

CENTRAL $*$ -DIFFERENTIAL IDENTITIES IN PRIME RINGS

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ABSTRACT. Let R be a prime ring with involution and d, δ be derivations on R . Suppose that $xd(x) - \delta(x)x$ is central for all symmetric x or for all skew x . Then $d = \delta = 0$ unless R is a commutative integral domain or an order of a 4-dimensional central simple algebra.

It was shown in [1] that if R is a prime ring and d, δ are two derivations of R such that $xd(x) - \delta(x)x$ lies in the center of R for all $x \in R$, then either $d = \delta = 0$ or R is commutative. In this paper we are concerned with a similar problem in the setting of rings with involution. Let R be a prime ring with an involution $*$. Suppose that d and δ are derivations of R such that $xd(x) - \delta(x)x$ is central for all $x = x^*$ or for all $x = -x^*$. Here we show that $d = \delta = 0$ unless R is a commutative integral domain or an order of a 4-dimensional central simple algebra. This extends the results in [7] where the same conclusions were proved under the additional assumption $d = \delta$.

In what follows, R will always denote a prime ring with an involution $*$ and Z the center of R . $S = \{x \in R \mid x^* = x\}$ is the set of symmetric elements in R and $K = \{x \in R \mid x^* = -x\}$ the set of skew elements. Let d and δ denote two derivations of R . We are going to show that R satisfies the standard identity $s_4 = \sum_{\sigma \in S_4} (-1)^\sigma X_{\sigma(1)} X_{\sigma(2)} X_{\sigma(3)} X_{\sigma(4)}$ provided $d \neq 0$ or $\delta \neq 0$. Let C stand for the extended centroid of R and \bar{C} the algebraic closure of C . RC is the central closure of R and R is called *centrally closed* if $RC = R$. For subsets A and B , $[A, B]$ will denote the additive subgroup generated by elements of the form $[a, b] = ab - ba$ with $a \in A$ and $b \in B$. The involution $*$ on R can be extended to an involution on RC [4, Lemma 2.4.1] which will also be denoted by $*$. The involution $*$ is said to be *of the first kind* if $\alpha^* = \alpha$ for all $\alpha \in C$ and *of the second kind* otherwise. We begin with a well-known

LEMMA. *If $d(S) \subseteq Z$ or $d(K) \subseteq Z$, then either $d = 0$ or R satisfies s_4 .*

PROOF. Assume that $d \neq 0$. If $\text{char } R \neq 2$, then R satisfies s_4 by [6, Lemma 5 and Corollary] or [8, Lemma 1.6]. Hence, assume that $\text{char } R = 2$ and then $K = S$ in this case. For $s \in S$, we have $d(s^2) = 2sd(s) = 0$. Thus, $0 = s^2 d(s^2 x + x^* s^2) + d(s^2 x + x^* s^2) s^2 = s^4 d(x) + s^2 d(x + x^*) s^2 + d(x^*) s^4 = s^4 d(x) + d(x + x^*) s^4 + d(x^*) s^4 = s^4 d(x) + d(x) s^4$ for all $x \in R$. That is, $[s^4, d(R)] = 0$ and so $s^8 \in Z$ by a theorem due to Herstein [5]. Therefore, R satisfies s_4 by [7, Thm.3].

Now we prove a symmetric version of Brešar's Theorem.

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THEOREM 1. *If $sd(s) - \delta(s)s \in \mathcal{Z}$ for all $s \in S$, then either $d = \delta = 0$ or R satisfies s_4 .*

PROOF. Linearize the relation $sd(s) - \delta(s)s \in \mathcal{Z}$ to obtain $sd(t) - \delta(t)s + td(s) - \delta(s)t \in \mathcal{Z}$ for all s, t in S . Replacing t with $[s, k]$ for $k \in K$ and using $sd(s) - \delta(s)s \in \mathcal{Z}$, we have $s[s, d(k)] - [s, \delta(k)]s \in \mathcal{Z}$ for all $s \in S$ and $k \in K$. Thus, for each $k \in K$, the inner derivations D_k and Δ_k , defined by $D_k(x) = [x, d(k)]$ and $\Delta_k(x) = [x, \delta(k)]$, satisfy $sD_k(s) - \Delta_k(s)s \in \mathcal{Z}$ for all $s \in S$. Suppose that the theorem has been proved for inner derivations; then we can conclude that either $D_k = \Delta_k = 0$ for each $k \in K$ or R satisfies s_4 . In the former case, we have $d(K) \subseteq \mathcal{Z}$ and $\delta(K) \subseteq \mathcal{Z}$ whence either $d = \delta = 0$ or R satisfies s_4 by the Lemma. So it suffices to consider the situation when $d(x) = [x, a]$ and $\delta(x) = [x, b]$ for some fixed elements a, b in R .

Assume first that $\mathcal{Z} \cap S \neq 0$, that is, there exists $\alpha \in \mathcal{Z}$ with $\alpha^* = \alpha \neq 0$. From $sd(\alpha) - \delta(\alpha)s + \alpha d(s) - \delta(s)\alpha \in \mathcal{Z}$, it follows that $d(s) - \delta(s) \in \mathcal{Z}$ for all $s \in S$ since $d(\alpha) = \delta(\alpha) = 0$. Again, by the Lemma, either $d = \delta$ or R satisfies s_4 . But if $d = \delta$, we are done by [7, Thm.1 and Thm.5]. So assume that $\mathcal{Z} \cap S = 0$ from which $\mathcal{Z} = 0$ follows. Thus $s[s, a] - [s, b]s = 0$ for all $s \in S$. We assume that a and b are not both zero and proceed to show that R satisfies s_4 . Applying $*$ to $s[s, a] - [s, b]s = 0$, we obtain that $s[s, b^*] - [s, a^*]s = 0$ and so both $s[s, a + b^*] - [s, b + a^*]s = 0$ and $s[s, a - b^*] - [s, b - a^*]s = 0$ for all $s \in S$. Since $a \neq 0$ or $b \neq 0$, $a + b^*$ and $a - b^*$ cannot be both zero in case $\text{char } R \neq 2$, and so we may replace a with $a + b^*$ or $a - b^*$ and assume that $b = a^*$ or $b = -a^*$ respectively. In case $\text{char } R = 2$, we may still replace a with $a + b^*$ if $a + b^* \neq 0$, while if $a + b^* = 0$, we have $b = a^*$ already. Hence, we assume that $b = a^*$ or $b = -a^*$. Also, we may assume that $b \neq a$.

Let $f(X, Y) = (X + Y)[X + Y, a] - [X + Y, b](X + Y)$. Then $f(X, Y)$ is a nontrivial generalized polynomial identity (GPI) and R satisfies the $*$ -GPI $f(X, X^*) = 0$. Since $sd(t) - \delta(t)s + td(s) - \delta(s)t = 0$ for all $s, t \in S$, replacing t with s^2 yields $2s^2d(s) + sd(s)s - s\delta(s)s - 2\delta(s)s^2 = 0$. But $s^2d(s) = s\delta(s)s$ and $\delta(s)s^2 = sd(s)s$, so we have $sd(s)s = s\delta(s)s$ or, equivalently, $s[s, c]s = 0$ for all $s \in S$ where $c = a - b \neq 0$. Set $g(X, Y) = (X + Y)[X + Y, c](X + Y)$. Then R satisfies the nontrivial $*$ -GPI $g(X, X^*) = 0$. In light of [2, Prop.4], RC also satisfies both $*$ -GPIs $f(X, X^*) = 0$ and $g(X, X^*) = 0$. If C is infinite and $*$ is of the second kind, R satisfies $f(X, Y) = 0$ by [2, Prop. 1]. In particular, $x[x, a] - [x, b]x = 0$ for all $x \in R$ and so $a \in \mathcal{Z} = 0$ by Brešar’s Theorem [1, Thm.4.1], a contradiction. If C is infinite and $*$ is of the first kind, $*$ can be extended to $RC \otimes_C \bar{C}$ and standard arguments show that both $f(X, X^*) = 0$ and $g(X, X^*) = 0$ hold in $RC \otimes_C \bar{C}$. Since both RC and $RC \otimes_C \bar{C}$ are prime and centrally closed [3, Thm.2.5 and Thm.3.5], we may replace R with RC or $RC \otimes_C \bar{C}$ and assume that R is centrally closed over C and that C is either finite or algebraically closed.

By Martindale’s Theorem [9], R is then a primitive ring having a nonzero socle H and with C as the associated division ring. In light of Kaplansky’s Theorem [4, Thm.1.2.2], there exists a vector space V over C , equipped with a Hermitian or alternate form, such that R acts faithfully and densely on ${}_C V$ and that r^* is the adjoint of r for each $r \in R$. Moreover, H consists of the finite-rank linear transformations having adjoints on ${}_C V$.

If V is finite-dimensional over C , the density of R on ${}_C V$ implies that $R \cong M_n(C)$ for some $n > 1$ with symplectic or transpose type involution [4, p.19]. We want to show that $n = 2$. Assume the contrary and we will proceed to arrive at a contradiction that either $c \in \mathcal{Z}$ or $a \in \mathcal{Z}$.

Suppose that $*$ is symplectic on $M_n(C)$, that is, n is even and $*$ is given by $(a_{ij})^* = (a_{ji}^\sigma)$ where a_{ij} is the 2×2 matrix block at the (i, j) -position and σ is the involution $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}^\sigma = \begin{pmatrix} \delta & -\beta \\ -\gamma & \alpha \end{pmatrix}$ on $M_2(C)$. Consider first the case when $n = 4$ and write $c = \begin{pmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{pmatrix}$. Setting $X = \begin{pmatrix} 0 & I \\ 0 & 0 \end{pmatrix}$ in $g(X, X^*) = 0$ where I is the 2×2 identity matrix, we have $c_{11} = c_{22}$ and $c_{12} = c_{21}$. Then set $X = \begin{pmatrix} e_{11} & e_{11} \\ 0 & e_{11} \end{pmatrix}, \begin{pmatrix} e_{11} & e_{12} \\ 0 & e_{11} \end{pmatrix}$ and $\begin{pmatrix} e_{11} & e_{21} \\ 0 & e_{11} \end{pmatrix}$ successively in $g(X, X^*) = 0$ where $\{e_{ij}\}$ are the usual 2×2 matrix units, and we obtain that $c_{12} = 0$ and c_{11} is a scalar matrix in $M_2(C)$ and hence $c \in \mathcal{Z}$. Now assume that $n > 4$. For $h \neq k$, let $e = (a_{ij})$ with $a_{hh} = a_{kk} = I$ and $a_{ij} = 0$ otherwise. Then $e^2 = e = e^*$ and $eRe \cong M_4(C)$. Proceeding as above, we will get $c \in \mathcal{Z}$.

Suppose next that $*$ is of the transpose type, namely, $(\gamma_{ij})^* = (\pi_i \pi_j^{-1} \gamma_{ji}^*)$ where π_1, \dots, π_n are n fixed nonzero symmetric elements in C . Write $a = \sum \alpha_{ij} e_{ij}$ where $\alpha_{ij} \in C$ and $\{e_{ij}\}$ are the usual matrix units. By setting $X = \pi_i e_{ij}$ with $i \neq j$ in $f(X, X^*) = 0$, we have, for $k \neq i, j$, $\alpha_{ik} = \alpha_{jk} = 0$ and $\alpha_{ii}^* + \alpha_{ii} = \alpha_{jj}^* + \alpha_{jj}$ or $\alpha_{ii}^* - \alpha_{ii} = \alpha_{jj}^* - \alpha_{jj}$ according as $b = a^*$ or $b = -a^*$ respectively. In other words, a is a diagonal matrix and $a^* + a$ or $a^* - a$ lies in \mathcal{Z} if $n \geq 3$. In any case $b + a \in \mathcal{Z}$ and so $\delta = -d$. Thus we have $sd(s) + d(s)s \in \mathcal{Z}$ for all $s \in S$. Hence, $a \in \mathcal{Z}$ follows from [7, Thm.6].

It remains to consider the case when V is infinite-dimensional over C . For any $e = e^2 = e^* \in H$, we have $eRe \cong M_n(C)$ for some $n = \dim_C Ve$. Since R satisfies $ef(eXe, eX^*e) = 0$ and $g(eXe, eX^*e) = 0$, the subring eRe satisfies $f_e(X, X^*) = (X + X^*)[X + X^*, eae] - [X + X^*, ebe](X + X^*) = 0$ and $g_e(X, X^*) = (X + X^*)[X + X^*, ece](X + X^*) = 0$. As we have shown above, eae (or ece) is central in eRe if $n \geq 3$. Given any $h \in H$, there is a symmetric idempotent $e \in H$ such that h, ha and ah (or hc and ch) are all in eRe by the $*$ -version of Litoff's Theorem. Since V is infinite-dimensional over C , we may choose e so that $n = \dim_C Ve \geq 3$. Then eae (or ece) is central in eRe . Hence $ah = eah = eaeh = heae = hae = ha$ (similarly $ch = hc$). Thus, a (or c) centralizes the nonzero ideal H of the prime ring R and hence lies in \mathcal{Z} . This completes the proof of the theorem.

One might wonder why we use the identity $f(X, X^*) = 0$ instead of $g(X, X^*) = 0$ in the transpose case. Indeed $g(X, X^*) = 0$ implies $c \in \mathcal{Z}$ as in the symplectic case provided the characteristic is not 2. However, one can verify, for instance, that $(x + x^*)[x + x^*, y + y^*](x + x^*) = 0$ for all x, y in $M_3(C)$ if $\text{char } C = 2$ and $*$ is of the first kind and of the transpose type.

Finally, we give a skew version of Brešar's Theorem.

THEOREM 2. *If $kd(k) - \delta(k)k \in \mathcal{Z}$ for all $k \in K$, then either $d = \delta = 0$ or R satisfies*

PROOF. In light of Theorem 1, it suffices to prove the theorem in the situation when $\text{char } R \neq 2$.

Linearize the relation $kd(k) - \delta(k)k \in \mathcal{Z}$ to obtain $kd(h) - \delta(h)k + hd(k) - \delta(k)h \in \mathcal{Z}$ for all h, k in K . Replacing h with $[k, h]$ we obtain $k[k, d(h)] - [k, \delta(h)]k \in \mathcal{Z}$. Thus for each h , we have $kD_h(k) - \Delta_h(k)k \in \mathcal{Z}$ for all $k \in K$ where D_h and Δ_h are the inner derivations defined by $d(h)$ and $\delta(h)$ respectively. As before, we need only consider the inner case because of the Lemma. So assume that $d(x) = [x, a]$ and $\delta(x) = [x, b]$ for some fixed elements a and b in R . Applying $*$ to $k[k, a] - [k, b]k \in \mathcal{Z}$, we get $k[k, b^*] - [k, a^*]k \in \mathcal{Z}$ and hence $k[k, a + b^*] - [k, b + a^*]k \in \mathcal{Z}$ and $k[k, a - b^*] - [k, b - a^*]k \in \mathcal{Z}$ for all $k \in K$. So we may assume further that $b = a^*$ or $b = -a^*$ and proceed to show that either $a \in \mathcal{Z}$ or R satisfies s_4 .

If $a \notin \mathcal{Z}$, then R satisfies the nontrivial $*$ -GPI $h(X, X^*, Y) = [(X - X^*)[X - X^*, a] - [X - X^*, b](X - X^*), Y] = 0$. A reduction as in the proof of Theorem 1 enables us to consider only the case when $R = M_n(C)$ for some $n > 2$ with symplectic or transpose type involution. We are going to show that $a \in \mathcal{Z}$ which contradicts our hypothesis.

Assume that $*$ is symplectic on $M_n(C)$. As before, it suffices to prove in the case when $n = 4$. Write $a = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix}$. Suppose first that $b = a^*$. Set $X = e_{11}$ in $h(X, X^*, Y) = 0$; then $a_{12} = 0$. Similarly, $a_{21} = 0$ follows from setting $X = e_{33}$ in $h(X, X^*, Y) = 0$. Next, by setting $X = e_{13} + e_{31}$ in $h(X, X^*, Y) = 0$, we get $a_{11} + a_{11}^{\sigma} = a_{22} + a_{22}^{\sigma}$. Thus $a + a^* \in \mathcal{Z}$ and hence $\delta(x) = [x, a^*] = -[x, a] = -d(x)$ for all $x \in R$. Then we have $kd(k) + d(k)k \in \mathcal{Z}$ for all $k \in K$ and so $a \in \mathcal{Z}$ by [7, Thm.7]. Suppose next that $b = -a^*$. Set $X = e_{11}$ in $h(X, X^*, Y) = 0$; then $a_{12} = 0$ and a_{11} is a diagonal matrix. Similarly, $a_{21} = 0$ and a_{22} being diagonal follow from setting $X = e_{33}$ in $h(X, X^*, Y) = 0$. Next, by setting $X = e_{11} + e_{12}$ in $h(X, X^*, Y) = 0$ we obtain that a_{11} is a scalar matrix. Similarly, a_{22} is also scalar by setting $X = e_{33} + e_{34}$ in $h(X, X^*, Y) = 0$. Thus $a^* = a$ and so $\delta = -d$. Then $a \in \mathcal{Z}$ follows again from [7, Thm.7].

Finally assume that $*$ is of the transpose type, say $(\gamma_{ij})^* = (\pi_i \pi_j^{-1} \gamma_{ji}^*)$. Write $a = \sum \alpha_{ij} e_{ij}$ where $\alpha_{ij} \in C$. By setting $X = \pi_i e_{ij}$ with $i \neq j$ in $h(X, X^*, Y) = 0$, we obtain that a is a diagonal and $a^* + a \in \mathcal{Z}$ or $a^* - a \in \mathcal{Z}$ according as $b = a^*$ or $b = -a^*$ respectively. Hence, we get $b + a \in \mathcal{Z}$ in any case and so $\delta = -d$. Thus, we have $kd(k) + d(k)k \in \mathcal{Z}$ for all $k \in K$ and the proof of the theorem is completed by [7, Thm.7].

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