



RESEARCH ARTICLE

On the embedded Nash problem

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Abstract

The embedded Nash problem for a hypersurface in a smooth algebraic variety is to characterize geometrically the maximal irreducible families of arcs with fixed order of contact along the hypersurface. We show that divisors on minimal models of the pair contribute with such families. We solve the problem for unibranch plane curve germs, in terms of the resolution graph. These are embedded analogs of known results for the classical Nash problem on singular varieties.

Contents

1	Introduction	1
2	Relative minimal models	6
3	Dlt and contact valuations	9
4	Hyperplane arrangements	15
5	Examples with rational singularities	16
6	Curves on surfaces: Essential and dlt valuations	17
7	Curves on surfaces: Contact valuations	20
	References	27

1. Introduction

We address the embedded Nash problem for a hypersurface in a smooth algebraic variety. There is some analogy with the classical Nash problem which we recall now.

1.1. The classical Nash problem.

Let V be a complex algebraic variety. To every maximal irreducible family of arcs through the singular locus of V , one can associate a divisorial valuation, called a *Nash valuation*. The classical Nash problem is to characterize the Nash valuations in terms of resolutions of singularities of V . An *essential valuation* is a divisorial valuation whose center on every resolution of V is an irreducible component of the inverse image of the singular locus of V . A divisorial valuation over V is called a *terminal valuation* if it is given by some exceptional divisor on some minimal model over V of a resolution of V . The name comes from the fact that minimal models have terminal singularities, a mild type of singularities. One has the following:

Theorem 1.2. *Let V be a complex algebraic variety.*

(i) (de Fernex-Docampo [11]) *There are inclusions*

$$\{\text{terminal valuations}\} \subset \{\text{Nash valuations}\} \subset \{\text{essential valuations}\}.$$

(ii) (Fernández de Bobadilla-Pe Pereira [17]) *If $\dim V = 2$, the three sets are equal.*

See [4] for further results. If $\dim V = 2$, essential valuations are terminal valuations due to existence of minimal resolutions. Thus, (i) implies (ii), which solves the Nash problem in this case.

1.3. The embedded Nash problem.

Let (X, D) be a pair consisting of a smooth complex algebraic variety X and a nonzero effective divisor D . The interesting arcs on X are now the ones with prescribed order of contact with D . Contact loci of arcs are fundamental for motivic integration and appear in the monodromy conjecture; see Denef-Loeser [12]. They also appear in a conjectural algebraic description of the Floer cohomology of iterates of the monodromy by Budur-Fernández de Bobadilla-Lê-Nguyen [7].

Every maximal irreducible family of arcs in X with fixed positive order of contact with D is obtained from some prime divisor on a log resolution of (X, D) , by Ein-Lazarsfeld-Mustață [15], and thus, one can associate to it a divisorial valuation, which we call a *contact valuation*. The embedded Nash problem, posed in [15, §2], is the geometric characterization of the contact valuations in terms of log resolutions of (X, D) .

There is a priori no reason why the Nash problem for a pair should be related with the classical Nash problem. Indeed, the arc space of D is complementary in the arc space of X to the set of arcs with finite order of contact with D . Nevertheless, we show that Theorem 1.2 has an analog for pairs. We give now a gentle introduction to the results. Precise statements are given in 1.5.

We introduce *essential valuations* for a pair (X, D) in terms of log resolutions satisfying a separating property which has appeared in work of Nicaise-Sebag [38, 39] and McLean [31], and in [7]. If D is reduced, we introduce *divisorial log terminal valuations* (or *dlt valuations*) as those given by prime divisors lying over D in dlt modifications of (X, D) . Dlt modifications are minimal models over X obtained from log resolutions of (X, D) and have dlt singularities, a mild type of singularities. Their existence is proved by Odaka-Xu [40].

Our first main result is that, for X smooth and D a reduced effective divisor, there are inclusions

$$\{\text{dlt valuations}\} \subset \{\text{contact valuations}\} \subset \{\text{essential valuations}\}.$$

The proof is based on a variation of an argument from [7], where positivity of a relative ample divisor on a log resolution is exchanged with that of the log canonical divisor on a dlt modification. Positivity is used to estimate intersection numbers with the arcs in a 1-parameter family of arcs, a theme common to [17, 11, 7].

Among the dlt valuations are the *jump valuations* – that is, valuations defined by prime divisors computing jumping numbers of (X, D) . The *top contact valuations* – that is, those contact valuations

which correspond to minimal-codimension irreducible components of loci of arcs with fixed contact order – can be determined numerically from log resolutions.

For an irreducible formal plane curve germ, the three sets of valuations are not equal, unlike in the dimension-two case of the classical Nash problem. Our second main result is the characterization in terms of the resolution graph of the set of contact valuations. This solves the embedded Nash problem in this case. The main idea, besides minimal separating log resolutions, is to use the topology of the Milnor fibration in terms of Dehn twists to rule out adjacencies between strata of contact loci. It turns out that the irreducible components of contact loci in this case are disjoint.

1.4. Previous work.

The embedded Nash problem is solved for an affine toric variety given by a cone together with a toric invariant ideal by Ishii [21], and for hyperplane arrangements by Budur-Tue [8]; see Section 4. Closely related to the embedded Nash problem is the *generalized Nash problem*, introduced in [22], which consists of studying adjacencies between maximal divisorial sets; the case of maximal divisorial sets in the plane was treated in [18].

A related problem is the characterization of irreducible components of jet schemes of a singular variety. Known results in this direction provide numerical characterizations in cases with combinatorial flavor. This gives sometimes that all contact valuations are top (see Section 5) (e.g., hypersurfaces with maximal-multiplicity rational singularities by Mourtada [33], Bruscek-Mourtada-Schepers [6], and determinants of generic square matrices by Docampo [14]). In general, the passage from a numerical characterization of the configuration of irreducible components of the jet schemes of D to a geometric characterization (that is, in terms of valuations) of the irreducible components of the contact loci of (X, D) is more difficult, and only partial solutions to the embedded Nash problem are obtained; see [33, 34, 35, 23, 10, 29].

A numerical algorithm to determine the configuration of irreducible components of the jet schemes of a unibranch curve singularity was given by Mourtada [32]. This gave information in terms of the resolution graph only for certain divisors in Lejeune-Jalabert-Mourtada-Reguera [30, Thm. 2.7]. Our solution of the embedded Nash problem in this case does not use [32].

1.5. The results in detail.

Let $\mathcal{L}(X) = \text{Hom}_{\mathbb{C}\text{-sch}}(\text{Spec } \mathbb{C}[[t]], X)$ be the arc space of X . Let $\Sigma \subset D_{red}$ be a nonempty Zariski closed subset. Let $m \geq 1$ be an integer.

Definition 1.6. The m -contact locus of (X, D, Σ) is

$$\mathcal{X}_m(X, D, \Sigma) := \{\gamma \in \mathcal{L}(X) \mid \gamma(0) \in \Sigma \text{ and } \text{ord}_\gamma D = m\}.$$

For simplicity, we use the notation \mathcal{X}_m when the context is clear. We set $\mathcal{X}_m(X, D) := \mathcal{X}_m(X, D, D_{red})$.

Definition 1.7. Let $\mu : Y \rightarrow X$ be a *log resolution* of (X, D, Σ) – that is, μ is a projective birational morphism from a smooth variety Y such that $\mu^{-1}(D)$ and $\mu^{-1}(\Sigma)$ are simple normal crossings divisors. Write the decomposition into irreducible components

$$\text{Supp}(\mu^{-1}(D)) = \cup_{i \in S} E_i$$

and let

$$N_i := \text{ord}_{E_i} D, \quad v_i := 1 + \text{ord}_{E_i}(K_{Y/X}).$$

We set

$$S_m := \{i \in S \mid \mu(E_i) \subset \Sigma \text{ and } N_i \text{ divides } m\}.$$

For every $i \in S$, we set

$$\mathcal{X}_{m,i} := \{\gamma \in \mathcal{X}_m \mid \gamma \text{ lifts to an arc on } Y \text{ centered on } E_i^\circ\},$$

where E_i° is the complement of $\cup_{j \in S \setminus \{i\}} E_j$ in E_i . Note that the lift of the arc is unique since m is finite, by the valuative criterion of properness.

Definition 1.8. A log resolution $\mu : Y \rightarrow X$ of (X, D, Σ) is called *m-separating* if, in addition, μ is an isomorphism over $X \setminus D$, and for any $i \neq j \in S$ with $E_i \cap E_j \neq \emptyset$, one has $N_i + N_j > m$.

This notion appeared with a different name in [38, Lemma 5.17], [39]. The name was coined in [31] and used in [7]. Starting with a log resolution, one can blow up further smooth centers until an *m-separating* one is obtained; see [7, Lemma 2.9]. If μ is an *m-separating* log resolution of (X, D, Σ) , by [15], there is a partition into nonempty smooth irreducible locally closed subvarieties; see also Proposition 3.2:

$$\mathcal{X}_m = \bigsqcup_{i \in S_m} \mathcal{X}_{m,i}. \tag{1.1}$$

Definition 1.9. An *m-valuation* of (X, D, Σ) is a divisorial valuation v on X given by E_i – that is, $v = \text{ord}_{E_i}$, for some $i \in S_m$ with respect to some log resolution (equivalently, some *m-separating* log resolution) μ of (X, D, Σ) .

Thus, every irreducible component of \mathcal{X}_m arises as the Zariski closure of some $\mathcal{X}_{m,i}$ with respect to some *m-separating* log resolution, and hence, it corresponds to a unique *m-valuation* of (X, D, Σ) .

Definition 1.10. A *contact m-valuation* of (X, D, Σ) is an *m-valuation* corresponding to an irreducible component $\mathcal{X}_{m,i}$ of the *m-contact* locus \mathcal{X}_m of (X, D, Σ) . A *contact valuation* of (X, D, Σ) is a contact *m-valuation* for some $m \geq 1$. A contact valuation can be an *m'-valuation* without being a contact *m'-valuation*.

Definition 1.11. An *essential m-valuation* of (X, D, Σ) is an *m-valuation* with center a prime divisor lying over Σ on every *m-separating* log resolution of (X, D, Σ) . An *essential valuation* of (X, D, Σ) is an essential *m-valuation* for some $m \geq 1$. An essential valuation can be an *m'-valuation* without being an essential *m'-valuation*. Contact *m-valuations* are essential *m-valuations* by (1.1).

Definition 1.12. Assume D is reduced. A *divisorial log terminal valuation* (or *dlt valuation*) of (X, D, Σ) is a valuation of X given by a prime divisor lying over Σ in a dlt modification of (X, D) (see Definition 2.4). A *dlt m-valuation* of (X, D, Σ) is a dlt valuation that is also an *m-valuation*.

Theorem 1.13. Let X be a smooth complex algebraic variety, D a nonzero reduced effective divisor on X , and Σ a Zariski closed subset of D . Let $m \geq 1$ be an integer. Then for (X, D, Σ) ,

$$\{\text{dlt } m\text{-valuations}\} \subset \{\text{contact } m\text{-valuations}\} \subset \{\text{essential } m\text{-valuations}\}. \tag{1.2}$$

For hyperplane arrangements, all three sets in (1.2) are equal if the log resolution satisfies an additional property; see Section 4.

Theorem 1.14. If D is a hyperplane arrangement in $X = \mathbb{C}^n$, and $m \in \mathbb{Z}_{>0}$, then for (X, D) , the three sets of *m-valuations* in (1.2) are equal and consist of the divisorial valuations corresponding to E_i with $i \in S_m$ as in Definition 1.7 for any good *m-separating* log resolution of (X, D) .

Next, we show that some interesting valuations lie in the sets from (1.2).

Definition 1.15. A *jump valuation* of (X, D, Σ) is a valuation given by a prime divisor lying over Σ in a log resolution of (X, D, Σ) that contributes with a jumping number to (X, D) ; see Definition 3.7.

Adapting the proof of a result of Smith-Tucker [3, Appendix] we show the following:

Theorem 1.16. *Let (X, D, Σ) be as in Theorem 1.13. If μ is a log resolution of (X, D, Σ) and E_i with $i \in S$ defines a jump valuation of (X, D, Σ) , then E_i defines a dlt valuation, and hence a contact m -valuation, of (X, D, Σ) for every m divisible by N_i .*

The converse does not always hold; see Baumers-Veys [3, §7].

Definition 1.17. The top contact m -valuations of (X, D, Σ) are the contact m -valuations corresponding to the minimal-codimension irreducible components of \mathcal{X}_m .

Definition 1.18. If D is an effective divisor, and μ is an m -separating log resolution of (X, D, Σ) , the m -log canonical threshold of (X, D, Σ) is

$$\text{lct}_m(X, D, \Sigma) := \min \{v_i/N_i \mid i \in S_m\}.$$

The right-hand side depends on the log resolution if the m -separating condition is dropped. Nevertheless, $\text{lct}_m(X, D, \Sigma)$ is independent of μ by the following, essentially contained in [37], [15], where it was stated for the usual log canonical thresholds:

Proposition 1.19. *The codimension of \mathcal{X}_m is $m \text{lct}_m(X, D, \Sigma)$. The set of top contact m -valuations is the set of m -valuations (necessarily essential m -valuations) E_i such that $\text{lct}_m(X, D, \Sigma) = v_i/N_i$.*

Remark 1.20. (i) Despite the similar appearance, $\text{lct}_m(X, D, \Sigma)$ is not necessarily equal to the usual log canonical threshold of (X, D) in a neighborhood of Σ ,

$$\text{lct}_\Sigma(X, D) := \min \{v_i/N_i \mid i \in S \text{ and } \mu(E_i) \cap \Sigma \neq \emptyset\}.$$

In general, $\text{lct}_\Sigma(X, D) \leq \text{lct}_m(X, D, \Sigma)$ and the inequality can be strict.

(ii) Every prime divisor E_i lying over Σ in some log resolution of (X, D, Σ) , such that E_i computes $\text{lct}_\Sigma(X, D)$, gives a top contact m -valuation of (X, D, Σ) for all m divisible by N_i .

(iii) The numbers $\text{lct}_m(X, D, \Sigma)$ and the number of top contact m -valuations of (X, D, Σ) for all $m \geq 1$ are read from a single log resolution μ of (X, D, Σ) by applying the virtual Poincaré polynomial realization to the expression in terms of μ of the motivic zeta function of (X, D, Σ) , cf. [9, Ch. 2, Corollary 3.5.12.].

Next, we look at cases when D is has mild singularities.

Theorem 1.21. *Suppose D is reduced and (X, D) is log canonical. For (X, D, Σ) :*

- (i) *If $\text{lct}_m(X, D, \Sigma) = 1$, then $\{\text{dlt } m\text{-valuations}\} = \{\text{top contact } m\text{-valuations}\}$.*
- (ii) *If $\text{lct}_m(X, D, \Sigma) \neq 1$, then $\{\text{dlt } m\text{-valuations}\} = \emptyset$.*
- (iii) *If $\Sigma = D$, then for every $m \geq 1$,*

$$\{\text{dlt } m\text{-valuations}\} = \{\text{contact } m\text{-valuations}\} = \{\text{top contact } m\text{-valuations}\}.$$

(iv) *The last three sets are singletons, consisting of the valuation defined by D if, in addition, D has rational singularities.*

Part (ii) implies that if a singular hypersurface D in a smooth variety X has rational singularities, then there are no dlt valuations for (X, D, D_{sing}) . One wonders then if there is chaos or order among the contact valuations in this case. We address some examples in Section 5.

Assume now that X is a smooth surface. For simplicity, we state the results for an irreducible formal plane curve germ singularity $(\mathbb{C}^2, C, 0)$. A minimal m -separating log resolution exists. Its resolution graph Γ_m is a refinement of Γ_{m-1} , easily obtained by inserting vertices on edges until the m -separating condition is satisfied; Γ_1 is the resolution graph of the minimal log resolution. The essential m -valuations correspond to the subset S_m (as in Definition 1.7) of vertices of Γ_m .

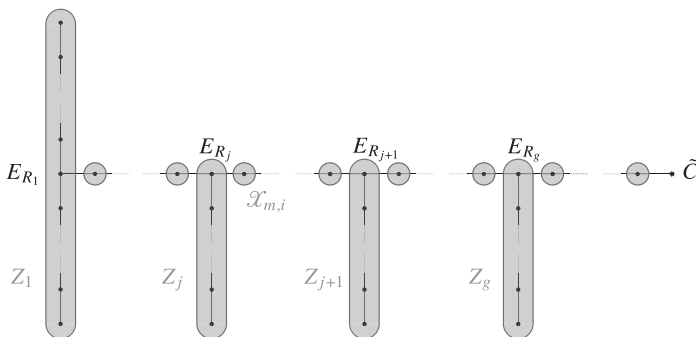


Figure 1. Resolution graph Γ_m for the minimal m -separating log resolution, with the irreducible components (if nonempty) of the m -contact locus \mathcal{X}_m in gray.

We label the rupture vertices by E_{R_1}, \dots, E_{R_g} in the order of their appearance in the resolution process. Here, $g \geq 1$ is the number of Puiseux pairs of the germ. The strict transform of C is denoted by \tilde{C} . We denote by Z_j , for $j = 1, \dots, g$, the subset of arcs in the contact locus $\mathcal{X}_m = \mathcal{X}_m(\mathbb{C}^2, C, 0)$ which lift to one of the divisors from the vertical groups in Γ_m as in Figure 1. Denote by S'_m the remaining vertices in Γ_m which also lie in S_m . We let $\mathcal{X}_{m,i}$ for $i \in S'_m$ be as in (1.1).

Theorem 1.22. *Let (\mathbb{C}^2, C, O) be an irreducible formal plane curve germ singularity with $g \geq 1$ Puiseux pairs. Let $m \geq 1$ be an integer. Then*

$$\mathcal{X}_m = \bigsqcup_{j=1}^g Z_j \sqcup \bigsqcup_{i \in S'_m} \mathcal{X}_{m,i}$$

is a disjoint union decomposition such that, in the Zariski topology,

- (i) Each nonempty set in the decomposition is irreducible and a connected component of \mathcal{X}_m .
- (ii) $\mathcal{X}_{m,i}$ is nonempty for $i \in S'_m$.
- (iii) Z_j is nonempty if and only if there exists a vertex $i \in S'_m$ in the j -th vertical group in Figure 1. If E_{R_1} is not in S_m , then the vertices with $i \in S'_m$ in the first vertical group can only appear on one side of E_{R_1} . If Z_j is nonempty, the unique vertex $i_0 \in S'_m$ in the j -th vertical group that is the closest to the rupture divisor E_{R_j} (it could be E_{R_j} itself) satisfies $Z_j = \overline{\mathcal{X}_{m,i_0}}$.

This solves the embedded Nash problem for irreducible formal plane curve germs. The dlt m -valuations correspond to the points in S_m on the horizontal path from E_{R_1} to \tilde{C} in Γ_m by Proposition 6.6.

Organization. In Section 2, we recall facts about relative minimal models. In Section 3, we recall the arc spaces and contact loci, and prove Theorem 1.13, Theorem 1.16, Proposition 1.19 and Theorem 1.21. In Section 4, we address hyperplane arrangements. In Section 5, we look at some hypersurfaces with rational singularities. In Section 6, we characterize the essential and the dlt valuations in terms of the resolution graph for curves on smooth surfaces. In Section 7, we prove Theorem 1.22.

2. Relative minimal models

We recall some basic terminology and facts about minimal models; cf. [28, 26]. A *divisor* on a normal complex variety X will mean a Weil divisor. If we allow \mathbb{Q} -coefficients, we refer to it as a \mathbb{Q} -divisor. If D_1, D_2 are \mathbb{Q} -divisors, $D_1 \geq D_2$ means that the inequality is satisfied by the coefficients of each prime divisor. If D is a divisor, recall that $\mathcal{O}_X(D)$ denotes the sheaf of rational functions g with $\text{div}(g) + D \geq 0$. It is a reflexive sheaf of rank 1, and it is locally free if and only if D is Cartier. We say that X is \mathbb{Q} -factorial if every \mathbb{Q} -divisor is \mathbb{Q} -Cartier.

Definition 2.1. Let (X, D) be a pair consisting of a normal complex variety X and a \mathbb{Q} -divisor D on X such that $K_X + D$ is \mathbb{Q} -Cartier. If E is a prime divisor over X , the *log discrepancy of E with respect to (X, D)* , denoted $a(E, X, D)$, is defined as follows. Let $\mu : Y \rightarrow X$ be a birational morphism from a normal variety Y . Then there is a \mathbb{Q} -linear equivalence of \mathbb{Q} -Cartier \mathbb{Q} -divisors

$$K_Y + \mu_*^{-1}D + \text{Ex}(\mu) \sim_{\mathbb{Q}} \mu^*(K_X + D) + \sum_{i \in I} a(E_i, X, D)E_i$$

for some $a(E_i, X, D) \in \mathbb{Q}$, where μ_*^{-1} denotes the strict transform, and $\text{Ex}(\mu) = \sum_{i \in I} E_i$ is the sum of the exceptional prime divisors with coefficients 1. If $E = E_i$ for some μ , define $a(E, X, D) = a(E_i, X, D)$. If E is an irreducible component of D , define $a(E, X, D) = 1 - \text{ord}_E D$. For all other prime divisors E over X , set $a(E, X, D) = 0$. This definition is independent of the choice of μ . Note that $a(E_i, X, D) = \nu_i - N_i$ for all $i \in I$, where $\nu_i - 1 = \text{ord}_{E_i}(K_{Y/X})$ and $N_i = \text{ord}_{E_i} D$.

Definition 2.2. Let (X, D) be a pair consisting of a normal variety X and a \mathbb{Q} -divisor $D = \sum_i a_i D_i$ such that $K_X + D$ is \mathbb{Q} -Cartier. We assume that D is a *boundary* – that is, $0 \leq a_i \leq 1$ for all i . Then we say that (X, D) is a *divisorial log terminal pair* (or *dlt pair*) if there is a closed subset $Z \subset X$ such that $X \setminus Z$ is smooth, $D|_{X \setminus Z}$ is a simple normal crossings divisor, and if for a (equivalently, for all) log resolution $\mu : Y \rightarrow X$ of (X, D) with $\mu^{-1}(Z)$ pure of codimension 1, the condition $a(E, X, D) > 0$ is satisfied for every prime divisor $E \subset \mu^{-1}(Z)$. In other words, a dlt pair is snc outside the *klt locus* Z . We say that (X, D) is a *log canonical pair* if $a(E, X, D) \geq 0$ for all prime divisors E over X . In particular, a dlt pair is log canonical.

Definition 2.3. Let $\mu : Y \rightarrow X$ be a projective morphism between normal varieties and let $K_Y + \Delta$ be a \mathbb{Q} -Cartier \mathbb{Q} -divisor. Then $K_Y + \Delta$ is *semiample over X* if there exist a morphism $\phi : Y \rightarrow Z$ to a normal variety Z over X and a \mathbb{Q} -divisor A on Z which is ample over X , such that $K_Y + \Delta \sim_{\mathbb{Q}} \phi^* A$.

Definition 2.4. Let (Y, Δ) be a dlt pair, $\mu : Y \rightarrow X$ a projective morphism of complex algebraic varieties, and D a boundary on X .

- (i) We say that (Y, Δ) is a *minimal model* (respectively *good minimal model*) over X if $K_Y + \Delta$ is μ -nef (resp. μ -semiample).
- (ii) We say that (Y, Δ) is a *dlt modification* (resp. *good dlt modification*) of (X, D) if it is a (good) minimal model over X and $\Delta = \mu_*^{-1}D + \text{Ex}(\mu)$.
- (iii) Let (Y', Δ') be a pair sitting in a diagram

$$\begin{array}{ccc} (Y, \Delta) & \overset{\phi}{\dashrightarrow} & (Y', \Delta') \\ & \searrow \mu & \swarrow \mu' \\ & X & \end{array} \tag{2.1}$$

We say (Y', Δ') is a *minimal model* (resp. *good minimal model*) of (Y, Δ) over X if

- (a) $\phi : Y \dashrightarrow Y'$ is a birational contraction – that is, ϕ^{-1} has no exceptional divisors,
- (b) $\mu' : Y' \rightarrow X$ a projective morphism, and $\mu' \circ \phi = \mu$ as birational maps,
- (c) Y' is a normal variety,
- (d) $\Delta' = \phi_* \Delta$,
- (e) $a(E, Y, \Delta) < a(E, Y', \Delta')$ for every ϕ -exceptional divisor $E \subset Y$,
- (f) $K_{Y'} + \Delta'$ is \mathbb{Q} -Cartier and μ' -nef (resp. μ' -semiample).

The conditions (a)–(f) imply that $a(E, Y, \Delta) \leq a(E, Y', \Delta')$ for all divisors E over Y by [26, Proposition 1.22], but it is not automatically clear if (Y', Δ') is dlt.

Theorem 2.5 [26, Corollary 1.23]. *If (Y', Δ') is as in Definition 2.4 and it is obtained by running the MMP for (Y, Δ) over X , then*

(i) (Y', Δ') is a dlt pair, and

(ii) For any prime divisor E over Y , $a(E, Y, \Delta) < a(E, Y', \Delta')$ iff ϕ is not a local isomorphism at the generic point of the center of E on Y .

Regarding the validity of the minimal model program, one has the following. While it is not stated in the same way as in [40], the proof of the main result contains it.

Theorem 2.6 (Odaka-Xu [40]). *Let X be a normal quasi-projective variety and D a boundary on X such that $K_X + D$ is \mathbb{Q} -Cartier (resp. X is also \mathbb{Q} -factorial). For any log resolution $\mu : Y \rightarrow X$ of (X, D) , the minimal model program for $(Y, \Delta = \mu_*^{-1}D + \text{Ex}(\mu))$ over X runs – that is, flips exist – and terminates with good dlt minimal models (resp. good dlt \mathbb{Q} -factorial minimal models) of (Y, Δ) over X .*

One can characterize which divisors get contracted on minimal models using stable base loci:

Definition 2.7. Let $\mu : Y \rightarrow X$ be a projective morphism of normal varieties. Assume that X is affine (for our applications, this case suffices). If Δ is a \mathbb{Q} -Cartier \mathbb{Q} -divisor on Y , the *stable base locus* of Δ over X is

$$\mathbb{B}(\Delta/X) = \bigcap_{k \in \mathbb{N}, k\Delta \text{ is Cartier}} \bigcap_{s \in H^0(Y, \mathcal{O}_Y(k\Delta))} \text{Supp}(\text{div}(s)).$$

Proposition 2.8 ([26, 1.21], [20, Lemma 2.4]). *Let $\mu : Y \rightarrow X$ be a projective morphism of varieties, (Y, Δ) a dlt pair, and $\phi : Y \dashrightarrow Y', \psi : Y \dashrightarrow Y''$ two minimal models of (Y, Δ) over X . Then*

(a) $Y' \dashrightarrow Y''$ is an isomorphism in codimension 1 such that for all prime divisors E over X , one has $a(E, Y', \phi_*\Delta) = a(E, Y'', \psi_*\Delta)$.

(b) If $(Y', \phi_*\Delta)$ is a dlt good minimal model of (Y, Δ) over X , so is $(Y'', \psi_*\Delta)$.

(c) If $(Y', \phi_*\Delta)$ is a dlt good minimal model of (Y, Δ) over X , then the set of ϕ -exceptional divisors is the set of divisors contained in the stable base locus $\mathbb{B}(K_Y + \Delta/X)$.

One can compare minimal models obtained from a tower of projective birational morphisms:

Proposition 2.9 [26, 1.27]. *Let $\mu : Y \rightarrow X$ be a projective morphism of varieties. Let $\pi : Y_1 \rightarrow Y$ be a projective birational morphism. Let Δ_1 and Δ be \mathbb{Q} -divisors on Y_1 and Y , respectively, such that $\Delta = \pi_*\Delta_1$. Assume that $(Y_1, \Delta_1), (Y, \Delta)$ are dlt pairs and that $a(E, Y_1, \Delta_1) < a(E, Y, \Delta)$ for every prime π -exceptional divisor $E \subset Y_1$. Then every minimal model of (Y, Δ) over X is also a minimal model of (Y_1, Δ_1) over X .*

A consequence of this proposition is the following:

Lemma 2.10. *Let X be a complex algebraic variety, D a boundary divisor on X . Suppose that (Y', Δ') is a dlt modification of (X, D) . Then (Y', Δ') is a minimal model of (Y, Δ) over X for any log resolution $\phi : Y \rightarrow Y'$ of (Y', Δ') that is an isomorphism over the non-klt locus of (Y', Δ') , where $\Delta = \phi_*^{-1}\Delta' + \text{Ex}(\phi)$.*

Proof. Note that Δ is also equal to $\mu_*^{-1}D + \text{Ex}(\mu)$, where $\mu = \mu' \circ \phi$. Since (Y', Δ') is a dlt pair, $a(E, Y', \Delta') > 0$ for every ϕ -exceptional divisor E . For such E , $a(E, Y, \Delta) = 0$. The claim then follows from Proposition 2.9. □

The following lemma will be useful for the characterization of dlt m -valuations:

Lemma 2.11. *Let X be smooth affine variety, D a boundary on X , $\mu : Y \rightarrow X$ a log resolution of (X, D) , and $\Delta = \mu_*^{-1}D + \text{Ex}(\mu)$. Let $\pi : Y_1 \rightarrow Y$ be a projective birational morphism such that $\mu_1 = \mu \circ \pi$ is a log resolution of (X, D) , and let $\Delta_1 = (\mu_1)_*^{-1}D + \text{Ex}(\mu_1)$. Let F be a prime divisor on Y_1 such that $E = \pi_*F$ is a nonzero divisor. Then F does not get contracted on minimal models of (Y_1, Δ_1) over X if and only if E does not get contracted on minimal models of (Y, Δ) over X .*

Proof. Theorem 2.6 applies and gives a good minimal models for μ and μ_1 . Then by Proposition 2.8, all minimal models are good, and we can use stable base loci to describe which divisors get contracted

or not on minimal models. Suppose that F does not get contracted. Then there is some $k \in \mathbb{N}$ such that F is not in the base locus of $k(K_{Y_1} + \Delta_1)$ over X .

Let $G = \sum_{E'} a(E', X, D)E'$, where the sum is over the μ -exceptional prime divisors E' in Y , so $K_Y + \Delta = \mu^*(K_X + D) + G$. Let $P = \sum_{F'} a(F', Y, \Delta)F'$, where the sum is over the π -exceptional prime divisors F' in Y_1 . Then $K_{Y_1} + \Delta_1 = \pi^*(K_Y + \Delta) + P$. Then P is effective since (Y, Δ) is dlt. Then

$$K_{Y_1} + \Delta_1 = \mu_1^*(K_X + D) + \pi^*G + P \sim_{\mu_1} \pi^*G + P.$$

Thus, there is a strict inclusion of vector subspaces

$$H^0(Y_1, \mathcal{O}_{Y_1}(k(\pi^*G + P) - F)) \subsetneq H^0(Y_1, \mathcal{O}_{Y_1}(k(\pi^*G + P)))$$

because F is not in the base locus of $k(K_{Y_1} + \Delta_1)$ over X . By the definition of π_* , this is the same as

$$H^0(Y, \pi_*\mathcal{O}_{Y_1}(k(\pi^*G + P) - F)) \subsetneq H^0(Y, \pi_*\mathcal{O}_{Y_1}(k(\pi^*G + P))).$$

Now,

$$\pi_*\mathcal{O}_{Y_1}(k(\pi^*G + P)) = \mathcal{O}_Y(kG) \otimes_{\mathcal{O}_Y} \pi_*\mathcal{O}_{Y_1}(kP) = \mathcal{O}_Y(kG),$$

the first equality due to the projection formula, the second equality due to kP being effective and π -exceptional. Similarly,

$$\pi_*\mathcal{O}_{Y_1}(k(\pi^*G + P) - F) = \mathcal{O}_Y(kG) \otimes_{\mathcal{O}_Y} \pi_*\mathcal{O}_{Y_1}(kP - F) = \mathcal{O}_Y(kG - E),$$

the last equality due to F being the strict transform of E . Thus, the above strict inclusion is

$$H^0(Y, \mathcal{O}_Y(kG - E)) \subsetneq H^0(Y, \mathcal{O}_Y(kG)),$$

and so E is not contained in $\mathbb{B}(G/X) = \mathbb{B}(K_Y + \Delta/X)$. Hence, E does not get contracted on minimal models of (Y, Δ) over X . Also, it is clear that the proof runs in the converse direction as well. \square

3. Dlt and contact valuations

3.1. Arcs, jets and contact loci.

For $l \in \mathbb{N}$, the l -jet space $\mathcal{L}_l(X)$ of a complex variety X is the \mathbb{C} -scheme of finite type whose set of A -points, for all \mathbb{C} -algebras A , consists of all morphisms of \mathbb{C} -schemes $\text{Spec } A[t]/(t^{l+1}) \rightarrow X$. The arc space $\mathcal{L}(X)$ is the \mathbb{C} -scheme of infinite type whose A -points are the morphisms of \mathbb{C} -schemes $\text{Spec } A[[t]] \rightarrow X$. Truncation of arcs and jets gives natural morphism

$$\pi_l : \mathcal{L}(X) \rightarrow \mathcal{L}_l(X), \quad \pi_{l,l'} : \mathcal{L}_l(X) \rightarrow \mathcal{L}_{l'}(X)$$

for $l, l' \in \mathbb{N}$ with $l \geq l'$.

From now on, we use the setup from the introduction: X is smooth, D an effective divisor, $\Sigma \neq \emptyset$ a closed subset of D_{red} . In this setting, we have defined the m -contact locus $\mathcal{X}(X, D, \Sigma)$ for nonzero $m \in \mathbb{N}$; see Definition 1.6. An alternative definition in terms of jet spaces of D is

$$\mathcal{X}_m(X, D, \Sigma) = [\pi_{m-1}^{-1}(\mathcal{L}_{m-1}(D)) \setminus \pi_m^{-1}(\mathcal{L}_m(D))] \cap \pi_0^{-1}(\Sigma). \tag{3.1}$$

If D is reduced and smooth, then the image $\pi_m(\mathcal{X}_m(X, D, \Sigma))$ in $\mathcal{L}_m(X)$ is Zariski locally trivial fibered by $\mathbb{C}^{mn-m+1} \setminus \mathbb{C}^{mn-m}$ over Σ , where $n = \dim X$. Hence, $\mathcal{X}_m(X, D, \Sigma) = \pi_m^{-1}\pi_m(\mathcal{X}_m(X, D, \Sigma))$ has codimension $m + \text{codim}_D \Sigma$ and is irreducible if Σ is.

The main reason to use m -separating log resolutions in relation with contact loci is the next proposition. The version for restricted contact loci has appeared in [7, Lemma 2.1, Lemma 2.6]; see also [15]. We use the notation introduced in 1.3.

Proposition 3.2. *Let (X, D, Σ) be such that X is a smooth complex algebraic variety, $D \neq 0$ is an effective integral divisor on X , and $\Sigma \neq \emptyset$ is a Zariski closed subset of the support of D . Let $m \in \mathbb{Z}_{>0}$ and let $\mu : Y \rightarrow X$ be an m -separating log resolution of (X, D, Σ) . Then there is a disjoint union decomposition of the m -contact locus of (X, D, Σ) as in (1.1) such that, for all $l \in \mathbb{N}$ large enough, $\mathcal{X}_{m,i}$ is the inverse image under π_i in the arc space $\mathcal{L}(X)$ of a nonempty smooth irreducible Zariski locally closed subset $\mathcal{X}_{m,i}^l$ of codimension mv_i/N_i in the l -jet space $\mathcal{L}_l(X)$. Moreover, $\mathcal{X}_{m,i}^l$ is homotopy equivalent to the normal circle bundle of E_i° .*

Proof. The proof is similar to that of [7, Lemma 2.1, Lemma 2.6] for restricted contact loci. The differences in the proof are as follows. Unlike for restricted contact loci, one does not need to consider the finite unramified covers $\tilde{E}_i^\circ \rightarrow E_i^\circ$ from the proof of [7, Lemma 2.6]. Thus, ‘smooth variety’ in the statement of [7, Lemma 2.6] means possibly finitely many disjoint copies of an irreducible smooth variety, while in our case, we have irreducibility of $\mathcal{X}_{m,i}^l$. Another difference is that the varieties $\mathcal{X}_{m,i}^l$ have dimension one more than their version for restricted contact loci. The extra coordinate takes any value in \mathbb{C}^* , this being the coefficient of t^{k_i} in the last line of the proof of [7, Lemma 2.6]. This identifies the analog of the morphism $\tilde{\pi}_0^l$ from that proof, up to homotopy, with the \mathbb{C}^* -bundle on E_i° obtained by removing the zero section of the normal bundle. □

Proof of Proposition 1.19. It follows directly from the previous proposition. □

3.3. Dlt valuations.

From now on, we also assume that D is a reduced divisor on X . If $\mu : Y \rightarrow X$ is an m -separating log resolution of (X, D, Σ) , we define as in the introduction $\Delta = \mu^*(D)_{red} = \sum_{i \in S} E_i$. By Theorem 2.6, (X, D) admits dlt modifications, and (Y, Δ) admits minimal models over X .

Lemma 3.4. *Any dlt valuation v of (X, D, Σ) is an m -valuation of (X, D, Σ) for any m divisible by $v(D)$. Moreover, the center of v is a prime divisor on some minimal model of (Y, Δ) over X for some m -separating log resolution $\mu : Y \rightarrow X$ of (X, D, Σ) .*

Proof. Suppose v has center a prime divisor E on some dlt modification (Y', Δ') of (X, D) such that E lies over Σ . Note that the dlt modification restricts to an isomorphism $Y' \setminus \Delta' \xrightarrow{\sim} X \setminus D$ by Proposition 2.9. Take m divisible by $v(D) = \text{ord}_E D$. By sufficiently blowing up the klt locus of (Y', Δ') , we obtain an m -separating log resolution $\mu : Y \rightarrow X$; see [7, Proof of Lemma 2.9]. Thus, v is an m -valuation. By Lemma 2.10, (Y', Δ') is a minimal model of (Y, Δ) over X if $\Delta = (\mu^* D)_{red}$. □

Lemma 3.5. *If $\mu : Y \rightarrow X$ is an m -separating log resolution of (X, D, Σ) and $E_i \subset Y$ is the center of a dlt m -valuation of (X, D, Σ) , then E_i does not get contracted on minimal models of (Y, Δ) .*

Proof. Suppose that E_i is the birational strict transform of F , a prime divisor on another m -separating log resolution $\mu_1 : Y_1 \rightarrow X$ of (X, D, Σ) such that F does not get contracted on minimal models of $(Y_1, \Delta_1 = \mu_1^*(D)_{red})$ over X . By performing further blowups of smooth centers, we can find a log resolution $\mu_2 : Y_2 \rightarrow X$ which factors through both μ and μ_1 and is an isomorphism over $X \setminus D$. By blowing up further, we can assume that μ_2 is m -separating, by [7, Proof of Lemma 2.9]. Then Lemma 2.11 implies first that the strict transform of F in Y_2 does not get contracted on minimal models of $(Y_2, \Delta_2 = \mu_2^*(D)_{red})$, and then that E_i does not get contracted on minimal models of (Y, Δ) . □

Proof of Theorem 1.13. Only the first inclusion in (1.2) needs to be proven. We fix a dlt m -valuation of (X, D, Σ) . By Lemma 3.5, it is given by a divisor E_i on some m -separating log resolution Y for some fixed $i \in S_m$, such that E_i does not get contracted on any minimal model of (Y, Δ) over X , with $\Delta = \mu^*(D)_{red} = \sum_{k \in S} E_k$. We want to show that the Zariski closure $\overline{\mathcal{X}_{m,i}}$ is an irreducible component

of \mathcal{X}_m . Suppose that it is not. Then there exists $j \in S_m \setminus \{i\}$ such that $\mathcal{X}_{m,i} \subset \overline{\mathcal{X}_{m,j}}$, and the latter is an irreducible component of \mathcal{X}_m , by the decomposition (1.1). In particular, the codimension of $\mathcal{X}_{m,i}$ is strictly bigger than the codimension of $\mathcal{X}_{m,j}$. This is equivalent to

$$a(E_i, X, D)m/N_i > a(E_j, X, D)m/N_j \tag{3.2}$$

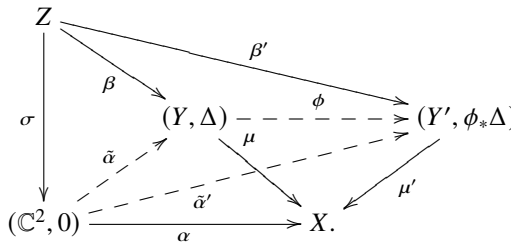
since $a(E_k, X, D) = v_k - N_k$ and $\text{codim } \mathcal{X}_{m,k} = mv_k/N_k$ for all $k \in S_m$ by Proposition 3.2.

We fix a minimal model $(Y', \Delta', \phi, \mu')$ of (Y, Δ) over X as in (2.1). We have that E_i is not ϕ -exceptional. From now on, we will denote by E'_k the image ϕ_*E_k for $k \in S$, and by $S' = \{k \in S \mid E'_k \neq \emptyset\}$. Thus, $\Delta' = \phi_*\Delta = (\mu')^*(D)_{red} = \sum_{k \in S'} E'_k$.

Pick an arc $\gamma \in \mathcal{X}_{m,i}$. By assumption, γ is a limit of arcs in $\mathcal{X}_{m,j}$. Using the curve selection lemma (see [7, Proof of Lemma 2.5]), one can assume that there exists a complex analytic surface germ

$$\alpha : (\mathbb{C}^2, (0, 0)) \rightarrow (X, \gamma(0)), \quad (t, s) \mapsto \alpha(t, s)$$

such that $\alpha_0(t) := \alpha(t, 0) = \gamma(t)$, and $\alpha_s(t) := \alpha(t, s)$ is an arc lifting through E_j° for all $s \neq 0$. By assumption, the induced meromorphic map $\tilde{\alpha} : \mathbb{C}^2 \dashrightarrow Y$ has 2-dimensional image and has indeterminacy. Since E_i is not ϕ -exceptional, the composition $\tilde{\alpha}' = \phi \circ \tilde{\alpha} : \mathbb{C}^2 \dashrightarrow Y'$ also has 2-dimensional image and has indeterminacy. Using a sequence of blowups, one resolves simultaneously the indeterminacy of $\tilde{\alpha}$ and $\tilde{\alpha}'$ to obtain a commutative diagram



Here, we work with a small neighborhood of the origin in \mathbb{C}^2 .

We write L_s for the line $\{(t, s) \mid t \in \mathbb{C}\}$ in \mathbb{C}^2 . Denote by $\sigma^{-1}(0) = \cup_{a \in A} F_a$ the irreducible components of the exceptional divisor of σ . Then we have rationally equivalent cycles

$$\sigma^*L_s = \tilde{L}_s \text{ (for } s \neq 0) \quad \text{and} \quad \sigma^*L_0 = \tilde{L}_0 + \sum_{a \in A} b_a F_a,$$

for some integers $b_a > 0$ and F_a σ -exceptional prime divisors, where \tilde{L}_s denotes the strict transform.

Let G be the strict transform under σ of the s -axis in \mathbb{C}^2 . Then $\beta(G) \subset E_j$ since E_j is proper and $\tilde{\alpha}_s(0) \in E_j^\circ$ for generic small $s \neq 0$. The inverse image in Z under β of any subset of the support of Δ is contained in $(\cup_a F_a) \cup G$. This is because $\alpha^{-1}(D)$ is contained in the s -axis, shrinking to a smaller neighborhood of $0 \in \mathbb{C}^2$ if necessary, and Z factors through $\mathbb{C}^2 \times_X Y$ – similarly for β'^{-1} of subsets of the support of $\phi_*\Delta$.

Consider the \mathbb{Q} -divisor on Y'

$$W := \sum_{k \in S'} a(E'_k, X, D)E'_k = \sum_{k \in S'} a(E_k, X, D)E'_k.$$

Then W is μ' -exceptional, and there is a \mathbb{Q} -linear equivalence of \mathbb{Q} -Cartier \mathbb{Q} -divisors

$$W = K_{Y'} + \Delta' - \mu'^*(K_X + D)$$

by the definition of log discrepancy. As above, β'^*W is supported on $(\cup_a F_a) \cup G$. The intersection products

$$\sigma^*L_s \cdot \beta'^*W = \sigma^*L_0 \cdot \beta'^*W \tag{3.3}$$

are well-defined and are equal for all small s since the same is true for the intersection with the compact F_a , while for the non-compact G , $\sigma^*L_s \cdot G = 1$ for all s by the projection formula. We will derive a contradiction from computing both sides. For the right-hand side, we use the nefness property of $K_{Y'} + \Delta'$. For the left-hand side, we use the property that log discrepancies do not decrease on relative minimal models.

The right-hand side is

$$\sigma^*L_0 \cdot \beta'^*W = \beta'_* \sigma^*L_0 \cdot W = \beta'_* \tilde{L}_0 \cdot W + \sum_{a \in A} b_a \beta'_* F_a \cdot W.$$

Since E_i is not ϕ -exceptional, $\beta'_* \tilde{L}_0 \cdot E'_k = 0$ if $k \neq i$ and $\beta'_* \tilde{L}_0 \cdot E'_i = \text{ord}_\gamma(E_i) = m/N_i$. Thus, $\beta'_* \tilde{L}_0 \cdot W = a(E_i, X, D)m/N_i$. Further, since $\beta'_* F_a$ is contained in the μ' -exceptional locus of Y' , $\beta'_* F_a \cdot \mu'^*(K_X + D) = 0$. Thus, $\beta'_* F_a \cdot W = \beta'_* F_a \cdot (K_{Y'} + \Delta')$, and this is ≥ 0 by the μ' -nefness of $K_{Y'} + \Delta'$. Since $b_a \geq 0$, we have obtained that

$$\sigma^*L_0 \cdot \beta'^*W \geq a(E_i, X, D)m/N_i. \tag{3.4}$$

However, for $s \neq 0$,

$$\sigma^*L_s \cdot \beta'^*W = \tilde{L}_s \cdot \beta'^*W.$$

Note that in general it is not necessarily true for divisors that $\beta^* \circ \phi^* = \beta'^*$ since ϕ is only a birational map and not a morphism; see [26, 1.20]. However, ϕ is a morphism in codimension 1. Hence, ϕ is a morphism on a neighborhood in Y of a general point of E_j . We can assume the center of the arc $\tilde{\alpha}_s$ is such a general point of E_j° . Then

$$\tilde{L}_s \cdot \beta'^*W = \beta_* L_s \cdot \phi^*W.$$

The only contribution to this product is from E_j and its coefficient in ϕ^*W . We can estimate it as follows. One has by the definition of log discrepancy that

$$K_Y + \Delta = \phi^*(K_{Y'} + \Delta') + \sum_{k \in S \setminus S'} a(E_k, Y', \Delta')E_k,$$

where the sum is over the ϕ -exceptional divisors in Y and

$$K_Y + \Delta = \mu^*(K_X + D) + \sum_{k \in S} a(E_k, X, D)E_k.$$

Note that on divisors $\phi^* \circ \mu'^* = \mu^*$ since μ' is a morphism (see [26, 1.20.1]); hence, $\mu^*(K_X + D) = \phi^* \mu'^*(K_X + D)$. Thus,

$$\phi^*W = \sum_{k \in S} (a(E_k, X, D) - a(E_k, Y', \Delta'))E_k$$

since $a(E_k, Y', \Delta') = 0$ if $k \in S'$. Hence,

$$\tilde{L}_s \cdot \beta'^*W = (a(E_j, X, D) - a(E_j, Y', \Delta'))m/N_j.$$

By the definition of minimal models of (Y, Δ) over X , $a(E_j, Y', \Delta') \geq a(E_j, Y, \Delta) = 0$. We have thus obtained that

$$\sigma^* L_s \cdot \beta'^* W \leq a(E_j, X, D)m/N_j.$$

From (3.3) and (3.4), this implies $a(E_j, X, D)m/N_j \geq a(E_i, X, D)m/N_i$, which contradicts (3.2). \square

We note that the hypothesis that X is smooth was used in (3.2) and that D is reduced was used in the analysis of W .

3.6. Jump valuations.

Now we prove Theorem 1.16. First recall the following terminology.

Definition 3.7. Let X be smooth complex algebraic variety and D an effective divisor on X . Let $\mu : Y \rightarrow X$ be a log resolution of (X, D) . For $\lambda \in \mathbb{Q}_{>0}$, the multiplier ideal sheaf of $(X, \lambda D)$ is

$$\mathcal{J}(X, \lambda D) := \mu_* \mathcal{O}_Y(K_{Y/X} - \lfloor \lambda \mu^* D \rfloor) \subset \mathcal{O}_X,$$

where $\lfloor _ \rfloor$ means taking the round-down of each coefficient in a \mathbb{Q} -divisor, and $K_{Y/X}$ is the unique μ -exceptional divisor linearly equivalent to $K_Y - \mu^* K_X$. It is well-known that this definition does not depend on μ . A number $\lambda \in \mathbb{Q}_{>0}$ is called a jumping number of (X, D) if $\mathcal{J}(X, \lambda D) \subsetneq \mathcal{J}(X, (\lambda - \epsilon)D)$ for all $0 < \epsilon \ll 1$. We say that a prime μ -exceptional divisor E_i on Y contributes with λ as a jumping number of (X, D) if $\lambda = (v_i + b)/N_i$ for some $b \in \mathbb{N}$ and

$$\mathcal{J}(X, \lambda D) \subsetneq \mu_* \mathcal{O}_Y(K_{Y/X} - \lfloor \lambda \mu^* D \rfloor + E_i).$$

This depends on the valuation defined by E_i and not on μ , and implies that λ is a jumping number.

Proof of Theorem 1.16. Step 1: Preparation. We can assume that E_i is μ -exceptional since otherwise the claim is true. It is enough to show that E_i does not get contracted on a minimal model of (Y, Δ) over X , where $\Delta = \mu_*^{-1} D + \text{Ex}(\mu)$. Hence, it is enough to show that E_i does not get contracted after any step of the minimal model program for (Y, Δ) over X . Here, by a step of the minimal model program, we mean an extremal-ray contraction followed by a flip if necessary. Let $\mu' : (Y', \Delta') \rightarrow X$ be obtained after a succession of steps, with $\Delta' = \phi_* \Delta = \mu'^{-1} D + \text{Ex}(\mu')$ and $\phi : Y \dashrightarrow Y'$ is the natural birational map. Assume that (Y', Δ') is the last step before E_i gets contracted. Thus, $E'_i = \phi_*(E_i) \neq 0$ contains an open dense subset covered by curves of numerical class C such that $(K_{Y'} + \Delta') \cdot C < 0$. We will derive a contradiction.

We do not need Y anymore, but we need some log resolution of (X, D, Σ) which factors through μ' and on which the valuation defined by E_i has a prime center to compare contributions to jumping numbers. To avoid introducing new notation, by taking a common log resolution, we can assume that $\phi : Y \rightarrow Y'$ is a birational projective morphism.

Step 2: Multiplier ideals are computed by μ' . Since (Y', Δ') is a log canonical pair, the multiplier ideals $\mathcal{J}(X, \lambda D)$ are computed via μ' by a result of Smith-Tucker [3, Theorem A.2] – that is,

$$\mathcal{J}(X, \lambda D) = \mu'_* \mathcal{O}_{Y'}(K_{Y'/X} - \lfloor \lambda \mu'^* D \rfloor) \tag{3.5}$$

for every $\lambda \in \mathbb{R}_{>0}$. Here, $K_{Y'/X}$ is the unique exceptional divisor \mathbb{Q} -linearly equivalent to $K_{Y'} - \mu'^* K_X$. It has integral coefficients since X is smooth. We recall the proof since we will need an intermediate step. Since there is an equality of divisors $\phi_*(K_{Y'/X} - \lfloor \lambda \mu'^* D \rfloor) = K_{Y/X} - \lfloor \lambda \mu^* D \rfloor$, there is an inclusion of sheaves $\phi_* \mathcal{O}_{Y'}(K_{Y'/X} - \lfloor \lambda \mu'^* D \rfloor) \subset \mathcal{O}_{Y'}(K_{Y'/X} - \lfloor \lambda \mu'^* D \rfloor)$. We will show

$$\phi_*\mathcal{O}_Y(K_{Y/X} - \lfloor \lambda\mu^*D \rfloor) = \mathcal{O}_{Y'}(K_{Y'/X} - \lfloor \lambda\mu'^*D \rfloor). \tag{3.6}$$

Taking direct image under μ' , we then get (3.5).

Let g be a section of $\mathcal{O}_{Y'}(K_{Y'/X} - \lfloor \lambda\mu'^*D \rfloor)$ – that is,

$$\operatorname{div}(g) + K_{Y'/X} - \lfloor \lambda\mu'^*D \rfloor \geq 0. \tag{3.7}$$

Pick $\epsilon \in \mathbb{Q}_{>0}$ small enough such that $\Delta - \epsilon\mu^*(\lambda D) \geq \{\mu^*(\lambda D)\}$. Here, $\{_ \}$ means taking the fractional part of each coefficient. This also implies that $\Delta' - \epsilon\mu'^*(\lambda D) \geq \{\mu'^*(\lambda D)\}$ by applying ϕ_* . Then $\Delta - \epsilon\lfloor \mu^*(\lambda D) \rfloor - (1 + \epsilon)\{\mu^*(\lambda D)\} \geq 0$, and so

$$\Delta' - \epsilon\lfloor \mu'^*(\lambda D) \rfloor - (1 + \epsilon)\{\mu'^*(\lambda D)\} \geq 0. \tag{3.8}$$

Adding (3.7) and (3.8), we get $\operatorname{div}(g) + K_{Y'/X} + \Delta' - (1 + \epsilon)\mu'^*(\lambda D) \geq 0$. The left-hand side is \mathbb{Q} -Cartier; hence, we can pull it back via ϕ . We obtain

$$\operatorname{div}(g \circ \phi) - \mu^*K_X + \phi^*(K_{Y'} + \Delta') - (1 + \epsilon)\mu^*(\lambda D) \geq 0.$$

Since (Y', Δ') has log canonical singularities, $K_{Y'} + \Delta' \geq \phi^*(K_{Y'} + \Delta')$, and hence,

$$\operatorname{div}(g \circ \phi) - \mu^*K_X + K_Y + \Delta - (1 + \epsilon)\mu^*(\lambda D) \geq 0.$$

Taking integral parts, it follows that $\operatorname{div}(g \circ \phi) + K_{Y/X} - \lfloor \mu^*(\lambda D) \rfloor \geq 0$, – that is, g is a section of $\phi_*\mathcal{O}_Y(K_{Y/X} - \lfloor \lambda\mu^*D \rfloor)$. This proves (3.6).

Step 3: Contribution to jumping numbers can be read from μ' . Assume now that E_i contributes with the jumping number λ of (X, D) . We show that E'_i also does – that is,

$$\mathcal{J}(X, \lambda D) \subsetneq \mu'_*\mathcal{O}_Y(K_{Y'/X} - \lfloor \lambda\mu'^*D \rfloor + E'_i). \tag{3.9}$$

Since there is an equality of divisors $\phi_*(K_{Y/X} - \lfloor \lambda\mu^*D \rfloor + E_i) = K_{Y'/X} - \lfloor \lambda\mu'^*D \rfloor + E'_i$, there is an inclusion of sheaves $\phi_*\mathcal{O}_Y(K_{Y/X} - \lfloor \lambda\mu^*D \rfloor + E_i) \subset \mathcal{O}_{Y'}(K_{Y'/X} - \lfloor \lambda\mu'^*D \rfloor + E'_i)$. Taking direct image under μ' , we obtain $\mu_*\mathcal{O}_Y(K_{Y/X} - \lfloor \lambda\mu^*D \rfloor + E_i) \subset \mu'_*\mathcal{O}_{Y'}(K_{Y'/X} - \lfloor \lambda\mu'^*D \rfloor + E'_i)$. By assumption, the first sheaf strictly contains $\mathcal{J}(X, \lambda D)$. Then (3.9) follows.

Step 4: Local vanishing for μ' . Since $\mu = \mu' \circ \phi$, the Grothendieck spectral sequence implies that there is an injective morphism of sheaves of \mathcal{O}_X -modules

$$R^1\mu'_*(\phi_*\mathcal{O}_Y(K_{Y/X} - \lfloor \lambda\mu^*D \rfloor)) \subset R^1\mu_*\mathcal{O}_Y(K_{Y/X} - \lfloor \lambda\mu^*D \rfloor).$$

By local vanishing [24, Theorem 1.2.3], the last sheaf is 0; hence, the first sheaf is also 0. By (3.6), this means that

$$R^1\mu'_*\mathcal{O}_{Y'}(K_{Y'/X} - \lfloor \lambda\mu'^*D \rfloor) = 0. \tag{3.10}$$

Step 5: Non-vanishing. There is a short exact sequence of sheaves of $\mathcal{O}_{Y'}$ -modules

$$0 \rightarrow \mathcal{O}_{Y'}(K_{Y'/X} - \lfloor \lambda\mu'^*D \rfloor) \rightarrow \mathcal{O}_{Y'}(K_{Y'/X} - \lfloor \lambda\mu'^*D \rfloor + E'_i) \rightarrow \mathcal{Q} \rightarrow 0$$

for some sheaf \mathcal{Q} . Applying μ'_* and using (3.5), (3.9) and (3.10), we get a short exact sequence of sheaves of \mathcal{O}_X -modules

$$0 \rightarrow \mathcal{J}(X, \lambda D) \rightarrow \mu'_*\mathcal{O}_{Y'}(K_{Y'/X} - \lfloor \lambda\mu'^*D \rfloor + E'_i) \rightarrow \mu'_*\mathcal{Q} \rightarrow 0$$

such that the last term is nonzero. Assuming that X is affine, which we can since the problem is local on X , this implies that $H^0(Y', \mathcal{Q}) \neq 0$ and

$$H^0(Y', \mathcal{O}_{Y'}(K_{Y'/X} - \lfloor \lambda \mu^* D \rfloor)) \subseteq H^0(Y', \mathcal{O}_{Y'}(K_{Y'/X} - \lfloor \lambda \mu^* D \rfloor + E'_i)).$$

Thus, there exists a rational function g on Y' such that

$$P = \text{div}(g) + K_{Y'/X} - \lfloor \lambda \mu^* D \rfloor + E'_i \geq 0,$$

but $P - E'_i$ is not effective. Therefore, E'_i has coefficient 0 in P , and P is linearly equivalent to $K_{Y'/X} - \lfloor \lambda \mu^* D \rfloor + E'_i$.

Step 6: The contradiction. We have that $K_{Y'} + \Delta'$ is \mathbb{Q} -linearly equivalent over X to

$$K_{Y'/X} - \mu^*(\lambda D) + \Delta' = (K_{Y'/X} - \lfloor \mu^*(\lambda D) \rfloor + E'_i) + (\Delta' - E'_i - \{\mu^*(\lambda D)\}).$$

Note that $R = \Delta' - E'_i - \{\mu^*(\lambda D)\}$ is effective, and its support does not contain E'_i . By Step 1, we have $0 > C \cdot (K_{Y'} + \Delta')$. Using the splitting from above and \mathbb{Q} -factoriality of Y' , we get $0 > C \cdot (P + R)|_{E'_i}$, where P is as in Step 5. Note that the restriction of $P + R$ to E'_i is a well-defined effective \mathbb{Q} -Cartier \mathbb{Q} -divisor. But the curves in E'_i in the numerical equivalence class C cover an open subset of E'_i ; hence, $C \cdot (P + R)|_{E'_i} \geq 0$. This is a contradiction. \square

3.8. Proof of Theorem 1.21.

Let $\mu : Y \rightarrow X$ be an m -separating log resolution of (X, D, Σ) . Let $\Delta = (\mu^* D)_{red}$. Since (X, D) is log canonical, one can apply [26, 1.35] with $c_i = 1$. The conclusion is that (Y, Δ) has a minimal model over X that contracts all μ -exceptional prime divisors E_i with $\nu_i/N_i \neq 1$, and it contracts no other prime divisors. This implies (i) and (ii).

If $\Sigma = D$, then $\text{let}_m(X, D, \Sigma) = 1$ for all $m \geq 1$, and (i) applies. Moreover, since (X, D) is log canonical, then D is log canonical by [25, 7.3.2]. Since D is log canonical, the m -th jet scheme $\mathcal{L}_m(D)$ is equidimensional for every m by [16, Theorem 1.3]. By definition, $\mathcal{X}_m^m(X, D)$, the m -contact locus in $\mathcal{L}_m(X)$, is a Zariski open subset of $\pi_{m,m-1}^{-1}(\mathcal{L}_{m-1}(D))$, where $\pi_{m,m-1} : \mathcal{L}_m(X) \rightarrow \mathcal{L}_{m-1}(X)$ is the truncation morphism. Since the latter is a trivial fibration, $\mathcal{X}_m^m(X, D)$ is also equidimensional. Since $\mathcal{X}_m(X, D) = \pi_m^{-1}(\mathcal{X}_m^m(X, D))$, it follows that all irreducible components of $\mathcal{X}_m(X, D)$ have the same codimension. This proves (iii).

One knows that D has rational singularities if and only if (X, D) is dlt, by applying [25, (7.9), (11.1.1)] to our setup – namely, X is smooth and D is reduced – and taking $Z = \text{Sing}(D)$ in Definition 2.2. If this is the case, $\mathcal{L}_m(D)$ is irreducible for all $m \geq 1$ by [36, Theorem 0.1], and thus, $\mathcal{X}_m(X, D)$ is also irreducible by the same argument as above. This proves (iv).

4. Hyperplane arrangements

In this section, we prove Theorem 1.14. We consider pairs $(X = \mathbb{C}^n, D)$ where D is a nonzero effective divisor supported on a union of hyperplanes. We call D a *hyperplane (multi-)arrangement* if it is (maybe non-)reduced. The *canonical log resolution* of (X, D) is obtained by blowing up successively by increasing dimension the strict transforms of edges of D . An *edge* is an intersection of some hyperplanes in the arrangement.

Remark 4.1. A minimal (that is, factoring all others) log resolution exists. In contrast, there is no minimal m -separating log resolution for (X, D) (e.g., $D = \{xyz = 0\} \subset \mathbb{C}^3$ and $m = 2$).

Definition 4.2. A log resolution of (X, D) is *good* if it is obtained by successively blowing up, starting from the canonical log resolution, nonempty intersections of two distinct irreducible components of the strict transform of D . Such resolutions exist by [7, Proof of Lemma 2.9].

The proof of Theorem 1.14 rests on two results. The first one was obtained in [8, Proposition 7.4], where the statement, but not the proof, needs ‘good’ to be added:

Proposition 4.3 [8]. *If D is a hyperplane multi-arrangement in $X = \mathbb{C}^n$, and $m \in \mathbb{Z}_{>0}$, then (1.1) is the decomposition into connected components of the m -contact locus $\mathcal{X}_m(X, D)$, for any good m -separating log resolution of (X, D) .*

Proposition 4.4. *Let $(X = \mathbb{C}^n, D)$ be a hyperplane arrangement. Let $\mu : Y \rightarrow X$ be a good log resolution. Let $\Delta = \mu_*^{-1}D + \text{Ex}(\mu)$. Then (Y, Δ) is its own minimal model over X .*

Proof. It is enough to show that the $K_Y + \Delta$ is μ -nef. In fact, it is enough to prove that $K_{\bar{Y}} + \bar{\Delta}$ is nef, where $\bar{\mu} : \bar{Y} \rightarrow \bar{X}$ is a good log resolution of $(\bar{X}, \bar{D} + H)$, $\bar{X} = \mathbb{P}^n = \mathbb{C}^n \sqcup H$, H is the hyperplane at infinity, \bar{D} is the compactification of D in \mathbb{P}^n , and Δ is $(\bar{\mu}^{-1}(\bar{D} + H))_{red}$. Since $\bar{\mu}$ is a good log resolution, \bar{Y} is the compactification of $X \setminus D$ in a toric variety that maps under a proper toric morphism to \mathbb{P}^r , where r is the number of hyperplanes in $\bar{D} + H$; see [44, §4]. Then, by [44, Theorem 1.4] (and the second to last paragraph of its proof) and [44, Theorem 1.5], $K_{\bar{Y}} + \bar{\Delta}$ is the pullback under a proper morphism of a very ample divisor. In particular, $K_{\bar{Y}} + \bar{\Delta}$ is nef. □

We note that the proof shows moreover that (Y, Δ) is a good minimal model over X .

Proof of Theorem 1.14. Since the subsets $\mathcal{X}_{m,i}$ are irreducible, Proposition 4.3 implies that the set of contact m -valuations is the set of essential m -valuations, and both consist of the divisorial valuations corresponding to E_i with $i \in S_m$ as in Definition 1.7 for any good m -separating log resolution. By Proposition 4.4, every such m -valuation is a dlt valuation of (X, D) . □

5. Examples with rational singularities

If a hypersurface D in a smooth variety X has rational singularities, there are no dlt valuations for (X, D, D_{sing}) by Theorem 1.21. We look at some examples.

5.1. Hypersurfaces with isolated maximal-multiplicity rational singularities.

Let D be a hypersurface in \mathbb{C}^n with at most rational singularities at the origin O , which is an isolated singularity, and such that D has multiplicity $n - 1$ at O . In this case, it follows from the proof of [6, Proposition 3.7] (see also [33] for $n = 3$) that for $m \geq n - 1$, $\mathcal{X}_m(\mathbb{C}^n, D, O)$ has codimension $m + 1$, and all its irreducible components have the same codimension. Hence, for such m , all contact m -valuations are top contact m -valuations, and $\text{lct}_m(\mathbb{C}^n, D, O) = 1 + 1/m$.

5.2. Determinants of generic square matrices.

Let $f = \det(x_{ij})$, where $(x_{ij})_{1 \leq i, j \leq n}$ is a matrix of independent variables. It defines a hypersurface D in $M = \mathbb{C}^{n^2}$ with rational singularities. The jet spaces of D together with their stratifications in terms of orbits of a certain group action have been determined by Docampo [14]. Using (3.1), this allows one to conclude that $\mathcal{X}_m(M, D, D_{sing})$ is irreducible of codimension $m + 2$ for $m \geq 1$. To prove this, one notes that $D = D^{n-1}$, $D_{sing} = D^{n-2}$, where D^k is the subvariety of matrices in M with rank at most k . Then, in the notation from [14], $\mathcal{X}_m(M, D, D_{sing}) = \overline{\mathcal{C}_\lambda} \setminus \overline{\mathcal{C}_\mu}$, where $\lambda, \mu \in \overline{\Lambda}_n$ are the pre-partitions $(m - 1, 1, 0, \dots, 0)$, $(m, 1, 0, \dots, 0)$, respectively; $\mathcal{C}_\lambda, \mathcal{C}_\mu$ are the respective orbit closures of $\mathcal{L}(GL_n(\mathbb{C}))^{\times 2}$ on $\mathcal{L}(M)$; and $\overline{(\)}$ denotes the Zariski closure. Then [14, Prop. 5.4] gives the codimension. There are no dlt m -valuations by Theorem 1.21, and the only contact m -valuation is thus a top m -valuation. It also follows that $\text{lct}_m(M, D, D_{sing}) = \text{codim } \mathcal{X}_m(M, D, D_{sing}) \cdot 1/m = (m + 2)/m$.

5.3. Homogeneous polynomials with an isolated singularity.

Let $X = \mathbb{C}^n$, $n \geq 3$, and let D be given by a homogeneous polynomial of degree d with an isolated singularity at the origin O . The blowup $\mu : Y \rightarrow X$ at O is a minimal d -separating log resolution of (X, D, O) . Then $\Delta = (\mu^*D)_{red} = \tilde{D} + E$, where $E \simeq \mathbb{P}^{n-1}$ is the exceptional divisor and \tilde{D} is the strict transform of D . Then E gives the only essential d -valuation of (X, D, O) . By (1.1), this is also the only contact d -valuation of (X, D, O) . If $d < n$, there are no dlt d -valuations for (X, D, O) , and if $d \geq n$, then E gives the only dlt d -valuation. Indeed, we have $K_Y + \Delta = \mu^*(K_X + D) + (n - d)E$, where $a(E, X, D) = n - d$. If $d < n$, then (X, D) is the relative minimal model of (Y, Δ) by Proposition 2.9, and $\text{lct}_d(X, D, O) = n/d$. If $d \geq n$, then $\mathbb{B}(K_Y + \Delta/X) = \mathbb{B}((n - d)E/X) = \emptyset$, since $-E$ is ample over X . Thus, (Y, Δ) is its own minimal model over X by Proposition 2.8.

6. Curves on surfaces: Essential and dlt valuations

Let X be a smooth complex algebraic surface. We give the geometric characterization of the essential valuations and of the dlt valuations in terms of the resolution graph of (X, D, Σ) .

6.1. Essential valuations.

Proposition 6.2. *Let X be a smooth complex algebraic surface, D a nonzero effective divisor on X , and $\Sigma \neq \emptyset$ a Zariski closed subset of the support of D . Let $m > 0$ be an integer. Then a minimal (that is, factoring all other) m -separating log resolution of (X, D, Σ) exists.*

Proof. Let $\mu : Y \rightarrow X$ be the minimal log resolution of (X, D, Σ) . If E_i and E_j denote two distinct irreducible components of $\mu^{-1}(\text{Supp}(D))$ intersecting in a point P and such that $N_i + N_j \leq m$, then blowup the point P . The multiplicity of the new exceptional divisor is $N_i + N_j$. Since N_i, N_j are strictly positive, $N_i + N_j > \max\{N_i, N_j\}$. We repeat this process until we obtain for the first time an m -separating log resolution $\mu_0 : Y_0 \rightarrow X$. To show that the result is a minimal m -separating log resolution, take $\mu_1 : Y_1 \rightarrow X$ another m -separating log resolution. Let $\pi : Y_1 \rightarrow Y_0$ be the morphism through which μ_1 factors. Consider $\pi^{-1}(P)$. This is necessarily a divisor in Y_1 , connecting the strict transforms of E_i and E_j since μ_1 is m -separating. Since π is a succession of blowups at smooth points and since $\pi^{-1}(P)$ is an exceptional divisor, it follows that π factors through the blowup of P . Repeating the argument, it follows that μ_1 factors through μ_0 . □

Notation 6.3. (*Resolution graphs.*) We denote by Γ the resolution graph of (X, D, Σ) ; see [5]. Recall that Γ is the dual graph of the divisor $(\mu^*D)_{red}$, where μ is the minimal log resolution of (X, D, Σ) , together with certain data at each vertex which remembers the resolution process, and in particular, the orders of vanishing N_i of each E_i as in Definition 1.7.

For $m \geq 1$, we denote by Γ_m the resolution graph obtained from the minimal m -separating log resolution of (X, D, Σ) .

We say that a resolution graph *refines* another resolution graph if it is obtained only by inserting inductively vertices E_k on edges E_iE_j with $i \neq j$ and such that $N_k = N_i + N_j$.

The *valence* of a vertex in a graph is the number of edges connected to the vertex. A *path* in a graph is a connected sequence of edges with distinct end points. We say that a *vertex lies on a path* if it is any of the vertices on the path, end points included. A *simple path* is a path that does not pass twice through the same vertex.

Proposition 6.2 implies the following elementary statement; see also Lemma 7.2 below:

Proposition 6.4. *With the assumptions as in Proposition 6.2:*

- (i) $\Gamma = \Gamma_1$ and for $m > 1$, Γ_m is obtained inductively from Γ_{m-1} by inserting a vertex E_k with multiplicity $N_k = N_i + N_j$ on each edge E_iE_j for which $N_i + N_j \leq m$. Thus, Γ_m refines Γ .
- (ii) For each edge E_iE_j of Γ , the set of vertices E_k lying on the simple path E_iE_j in Γ_m for some $m \geq 1$ is in bijection with $\{(a, b) \in \mathbb{N}^2 \mid \text{gcd}(a, b) = 1\}$. For such a vertex E_k , $N_k = aN_i + bN_j$.

(iii) *The essential m -valuations of (X, D, Σ) are given by the subset S_m (from Definition 1.7) of vertices of Γ_m for the the minimal m -separating log resolution of (X, D, Σ) – that is, divisors E_k lying over Σ in the minimal m -separating log resolution of (X, D, Σ) such that N_k divides m .*

If $(C, 0)$ is a smooth plane curve germ, $\mathcal{X}_m(\mathbb{C}^2, C, 0) = \overline{\mathcal{X}_{m,i}}$, where $i \in S_m$ is the vertex closest to the strict transform of C since $\mathcal{X}_m(\mathbb{C}^2, C, 0)$ is irreducible of codimension $m + 1$.

6.5. Dlt valuations.

Proposition 6.6. *Let X be a smooth complex algebraic surface, D a nonzero reduced effective divisor on X , and $\Sigma \neq \emptyset$ a Zariski closed subset of the support of D . Let $m > 0$ be an integer. The dlt m -valuations of (X, D, Σ) are given by the vertices $E_k \in S_m \subset \Gamma_m$ that lie on the refinement of a simple path $E_i E_j$ of Γ connecting two different vertices E_i, E_j which are strict transforms of irreducible components of D or have valence ≥ 3 in Γ .*

The rest of the section is dedicated to the proof. The proposition will follow from Corollary 6.11.

In the case of curves on smooth surfaces, we have uniqueness of relative minimal models; see [19, Theorem 3.3 and Proposition 3.9]:

Proposition 6.7. *Let $\mu : Y \rightarrow X$ be a projective birational morphism of surfaces, such that Y is normal. Let Δ be a boundary divisor on Y . Assume that Y is \mathbb{Q} -factorial or (Y, Δ) is log canonical. Then an MMP for (Y, Δ) over X terminates and gives a minimal model $\phi : (Y, \Delta) \dashrightarrow (Y', \Delta')$ over X . Such a minimal model is unique up to isomorphisms over X . Moreover, ϕ is a morphism and is a composition of divisorial contractions.*

After we introduce some prerequisites, we characterize which components of Δ do not get contracted on the relative minimal model in Proposition 6.10.

Definition 6.8. Let B be a reduced Weil divisor on a normal surface Z .

(a) If C is an irreducible component of B , we set $\beta_B(C) := C \cdot (B - C)$.

(b) If C is an irreducible component of B , we say that C is a *tip* of B if $\beta_B(C) \leq 1$. We say that a tip C is *admissible* if $C \simeq \mathbb{P}^1$ and $C^2 < 0$.

(c) Let $T \neq 0$ be a subchain of B , with irreducible components T_1, \dots, T_n , ordered in such a way that $T_i \cdot T_{i+1} = 1, T_i \cdot T_j = 0$ for $|i - j| > 1$. Then T is called a *twig* of B if $\beta_B(T_1) \leq 1$ and $\beta_B(T_i) \leq 2$ for all $i \in \{2, \dots, n\}$. Note that the ordering of the T_i is unique if the twig T is not a connected component of B , or if $T = T_1$ and $\beta_B(T) = 0$; in the remaining case, the reverse ordering is the only other possible ordering. A twig T is *admissible* if $T_i \simeq \mathbb{P}^1$ for all i , and the intersection matrix $(T_i \cdot T_j)_{1 \leq i, j \leq n}$ of T is negative definite. In this case, T_i is an admissible tip of $B - \sum_{j=1}^{i-1} T_j, i \in \{1, \dots, n\}$. An (admissible) tip of B is thus by definition an (admissible) twig of B , corresponding to the case $n = 1$.

(d) If $Z \rightarrow Z'$ is a birational morphism to another normal surface, and B' is a reduced divisor on Z' , we say that T is an (admissible) twig of B over B' if it is an (admissible) twig of B and has no common irreducible component with the strict transform of B' .

(e) If $T \subset B$ is a subdivisor, $d(T)$ will denote the determinant of the negative of the intersection matrix of T , and we set $d(0) = 1$.

Proposition 6.9. *Let B be a reduced divisor on a smooth surface Z .*

(a) *If T is an admissible twig of B , then T can be contracted to a cyclic quotient singularity Z' .*

(b) *If, in addition, $T = \sum_{i=1}^n T_i$ as in Definition 6.8, then the log discrepancy of T_i on (Z', B') , where B' is the divisorial image of B , equals $d(T - \sum_{j=1}^i T_j) / d(T)$ if T is not a connected component of B , and $(d(T - \sum_{j=i}^n T_j) + d(T - \sum_{j=1}^i T_j)) / d(T)$ otherwise.*

Proof. (a) This is Grauert’s Contraction Theorem [2, Theorem III.2.1] together with the standard description of Hirzebruch-Jung singularities; see, for example, [26, Theorem 3.32] or [2, III. 2.(ii) and Theorem III.5.1]. Note that this description is formulated for chains with no (-1) -curves – that is, for

minimal resolutions of cyclic singularities. Starting from an arbitrary negative definite chain of \mathbb{P}^1 's, contract all (-1) -curves in this chain and its images. Then the image of the chain is either a smooth point or a chain as in the cited theorems.

(b) This is proved in [27, 3.1.10]. One applies the formula in the cited theorem to the three possible cases concerning the ordering of the T_i mentioned in Definition 6.8. For the two cases when T is a connected component, the outcome reads the same. \square

Proposition 6.10. *Let X be a smooth complex algebraic surface and D a nonzero reduced divisor on X . Let $\mu : Y \rightarrow X$ be a log resolution of (X, D) that is an isomorphism over $X \setminus D$. Let $\Delta = (\mu^*D)_{red}$. Let $\phi : (Y, \Delta) \rightarrow (Y', \Delta')$ be the minimal model over X .*

(a) *Then $\phi = \alpha \circ \nu$, where*

- ν is the successive contraction of those admissible twigs over D of Δ , and of the divisorial direct images of Δ , that can be contracted to smooth points, and
- α is the contraction of all maximal admissible twigs of $\nu_*\Delta$ over D .

(b) *Let $Y = \text{Ex}(\nu)$ be the exceptional locus of ν . Then Y is the sum of all maximal subtrees T of $\Delta - \mu_*^{-1}D$ such that $d(T) = 1$ and T meets $\Delta - T$ exactly once, in an irreducible component of T of multiplicity one in the scheme theoretic inverse image $\nu^{-1}(\nu(T))$.*

(c) *An irreducible component E of Δ is not ϕ -exceptional if and only if $E \not\subseteq Y$ and E satisfies one of the following:*

1. $E \subseteq \mu_*^{-1}D$, or
2. $\beta_{\Delta-Y}(E) \geq 3$, or
3. E is an irreducible component of a subchain T of $\Delta - Y$ with $\beta_{\Delta-Y}(E) = 2$, such that each tip of T meets an irreducible component of Δ satisfying (1) or (2).

(d) *If μ is a composition of blowups at singular points of the respective reduced preimage of D , then $\nu = \text{id}$ and $Y = 0$.*

Proof. Write $\phi = q \circ \sigma \circ p$, where $p : (Y, \Delta) \rightarrow (\bar{Y}, \bar{B})$ is a contraction of some admissible twigs of Δ over D , q is a birational morphism, and σ is an extremal ray contraction obtained by contracting a prime divisor \bar{A} . Thus, $\bar{A}^2 < 0$ and $\bar{A} \cdot (K_{\bar{Y}} + \bar{B}) < 0$. Write $a(p) = \sum_E a(E, \bar{Y}, \bar{B})E$, where the sum runs over all irreducible components E of $\text{Ex}(p)$, and $a(E, \bar{Y}, \bar{B})$ is the log discrepancy. Put $B = p_*^{-1}\bar{B}$, $A = p_*^{-1}\bar{A}$. We have $0 > \bar{A}^2 = A \cdot p^*\bar{A} \geq A^2$ and

$$0 > \bar{A} \cdot (K_{\bar{Y}} + \bar{B}) = A \cdot (K_Y + \Delta - a(p)) = -2 + 2p_a(A) + \beta_B(A) + A \cdot (\text{Ex}(p) - a(p)).$$

Note that $p_a(A) \geq 0$, and $\beta_B(A) \geq 1$ since the connected component of B containing A also contains a component of $\mu_*^{-1}D$. Moreover, by Proposition 6.9, we have $A \cdot (\text{Ex}(p) - a(p)) = \sum_{i=1}^n (1 - 1/d(T_i)) \geq 0$, where T_1, \dots, T_n are the twigs of Δ meeting A and contracted by p . Note that T_i are also the connected components of $\text{Ex}(p)$ meeting A . It follows that $p_a(A) = 0$ and $\beta_B(A) = 1$, so A is an admissible tip of B . Also, $\sum_{i=1}^n (1 - 1/d(T_i)) < 1$. So we can assume that $d(T_i) = 1$ for $i > 1$ – that is, T_2, \dots, T_n get contracted to smooth points. The image of $T_1 + A$ after this contraction must then be an admissible twig of the image of Δ .

It follows that $\alpha \circ \nu$ factors through $p \circ \sigma$. Applying this fact inductively, starting from $p = \text{id}$, we see that $\alpha \circ \nu$ factors through ϕ . Conversely, if A is an irreducible component of $\text{Ex}(\alpha \circ \nu) - \text{Ex}(\phi)$, then reversing the above computation, we see that $\bar{A} = \phi_*A$ satisfies $\bar{A}^2 < 0$, $\bar{A} \cdot (K_{Y'} + \Delta') < 0$, which is impossible. Thus, $\phi = \alpha \circ \nu$. This proves (a). Then (b) and (d) are easy consequences.

For (c), replace (Y, Δ) by $(\nu(Y), \nu_*\Delta)$, and assume $\nu = \text{id}$. Let R be the sum of all components of Δ satisfying (1)–(3). We need to prove that $\Delta - R = B$, where B is the sum of all admissible twigs of Δ over D . Let E be a component of Δ . Clearly, if E satisfies (1) or (2), then $E \subseteq \Delta - B$. Otherwise, let T be a maximal subchain in the sense of inclusion of Δ containing E whose irreducible components do not satisfy (1) or (2). Then either T is as in (3), or one of the tips of T is a tip of Δ , and $T \subseteq \Delta - \mu_*^{-1}D$.

Note that all irreducible components C of $\Delta - \mu_*^{-1}D$ satisfy $C^2 < 0$, $C \simeq \mathbb{P}^1$. Since $\Delta - \mu_*^{-1}D$ is negative definite, T is an admissible twig over D , so $E \subseteq B$. □

Corollary 6.11. *Let X be a smooth complex algebraic surface, D a nonzero reduced divisor on X , and $\Sigma \neq \emptyset$ a Zariski closed subset of the support of D . Let $m > 0$ be an integer.*

(a) *Every dlt m -valuation of (X, D, Σ) is an essential m -valuation.*

(b) *Let $\mu : Y \rightarrow X$ be the minimal m -separating log resolution of (X, D, Σ) and $\Delta = (\mu^*D)_{red}$. If a prime divisor E of μ corresponds to a dlt m -valuation of (X, D, Σ) , then E does not get contracted on the minimal model of (Y, Δ) over X . The set of such prime divisors E is given by the conditions that $ord_E(D)$ divides m , $\mu(E) \subset \Sigma$, and*

1. $E \subset \mu_*^{-1}D$, or
2. $\beta_\Delta(E) \geq 3$, or
3. E is an irreducible component of a subchain T of Δ with $\beta_\Delta(E) = 2$, such that each tip of T meets an irreducible component of Δ satisfying (1) or (2).

Proof. (a) Suppose that $\mu_1 : Y_1 \rightarrow X$ is another m -separating log resolution of (X, D, Σ) and that $\mu_1 = \mu \circ \pi$ for a morphism $\pi : Y_1 \rightarrow Y$. Suppose F is an irreducible component of $\Delta_1 = (\mu_1^*D)_{red}$ such that $\mu_1(F) \subset \Sigma$, $ord_F(D)$ divides m , and F does not get contracted on the minimal model of (Y_1, Δ_1) over X . We can assume that π is a composition of blowups of singular points of preimages of D since blowing up smooth points of preimages of D does not introduce exceptional divisors which survive on the relative minimal model by Proposition 6.10. If F is π -exceptional, then there must exist two distinct irreducible components E_1 and E_2 of Δ such that $\pi(F) = E_1 \cap E_2$. But this implies that $ord_F(D) \geq N_1 + N_2 > m$ cannot divide m , which is a contradiction. Thus, F is not π -exceptional. Hence, F gives an essential m -valuation of (X, D, Σ) .

(b) If $E \subset \Delta$ corresponds to a dlt m -valuation of (X, D, Σ) , then $E = \pi(F)$ with π and F as above. By Proposition 6.10, F must satisfy one of the conditions (1)–(3) with (μ_1, Y_1, Δ_1) replacing (μ, Y, Δ) . It is easy to see that this is equivalent to E satisfying one of the conditions (1)–(3) for (μ, Y, Δ) . Thus, $E = \pi(F)$ does not get contracted on the minimal model of (Y, Δ) and the conditions (1)–(3) characterize such E . Alternatively, one also sees that E does not get contracted on the minimal model of (Y, Δ) by applying the more general Lemma 3.5. □

7. Curves on surfaces: Contact valuations

We answer now the embedded Nash problem for irreducible formal plane curve germs.

7.1. Notation.

Let $(C, 0) \subset (\mathbb{C}^2, 0)$ be an irreducible formal plane curve germ with $g \geq 1$ Puiseux pairs and multiplicity ν . By finite determinacy, we can assume that f is the germ of a polynomial. We take x, y to be the coordinates on \mathbb{C}^2 .

Let $m \geq 1$ be an integer. Let $\mu : Y \rightarrow \mathbb{C}^2$ of $(C, 0)$ be the minimal m -separating log resolution. It is obtained as a sequence of blowups of points, and we denote by $\mu_i : Y_i \rightarrow Y_{i-1}$ the i -th blowup and by $E_i \subset Y_i$ its exceptional divisor. We set $Y_0 := \mathbb{C}^2$. For every divisor E_i , we have the corresponding divisorial valuation $v_i : \mathbb{C}(x, y)^\times \rightarrow \mathbb{Z}$. Then $N_i = v_i(C)$ by definition.

The resolution graph of μ , denoted by Γ_m as in Section 6, is as in Figure 1. It is a refinement of the resolution graph Γ of C . We denote as in the introduction the g rupture components by E_{R_1}, \dots, E_{R_g} in the order of their appearance. For convenience, we set C_0 to be the x -axis and $E_{R_0} = E_0$ to be the y -axis.

For a curve or arc G in $Y_{i'}$, we denote by $G^{(i)}$ the strict transform of G in Y_i with $i \geq i' \geq 0$. If it is clear from the context which ambient Y_i is considered, we often write G for $G^{(i)}$ in the case of exceptional divisors.

For every $1 \leq j \leq g$, let $(C_j, 0)$ be the j -th approximate root of $(C, 0)$; see [42]. It is a plane irreducible curve germ with j Puiseux pairs whose resolution graph coincides with Γ up to the j -th rupture component. In particular, $C_j^{(R_j)}$ intersects E_{R_j} in Y_{R_j} transversely at the point of intersection of E_{R_j} and $C^{(R_j)}$ for $0 \leq j \leq g$.

If G, G' are two prime divisors in a smooth surface intersecting transversely at one point, the *divisors between G and G'* are the (strict transforms of the) exceptional divisors that result from blowing up the point and, inductively, further intersection points of (the strict transforms of) G, G' , and of previous exceptional divisors. The following is elementary and is part of the algorithm to compute resolution graphs; see [5, p. 524]:

Lemma 7.2. *Let G, G' be two prime divisors intersecting transversely in one point P in a smooth surface. Set $G = E_{(1,0)}, G' = E_{(0,1)}$ and then inductively set the divisor resulting from blowing up the intersection of $E_{(r,s)}$ and $E_{(r',s')}$ to be $E_{(r+r',s+s')}$. This establishes a bijection between pairs of coprime nonzero natural numbers (r, s) and divisors between G and G' . Furthermore, if x, y is a local system of coordinates at P for which $G = \{y = 0\}$ and $G' = \{x = 0\}$, then the minimal composition of blowups that makes $E_{(r,s)}$ appear is given by $(x, y) = (\tilde{x}^s \tilde{y}^a, \tilde{x}^r \tilde{y}^b)$, where $(a, b) \in \mathbb{N}^2$ is the unique pair such that $ar - bs = (-1)^\ell, 0 \leq a \leq s, 0 \leq b \leq r$, where ℓ is the number of divisions in the Euclidean algorithm to compute $\gcd(r, s)$. In these coordinates, $E_{(r,s)} = \{\tilde{x} = 0\}$ and $\{\tilde{y} = 0\}$ is the strict transform of $E_{(b,a)}$.*

In terms of Figure 1, in Γ_m , the divisors between $C_j^{(R_j)}$ and E_{R_j} with $1 \leq j < g$ are those on the simple path joining E_{R_j} with the bottom vertex of the $(j + 1)$ -st vertical group, excluding E_{R_j} . The divisors between $C^{(R_g)}$ and E_{R_g} are those to the right of E_{R_g} , excluding E_{R_g} and \tilde{C} , and we call them the *divisors after E_{R_g}* . The divisors between C_0 and E_{R_0} , the original x, y axes in our convention, are the first vertical group.

We denote in this section the m -contact locus of $(\mathbb{C}^2, C, 0)$ by

$$\mathcal{X}_m(C) := \mathcal{X}_m(\mathbb{C}^2, C, 0) = \{\gamma \in \mathcal{L}(\mathbb{C}^2, 0) \mid C \cdot \gamma = m\}.$$

The minimal m -separating resolution gives rise to the decomposition $\mathcal{X}_m(C) = \sqcup_{i \in S_m} \mathcal{X}_{m,i}$ as in (1.1). We say that an arc $\gamma \in \mathcal{X}_m(C)$ *lifts between G and G'* if G and G' intersect transversally at a point on some Y_i through which μ factors, and the lift of γ to Y intersects a divisor between G and G' .

7.3. Decomposition of the contact loci.

Theorem 7.4. *For each $j = 1, \dots, g$, denote by Z_j the subset of arcs in the contact locus $\mathcal{X}_m(C)$ which lift to one of the divisors in the j -th vertical group (including E_{R_j} if it is an m -divisor) of Γ_m as in Figure 1. Denote by S'_m the divisors in S_m which are not in any vertical group of Γ_m . Then*

$$\mathcal{X}_m(C) = \bigsqcup_{j=1}^g Z_j \sqcup \bigsqcup_{i \in S'_m} \mathcal{X}_{m,i} \tag{7.1}$$

is a disjoint union decomposition into closed sets in the Zariski topology.

Proof. It only remains to show that each of the sets in the decomposition – that is, Z_j with $j \in \{1, \dots, g\}$ and $\mathcal{X}_{m,i}$ with $i \in S'_m$ – is closed in the Zariski topology. By [15, Theorem A], each of these subsets is a cylinder in $\mathcal{L}(\mathbb{C}^2, 0)$. Thus, for $l \gg 0$, $Z_j^l := \pi_l(Z_j)$ and $\mathcal{X}_{m,i}^l := \pi_l(\mathcal{X}_{m,i})$ are constructible subsets in $\mathcal{L}_l(\mathbb{C}^2, 0)$. Moreover, Z_j (resp. $\mathcal{X}_{m,i}$) is closed in $\mathcal{L}(\mathbb{C}^2, 0)$ if and only if Z_j^l (resp. $\mathcal{X}_{m,i}^l$) is closed in $\mathcal{L}_l(\mathbb{C}^2, 0)$. Therefore, it is enough to prove that each Z_j^l and $\mathcal{X}_{m,i}^l$ is closed in the analytic topology of $\mathcal{L}_l(\mathbb{C}^2, 0)$.

Arguing by contradiction, we suppose there are divisors E_i and $E_{i'}$ such that $\mathcal{X}_{m,i}^l$ and $\mathcal{X}_{m,i'}^l$ are contained in different sets of the decomposition (7.1) and $\mathcal{X}_{m,i}^l \cap \overline{\mathcal{X}_{m,i'}^l} \neq \emptyset$. Since $\mathcal{X}_{m,i}^l$ and

$\mathcal{X}_{m,i}^l$ are constructible, we may apply the Curve Selection Lemma to obtain a \mathbb{C} -analytic map germ $\alpha : (\mathbb{C}, 0) \rightarrow \mathcal{X}_m^l$ such that $\alpha(0) \in \mathcal{X}_{m,i}^l$ and $\alpha(s) \in \mathcal{X}_{m,i'}^l$ for $s \neq 0$. Note that since the l -jet space is finite-dimensional, the classical version of the Curve Selection Lemma is used here, not the more delicate version that appears often in the context of the infinite-dimensional arc spaces. Using the zero section of the truncation map $\mathcal{L}(\mathbb{C}^2, 0) \rightarrow \mathcal{L}_l(\mathbb{C}^2, 0)$, we may regard α as a \mathbb{C} -analytic map germ to $\mathcal{L}(\mathbb{C}^2, 0)$ such that for every s , the entries of the arc $\alpha(s)$ are all polynomials in t .

Let f be an equation for C . Note that $f(\alpha(s))$ is a \mathbb{C} -analytic map germ of polynomials of constant order m . Therefore, we can find a \mathbb{C} -analytic map germ $u : (\mathbb{C}, 0) \rightarrow \mathcal{L}(\mathbb{C}, 0)$ such that for every s , $u(s)$ is convergent and $f(\alpha(s)) = u(s)^m$. To see this, we can write $u(s)$ explicitly by picking a holomorphic m -th root of the coefficient of t^m in $f(\alpha(s))$ and using the general formulas relating the coefficients of both sides of $(\sum_{k \geq 0} b_k t^k)^m = \sum_{k \geq 0} c_k t^k$ with $c_0 \neq 0$, –namely, $c_0 = b_0^m, c_k = \frac{1}{b_0} \sum_{i=1}^k (im - k + i) b_i c_{k-i}$ for $k \geq 1$. This shows that u is indeed analytic. Also note that $\text{ord}_t(u(s)) = 1$, so we can make the change of variables $(\tilde{s}, \tilde{t}) = (s, u(s)(t))$, and then $f(\alpha(\tilde{s}, t(\tilde{s}, \tilde{t}))) = \tilde{t}^m$. In summary, after a reparametrization we can suppose that for every s , $\alpha(s)$ is a convergent arc with $f(\alpha(s)) = t^m$ and such that $\alpha(0) \in \mathcal{X}_{m,i}^l$ and $\alpha(s) \in \mathcal{X}_{m,i'}^l$ for $s \neq 0$.

We can assume that $\alpha(s)$ is defined for $s \in [0, 1]$. For any non-constant arc $\gamma \in \mathcal{L}(\mathbb{C}^2, 0)$, denote by $\tilde{\gamma}$ the lift of γ to the resolution. Let $p_0 \in E_i^\circ$ and $p_1 \in E_{i'}^\circ$ be the centers of $\tilde{\alpha}(0)$ and $\tilde{\alpha}(1)$, respectively, where $E_k^\circ := E_k \setminus \bigcup_{k' \neq k} E_{k'}$. There exist coordinate charts $(U_0, \psi_0) = (z_1^{(0)}, z_2^{(0)})$ and $(U_1, \psi_1) = (z_1^{(1)}, z_2^{(1)})$ at p_0 and p_1 , respectively, such that $\psi_0(p_0) = (0, 0)$ and $f \circ \mu|_{U_0}(z_1^{(0)}, z_2^{(0)}) = (z_1^{(0)})^{N_i}$ – and analogously for (U_1, ψ_1) . After possibly reducing the radius of convergence of the arcs in the family α , we may assume that U_0 and U_1 contain the images of $\tilde{\alpha}(0)$ and $\tilde{\alpha}(1)$, respectively.

We write $\tilde{\alpha}(0)$ in the local coordinates of (U_0, ψ_0) as $\tilde{\alpha}(0)(t) = (a_1(t), a_2(t))$. Note that $a_1(t)^{N_i} = f(\alpha(0)(t)) = t^m$, and therefore $a_1(t) = t^{m/N_i}$, after possibly multiplying the coordinate $z_1^{(0)}$ by a root of unity, which does not affect the properties of ψ_0 . Hence, $(a_1(t), \lambda a_2(t))$ with $\lambda \in [0, 1]$ defines a continuous path in \mathcal{X}_m joining $\tilde{\alpha}(0)$ and the arc which in the coordinates of (U_0, ψ_0) is given by $(t^{m/N_i}, 0)$. After a similar construction for $\alpha(1)$ and pushing the paths to \mathbb{C}^2 via μ , we can concatenate and obtain a path $\beta : [0, 1] \rightarrow \mathcal{X}_m$ such that for every s , $\beta(s)$ is convergent and $f(\beta(s)) = t^m$, and in the local coordinates of (U_0, ψ_0) and (U_1, ψ_1) , we have $\tilde{\beta}(0) = (t^{m/N_i}, 0)$ and $\tilde{\beta}(1) = (t^{m/N_{i'}}, 0)$, respectively. From now on, we write $\beta(s, t)$ for $\beta(s)(t)$.

Let $0 < \delta \ll \varepsilon \ll 1$ be Milnor radii for f . Denote by B_ε the open ball in \mathbb{C}^2 centered at the origin of radius ε and by D_δ the open disk in \mathbb{C} centered at the origin of radius δ . We pull-back the Milnor fibration to Y via μ – that is, we put $Y' := \mu^{-1}(B_\varepsilon \cap f^{-1}(D_\delta))$ and consider the map $f \circ \mu : Y' \rightarrow D_\delta$. Its restriction over S_δ^1 is a locally trivial fibration isomorphic to the Milnor fibration (in the tube).

We now recall a construction of a topological model for this fibration, given by A'Campo in [1, §2]. Let $\tau : Y_{\log} \rightarrow Y'$ be a real oriented blowup along $(f \circ \mu)^{-1}(0)$. Let $\eta : A \rightarrow Y_{\log}$ be a continuous map which is an identity over $\bigsqcup_k \tau^{-1}(E_k^\circ)$, and for each pair $E_k, E_{k'}$ it replaces $\tau^{-1}(E_k \cap E_{k'})$ by $\tau^{-1}(E_k \cap E_{k'}) \times [0, 1]$; see [1, p. 239]. The resulting topological space A is a manifold with boundary and corners, equipped with a continuous map $\pi_A := \tau \circ \eta : A \rightarrow Y$ and a locally trivial fibration $f_A : \partial A \rightarrow S^1$ which is topologically equivalent to the Milnor fibration in the tube (i.e., admits a homeomorphism $h : \mu^{-1}(B_\varepsilon \cap f^{-1}(S_\delta^1)) \rightarrow \partial A$ such that $f_A \circ h = \frac{1}{\delta} \cdot f \circ \mu$). Let $(\phi_\theta : \mathbb{F}_1 \rightarrow \mathbb{F}_{e^{2\pi i \theta}})_{\theta \in \mathbb{R}}$, where $\mathbb{F}_z := f_A^{-1}(z)$, be the monodromy trivialization of f_A . We now list some useful properties of the decomposition $\partial A = \bigsqcup_k \pi_A^{-1}(E_k^\circ) \sqcup \bigsqcup_{k,k'} \pi_A^{-1}(E_k \cap E_{k'})$; see Figure 2.

For each k , the preimage $\pi_A^{-1}(E_k^\circ)$ is a product $E_k^\circ \times S^1$, and under this identification we have $(\pi_A, f_A)(x, z) = (x, z^{N_k})$, so the monodromy ϕ_θ rotates the second coordinate by an angle $\frac{2\pi\theta}{N_k}$. We refer to $\pi_A^{-1}(E_k^\circ) \cap \mathbb{F}_1$ as the *piece of \mathbb{F}_1 over E_k* ; in this piece, we have $\phi_{N_k} = \text{id}$. Note that if the vertex of Γ_m corresponding to E_k has valence 1 (respectively, 2) then each connected component of the piece of \mathbb{F}_1 over E_k is a disk (respectively, a cylinder).

Recall that we have distinguished a point $p_0 \in E_i^\circ$ and a chart $\psi_0 : U_0 \rightarrow \mathbb{C}^2$ around p_0 with coordinates $(z_1^{(0)}, z_2^{(0)})$, such that $f \circ \mu \circ \psi_0^{-1} = (z_1^{(0)})^{N_i}$. Writing $z_1^{(0)}$ in polar coordinates yields a

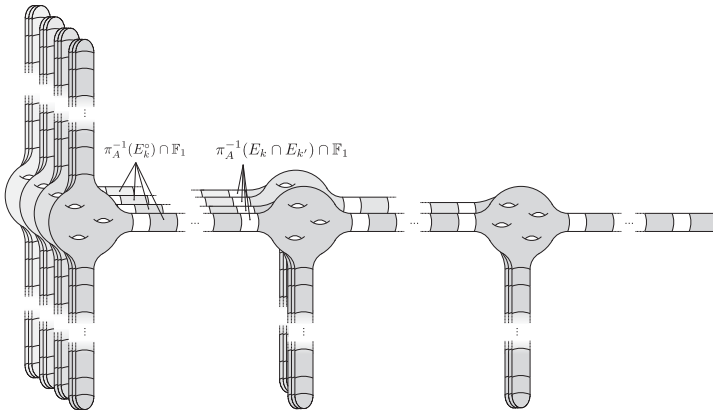


Figure 2. A'Campo decomposition of the Milnor fiber using the minimal m -separating log resolution, see [1, §2]. Note the resemblance with Figure 1.

chart $\psi'_0: \pi_A^{-1}(U_0) \rightarrow [0, \infty) \times S^1 \times \mathbb{C}$ such that $\pi_A((\psi'_0)^{-1}(r_1, e^{2\pi i \theta_1}, z_2^{(0)})) = \psi_0^{-1}(r_1 \cdot e^{2\pi i \theta_1}, z_2^{(0)})$. Since the homeomorphism h can be defined by locally lifting the radial vector field on the real oriented blowup of the base D_δ , shrinking U_0 if needed, we can assume that $h|_{U_0 \cap f^{-1}(S^1_\delta)}$ is a translation in the r_1 -direction (i.e., $h(\psi_0^{-1}(\delta^{1/N_i} \cdot e^{2\pi i \cdot \theta_1}, z_2^{(0)})) = (\psi'_0)^{-1}(0, e^{2\pi i \cdot \theta_1}, z_2^{(0)})$). As a consequence,

$$\phi_\theta(h(\psi_0^{-1}(z_1^{(0)}, z_2^{(0)}))) = h(\psi_0^{-1}(z_1^{(0)} e^{2\pi i \theta/N_i}, z_2^{(0)})), \tag{7.2}$$

and an analogous formula holds for the chart U_1 around $p_1 \in E_{i'}^\circ$.

Consider now an intersection point $E_k \cap E_{k'}$. Each connected component of $\pi_A^{-1}(E_k \cap E_{k'})$ is a product $(S^1 \times S^1) \times [0, 1]$. With an additive notation in each $S^1 = \mathbb{R}/2\pi\mathbb{Z}$, the monodromy ϕ_θ reads as $(\theta_1, \theta_2, \lambda) \mapsto (\theta_1 + \lambda \cdot \frac{2\pi\theta}{N_k}, \theta_2 + (1 - \lambda) \cdot \frac{2\pi\theta}{N_{k'}}, \lambda)$; see [1, p. 240]. We call $\mathbb{F}_1 \cap \pi_A^{-1}(E_k \cap E_{k'})$ the *piece of \mathbb{F}_1 between E_k and $E_{k'}$* . In the above coordinates, it is given by $\{N_k\theta_1 + N_{k'}\theta_2 = 0\}$, so it is a disjoint union of cylinders $S^1 \times [0, 1]$. Putting $N = \text{lcm}(N_k, N_{k'})$, we see that this piece is invariant under ϕ_N , and ϕ_N restricts to a Dehn twist on each cylinder.

Consider a homeomorphism of \mathbb{F}_1 defined as the above Dehn twist in $\mathbb{F}_1 \cap \pi_A^{-1}(E_k \cap E_{k'})$ and as the identity elsewhere. It is isotopic to $\text{id}_{\mathbb{F}_1}$ if and only if the image of $\pi_1(\mathbb{F}_1 \cap \pi_A^{-1}(E_k \cap E_{k'}))$ in $\pi_1(\mathbb{F}_1)$ is trivial. This happens if E_k and $E_{k'}$ are in the same vertical group of Γ_m ; see Figure 1. Indeed, in this case, each connected component of $\pi_A^{-1}(E_k \cap E_{k'})$ lies in a disk obtained as follows (see Figure 2): to a disk over a divisor corresponding to a vertex of valence one, we attach, along the boundary, a sequence of cylinders lying either between divisors or over ones corresponding to vertices of valence 2. Conversely, if E_k and $E_{k'}$ are not both contained in the same vertical group of Γ_m , then the image of $\pi_1(\mathbb{F}_1 \cap \pi_A^{-1}(E_k \cap E_{k'}))$ in $\pi_1(\mathbb{F}_1)$ is nontrivial, so the above Dehn twist is not isotopic to the identity.

We now return to studying our path $\beta: [0, 1] \rightarrow \mathcal{X}_m$. We can assume $\beta(s)$ is defined for $|t| \leq \delta^{1/m}$ for every s . Consider the continuous map

$$\sigma: [0, 1] \times [0, 1] \rightarrow \mathbb{F}_1, \quad (s, \theta) \mapsto \phi_{m\theta}^{-1}(h(\tilde{\beta}(s, \delta^{1/m} \cdot e^{2\pi i \theta}))),$$

which is well-defined because $f(\beta(s, \delta^{1/m} e^{2\pi i \theta})) = \delta e^{2\pi i m \theta}$. This map has the property that $\sigma(0, \theta)$ and $\sigma(1, \theta)$ are independent of θ . Indeed, using formula (7.2), we get

$$\sigma(0, \theta) = \phi_{m\theta}^{-1}(h(\tilde{\beta}(0, \delta^{1/m} e^{2\pi i \theta}))) = \phi_{m\theta}^{-1}(h(\psi_0^{-1}(\delta^{1/N_i} e^{2\pi i m \theta/N_i}, 0))) = h(\psi_0^{-1}(\delta^{1/N_i}, 0)),$$

and analogously for $\sigma(1, \theta)$. Therefore, σ is a homotopy relative to the endpoints between the paths $\sigma_0(s) := \sigma(s, 0)$ and $\sigma_1(s) := \sigma(s, 1)$. Moreover, the starting point lies on the piece of \mathbb{F}_1 over E_i , and the endpoint lies on the piece of \mathbb{F}_1 over $E_{i'}$. Note that by definition, we have $\phi_m \circ \sigma_1 = \sigma_0$.

As explained before, if N is a common multiple of all N_k with $k \geq 0$, then ϕ_{Nm} is a composition of Dehn twists. Since $\phi_{Nm} = \phi_m^N$, it follows that $\phi_{Nm} \circ \sigma_1$ is homotopic to σ_1 relative to the endpoints. Recall that the Dehn twists that are isotopic to the identity are the ones occurring in the connected components of the pieces of \mathbb{F}_1 between two divisors of a vertical group of Γ_m . If we denote by $\tilde{\phi}_{Nm}$ the composition of those Dehn twists appearing in ϕ_{Nm} which are not isotopic to the identity, we have that $\tilde{\phi}_{Nm}$ and ϕ_{Nm} are isotopic. Although it is possible that $\sigma_1(0)$ and $\sigma_1(1)$ are not fixed by this isotopy, we claim that the path $\tilde{\phi}_{Nm} \circ \sigma_1$ is homotopic to σ_1 relative to the endpoints. Indeed, note that the isotopy is equal to the identity on the pieces of \mathbb{F}_1 over the divisors in the horizontal group of Γ_m , including the rupture components. Therefore, if $\sigma_1(0)$ and $\sigma_1(1)$ are contained in pieces of \mathbb{F}_1 over divisors in the horizontal group, then it is immediate that $\tilde{\phi}_{Nm} \circ \sigma_1$ is homotopic to σ_1 relative to the endpoints. If either $\sigma_1(0)$ or $\sigma_1(1)$ lies in a piece of \mathbb{F}_1 over a divisor in a vertical group of Γ_m distinct from the rupture divisors, then the homotopy between $\tilde{\phi}_{Nm} \circ \sigma_1$ and $\phi_{Nm} \circ \sigma_1$ induces a loop contained in a disk in \mathbb{F}_1 based at $\sigma_1(0)$ and a loop contained in a disk in \mathbb{F}_1 based at $\sigma_1(1)$. By Lemma 7.5 below, also in this case, $\tilde{\phi}_{Nm} \circ \sigma_1$ is homotopic to σ_1 relative to the endpoints.

Moreover, the endpoints $\sigma_1(0)$ and $\sigma_1(1)$ lie in the pieces of \mathbb{F}_1 over E_i and $E_{i'}$, which are different connected components of the fixed point set of $\tilde{\phi}_{Nm}$. Indeed, $\text{Fix } \tilde{\phi}_{Nm}$ consists of all pieces of \mathbb{F}_1 lying over divisors – all pieces lying between adjacent divisors in the same vertical group, and possibly some circles in the interior of pieces between other divisors, where $\tilde{\phi}_{Nm}$ is an iterate of a Dehn twists. The claim follows since by assumption, E_i and $E_{i'}$ do not lie in the same vertical group.

Therefore, the properties of σ_1 contradict Lemma 7.6 below, and the proof is concluded. □

In the above proof, we have used the following two elementary lemmas. The second one is a consequence of [43, Lemma 3 (ii)].

Lemma 7.5. *Let T be a topological space and let η_0 and η_1 be paths in T with the same endpoints. Suppose there exists a homotopy $H : [0, 1] \times [0, 1] \rightarrow T$ between η_0 and η_1 – that is, $H(\cdot, 0) = \eta_0$ and $H(\cdot, 1) = \eta_1$, such that $H(0, \cdot)$ and $H(1, \cdot)$ are contractible loops. Then η_0 and η_1 are homotopic relative to the endpoints.*

Lemma 7.6. *Let Σ be a surface and $T = T_1 \circ \dots \circ T_r$ be a composition of Dehn twists such that*

- *no T_i is isotopic to the identity, and*
- *if the supports of T_i and T_j bound an annulus, then T_i and T_j have the same orientation.*

If $\eta : [0, 1] \rightarrow \Sigma$ is a path whose endpoints are fixed by T and such that $T \circ \eta$ is homotopic to η relative to the endpoints, then $\eta(0)$ and $\eta(1)$ are in the same connected component of the set of fixed points of T .

7.7. Irreducibility.

We finish now the proof of Theorem 1.22 by showing that the sets $\mathcal{X}_{m,i}$, $i \in S'_m$ and Z_j , $j \in \{1, \dots, g\}$ are all irreducible. The former are already known to be irreducible, so we focus on the sets Z_j .

We assume first that C has only one Puiseux pair – that is, up to higher order terms it has the form $y^p = x^q$ with $\text{gcd}(p, q) = 1$. The only rupture component is denoted E_R . It will be useful to also consider smooth curves which are tangent to the y -axis – that is, $q > p = 1$. In this case, by minimal resolution we mean the composition of the q blowups needed to remove the tangency, and the rupture component is the last of these exceptional divisors.

Lemma 7.8. *Assume $g = 1$. Let $E_i, E_{i'}$ be two divisors in the first vertical group in Figure 1, and suppose N_i and $N_{i'}$ divide m . If $mv_i(G)/N_i \leq mv_{i'}(G)/N_{i'}$ for all $G \in \mathbb{C}[x, y]$, then $\overline{\mathcal{X}_{m,i}} \supset \mathcal{X}_{m,i'}$.*

Proof. Since $g = 1$, all exceptional divisors give rise to toric valuations. The claim is then proven by Ishii [22, Lemma 3.11]. Indeed, in the notation of [22], $C_X(mv_i/N_i)$ is the closure of the fat arcs whose

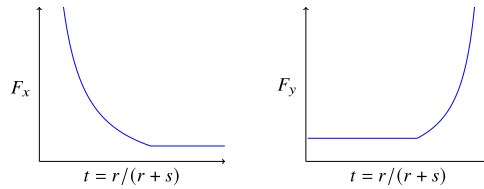


Figure 3. The graphs of F_x and F_y .

associated valuation equals mv_i/N_i . In terms of \mathbb{C} -points, those are the arcs that lift to E_i and intersect it with multiplicity m/N_i – that is, $C_X(mv_i/N_i) = \mathcal{X}_{m,i}$. \square

Proposition 7.9. *Assume $g = 1$. If Z_1 is nonempty, there exists precisely one component E_{i_0} , such that $Z_1 = \mathcal{X}_{m,i_0}$. If $R \in S_m$, then $i_0 = R$. Otherwise, there are m -valuations only on one side of the rupture divisor, and E_{i_0} is the one among them which lies closest to E_R .*

Proof. Using the notation $E_{(r,s)}$ that we introduced above, note that $v_{(r,s)}(G) = \min\{r \cdot \beta + s \cdot \alpha \mid x^\alpha y^\beta \text{ is a monomial appearing in } G\}$. Since all valuations we are interested in are of this form, we only need to check their values at the two functions x and y since $v_i(x) \leq v_{i'}(x)$ and $v_i(y) \leq v_{i'}(y)$ implies $v_i(G) \leq v_{i'}(G)$ for all $G \in \mathbb{C}[x, y]$. Applying this to our curve C , we see that $N_{(r,s)} = \min\{pr, qs\}$. By Lemma 7.8, to find a divisor that dominates all others, we need to simultaneously minimize the functions

$$F_x = mv_{(r,s)}(x)/N_{(r,s)} = ms/\min\{pr, qs\} = m(1-t)/\min\{pt, q(1-t)\},$$

$$F_y = mv_{(r,s)}(y)/N_{(r,s)} = mr/\min\{pr, qs\} = mt/\min\{pt, q(1-t)\},$$

where $t = r/(r+s) \in [0, 1] \cap \mathbb{Q}$ represents all possible pairs of coprime numbers. The graphs are as in Figure 3. Both functions reach a minimum at $t = q/(p+q)$, which corresponds to E_R . However, this might not be an m -valuation.

For $v_{(r,s)}$ to be an m -valuation, $N_{(r,s)}$ must divide m . If $p \nmid m$ and $q \nmid m$, then Z_1 is empty. If $p \mid m$ and $q \mid m$, since $N_{(q,p)} = pq$, E_R gives an m -valuation and $Z_1 = \mathcal{X}_{m,R}$. If $p \mid m$, $q \nmid m$, and $N_{(r,s)} \mid m$, then $pr < qs$. Equivalently, $r/(r+s) < q/(p+q)$ – that is, all m -valuations appear to the left of the common minimum in the graphs of Figure 3. In particular, one of them is smaller than all others, so its corresponding component dominates the rest, and Z_1 is the closure of the corresponding \mathcal{X}_{m,i_0} . Moreover, the order of the divisors in the resolution graph is the same as the order induced by their labels (r, s) when we write them as $r/(r+s)$. Thus, the valuation that dominates all others, the one closest to the common minimum in the graphs of Figure 3, is also the closest m -valuation to E_R . If $p \nmid m$ and $q \mid m$, the situation is analogous. \square

This proves Theorem 1.22 in the case of one Puiseux pair. We return now to the general case where C has g Puiseux pairs. We denote by v the multiplicity of C and by k_1, \dots, k_g its characteristic exponents. Let $r_1 = v, r_{j+1} = \gcd(k_j, r_j)$ for $j = 1, \dots, g$; and $k_0 = 0, \kappa_j = k_j - k_{j-1}$ for $j = 1, \dots, g, \kappa_{g+1} = 1$.

Lemma 7.10. *Let $1 \leq j < g$. Consider the parametrization at the j -th rupture component $E_{R_j} = \{x_j = 0\}$ of the strict transform $C^{(R_j)}: x_j(\tau) = \tau^{r_{j+1}}u_x(\tau), y_j(\tau) = \tau^{\kappa_{j+1}}u_y(\tau)$, where u_x, u_y are units. Let $c_0 = \kappa_{j+1}, c_1 = r_{j+1}$, and consider the steps of the Euclidean algorithm for (c_0, c_1) : $c_{i-1} = \eta_i c_i + c_{i+1}$ with $0 < c_{i+1} < c_i$ for $i = 1, \dots, l-1$, and $c_{\ell-1} = \eta_\ell c_\ell$. As in [5, p.522], label by $E_{a,b}$ with $a = 1, \dots, \ell, b = 1, \dots, \eta_a$, the exceptional divisors in the minimal log resolution of C that lie between $C_j^{(R_j)}$ and E_{R_j} by their order of appearance. Let (r, s) be the pair of coprime numbers such that $E_{a,b} = E_{(r,s)}$ as in Lemma 7.2. Then*

$$N_{a,b} = \begin{cases} s(\kappa_{j+1} + N_{R_j}) & \text{if } a \text{ is even,} \\ s(\kappa_{j+1} + N_{R_j}) + (\eta_1 c_1 + \eta_3 c_3 + \dots + \eta_{a-2} c_{a-2} + b c_a - \kappa_{j+1}) & \text{if } a \text{ is odd.} \end{cases}$$

Proof. We do the case where $\kappa_{j+1} > r_{j+1}$, the other case being analogous. This is a straightforward computation, given the formulas to compute the multiplicities:

$$\begin{aligned} v_{1,1}(C) &= v_{R_j}(C) + \text{mult}(C^{(R_j)}) = c_1 + M, \\ v_{1,b}(C) &= v_{1,b-1}(C) + \text{mult}(C^{(1,b-1)}) = bc_1 + M, \text{ for } b > 1, \\ v_{2,1}(C) &= v_{1,\eta_1}(C) + \text{mult}(C^{(1,\eta_1)}) = \eta_1 d_1 + d_2 + M = \kappa_{i+1} + M, \\ v_{2,b}(C) &= v_{1,\eta_1}(C) + v_{2,b-1}(C) + \text{mult}(C^{(2,b-1)}) = b(\kappa_{i+1} + M), \text{ for } b > 1, \\ v_{a,1}(C) &= v_{a-2,\eta_{a-2}}(C) + v_{a-1,\eta_{a-1}}(C) + \text{mult}(C^{(a-1,\eta_{a-1})}), \text{ for } a > 2, \\ v_{a,b}(C) &= v_{a,b-1}(C) + v_{a-1,\eta_{a-1}}(C) + \text{mult}(C^{(a,b-1)}), \text{ for } a > 2, b > 1. \end{aligned}$$

The factor s in the formulas appears because $s = v_{(r,s)}(x_j) = v_{a,b}(E_{R_j})$, and this last multiplicity is computed with the same formulas as above, except that we do not add the multiplicity of C at each step. As a result, s is the coefficient of M in $v_{a,b}(C)$, giving the desired result. \square

Lemma 7.11. *If $\gamma \in \mathcal{X}_m(C)$ lifts to a component $E_{(r,s)}$ between $C_j^{(R_j)}$ and E_{R_j} with $0 < j < g$, then $\gamma^{(R_j)} \cdot E_{R_j} \geq m/(\kappa_{j+1} + N_{R_j})$, with equality if and only if $E_{(r,s)}$ is in the $(j + 1)$ -th vertical group of Γ_m .*

Proof. We have $\gamma^{(R_j)} \cdot E_{R_j} = v_{(r,s)}(E_{R_j})(\gamma^{(r,s)} \cdot E_{(r,s)}) = sm/N_{(r,s)}$. So we need that $N_{(r,s)} \leq s(\kappa_{j+1} + N_{R_j})$, with equality if and only if $E_{(r,s)}$ is in the vertical group. This holds by Lemma 7.10 for divisors in the minimal resolution. In general, we argue by induction. Suppose $E_{(r'',s')}$ has been obtained after blowing up $E_{(r,s)} \cap E_{(r',s')}$ and that $E_{(r,s)}$ and $E_{(r',s')}$ satisfy the claim. Then $(r'', s'') = (r + r', s + s')$ and its order of vanishing along C is $N_{(r'',s'')} = N_{(r,s)} + N_{(r',s')}$. Hence,

$$N_{(r'',s'')} = N_{(r,s)} + N_{(r',s')} \leq s(\kappa_{j+1} + N_{R_j}) + s'(\kappa_{j+1} + N_{R_j}) = (s + s')(\kappa_{j+1} + N_{R_j}).$$

Equality holds if and only if $N_{(r,s)} = s(\kappa_{j+1} + N_{R_j})$ and $N_{(r',s')} = s'(\kappa_{j+1} + N_{R_j})$ (i.e., if both $E_{(r,s)}$ and $E_{(r',s')}$ are in the vertical group; i.e., if $E_{(r'',s'')}$ is in the vertical group). \square

We say that $E_i \subset Y$ appears *no later than* E_{R_j} if it is a divisor between $C_k^{(R_k)}$ and E_{R_k} for some $0 \leq k < j$; in Figure 1, these are all the vertices to the left of, under, or equal to E_{R_j} .

Lemma 7.12. *Let $1 \leq j \leq g$. For every E_i no later than E_{R_j} , $N_i = r_{j+1}v_i(C_j)$. In particular, the minimal (m/r_{j+1}) -separating resolution graph of C_j coincides with the part no later than E_{R_j} of the minimal m -separating resolution graph of C .*

Proof. The second claim follows from the first one. It suffices to prove the first claim when E_i appears already in the minimal resolution. Pick an arc $\tilde{\gamma}$ in Y_{R_j} intersecting E_i transversally but not intersecting any other irreducible component of the total transform of C . Let γ be the image of $\tilde{\gamma}$ in \mathbb{C}^2 . Note that $C \cdot \gamma = (C^{(1)} + (\text{mult } C) \cdot E_1) \cdot \gamma^{(1)} = C^{(1)} \cdot \gamma^{(1)} + (\text{mult } C) \cdot (\text{mult } \gamma)$. Inducting, we get $N_i = C \cdot \gamma = \sum_{k=1}^i (\text{mult } C^{(k-1)}) \cdot (\text{mult } \gamma^{(k-1)})$, and similarly, $v_i(C_j) = C_j \cdot \gamma = \sum_{k=1}^i (\text{mult } C_j^{(k-1)}) \cdot (\text{mult } \gamma^{(k-1)})$. Thus, it is enough to show that $\text{mult } C^{(k-1)} = r_{j+1} \cdot \text{mult } C_j^{(k-1)}$ for every $1 \leq k \leq R_j$ – equivalently, that $C^{(k)} \cdot E_k = r_{j+1}C_j^{(k)} \cdot E_k$. Let $\mu_{R_j,k} = \mu_{k+1} \circ \dots \circ \mu_{R_j}$, where μ_i is the sequence of blowups forming the minimal resolution. Then $C^{(k)} \cdot E_k = C^{(R_j)} \cdot \mu_{R_j,k}^* E_k = v_{R_j}(E_k)(C^{(R_j)} \cdot E_{R_j})$, and one has $v_{R_j}(E_k) = C_j^{(k)} \cdot E_k$. By [42, Theorem 5.1], an integer n is in the semigroup of C if and only if $r_{j+1}n$ is in the semigroup of C_j . The multiplicity of a branch is the least positive number of its semigroup, so $\text{mult } C = r_{j+1} \cdot \text{mult } C_j$. From above for $k = 1$, we had $\text{mult } C = (C^{(R_j)} \cdot E_{R_j}) \cdot (\text{mult } C_j)$; hence, $C^{(R_j)} \cdot E_{R_j} = r_{j+1}$. \square

Proposition 7.13. *If Z_1 is nonempty, there exists precisely one component E_{i_0} no later than E_{R_1} such that $Z_1 = \overline{\mathcal{X}_{m,i_0}}$. If N_{R_1} divides m , then $i_0 = R_1$. Otherwise, there are m -valuations only on one side of the rupture divisor E_{R_1} , and E_{i_0} is the one among them which lies closest to E_{R_1} .*

Proof. If E_i in the first vertical group, then $m/N_i = (m/r_2)/v_i(C_1)$ by Lemma 7.12. Thus, C_1 is a curve with one Puiseux pair whose minimal (m/r_2) -separating log resolution graph coincides with the minimal m -separating log resolution graph of C up to the first rupture component E_{R_1} , and $\mathcal{X}_{m,i}(C) = \mathcal{X}_{m/r_2,i}(C_1)$. So the claim follows from Proposition 7.9 for C_1 . \square

Lemma 7.14. *Let $\sigma : B \rightarrow \mathbb{C}^2$ be the blowup at the origin. Fix $n \in \mathbb{N}$ and let M be the set of arcs in \mathbb{C}^2 of multiplicity n at the origin. Then $\sigma_\infty : \sigma_\infty^{-1}(M) \rightarrow M$ is an isomorphism.*

Proof. Let E be the exceptional divisor. Then $\sigma_\infty : \mathcal{L}(B) - \mathcal{L}(E) \rightarrow \mathcal{L}(\mathbb{C}^2) - \{0\}$ is a bijective morphism, so we only need to show $\sigma_\infty^{-1} : M \rightarrow \sigma_\infty^{-1}(M)$ is a morphism. We have $M = M_1 \cup M_2$, where $M_i = \{\gamma = (\gamma_1, \gamma_2) \in M \mid \text{ord}_i \gamma_i = n\}$ is open in M for $i = 1, 2$. Using charts for B , the restriction of σ_∞^{-1} to M_1 and M_2 is given by $(\gamma_1, \gamma_2) \mapsto (\gamma_1, \gamma_2/\gamma_1)$ and $(\gamma_1/\gamma_2, \gamma_2)$, respectively. Computing these expressions explicitly, one sees they are morphisms, and hence, so is σ_∞^{-1} . \square

Proposition 7.15. *If Z_g is nonempty, there exists precisely one divisor E_{i_0} in the g -th branch such that $Z_g = \overline{\mathcal{X}_{m,i_0}}$. This divisor is characterized as the one closest to the rupture component that gives an m -valuation (it could be the rupture component itself).*

Proof. By Lemma 7.11, $Z_g = \{\gamma \in \mathcal{X}_m(C) \mid \gamma^{(R_{g-1})} \cdot E_{R_{g-1}} = m/(\kappa_g + N_{R_{g-1}})\}$. So if $\gamma \in Z_g$, then $m = \gamma \cdot C = \gamma^{(R_{g-1})} \cdot C^{(R_{g-1})} + N_{R_{g-1}}(\gamma^{(R_{g-1})} \cdot E_{R_{g-1}})$. Hence, $m' := \gamma^{(R_{g-1})} \cdot C^{(R_{g-1})} = m - N_{R_{g-1}}m/(\kappa_g + N_{R_{g-1}})$ is constant for all arcs in Z_g . Moreover, fixing $\gamma^{(R_{g-1})} \cdot E_{R_{g-1}}$, one fixes the sequence of multiplicities of the lifts of γ up to $Y_{R_{g-1}-1}$. Applying Lemma 7.14 repeatedly, we see that lifting arcs establishes an isomorphism between Z_g , the arcs of $\mathcal{X}_m(C)$ that lift to the g -th branch, and the arcs of $\mathcal{X}_{m'}(C^{(R_{g-1})})$ that lift to the corresponding part of the resolution graph of $C^{(R_{g-1})}$ – that is, one of the sides of the first rupture component. Since $C^{(R_{g-1})}$ has zero or one Puiseux pair, the result now follows from Proposition 7.9. \square

Proposition 7.16. *If Z_j is nonempty, there exists precisely one divisor E_{i_0} in the j -th branch such that $Z_j = \overline{\mathcal{X}_{m,i_0}}$. This divisor is characterized as the one closest to the rupture component that gives an m -valuation (it could be the rupture component itself).*

Proof. By Lemma 7.12, we have $\mathcal{X}_{m,i}(C) = \mathcal{X}_{m/r_{j+1},i}(C_j)$ for all E_i no later than E_{R_j} . In particular, since the j -th branch of the resolution graph of C is the j -th (and therefore the last) branch of the resolution graph of C_j , the result follows from Proposition 7.15. \square

Proof of Theorem 1.22. (ii) This is true in general, by Proposition 3.5. Parts (i) and (iii) are proved in Theorem 7.4 and the last three Propositions. \square

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