

## ALGEBRAS ASSOCIATED WITH A FREE INVERSE MONOID

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### Abstract

Let  $S$  be an ideal of the free inverse monoid on a set  $X$ , and let  $\mathcal{B}$  denote the Banach algebra  $l^1(S)$ . It is shown that the following statements are equivalent:  $\mathcal{B}$  is  $*$ -primitive;  $\mathcal{B}$  is prime;  $X$  is infinite. A similar result holds if  $\mathcal{B}$  is replaced by  $\mathbb{C}[S]$ , the complex semigroup algebra of  $S$ .

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By a  $*$ -module for an algebra  $A$  over the complex field  $\mathbb{C}$ , with involution  $*$ , we mean a (left) module  $V$  that admits an inner product  $\langle \cdot | \cdot \rangle$  such that, for all  $u, v \in V$  and all  $a \in A$ ,

$$\langle au | v \rangle = \langle u | a^*v \rangle.$$

As in [2], we say that  $A$  is  $*$ -primitive if and only if it has a faithful irreducible  $*$ -module. Clearly, if  $A$  is  $*$ -primitive then it is primitive.

Let  $S$  be an inverse semigroup, and let  $l^1(S)$  denote the Banach  $*$ -algebra consisting of all functions  $a : S \rightarrow \mathbb{C}$  such that  $\sum_{s \in S} |a(s)| < \infty$ . Addition and scalar multiplication are defined pointwise, multiplication is convolution, and  $\|a\| = \sum_{s \in S} |a(s)|$  for  $a \in l^1(S)$ . As is customary, we identify the elements of  $S$  with their characteristic functions, and write a typical element of  $l^1(S)$  in the form  $\sum_{x \in S} \alpha_x x$ , where each  $\alpha_x \in \mathbb{C}$  and  $\sum_{x \in S} |\alpha_x| < \infty$ . The involution on  $l^1(S)$  is given by the rule that  $(\sum \alpha_x x)^* = \sum \overline{\alpha_x} x^{-1}$ , where  $x \mapsto x^{-1}$  is inversion in  $S$ . Note that  $\|a^*\| = \|a\|$  for all  $a \in l^1(S)$ .

The subalgebra of  $l^1(S)$  consisting of all functions  $S \rightarrow \mathbb{C}$  of finite support is the usual semigroup algebra of  $S$  over  $\mathbb{C}$ , and is denoted by  $\mathbb{C}[S]$ . The involution  $*$  on  $l^1(S)$  restricts to an involution on  $\mathbb{C}[S]$ .

We shall not distinguish singleton sets from their elements. The cardinal of a set  $S$  is denoted by  $|S|$ .

The free inverse monoid on a nonempty set  $X$  can be constructed as follows. Let  $G_X$  denote the free group on  $X$ , the set of all reduced words in the formal alphabet  $X \cup X^{-1}$  subject to the usual multiplication. For  $w \in G_X$ , denote by  $\bar{w}$  the set of all prefixes of  $w$  in reduced form, including 1 and  $w$ . For  $H \subseteq G_X$ , define  $\bar{H} := \bigcup_{h \in H} \bar{h}$ . We say that  $H$  is *left-closed* if  $\bar{H} = H$ . Let  $\mathcal{E}_X$  denote the set of all nonempty finite left-closed subsets of  $G_X$ . Note that  $\bar{g} \in \mathcal{E}_X$  for all  $g \in G_X$ . Write

$$M_X := \{(A, g) \in \mathcal{E}_X \times G_X \mid g \in A\}.$$

It can be verified that if  $(A, g), (B, h) \in M_X$  then  $A \cup gB \in \mathcal{E}_X$ , and so we can define a multiplication in  $M_X$  by the rule that

$$(A, g)(B, h) = (A \cup gB, gh).$$

With this definition,  $M_X$  is the free inverse monoid on  $X$ , where  $(A, g)^{-1} = (g^{-1}A, g^{-1})$  for all  $(A, g) \in M_X$  [4]. The element  $(1, 1)$  is the identity of  $M_X$  and the ideal  $M_X \setminus (1, 1)$  of  $M_X$  is the free inverse semigroup on  $X$ .

For  $A \subseteq G_X$ , define  $\text{con}(A)$ , the *content* of  $A$ , by

$$\text{con}(A) := \{x \in X \mid x \text{ or } x^{-1} \text{ occurs in the reduced form of some element of } A\}.$$

We require the following lemma, taken from [3]. For a proof, see [2] or [5].

**LEMMA 1.** *Let  $\mathcal{A}$  be a Banach algebra,  $V$  a Banach space and  $\circ$  a left action of  $\mathcal{A}$  on  $V$  such that*

$$\|a \circ v\| \leq \kappa \|a\| \|v\| \quad \text{for all } a \in \mathcal{A}, v \in V$$

where  $\kappa$  is a positive constant. Suppose that there exists a cyclic vector  $v_0$  in  $V$  and that for all  $v \in V \setminus \{0\}$  there exists a sequence  $(a_n)$  in  $\mathcal{A}$  such that  $a_n \circ v \rightarrow v_0$ . Then  $V$  is irreducible.

The next lemma provides the key step in the proof of the main result.

**LEMMA 2.** *Let  $X$  be an infinite set. Then  $l^1(M_X)$  is  $*$ -primitive.*

**PROOF.** Write  $\mathcal{A} := l^1(M_X)$ . Since  $|X| = |X \times \mathbb{N}|$ , there exists a set  $\mathcal{S}$  with cardinality  $|X|$  whose elements are countably-infinite pairwise-disjoint subsets of  $X$ . Then  $|\mathcal{S}| = |X| = |\mathcal{E}_X|$ , and so there exists a bijection  $\theta : \mathcal{E}_X \rightarrow \mathcal{S}$ . For each  $A \in \mathcal{E}_X$ , write  $\phi(A) := \theta(A) \setminus \text{con}(A)$ . Since  $\text{con}(A)$  is finite, each  $\phi(A)$  is an infinite subset of  $X$ ; further, if  $A$  and  $B$  are distinct elements of  $\mathcal{E}_X$  then  $\phi(A) \cap \phi(B) = \emptyset$ . Define  $H \subset G_X$  by

$$H := \{1\} \cup \left[ \bigcup_{A \in \mathcal{E}_X} \bigcup_{x \in \phi(A)} xA \right].$$

Note that  $H$  is left-closed. Write  $H^{-1} := \{h^{-1} \mid h \in H\}$ , and define  $V$  to be the Banach space  $l^1(H^{-1})$ . Let  $V$  have the inner product  $\langle \cdot \mid \cdot \rangle$ , which has  $H^{-1}$  as an

orthonormal set. We define an action  $\circ$  of  $l^1(M_X)$  on  $V$  as follows. For  $(A, g) \in M_X$  and  $v \in H^{-1}$ , write

$$(A, g) \circ v := \begin{cases} gv & \text{if } A \subseteq gvH, \\ 0 & \text{otherwise.} \end{cases}$$

If here  $A \subseteq gvH$ , then  $1 \in gvH$ , and so  $gv \in H^{-1}$ . Let  $(A, g), (B, h) \in M_X$  and  $v \in H^{-1}$ . Then

$$(B, h) \circ [(A, g) \circ v] = \begin{cases} hgv & \text{if } A \subseteq gvH \text{ and } B \subseteq hgvH, \\ 0 & \text{otherwise.} \end{cases}$$

Also,

$$\begin{aligned} [(B, h)(A, g)] \circ v &= (B \cup hA, hg) \circ v \\ &= \begin{cases} hgv & \text{if } B \cup hA \subseteq hgvH, \\ 0 & \text{otherwise.} \end{cases} \end{aligned}$$

Since  $B \cup hA \subseteq hgvH$  if and only if  $A \subseteq gvH$  and  $B \subseteq hgvH$ , it follows that  $[(B, h)(A, g)] \circ v = (B, h)[(A, g) \circ v]$ . For all  $(A, g) \in M_X$  and  $v \in H^{-1}$ ,  $\|(A, g) \circ v\| \leq 1 = \|v\|$ . Hence, by linearity and continuity, we can extend  $\circ$  to a left action of  $\mathcal{A}$  on  $V$  with the property that

$$(\forall a \in \mathcal{A})(\forall v \in V) \quad \|a \circ v\| \leq \|a\| \|v\|.$$

We first show that the action is faithful. Let  $a = \sum_0^\infty \alpha_k(A_k, g_k) \in \mathcal{A}$ , with  $\alpha_k \in \mathbb{C}$ ,  $\alpha_0 \neq 0$ , the  $(A_k, g_k)$  distinct in  $M_X$ , and  $|A_0|$  minimal among the  $|A_k|$ . Choose  $n \in \mathbb{N}$  such that  $\sum_{k>n} |\alpha_k| < |\alpha_0|$ , and then choose  $z \in \phi(A_0) \setminus \bigcup_0^n \text{con}(A_k)$ . This is possible since  $\phi(A_0)$  is infinite. Note that  $z, zg_0 \in H$ , since  $zA_0 \subset H$ . Consider  $\langle a \circ g_0^{-1}z^{-1} \mid z^{-1} \rangle$ . Contributions to this come only from terms  $\alpha_k(A_k, g_k)$  with  $g_k = g_0$  and  $A_k \subseteq z^{-1}H$ . Now,

$$z^{-1}H = z^{-1} \cup A_0 \cup \left[ \bigcup_{A \in \mathcal{E}_X} \bigcup_{x \in \phi(A) \setminus z} z^{-1}xA \right].$$

In each set  $z^{-1}xA$  here,  $x$  and  $z$  are distinct elements of  $X$  with  $x \notin \text{con}(A)$  (since  $x \in \phi(A)$ ). Thus every element of  $z^{-1}H \setminus A_0$  has  $z$  in its content. Hence if  $k \leq n$  and  $A_k \subseteq z^{-1}H$  then  $A_k \subseteq A_0$ , and so  $A_k = A_0$ ; if also  $g_k = g_0$ , then  $k = 0$ . Since  $A_0 \subseteq z^{-1}H$ ,  $(A_0, g_0) \circ g_0^{-1}z^{-1} = z^{-1}$ . Therefore

$$\langle a \circ g_0^{-1}z^{-1} \mid z^{-1} \rangle = \alpha_0 + \sum_{\text{some } k>n} \alpha_k \neq 0,$$

and so  $a \circ V \neq 0$ . This shows that the representation is faithful.

Now consider irreducibility. We first prove that the element  $1 \in V$  is cyclic. For all  $h \in H$ ,  $\bar{h} \in \mathcal{E}_X$  and  $\bar{h} \subseteq H$ . Hence,  $(h^{-1}\bar{h}, h^{-1}) = (\overline{h^{-1}}, h^{-1}) \in M_X$  and

$(h^{-1}\bar{h}, h^{-1}) \circ 1 = h^{-1}$ . Consider  $v \in V$ ,  $v = \sum_1^\infty \alpha_k h_k^{-1}$ , with  $\alpha_k \in \mathbb{C}$  and  $h_k \in H$ . Then  $a := \sum_1^\infty \alpha_k (h_k^{-1}\bar{h}_k, h_k^{-1}) \in l^1(M_X)$  and  $a \circ 1 = v$ . Therefore  $1 \in V$  is cyclic.

Let  $v \in V \setminus 0$ . Write  $v = \sum_0^\infty \alpha_k g_k^{-1}$ , with  $\alpha_k \in \mathbb{C}$ ,  $\alpha_0 \neq 0$ , and the  $g_k$  distinct elements of  $H$ . Since  $g_0 \in H$ ,  $\bar{g}_0 \subset H$ , and so  $(\bar{g}_0, g_0) \circ g_0^{-1} = 1$ . For each  $k$ ,  $(\bar{g}_0, g_0) \circ g_k^{-1}$  is either  $g_0 g_k^{-1}$  or 0. Therefore we can write  $(\bar{g}_0, g_0) \circ v = \sum_0^\infty \beta_k h_k^{-1}$ , where  $h_k \in H$ ,  $h_0 = 1$ ,  $h_k \neq 1$  for all  $k > 0$ ,  $\beta_k \in \mathbb{C}$ , and  $\beta_0 = \alpha_0$ . For each  $k \in \mathbb{N}$ , since  $h_k \in H \setminus 1$ ,  $h_k \in x_k A_k$  for some  $A_k \in \mathcal{E}_X$  and  $x_k \in \phi(A_k)$ . For each  $n \in \mathbb{N}$ , choose  $z_n \in \phi(1) \setminus \bigcup_1^n \text{con}(A_k)$ . Then  $z_n = z_n 1 \in H$ , and  $\bar{z}_n \subset H$ . Let  $n \in \mathbb{N}$ . For  $k \in \{1, 2, \dots, n\}$ ,  $h_k z_n \in x_k A_k z_n$ . Hence  $h_k z_n \notin x_k A_k$ , since  $x_k, z_n \notin \text{con}(A_k)$ . Further,  $h_k z_n$  has first letter  $x_k$  in reduced form, and the only elements of  $H$  with first letter  $x_k$  are those of  $x_k A_k$ . Thus  $h_k z_n \notin H$ . Hence  $\bar{z}_n \not\subseteq h_k^{-1} H$ , and so  $(\bar{z}_n, 1) \circ h_k^{-1} = 0$ . Also,  $(\bar{z}_n, 1) \circ 1 = 1$ . Therefore

$$[(\bar{z}_n, 1)(\bar{g}_0, g_0)] \circ v = \beta_0 1 + \sum_{\text{some } k > n} \beta_k h_k^{-1},$$

and so  $a_n \circ v \rightarrow 1$  as  $n \rightarrow \infty$ , where  $a_n = \beta_0^{-1}(\bar{z}_n, 1)(\bar{g}_0, g_0)$ . By Lemma 1, the representation is irreducible.

Finally, we show that  $V$  is a  $*$ -module, by showing that

$$(\forall a \in \mathcal{A})(\forall v, w \in V) \quad \langle a \circ v \mid w \rangle = \langle v \mid a^* \circ w \rangle. \tag{1}$$

Consider first the case  $a = (A, g) \in M_X$  and  $v, w \in H^{-1}$ . Then  $a^* = (g^{-1}A, g^{-1})$ , and

$$\begin{aligned} a \circ v = w &\Leftrightarrow A \subseteq gvH, \quad gv = w \\ &\Leftrightarrow g^{-1}A \subseteq g^{-1}wH, \quad g^{-1}w = v \\ &\Leftrightarrow a^*w = v. \end{aligned}$$

Hence both sides of (1) are equal to 1 if  $a \circ v = w$  and are otherwise 0. Thus (1) is established in this case. Since, as is easily verified,  $|\langle v \mid w \rangle| \leq \|v\| \|w\|$  for all  $v, w \in V$ , (1) follows in all cases by linearity and continuity.  $\square$

We also need the following standard result, showing that every nonzero ideal of a primitive algebra (over an arbitrary field) is primitive.

**LEMMA 3.** *let  $V$  be a faithful irreducible left module for an algebra  $\mathcal{A}$ , and let  $\mathcal{B}$  be a nonzero ideal of  $\mathcal{A}$ . Then  $V$  is a faithful irreducible module for  $\mathcal{B}$ .*

The main result now follows.

**THEOREM.** *Let  $S$  be an ideal of  $M_X$ . The following are equivalent: (i)  $l^1(S)$  is  $*$ -primitive; (ii)  $l^1(S)$  is prime; (iii)  $X$  is infinite; (iv)  $\mathbb{C}[S]$  is  $*$ -primitive; (v)  $\mathbb{C}[S]$  is prime.*

**PROOF.** By [1, Lemma 2], if  $X$  is finite then  $\mathbb{C}[S]$  is not prime; and the proof shows also that  $l^1(S)$  is not prime. This proves that (ii) implies (iii) and that (v) implies (iii). Since primitivity implies primeness, (i) implies (ii) and (iv) implies (v).

Now assume that  $X$  is infinite. By Lemma 3, the module  $V$  constructed in the proof of Lemma 2 is a faithful irreducible module for  $l^1(S)$ , and clearly also a  $*$ -module. This proves that (iii) implies (i). For  $\mathbb{C}[M_X]$ , we take as module  $W := \text{lin}(H^{-1})$ , with the action defined as before. Then  $W$  is a faithful irreducible  $*$ -module for  $\mathbb{C}[M_X]$ , and so for its ideal  $\mathbb{C}[S]$ . The proof is on the same lines as that for  $l^1(M_X)$ , but is simpler, not requiring Lemma 1, for instance. This shows that (iii) implies (iv).  $\square$

**REMARKS.** The argument of Lemma 2 also shows that  $F[S]$  is primitive for any ideal  $S$  of  $M_X$  and any field  $F$  when  $X$  is infinite: a result previously obtained in [1]. The module is taken to be  $\text{lin}(H^{-1})$ . The proof is a simplified version, not requiring Lemma 1 and ignoring the  $*$ -condition.

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