic to a finite subgroup of  $PGL_2(\mathbb{C})$  and therefore (see [21]) is isomorphic to  $C_n, D_n, A_4, S_4$  or  $A_5$ . By Lemma 5,  $G/\text{Cent}(G)$  cannot be isomorphic to  $A_5$ . Note that since  $h_{\mathfrak{R}}$  is odd,  $\mathfrak{R} = \mathbb{Q}(\sqrt{-p})$  for prime  $p$  with  $-p \equiv 1 \pmod{4}$  or  $\mathfrak{R} = \mathbb{Q}(\sqrt{-1}), \mathbb{Q}(\sqrt{-2})$ , and therefore  $5 \nmid d_{\mathfrak{R}}$ .

If  $\rho$  is reducible, let  $\chi$  be the character of  $\rho$ . We have  $\chi = \psi_1 + \psi_2$  over  $\mathbb{C}$ , where  $\psi_1$  and  $\psi_2$  are one dimensional characters of  $G$ . Let  $S$  be the fixed field of  $\text{Ker } \psi_1$  in  $F/K$ . Then  $\psi_1$  is a faithful and irreducible character of  $\text{Gal}(S/K)$ , which implies that  $\text{Gal}(S/K)$  is cyclic and  $\text{rank}_{\mathcal{O}}(E(S)) \neq 0$ . Indeed, (see [17], p. 126)

$$(E(F) \otimes_{\mathcal{O}} \mathbb{C})^{\text{Gal}(F/S)} = E(S) \otimes_{\mathcal{O}} \mathbb{C}.$$

Now a characteristic polynomial argument similar to the one in Lemma 1 implies that  $[S : K] = 1, 2, 3, 4, 6, 8$  or  $12$ .

Thus, we may suppose that  $\rho$  is irreducible. Then, since  $G$  is not Abelian,  $G/\text{Cent}(G)$  cannot be cyclic. Suppose that  $G/\text{Cent}(G)$  is isomorphic to  $A_4$  or  $S_4$ . In this case, we must have  $\text{Cent}(G) \neq \{1\}$ . Indeed,  $G$  is not isomorphic to  $A_4$ , since  $A_4$  does not have any 2-dimensional irreducible representation. This also implies that if  $G \simeq S_4$ , and  $\chi$  is the character of  $\rho$  then  $\chi = \text{Ind}_{A_4}^{S_4} \psi, \psi(1) = 1$  (see part (i) of Lemma 4). But it is known that any 1-dimensional representation of  $A_4$  is trivial on the Klein 4-group  $V_4$  (see [20], p. 42). Since  $V_4 \triangleleft S_4$ , we have

$$V_4 \subset \text{Ker}(\text{Ind}_{A_4}^{S_4} \psi) = \text{Ker } \chi.$$

However,  $\chi$  is the character of the faithful representation  $\rho$ . This is a contradiction. Therefore,  $G$  is not isomorphic to  $S_4$ .

It remains to analyze the possibility  $G/\text{Cent}(G) \simeq D_n$ . Let  $A$  be the cyclic subgroup of order  $n$  in  $D_n$ . Let  $L$  be the fixed field of  $\text{Cent}(G)$  in  $F/K$  and  $M$  be the fixed

$[R : M]$	$[R \cap R^\sigma : M]$	$[F : M]$
2	1	4
3	1	9
4	1, 2	8, 16
6	1, 2, 3	12, 18, 36.

Table 1

field of  $A$  in  $L/K$ . If  $H = \text{Gal}(F/M)$  then  $H/\text{Cent}(G) \simeq A$  is cyclic and therefore  $H$  is Abelian. Clearly  $H$  has index 2 in  $G$ , thus by part (i) of Lemma 4,  $\chi = \text{Ind}_H^G \psi$ ,  $\psi(1) = 1$ . Let  $N = \text{Ker } \psi$ .

By part (ii) of Lemma 4 if  $N = \{\text{id}\}$ , then  $H \simeq C_n$  ( $n = 2, 3, 4, 6, 8, 12$ ). By Lemma 6,  $\text{Cent}(G) \simeq \{\text{id}\}, \mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/3\mathbb{Z}, \mathbb{Z}/4\mathbb{Z}$  or  $\mathbb{Z}/6\mathbb{Z}$ . As  $\text{Cent}(G) \subseteq H$ , we have the following possibilities. If  $\text{Cent}(G) \simeq \{\text{id}\}$  then  $G \simeq D_n$ . In this case  $n$  must be odd, since  $\text{Cent}(D_n) \neq \{\text{id}\}$  for  $n$  even. This proves that  $G \simeq D_3$ . If  $\text{Cent}(G) \simeq \mathbb{Z}/2\mathbb{Z}$  then  $G/\text{Cent}(G) \simeq D_n$  ( $n = 1, 2, 3, 4, 6$ ). But  $n \neq 1$  since in that case  $G$  is Abelian. Similarly, if  $\text{Cent}(G) \simeq \mathbb{Z}/3\mathbb{Z}$  then  $G/\text{Cent}(G) \simeq D_n$  ( $n = 2, 4$ ), if  $\text{Cent}(G) \simeq \mathbb{Z}/4\mathbb{Z}$  then  $G/\text{Cent}(G) \simeq D_n$  ( $n = 2, 3$ ) and if  $\text{Cent}(G) \simeq \mathbb{Z}/6\mathbb{Z}$  then  $G/\text{Cent}(G) \simeq D_n$  ( $n = 2$ ).

Now suppose that  $N \neq \{\text{id}\}$ . First note that since  $\chi = \text{Ind}_H^G \psi$ ,  $\psi(1) = 1$ , then  $\chi|_H = \psi + \psi^\sigma$  where  $\sigma \in G - H$  and  $\psi^\sigma(x) = \psi(\sigma^{-1}x\sigma)$  for  $x \in H$  (See [20], Proposition 22, p. 58). This shows that  $\text{Ker } \psi^\sigma = \sigma^{-1}N\sigma \neq \{\text{id}\}$ . Let  $R$  be the fixed field of  $N$  in  $F/M$ , since  $F$  is the minimal subfield and  $K \subset R \subsetneq F$ , it is clear that  $\text{rank}_\mathbb{Q}(E(R)) = 1$ . In a similar way, we can show that  $\text{rank}_\mathbb{Q}(E(R^\sigma)) = 1$  ( $R^\sigma$  is the fixed field of  $\sigma^{-1}N\sigma$  in  $F/M$ ).

Now since  $\text{rank}_\mathbb{Q}(E(R)) = 1$ , the action of  $\text{Gal}(R/M)$  on  $E(R) \otimes_\mathbb{Q} \mathfrak{K}$  is given by  $\psi$ . This shows that  $R$  is the minimal subfield and therefore it is cyclic of degree 1, 2, 3, 4, 6 (Proposition 5). A similar statement holds for  $R^\sigma$ .

By part (iii) of Lemma 4,

$$\text{Ker } \psi \cap \text{Ker } \psi^\sigma = N \cap \sigma^{-1}N\sigma = \{\text{id}\}.$$

This implies that  $F = RR^\sigma$ . Hence,

$$|H| = [F : M] = \frac{[R : M][R^\sigma : M]}{[R \cap R^\sigma : M]} = \frac{[R : M]^2}{[R \cap R^\sigma : M]}.$$

An easy calculation implies that  $[F : M] = 4, 8, 9, 12, 16, 18, 36$ , which can be checked from Table 1.

Note that  $[R : M] \neq 1$ , since otherwise  $R = R^\sigma = M$ .

By Lemma 6,  $\text{Cent}(G) \simeq \{\text{id}\}, \mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/3\mathbb{Z}, \mathbb{Z}/4\mathbb{Z}$  or  $\mathbb{Z}/6\mathbb{Z}$ . If  $|\text{Cent}(G)| = 1$  then  $G \simeq D_n$ , this implies that  $N \triangleleft G$  and therefore  $N \subset \text{Ker } \chi$  which is a contradiction since  $N \neq \{\text{id}\}$  and  $\chi$  is faithful. If  $|\text{Cent}(G)| = 4$  and  $N \neq \{\text{id}\}$ , then the proof of Lemma 6 shows that  $\mathfrak{K} = \mathbb{Q}(\sqrt{-1})$  and therefore  $[R : M] = 2, 4$ , thus  $[F : M] = 8, 16$  and so  $G/\text{Cent}(G) \simeq D_n$  ( $n = 2, 4$ ). If  $|\text{Cent}(G)| = 6$  and  $N \neq \{\text{id}\}$ , then  $[F : M] = 12, 18, 36$  and so  $G/\text{Cent}(G) \simeq D_n$  ( $n = 4, 6, 12$ ).

$[R : M_2]$	$[R \cap R^\sigma : M_2]$	$[M_2 : M]$	$[F : M]$
2	1	1, 3	4, 12
4	1, 2	1	8, 16.

Table 2

If  $|\text{Cent}(G)| = 2$ , we can refine the above argument to show that  $[F : M]$  cannot be 9, 18 or 36. Since  $H = \text{Gal}(F/M)$  contains  $\text{Cent}(G)$ , the order of  $H$  is even and so  $[F : M] \neq 9$ . To show that  $[F : M] \neq 18$  or 36, recall that  $N \neq \{\text{id}\}$  and  $|\text{Cent}(G)| = 2$ . We first claim that  $N$  is a 2-group (in fact, it is a cyclic<sup>1</sup> 2-group). This is true, because as  $N$  and  $H$  are Abelian, they can be written as a direct sum of their Sylow subgroups

$$N = N_2 \oplus N_{\text{odd}}, \quad H = H_2 \oplus H_{\text{odd}}$$

where  $N_2$  (respectively  $H_2$ ) is the 2-primary part of  $N$  (respectively  $H$ ). Since  $H/\text{Cent}(G)$  is cyclic and  $|\text{Cent}(G)| = 2$ , it follows that  $H_{\text{odd}}$  is cyclic. Moreover,  $H_{\text{odd}} \triangleleft G$ , and since  $N_{\text{odd}} \subset H_{\text{odd}}$  and  $H_{\text{odd}}$  is cyclic,  $N_{\text{odd}} \triangleleft G$ . This shows that for  $\sigma \in G - H$

$$N_{\text{odd}} \subset N \cap \sigma^{-1}N\sigma = \{\text{id}\}$$

and therefore  $N = N_2$ .

Now let  $M_2$  be the fixed field of  $H_2$  in  $F/M$ . Since  $N$  is a subgroup of  $H_2$ , it is clear that  $R$  (the fixed field of  $N$  in  $F/M$ ) is a Galois extension of  $M_2$ , and since  $R/M$  is cyclic with  $[R : M] = 1, 2, 3, 4, 6$ ,  $R$  is a cyclic extension of  $M_2$  and  $[R : M_2] = 1, 2, 4$ . A similar statement holds for  $R^\sigma/M_2$ . We have

$$|H| = [F : M_2][M_2 : M] = \frac{[R : M_2]^2}{[R \cap R^\sigma : M_2]} [M_2 : M].$$

Table 2 summarizes the possibilities for  $[F : M]$  in this case.

So if  $|\text{Cent}(G)| = 2$  and  $N \neq \{\text{id}\}$ , then  $[F : M] = 4, 8, 12, 16$  and so  $G/\text{Cent}(G) \simeq D_n$  ( $n = 2, 4, 6, 8$ ). Similarly, if  $|\text{Cent}(G)| = 3$  and  $N \neq \{\text{id}\}$ , we can prove that  $N = N_{\text{odd}}$ , and  $[F : M] = 9, 18$  and so  $G/\text{Cent}(G) \simeq D_n$  ( $n = 3, 6$ ).

Now it is easy to verify the list given in part (ii) of the statement of the theorem. This completes the proof. ■

**Remark 3** It might be of interest to note that a group  $G$  with cyclic center  $\text{Cent}(G)$  having the property that  $G/\text{Cent}(G) \simeq D_n$  is necessarily a product  $HK$  with  $H$  and  $K$  Abelian, with  $H \cap K = \text{Cent}(G)$ . Moreover, if  $\text{Cent}(G)$  has order  $m$ , then  $H$  has order  $mn$  and  $K$  has order  $2m$ . In some cases, we can say more. For example, if  $n = 3$  and  $m = 2, 3, 4$ , then  $G \simeq \text{Cent}(G) \times D_n$ .

<sup>1</sup>Note that  $N \cap \text{Cent}(G) = \{\text{id}\}$  and  $N \simeq N/N \cap \text{Cent}(G) \simeq N\text{Cent}(G)/\text{Cent}(G) \subset H/\text{Cent}(G) \simeq A$ , where  $A$  is the cyclic subgroup of order  $n$  in  $D_n$ .

**Definition** The generalized quaternion group  $Q_{4n}$  is defined with the following presentations:

$$Q_{4n} = \langle x, y : x^{2n} = 1, x^n = y^2, yxy^{-1} = x^{-1} \rangle.$$

**Theorem 3** Suppose that  $h_{\mathfrak{R}}$  is odd and  $\text{rank}_{\mathcal{O}}(E(F)) = 2$  and  $\mathfrak{R} \neq \mathbb{Q}(\sqrt{-1}), \mathbb{Q}(\sqrt{-3})$ . Then there is a Galois subextension  $S$  with  $K \subseteq S \subseteq F$  and  $\text{rank}_{\mathcal{O}}(E(S)) > 0$  such that  $G = \text{Gal}(S/K)$  is one of the following:

- (i)  $G$  is cyclic of order 1, 2, 3, 4 or 6.
- (ii)  $G$  is isomorphic to  $D_n$  ( $n = 3, 4, 6$ ) or  $Q_{4n}$  ( $n = 2, 3$ ).
- (iii)  $G \simeq \text{SL}_2(\mathbb{Z}/3\mathbb{Z})$  or  $G$  is isomorphic to an extension of  $\text{SL}_2(\mathbb{Z}/3\mathbb{Z})$  by  $\mathbb{Z}/2\mathbb{Z}$  with  $\text{Cent}(G) \simeq \mathbb{Z}/2\mathbb{Z}$ . This can occur only if  $d_{\mathfrak{R}} \not\equiv 1 \pmod{8}$ .

In (ii) and (iii),  $\text{rank}_{\mathcal{O}}(E(S)) = 2$ . In fact,  $S$  is the minimal subfield in these cases.

**Proof** First note that since  $\mathfrak{R} \neq \mathbb{Q}(\sqrt{-1}), \mathbb{Q}(\sqrt{-3})$  in part (ii) of Lemma 4,  $n \neq 8, 12$  (see Remark 1). Applying this fact in the proof of Theorem 2 implies (i) if  $\rho$  (defined in the proof of Theorem 2) is reducible. In the case that  $\rho$  is irreducible and  $G/\text{Cent}(G) \simeq D_n$ , from the assumptions of the theorem, we conclude that  $G \simeq D_3$  or  $\text{Cent}(G) \simeq \mathbb{Z}/2\mathbb{Z}$  and  $G/\text{Cent}(G) \simeq D_n (n = 2, 3)^2$ . Now it is easy to verify the list given in part (ii) of the statement of the theorem, by referring to the list of non-Abelian groups of order 8 and 12 (see for example [5], Appendix B, p. 238).

So, we may suppose that  $\rho$  is irreducible and  $G/\text{Cent}(G)$  is isomorphic to either  $A_4$  or  $S_4$  and that  $\text{Cent}(G) \simeq \mathbb{Z}/2\mathbb{Z}$ .

Let  $G/\text{Cent}(G) \simeq A_4$ . Suppose that  $L$  is the fixed field of  $\text{Cent}(G)$  in  $F/K$  and  $M$  is the fixed field of  $V_4$  (Klein's 4-group) in  $L/K$ . Set  $H \simeq \text{Gal}(F/M)$ . Since  $H/\text{Cent}(G) \cong V_4$  and  $V_4 \triangleleft A_4$ , it follows that  $H \triangleleft G$ , also it is clear that  $[G:H] = 3$ . Suppose that  $\chi|_H$  is reducible. Then, by part (i) of Lemma 4,  $\chi = \text{Ind}_H^G \psi$ ,  $\psi(1) = 1$ . This can never happen because  $[G:H] = 3$  and  $\chi$  is 2 dimensional.

Thus  $\chi|_H$  is irreducible. Note that  $H$  is the 2-Sylow subgroup of  $G$  and it is of order 8. As it is necessarily non-Abelian, it is isomorphic to either  $D_4$  or  $Q_8$  (the quaternion group of order 8). In either case,  $G$  is the semidirect product of  $H$  and  $\mathbb{Z}/3\mathbb{Z}$ .

If  $H \simeq Q_8$ , then  $G \simeq \text{SL}_2(\mathbb{Z}/3\mathbb{Z})$ . This group has three 2-dimensional irreducible representations. For two of these, the character takes values in  $\mathbb{Q}(\sqrt{-3})$  (see for example [13], p. 61) and hence we can exclude these. The remaining representation has character values in  $\mathbb{Z}$ . If the restriction of this representation to  $Q_8$  is irreducible (as we are assuming), it is a representation of Schur index 2 (see [20], p. 94, Exercise 12.3) and it is realizable over  $\mathfrak{R}$  if and only if  $\mathfrak{R}$  can be embedded in the quaternion algebra  $\mathbb{D}$  over  $\mathbb{Q}$  which is ramified at 2 and  $\infty$ . But if  $d_{\mathfrak{R}} \equiv 1 \pmod{8}$ , then  $\mathfrak{R}$  cannot be embedded in  $\mathbb{D}$  as the prime 2 splits in this field. Thus, if  $d_{\mathfrak{R}} \equiv 1 \pmod{8}$  this case cannot occur.

If  $H \simeq D_4$ , then let  $J$  be the cyclic subgroup of order 4. Let  $A$  be a 3-Sylow subgroup of  $G$ . It acts by conjugation on  $J$  (as  $J$  contains all elements of order 4 in

<sup>2</sup>Note that  $\text{Cent}(G) \simeq \mathbb{Z}/2\mathbb{Z}$ , however,  $n = 4, 6, 8$  never occur. This is true since  $\mathfrak{R} \neq \mathbb{Q}(\sqrt{-1}), \mathbb{Q}(\sqrt{-3})$  and therefore in the proof of Theorem 2 if  $N = \{\text{id}\}$ , then  $H \simeq C_n (n = 2, 3, 4, 6)$  and if  $N \neq \{\text{id}\}$ , then in Table 1,  $[R:M] = 2$ .

$D_4$ ). Moreover, it must act trivially as  $\text{Aut}(J)$  is cyclic of order 2. Hence,  $AJ$  is cyclic of order 12. Let  $P$  be the quadratic extension of  $K$  which is fixed by  $AJ$ . Restricting our representation  $\rho$  to  $AJ$ , we find it is reducible and given by two characters  $\psi_1$  and  $\psi_2$  (say).  $\psi_1$  and  $\psi_2$  take values in the group of 12-th roots of unity. The character of  $\rho$  on  $H$  thus takes values in  $\mathbb{Q}(\zeta_{12}) \cap \mathfrak{K} = \mathbb{Q}$  (as  $\mathfrak{K} \neq \mathbb{Q}(\sqrt{-1}), \mathbb{Q}(\sqrt{-3})$ ). In particular, it is real and so either  $\psi_1$  and  $\psi_2$  are both real or  $\psi_2$  is the complex conjugate of  $\psi_1$ . Since  $\rho|_H$  is faithful, the first case cannot occur as it would imply that  $H$  has order at most 4. Hence, we are in the second case, and this implies that  $\psi_1$  is of order 12. But then, the character takes values in  $\mathbb{Q}(\zeta_{12})^+$  which is not  $\mathbb{Q}$  and this is a contradiction. Thus, this case also cannot occur.

Let  $G/\text{Cent}(G) \simeq S_4$ . Again let  $L$  be the fixed field of  $\text{Cent}(G)$  in  $F/K$ ,  $M$  be the fixed field of  $A_4$  in  $L/K$  and  $H = \text{Gal}(F/M)$ . Suppose first that  $\chi|_H$  is reducible. Then by part (i) of Lemma 4,  $\chi = \text{Ind}_H^G \psi$ ,  $\psi(1) = 1$ . Let  $N = \text{Ker } \psi$ . It is clear that  $N \neq \{\text{id}\}$ , since otherwise by part (ii) of Lemma 4,  $H$  is cyclic which is impossible. Let  $R$  be the fixed field of  $N$ , then  $\text{rank}_{\mathfrak{O}}(E(R)) > 0$ . Since  $\rho$  is faithful, we must have  $\text{rank}_{\mathfrak{O}}(E(R)) = 1$ . This implies that  $R$  is the minimal subfield and therefore it is cyclic of order 1 or 2 (Proposition 5). Since  $N \cap \sigma^{-1}N\sigma = \{\text{id}\}$ , we have  $F = RR^\sigma$  and then by a calculation similar to one used in the proof of Theorem 2, we deduce  $[F : M] = 4$  and hence  $[F : K] = 8$ , contradicting our assumption that  $G/\text{Cent}(G) \simeq S_4$ .

Now consider the case  $\chi_1 = \chi|_H$  is irreducible. We argue as in the  $A_4$  case. Let us set  $H_1$  to be the 2-Sylow subgroup of  $H$ . Note that it is a normal subgroup. Now, if we have  $\chi_1|_{H_1}$  reducible, this would force  $\rho_1$  to be the induction of a character from  $H_1$  to  $H$  (by part (i) of Lemma 4) contradicting the fact that  $\rho_1$  is a 2-dimensional representation. On the other hand, if  $\chi_1|_{H_1}$  is irreducible, then  $H_1$  is either the quaternion group of order 8 or the dihedral group of order 8 and both of these cases are dealt with as in the  $A_4$  case using the fact that our representation has to be realizable over  $\mathfrak{K}$ . This shows that if  $d_{\mathfrak{K}} \not\equiv 1 \pmod{8}$ , then  $H \simeq \text{SL}_2(\mathbb{Z}/3\mathbb{Z})$  and therefore  $G$  is an extension of  $\text{SL}_2(\mathbb{Z}/3\mathbb{Z})$  by  $\mathbb{Z}/2\mathbb{Z}$ . This completes the proof of the theorem. ■

## 5 Vanishing of L-Functions

### 5.1 Non-CM Case

Let  $E$  be an elliptic curve defined over  $\mathbb{Q}$  and let  $L(E/\mathbb{Q}, s)$  be the  $L$ -function of  $E$  over  $\mathbb{Q}$ . Kolyvagin [8] proved that for a (modular) elliptic curve  $E$  if  $\text{rank}(E(\mathbb{Q})) \geq 1$  then  $L(E/\mathbb{Q}, 1) = 0$  (see [7], p. 356, Theorem 20.5.2.(b)). This result is generalized to any finite Abelian extension of  $\mathbb{Q}$  by Kato (unpublished).

**Theorem 4** *Let  $E$  be a modular elliptic curve defined over  $\mathbb{Q}$  and let  $F$  be a finite solvable extension of  $\mathbb{Q}$ . Suppose that  $\text{rank}(E(F)) \geq 1$ .*

- (i) *If  $E(F) \otimes \mathbb{Q}$  is an Abelian  $\text{Gal}(F/\mathbb{Q})$  module then  $L(E/F, 1) = 0$ .*
- (ii) *If  $\text{rank}(E(F)) = 1$  then  $L(E/F, 1) = 0$ .*
- (iii) *If  $\text{rank}(E(F)) = 2$  then either  $L(E/F, 1) = 0$  or the minimal subfield is a dihedral extension of  $\mathbb{Q}$  of degree 6, 8 or 12.*

(iv) If  $\text{rank}(E(F)) = 3$  then either  $L(E/F, 1) = 0$  or  $\text{Gal}(M/K)$  ( $M$  is the minimal subfield) is one of the following:

$$A_4, S_4, A_4 \times C_2, S_4 \times C_2.$$

**Proof** (i) Since  $E(F) \otimes \mathbb{Q}$  is an Abelian Galois module, by Proposition 2, there is an Abelian subextension  $M$  of  $\mathbb{Q}$  such that  $\text{rank}(E(M)) \geq 1$ . Now Kato's generalization of Kolyvagin's theorem implies the vanishing of  $L(E/M, s)$  at  $s = 1$ . By Theorem 2 of [12],  $L(E/F, s)$  is divisible by  $L(E/M, s)$ . Hence,  $L(E/F, s)$  also vanishes at  $s = 1$ . This completes the proof.

(ii) By part (i) of Theorem 1,  $E(F) \otimes \mathbb{Q}$  is a cyclic Galois module, and the result follows from part (i).

(iii) It follows from part (ii) of Theorem 1 and (i).

(iv) Let  $\rho_f: \text{Gal}(M/K) \rightarrow \text{GL}_3(\mathbb{Z})$  be the faithful representation given in Proposition 2. We prove that if  $\rho_f$  is reducible then  $L(E/F, 1) = 0$ . Let  $\rho_f$  be reducible, then since its degree is 3,  $\rho_f$  has a one dimensional representation  $\psi$  of  $\text{Gal}(M/K)$  as a direct summand. Let  $M_1$  be the fixed field of  $\ker \psi$  in  $M/K$ . It is clear that  $E$  has a point of infinite order on  $M_1$  and  $M_1$  is at most quadratic over  $\mathbb{Q}$ . As in (i), we conclude that  $L(E/M_1) = 0$  which implies  $L(E/F, 1) = 0$ .

Now note that in part (iii) of Theorem 1, the only groups with a possible three dimensional irreducible representation, are those given in the statement of the theorem. This completes the proof. ■

**Remark 4** If  $M/\mathbb{Q}$  is a dihedral extension of degree  $2n$  such that the fixed field  $C$  of the cyclic subgroup of order  $n$  of  $\text{Gal}(M/\mathbb{Q})$  is imaginary quadratic and of discriminant prime to the conductor of  $E$ , and  $(E(M) \otimes \mathbb{C})^\chi \neq 0$  is infinite ( $\chi$  is a two dimensional character of  $\text{Gal}(M/\mathbb{Q})$ ), then by recent work of Bertolini and Darmon [2],  $L(E/\mathbb{Q} \otimes \chi, 1) = 0$ . Applying this with the factorization of the  $L$ -function of  $E$  over  $M$  (see the paragraph before Proposition 4) and part (ii) of Theorem 1, we deduce that if  $F$  is a finite solvable extension of  $\mathbb{Q}$  such that any quadratic subfield is imaginary and of discriminant prime to the conductor of  $E$ , and  $\text{rank}(E(F)) = 2$  then  $L(E/F, 1) = 0$ .

## 5.2 CM Case

Let  $E$  be an elliptic curve defined over an imaginary quadratic field  $K$  and having complex multiplication by  $\mathcal{O}$ , the ring of integers of  $K$ . Let  $L(E/K, s)$  be the  $L$ -function of  $E$  over  $K$ . It is known that  $L(E/K, s)$  is the product of two Hecke  $L$ -series of  $K$  (see [22], p. 175, Theorem 10.5) and therefore it is defined on the whole complex plane. Coates and Wiles [3] proved that if  $\text{rank}(E(K)) \geq 1$  then  $L(E/K, 1) = 0$ . Arthaud [1] generalized this result to any finite Abelian extension of  $K$ . She proved that if  $F$  is a finite Abelian extension of  $K$  such that  $\text{rank}(E(F)) \geq 1$  then  $L(E/F, 1) = 0$ . The work of Rubin [19] established this under some conditions even if  $E$  is not defined over  $K$ .

**Theorem 5** Let  $E$  be an elliptic curve defined over an imaginary quadratic field  $K$  and



having complex multiplication by  $\mathcal{O}$ , the ring of integers of  $K$ . Let  $F/K$  be a finite Galois extension and let  $\text{rank}_{\mathcal{O}}(E(F)) \geq 1$ .

- (i) If  $E(F) \otimes_{\mathcal{O}} K$  is an Abelian  $K[G]$ -module then  $L(E/F, 1) = 0$ .
- (ii) If  $\text{rank}_{\mathcal{O}}(E(F)) = 1$  then  $L(E/F, 1) = 0$ .
- (iii) If  $\text{rank}_{\mathcal{O}}(E(F)) = 2$  and  $K \neq \mathbb{Q}(\sqrt{-1}), \mathbb{Q}(\sqrt{-3})$ , then either  $L(E/F, 1) = 0$  or the Galois group of the minimal subfield over  $K$  is isomorphic to one of the following:
  - a)  $D_n$  ( $n = 3, 4, 6$ ),  $Q_{4n}$  ( $n = 2, 3$ ).
  - b)  $SL_2(\mathbb{Z}/3\mathbb{Z})$  or an extension of  $SL_2(\mathbb{Z}/2\mathbb{Z})$  by  $\mathbb{Z}/2\mathbb{Z}$  with  $\text{Cent}(G) \simeq \mathbb{Z}/2\mathbb{Z}$ . This can occur only if  $K \neq \mathbb{Q}(\sqrt{-7})$ .

**Proof** (i) By the  $\mathcal{O}$ -analogue of Proposition 2, there is an Abelian subextension  $M$  of  $K$  such that  $\text{rank}_{\mathcal{O}}(E(M)) \geq 1$ . Now by Arthaud’s theorem [1],  $L(E/M, 1) = 0$ . By Theorem 1 of [12],  $L(E/F, s)$  is divisible by  $L(E/M, s)$ . Hence  $L(E/F, 1) = 0$ .

(ii) By Proposition 5,  $E(F) \otimes_{\mathcal{O}} K$  is a cyclic  $K[G]$ -module, and the result follows from part (i).

(iii) It follows from Theorem 3 and (i). Note that since the  $j$ -invariant  $j(E) \in K$  then  $h_K = 1$ , and  $K = \mathbb{Q}(\sqrt{-7})$  is the only imaginary quadratic number field with  $h_K = 1$  that for it  $d_K \equiv 1 \pmod{8}$ . ■

## 6 Elliptic Analogue of Stark’s Theorem

In this section, we investigate the analytic analogue of the minimal subfield. In this, we are guided by the results of Stark [23] about simple zeros of Dedekind zeta functions.

**Definition** Let  $E$  be an elliptic curve defined over  $K$  and let  $F$  be an extension of  $K$ . For each zero  $\omega$  of  $L(E/F, s)$ , the *analytic minimal subfield*  $F_{\omega}$  is a subfield over  $K$  with  $K \subseteq F_{\omega} \subseteq F$  such that

- (i)  $\text{ord}_{s=\omega} L(E/F_{\omega}, s) = \text{ord}_{s=\omega} L(E/F, s)$ .
- (ii) If  $K \subseteq M \subseteq F$  and  $\text{ord}_{s=\omega} L(E/M, s) = \text{ord}_{s=\omega} L(E/F, s)$ , then  $F_{\omega} \subseteq M$ .

**Proposition 6** Let  $F/K$  be a Galois extension with Galois group  $G$ , and suppose that  $L(E/K \otimes \chi, s)$  is holomorphic at  $s = \omega$  for any irreducible character  $\chi$  of  $G$ . Then the analytic minimal subfield  $F_{\omega}$  exists and it is Galois over  $K$ .

**Proof** We have the factorization

$$L(E/F, s) = \prod_{\chi \in \text{Irr}(G)} L(E/K \otimes \chi, s)^{\chi(1)}$$

where  $\text{Irr}(G)$  is the set of irreducible characters of  $G$ . Consider the set

$$Z_{\omega} = \{\chi \mid L(E/K \otimes \chi, \omega) = 0\}.$$

Define

$$H_\omega = \bigcap_{\chi \in Z_\omega} \text{Ker } \chi.$$

Then  $H_\omega$  is a normal subgroup of  $G$  and we let  $F_\omega$  denote its fixed field, which is Galois over  $K$ . Using the holomorphy of  $L(E/K \otimes \chi, s)$ , it is easy to see that  $\text{ord}_{s=\omega} L(E/F, s) = \text{ord}_{s=\omega} L(E/F_\omega, s)$ .

Now let  $M$  be any field between  $F$  and  $K$ . Put  $H = \text{Gal}(F/M)$  and let  $1_H$  be the identity character of  $H$ . We have

$$\text{Ind}_H^G 1_H = \sum_{\chi \in \text{Irr}(G)} a_\chi \chi, \quad 0 \leq a_\chi \leq \chi(1), \quad a_\chi \in \mathbb{Z}.$$

Thus,

$$L(E/M, s) = L(E/K \otimes \text{Ind}_H^G 1_H, s) = \prod_{\chi \in \text{Irr}(G)} L(E/K \otimes \chi, s)^{a_\chi}.$$

This shows that if  $\text{ord}_{s=\omega} L(E/M, s) = \text{ord}_{s=\omega} L(E/F, s)$ , then

$$\sum a_\chi n_\chi = \sum \chi(1) n_\chi$$

where  $n_\chi$  denotes the order of  $L(E/K \otimes \chi, s)$  at  $s = \omega$ . Hence,  $a_\chi = \chi(1)$  for all  $\chi \in Z_\omega$ . We have

$$a_\chi = \langle \text{Ind}_H^G 1_H, \chi \rangle_G = \langle 1_H, \chi|_H \rangle_H = \frac{1}{|H|} \sum_{g \in H} \chi(g).$$

Now if  $a_\chi = \chi(1)$ , then as  $|\chi(g)| \leq \chi(1)$ , we must have  $\chi(g) = \chi(1)$  for all  $g \in H$  and therefore  $H \subset \text{Ker } \chi$  and this holds for all  $\chi \in Z_\omega$ . In other words  $H \subset H_\omega$ . This proves that  $F_\omega \subseteq M$ . ■

**Definition** We say that  $E$  satisfies the Taniyama conjecture over a field  $K$  if the  $L$ -function  $L(E/K, s)$  is the  $L$ -function  $L(\pi, s)$  of an automorphic representation of  $\text{GL}_2(\mathbb{A}_K)$ , where  $\mathbb{A}_K$  is the adèle ring of  $K$ .

**Proposition 7** Suppose that  $E$  satisfies the Taniyama conjecture over  $K$ . Let  $F$  be a solvable extension of  $K$  and let  $\chi$  be a character of  $G = \text{Gal}(F/K)$ . Then,  $L(E/K \otimes \chi, s)$  is holomorphic at  $s = \omega$  if  $\omega$  is a simple zero of  $L(E/F, s)$ .

**Proof** Let  $H$  be a subgroup of  $G$  and let  $\chi$  and  $\psi$  denote irreducible characters of  $G$  and  $H$ . Set

$$\theta_G = \sum_{\chi} n_\chi \chi, \quad \theta_H = \sum_{\psi} n_\psi \psi$$

where  $n_\chi$  and  $n_\psi$  denote the orders of zeros of  $L(E/K \otimes \chi, s)$  and  $L(E/F^H \otimes \psi, s)$  at  $s = \omega$  respectively ( $F^H$  is the fixed field of  $H$  in  $F/K$ ). By Proposition 1 of [12]

$$(*) \quad \theta_G|_H = \theta_H.$$

Suppose  $g$  is an element of  $G$  and let  $H = \langle g \rangle$  be the cyclic group generated by  $g$ . Then,  $L(E/F^H \otimes \psi, s)$  is analytic (see [12], p. 492, Proof of Theorem 2) and since

$$L(E/F, s) = \prod_{\psi} L(E/F^H \otimes \psi, s)^{\psi(1)}$$

and  $\text{ord}_{s=\omega} L(E/F, s) = 1$ , then  $\theta_H = \psi$  for some irreducible character  $\psi$  of  $H$ . From (\*),  $\theta_G(g)$  is a root of unity and therefore

$$\begin{aligned} \sum_{\chi} n_{\chi}^2 &= \left\langle \sum_{\chi} n_{\chi} \chi, \sum_{\chi} n_{\chi} \chi \right\rangle \\ &= \frac{1}{|G|} \sum_{g \in G} |\theta_G(g)|^2 = 1. \end{aligned}$$

This shows that all  $n_{\chi}$ 's except one are 0. By taking  $H = \langle 1 \rangle$ , we have  $\theta_G(1) = 1$  and thus the remaining  $n_{\chi}$  is 1. This proves that  $L(E/K \otimes \chi, s)$  is analytic at  $s = \omega$ . ■

**Corollary 1** Under the assumptions of the above proposition  $F_{\omega}$  exists. Moreover,  $F_{\omega}$  is a cyclic extension of  $K$ . If  $\omega$  is real,  $[F_{\omega} : K] \leq 2$ .

**Proof** By the previous proposition  $L(E/K \otimes \chi, s)$  is holomorphic at  $s = \omega$ , thus if  $\text{ord}_{s=\omega} L(E/F, s) = 1$  then there is a  $\chi \in \text{Irr}(G)$  such that  $\text{ord}_{s=\omega} L(E/K \otimes \chi, s) = 1$  and  $\chi(1) = 1$ . Now by Proposition 6,  $F_{\omega}$  is the fixed field of  $\text{Ker } \chi$ . Since  $\chi$  is one dimensional  $F_{\omega}$  is a cyclic extension of  $K$ . Moreover, if  $\omega$  is real

$$\text{ord}_{s=\omega} L(E/K \otimes \bar{\chi}, s) = \text{ord}_{s=\omega} L(E/K \otimes \chi, s).$$

Hence,  $\chi = \bar{\chi}$ . ■

**Remark 5** Let  $F$  be a Galois extension of  $K$ , then Corollary 1 is still true if  $E$  is an elliptic curve with complex multiplication. Note that in this case, we can remove the hypothesis that  $F/K$  is solvable, as  $E$  satisfies the Taniyama conjecture over any Galois extension of  $K$  (see [12], p. 488, Lemma 2).

**Corollary 2** Let  $E$  be an elliptic curve defined over a number field  $K$ . Suppose that  $E$  has complex multiplication by an order in an imaginary quadratic field contained in  $K$ . Let  $F$  be a Galois extension of  $K$  and let  $\chi$  be a character of  $G = \text{Gal}(F/K)$ . Then,  $L(E/K \otimes \chi, s)$  is holomorphic at  $s = \omega$  if  $\omega$  is a double zero of  $L(E/F, s)$ , and  $\omega$  is real. Moreover,  $F_{\omega}$  exists and  $F_{\omega}$  is a cyclic extension of  $K$ .

**Proof** We have the factorization

$$L(E/K, s) = L(\psi_K, s)L(\bar{\psi}_K, s)$$

where  $\psi_K$  is a Hecke character of  $K$ . Over  $F$ ,

$$L(E/F, s) = L(\psi_F, s)L(\bar{\psi}_F, s)$$

where  $\psi_F$  denotes the restriction of  $\psi_K$  to  $\text{Gal}(\bar{F}/F)$ . As  $\omega$  is real, both factors on the right vanish at  $s = \omega$ . As  $\text{ord}_{s=\omega} L(E/F, s) = 2$ , it follows that

$$\text{ord}_{s=\omega} L(\psi_F, s) = \text{ord}_{s=\omega} L(\overline{\psi_F}, s) = 1.$$

Now the argument of Proposition 7 implies that all  $L(\psi_K \otimes \chi, s)$  are holomorphic at  $s = \omega$  and that  $F_\omega$  exists and is a cyclic extension of  $K$ . ■

Finally, we show that we can replace the assumption of holomorphy in the statement of Proposition 6, with a milder condition if we assume that  $E$  has complex multiplication and  $F$  is contained in a solvable extension of  $K$  ( $F/K$  is not necessarily Galois).

**Proposition 8** *Suppose that  $F/K$  has solvable normal closure, and let  $E$  be an elliptic curve defined over  $K$  which has complex multiplication. Suppose that for any two subfields  $M_1$  and  $M_2$  with the property that*

$$\text{ord}_{s=\omega} L(E/M_1, s) = \text{ord}_{s=\omega} L(E/M_2, s) = \text{ord}_{s=\omega} L(E/F, s)$$

*the quotient*

$$\frac{L(E/M_1M_2, s)L(E/M_1 \cap M_2, s)}{L(E/M_1, s)L(E/M_2, s)}$$

*is holomorphic at  $s = \omega$ . Then the analytic minimal subfield  $F_\omega$  exists.*

**Proof** Let  $\mathcal{S}$  be the set of subfields  $M$  of  $F$  with

$$\text{ord}_{s=\omega} L(E/M, s) = \text{ord}_{s=\omega} L(E/F, s).$$

We prove that  $\mathcal{S}$  is closed under intersections and thus has a minimal element. Let  $M_1$  and  $M_2$  be in  $\mathcal{S}$ , then by the hypothesis

$$\frac{L(E/M_1M_2, s)L(E/M_1 \cap M_2, s)}{L(E/M_1, s)L(E/M_2, s)}$$

is holomorphic at  $\omega$ . Moreover, by the main result of [12] (see Theorem 1),  $L(E/M_1, s)$  divides  $L(E/M_1M_2, s)$  and  $L(E/M_2, s)$  divides  $L(E/M_1M_2, s)$ . Thus,

$$\text{ord}_{s=\omega} L(E/M_1, s) \leq \text{ord}_{s=\omega} L(E/M_1M_2, s) \leq \text{ord}_{s=\omega} L(E/F, s)$$

and therefore we have equality throughout. Hence,

$$\text{ord}_{s=\omega} L(E/M_1 \cap M_2, s) \geq \text{ord}_{s=\omega} L(E/F, s).$$

The reverse inequality also holds (as  $L(E/M_1 \cap M_2, s)$  divides  $L(E/F, s)$ ). This proves that  $\mathcal{S}$  has a minimal element  $F_\omega$ . ■

**Remark 6** Note that the assumption of holomorphy in the previous proposition is implied by the holomorphy of  $L(E/K \otimes \chi, s)$  at  $s = \omega$  (see [23], p. 151, Lemma 12).

**Remark 7** Proposition 8 is also true, in the case that  $E$  satisfies the Taniyama conjecture over  $K$  and  $F$  is a solvable extension of  $K$ .

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