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# Pin<sup>±</sup>-structures on non-oriented 4-manifolds via Lefschetz fibrations

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We study necessary and sufficient conditions for a 4-dimensional Lefschetz fibration over the 2-disk to admit a  $\operatorname{Pin}^{\pm}$ -structure, extending the work of A. Stipsicz in the orientable setting. As a corollary, we get existence results of  $\operatorname{Pin}^+$  and  $\operatorname{Pin}^-$ -structures on closed non-orientable 4-manifolds and on Lefschetz fibrations over the 2-sphere. In particular, we show via three explicit examples how to read-off  $\operatorname{Pin}^{\pm}$ -structures from the Kirby diagram of a 4-manifold. We also provide a proof of the well-known fact that any closed 3-manifold M admits a  $\operatorname{Pin}^+$ -structure and we find a criterion to check whether or not it admits a  $\operatorname{Pin}^+$ -structure in terms of a handlebody decomposition. We conclude the paper with a characterization of  $\operatorname{Pin}^+$ -structures on vector bundles.

Keywords: 4-manifold; Lefschetz fibration; non-orientable; Pin structure;  $\eta$ -invariant

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#### 1. Introduction

Pin<sup>±</sup>-structures can be thought of as the non-oriented analogue of Spin-structures. We refer the reader to [17] and [24, Section 2] for a precise definition of such Lie groups and for the background on  $\operatorname{Pin}^{\pm}(n)$ -structures on low dimensional manifolds. In this note, we find necessary and sufficient conditions for a (possibly non-orientable) Lefschetz fibration over the 2-disk to support a  $\operatorname{Pin}^-$  or a  $\operatorname{Pin}^+$ -structure. In particular, such conditions are expressed in terms of the homology classes of the vanishing cycles of the Lefschetz fibration, with coefficients taken in  $\mathbb{Z}_2$  and  $\mathbb{Z}_4$  respectively. As an application of this criterion, we provide explicit necessary and sufficient conditions for a given Lefschetz fibration over the 2-sphere to support a  $\operatorname{Pin}^{\pm}$ -structure. We refer the reader to Section 3 for a quick recap on basic facts about non-orientable Lefschetz fibrations. Our main reference for this subject is [19]. We also remark that in this note we consider  $\operatorname{Pin}^{\pm}$ -structures on the tangent bundles of the manifolds under consideration, while in [13] they are defined on the normal bundles of embedded submanifolds.

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In Section 4, we prove the following statement, extending the work of A. Stipsicz on Spin-structures over Lefschetz fibrations in [23, Theorem 1.1].

THEOREM 1. Let X be a smooth 4-manifold and  $f: X \to D^2$  a Lefschetz fibration with regular fibre  $\Sigma$ . There is no  $Pin^-$ -structure on X if and only if there are k+1 vanishing cycles  $c_0, c_1, \ldots, c_k$  such that  $[c_0] = \sum_{i=1}^k [c_i] \in H_1(\Sigma; \mathbb{Z}_2)$  and  $k + \sum_{1 \le i \le j \le k} c_i \cdot c_j \equiv 0 \mod 2$ .

As we will see in Section 4, Theorem 1 is a consequence of the fact that Pin<sup>-</sup>-structures on a Lefschetz fibration over the 2-disk with regular fibre  $\Sigma$  naturally correspond to maps

$$q^-: H_1(\Sigma; \mathbb{Z}_2) \to \mathbb{Z}_4$$

such that  $q^-(x+y) = q^-(x)+q^-(y)+2x\cdot y$  for every  $x,y\in H_1(\Sigma;\mathbb{Z}_2)$  and  $q^-([c])=2$  on every vanishing cycle  $c\subset\Sigma$ , see Lemma 1. Moreover, such correspondence is equivariant with respect to the action of  $H^1(X;\mathbb{Z}_2)$ .

Section 5 is devoted to the study of Pin<sup>+</sup>-structures on Lefschetz fibrations. By [7], a Pin<sup>+</sup>-structure on the regular fibre  $\Sigma$  corresponds to a map

$$q_0^+: H_1(\Sigma; \mathbb{Z}_4) \to \mathbb{Z}_2$$

with the property that  $q_0^+(x+y) = q_0^+(x) + q_0^+(y) + x \cdot y \in \mathbb{Z}_2$  for all  $x, y \in H_1(\Sigma; \mathbb{Z}_4)$ . We show that we can choose  $q_0^+$  to be the restriction of a Pin<sup>+</sup>-structure defined on the whole fibration if and only if the following holds.

THEOREM 2. The total space of the Lefschetz fibration  $f: X \to D^2$  with vanishing cycles  $c_1, \ldots, c_n \subset \Sigma$  supports a  $Pin^+$ -structure if and only if  $\Sigma$  supports a  $Pin^+$ -structure and

$$rank(C) = rank(C \mid A)$$

where C is the  $\mathbb{Z}_2$ -reduction of the  $n \times r$  matrix  $(c_{ij})$  whose rows are given by the components of  $[c_1], \ldots, [c_n] \in H_1(\Sigma; \mathbb{Z}_4) \cong \mathbb{Z}^r$  with respect to a fixed basis  $e_1, \ldots, e_r$  of the free  $\mathbb{Z}_4$ -module  $H_1(\Sigma; \mathbb{Z}_4)$  and A is the column vector with entries  $A_i = 1 + q_0^+([c_i]) \in \mathbb{Z}_2$  for  $i = 1, \ldots, n$ .

A key tool in the proof of the above result is Lemma 2, in which we show that  $Pin^+$ -structures on X correspond to maps

$$q^+: H_1(\Sigma; \mathbb{Z}_4) \to \mathbb{Z}_2$$

such that  $q^+(x+y) = q^+(x) + q^+(y) + x \cdot y$  for every  $x, y \in H_1(\Sigma; \mathbb{Z}_4)$  and  $q^+([c]) = 1$  on every vanishing cycle  $c \subset \Sigma$ . The correspondence is also in this case equivariant with respect to the  $H^1(X; \mathbb{Z}_2)$ -action.

We remark that the study of Pin<sup>+</sup> and Pin<sup>-</sup>-structures on non-orientable 4-manifolds is essentially reduced to the case of non-orientable Lefschetz fibrations over the 2-disk due to the following result, which is a straightforward consequence of [19, Theorem 1.1].

Theorem 3 (Miller-Özbağcı [19]). Let X be a closed non-orientable smooth 4-manifold. There is a decomposition

$$X = L \cup_{\partial} H$$

where L is a non-orientable Lefschetz fibration over the 2-disk and H is a non-orientable 1-handlebody.

In particular, the proof of [19, Theorem 1.1] shows an explicit way of endowing a (possibly non-orientable) 2-handlebody with the structure of a Lefschetz fibration over the 2-disk, see also [14] and [8]. Moreover, a 4-manifold  $X = L \cup_{\partial} H$  as in Theorem 3 admits a Pin<sup> $\pm$ </sup>-structure if and only if L does. This latter conditions can be checked using Theorem 1 and Theorem 2.

In Section 6 we provide explicit examples in which, starting from a handlebody decomposition of a non-orientable 4-manifold, we endow its 2-handlebody with the structure of a Lefschetz fibration over the 2-disk. We then apply our results in order to check whether such 4-manifolds support a Pin<sup>-</sup> or a Pin<sup>+</sup>-structure. In the case they do, we find all the possible structures by looking at the  $H^1(X, \mathbb{Z}_2)$ -action on the associated quadratic enhancements on the regular fibres. The non-orientable handlebodies under consideration describe the three 4-manifolds  $\mathbb{RP}^4$ ,  $S^2 \times \mathbb{RP}^2$  and  $S^2 \times \mathbb{RP}^2$  and can be found in [2], [4], [18] and [25]. In particular, that there are two non-orientable  $S^2$ -bundles over  $\mathbb{RP}^2$  and we denote by  $S^2 \times \mathbb{RP}^2$  the non-trivial one, see [4, Section 2.3].

Section 7 is devoted of the study of necessary and sufficient conditions for the existence of a  $Pin^{\pm}$ -structure on a Lefschetz fibration over the 2-sphere. This is the non-orientable version of [23, Theorem 1.3].

THEOREM 4. Let  $f: X \to S^2$  be a (possibly non-orientable) Lefschetz fibration with regular fibre  $\Sigma$ . Then X supports a  $Pin^-$ -structure if and only if  $X \setminus \nu(\Sigma)$  does and there exists a smoothly embedded surface  $\sigma \subset X$  which is dual to  $\Sigma$  in  $H_2(X; \mathbb{Z}_2)$  and such that

$$[\sigma]^2 + (w_1(\sigma) \cup w_1(\nu(\sigma))([\sigma]) + w_1^2(\nu(\sigma)) = 0 \in \mathbb{Z}_2.$$

Analogously, X supports a  $Pin^+$ -structure if and only if  $X \setminus \nu(\Sigma)$  does and there exists a smoothly embedded surface  $\sigma \subset X$  which is dual to  $\Sigma$  in  $H_2(X; \mathbb{Z}_2)$  and such that

$$\chi(\sigma) + [\sigma]^2 + (w_1(\sigma) \cup w_1(\nu(\sigma)))([\sigma]) = 0 \in \mathbb{Z}_2.$$

In analogy to the Spin case, one can define the Pin<sup>+</sup> and Pin<sup>-</sup> cobordism groups in each dimension, see [17, Section 1]. As sets, they consist of the equivalence classes of closed n-dimensional smooth manifolds with a fixed Pin<sup>+</sup> (resp. Pin<sup>-</sup>)-structure, which are identified whenever they co-bound a Pin<sup>+</sup> (resp. Pin<sup>-</sup>) (n+1)-manifold. The group operation is the one induced by the disjoint union of manifolds. We remark that Pin<sup>+</sup> and Pin<sup>-</sup> cobordism groups are drastically different. In particular, the 4-dimensional Pin<sup>+</sup>-cobordism group is

$$\Omega_4^{\mathrm{Pin}^+} \cong \mathbb{Z}_{16}$$

(see [10, Section 2] and [17, Section 3]) and the  $\eta$ -invariant modulo  $2\mathbb{Z}$  of the twisted Dirac operator associated to a Pin<sup>+</sup>-structure on a 4-manifold is a complete invariant for Pin<sup>+</sup>-cobordism classes, see [24]. In particular, there are instances in which such invariant can detect exotic behaviours in the non-orientable 4-dimensional realm, in the sense that there are examples of non-orientable Pin<sup>+</sup> 4-manifolds which share the same homeomorphism type but define two different classes in  $\Omega_4^{\text{Pin}^+}$  and hence cannot be diffeomorphic. To the best of our knowledge, following a suggestion in [11], this was shown for the first time in [24], where the  $\eta$ -invariant of Pin<sup>+</sup>-structures is used to detect the exotic  $\mathbb{RP}^4$  constructed in [5]. We remark that another exotic  $\mathbb{RP}^4$  is constructed in [9] and its  $\eta$ -invariant is computed in [21]. An analogous approach has then been used also in [4] and [25]. On the other hand

$$\Omega_4^{\mathrm{Pin}^-} = 0$$

(see again [3, Theorem 5.1] and [17, Section 3]) and hence it is not possible to find Pin<sup>-</sup> exotic 4-manifolds using this strategy. In particular, the study of Pin<sup>+</sup>-structures on 4-manifolds could potentially lead to better understanding of exotic behaviours in the non-orientable realm and this fact is one of the main motivations for this note. In particular, in Section 5 we show that if  $X = L \cup H$  is a closed 4-manifold with a decomposition as in the statement of Theorem 3, then the datum of a Pin<sup>+</sup>-structure on X is equivalent to the datum of a map

$$q^+: H_1(\Sigma; \mathbb{Z}_4) \to \mathbb{Z}_2$$

such that  $q^+(x+y) = q^+(x) + q^+(y) + x \cdot y$  for all  $x, y \in H_1(\Sigma; \mathbb{Z}_4)$  taking value  $1 \in \mathbb{Z}_2$  on all the vanishing cycles of L, where  $\Sigma$  denotes a regular fibre. We leave the reader with the following question.

**Question**: Is there a formula for the  $\eta$ -invariant modulo  $2\mathbb{Z}$  of a Pin<sup>+</sup>-structure on X in terms of the corresponding map  $q^+: H_1(\Sigma; \mathbb{Z}_4) \to \mathbb{Z}_2$ ?

Section 2 contains a short discussion on how to check the vanishing of  $w_2$  and  $w_1^2$  in terms of the embeddings of homologically essential surfaces inside the ambient 4-manifold. In Section 7 we study Lefschetz fibrations over the 2-sphere, 8 is devoted to a brief discussion on the 3-dimensional case, while Section 9 contains a characterization of Pin<sup>+</sup>-structures on vector bundle which resembles Milnor's characterization of Spin-structures, see [20, Alternative definitions 2].

All manifolds in this note are smooth. We will not assume our 4-manifolds to be orientable, unless otherwise stated.

# 2. Pin<sup>±</sup>-structures and embedded surfaces

For every  $n \in \mathbb{N}$ , there are two distinct central extensions

$$Pin^{\pm}(n) \to O(n)$$
 (1)

which are topologically a disjoint union  $\mathrm{Spin}(n) \cup \mathrm{Spin}(n)$ . In the orientable setting, it is known that the existence of a Spin-structure on a real vector bundle  $\xi$  on a

manifold X is equivalent to the vanishing of  $w_2(\xi) \in H^2(X, \mathbb{Z}_2)$ , where  $w_i$  is the ith Stiefel-Whitney class of  $\xi$ . In the case in which X is 4-dimensional, this equivalent to having an even intersection form, provided that  $H^2(X; \mathbb{Z})$  has no 2-torsion [12, Corollary 5.7.6]. This can be shown via the Wu formula, which states that for any  $a \in H_2(X; \mathbb{Z}_2)$  one has

$$\langle w_2(X), a \rangle = a^2 \text{ modulo } 2$$
 (2)

where  $a^2$  denotes the algebraic count of self-intersections of a surface in X representing a, see [12, Proposition 1.4.18]. In a similar way, there are cohomological obstructions to the existence of  $\operatorname{Pin}^+$  and  $\operatorname{Pin}^-$ -structures on the tangent bundle of a manifold, namely the vanishing of  $w_2(X)$  and of  $(w_2 + w_1^2)(X)$  respectively, see [17, Lemma 1.3]. Moreover, both  $\operatorname{Pin}^+$  and  $\operatorname{Pin}^-$ -structures (when they do exist) are torsors over  $H^1(X,\mathbb{Z}_2)$ , meaning that there is a free and transitive action of  $H^1(X;\mathbb{Z}_2)$  over the sets of such geometric structures. However, the Wu formula does not hold when X is non-orientable, but one can still interpret the vanishing of  $w_2(X)$  and  $(w_2 + w_1^2)(X)$  in terms of properties of embedded surfaces. Indeed, for any 4-manifold X and  $a \in H_2(X;\mathbb{Z}_2)$  there is a (possibly non-orientable) smoothly embedded surface  $\Sigma \subset X$  representing a (see [12, Remark 1.2.4]) and one can show that

$$\langle w_2(X), a \rangle = \chi(\Sigma) + (w_1(\Sigma) \smile w_1(\nu(\Sigma)))([\Sigma]) + [\Sigma]^2 \text{ modulo } 2.$$
 (3)

Since the tangent bundle of a 4-manifold supports a Pin<sup>+</sup>-structure if and only if  $w_2(X)$  vanishes, this is equivalent to checking that the evaluation (3) is trivial on any homology class  $a \in H_2(X; \mathbb{Z}_2)$ .

On the other hand, the obstruction for Pin<sup>-</sup>-structures to exist is  $(w_2 + w_1^2)(X)$  [17, Lemma 1.3] so, in order to understand when such structures exist, we need to compute  $w_1^2(X)$  and one can easily show that

$$\langle w_1^2(X), [\Sigma] \rangle = w_1^2(\Sigma) + w_1^2(\nu(\Sigma)).$$
 (4)

EXAMPLE 1 ( $\mathbb{RP}^4$ ). The 4-dimensional real projective space  $\mathbb{RP}^4$  is an example of non-orientable 4-manifold supporting two distinct  $\mathrm{Pin}^+$ -structures but no  $\mathrm{Pin}^-$ -structure. We have that  $H_2(\mathbb{RP}^4; \mathbb{Z}_2) \cong \mathbb{Z}_2$  is generated by the homology class of  $\mathbb{RP}^2$ . Moreover, the tubular neighbourhood  $\nu(\mathbb{RP}^2) \subset \mathbb{RP}^4$  is diffeomorphic to the twisted 2-disk bundle  $D^2 \times \mathbb{RP}^2$  defined as the quotient of  $D^2 \times S^2$  via the involution

$$D^2 \times S^2 \to D^2 \times S^2$$
  
 $(x,y) \mapsto (\rho_{\pi}(x), -y)$ 

where  $\rho_{\pi}$  denotes the rotation of  $S^2$  of  $\pi$  radians about a fixed axis. One can show that such a quotient is diffeomorphic to

$$D^2 \widetilde{\times} \mathbb{RP}^2 \cong (D^2 \times D^2) \cup_{\varphi} (D^2 \times Mb)$$
 (5)

where Mb denotes the Möbius strip and  $\varphi$  is the map

$$\varphi: D^2 \times S^1 \to D^2 \times S^1, \quad (x,\theta) \mapsto (\rho_{\theta}(x), \theta)$$

and  $\rho_{\theta}: D^2 \to D^2$  denotes the rotation of the 2-disk of angle  $\theta$  with respect to the origin, see [1, Section 0] and [4, Example 7].

By (3) we have that

$$\langle w_2(\mathbb{RP}^4), [\mathbb{RP}^2] \rangle = \chi(\mathbb{RP})^2 + (w_1(\mathbb{RP}^2) \cup w_1(\nu(\mathbb{RP}^2)))([\mathbb{RP}^2]) + [\mathbb{RP}^2]^2 = 0 \in \mathbb{Z}_2$$

since  $\chi(\mathbb{RP}^2) = 1 = [\mathbb{RP}^2]^2$  and  $w_1(\nu(\mathbb{RP}^2)) = 0$ , while (4) implies that

$$\langle w_1(\mathbb{RP}^4)^2, [\mathbb{RP}^2] \rangle = w_1^2(\mathbb{RP}^2) + w_1^2(\nu(\mathbb{RP}^2)) = 1 + 0 = 1 \in \mathbb{Z}_2$$

where  $w_1^2(\mathbb{RP}^2)$  is computed as the self-intersection of a loop in  $\mathbb{RP}^2$  whose  $\mathbb{Z}_2$ -homology class generates  $H_1(\mathbb{RP}^2; \mathbb{Z}_2)$ .

#### 3. Non-orientable Lefschetz fibrations

Since the conditions 3 and 4 are not immediate to check in the non-orientable setting and depend heavily on the embeddings of the homologically essential surfaces, in the following sections we develop another way to combinatorially understand when a 4-manifold is Pin<sup>+</sup> or Pin<sup>-</sup> by means of a specific kind of decomposition. To do this, we will need the notion of non-orientable Lefschetz fibration over the 2-disk.

We start by recalling the definition of Lefschetz fibration. Our main reference for this topic in the non-orientable realm is [19].

Definition 1. Let X be a compact, connected 4-manifold and let B be a compact, connected surface, both with possibly non-empty boundary. A Lefschetz fibration is a smooth submersion

$$f: X \to B$$

away from finitely many points in the interior of B such that each fibre contains at most one critical point and f is a fibre bundle with surface fibre on the complement of the critical values. Moreover, around each critical point, we require f to conform to the local complex model

$$(z_1, z_2) \to z_1 z_2.$$

REMARK 1. If X is non-orientable and B is oriented, each regular fibre is a non-orientable surface. In this case it makes no sense to ask for the orientation of the local model around a critical point to be compatible to the one of X.

In the following, we will just consider the case in which  $B=D^2$  and  $\Sigma$  is the regular fibre. It is possible to show that every Lefschetz fibration

$$f:X\to D^2$$

is obtained from the product one

$$\Sigma \times D^2 \to D^2$$

by gluing 4-dimensional 2-handles along  $\Sigma \times \partial D^2$  with framing  $\pm 1$  with respect to the fibre framing. The attaching curves of such 2-handles are push-offs in distinct fibres of simple closed curves inside  $\Sigma$ , which are called the vanishing cycles of the fibration and are denoted in the following by  $c_1, \ldots, c_n \subset \Sigma$ . Moreover,  $\langle w_1(X), [c_i] \rangle = 0$  for all  $i = 1, \ldots, n$  and hence the tubular neighbourhood of these attaching regions is necessarily diffeomorphic to a product of  $S^1$  with the unit interval. We will call two-sided all curves with this property.

#### 4. Pin<sup>-</sup>-structures on 4-manifolds via Lefschetz fibrations

It is well known that the datum of a Spin-structure over an orientable surface is equivalent to the one of a quadratic enhancement

$$s: H_1(\Sigma; \mathbb{Z}_2) \to \mathbb{Z}_2$$

satisfying the condition

$$s(x+y) = s(x) + s(y) + x \cdot y$$

for all  $x, y \in H_1(\Sigma; \mathbb{Z}_2)$ , where  $\cdot$  denotes the  $\mathbb{Z}_2$ -intersection number between cycles, see [16] and [23]. A geometric interpretation of this algebraic object can be given as follows. The 1-dimensional Spin-cobordism group is  $\Omega_1^{\mathrm{Spin}} \cong \mathbb{Z}_2$  [20] and every closed simple curve  $\gamma \subset \Sigma$  in a Spin surface inherits a Spin-structure. In particular, if s is the quadratic enhancement associated to the fixed Spin-structure on  $\Sigma$ , then  $s([\gamma]) = 0$  if and only if the induced Spin-structure on  $\gamma$  is the one bounding the unique Spin-structure on the 2-disk.

It is possible to give a similar description for Pin<sup>-</sup> structures on (not necessarily orientable) surfaces, as shown by the following result.

Theorem 5 (Kirby-Taylor, [17]). There is a 1:1 correspondence between  $Pin^-$ -structures on a surface  $\Sigma$  and quadratic enhancements

$$q^-: H_1(\Sigma; \mathbb{Z}_2) \to \mathbb{Z}_4$$

with the property that

$$q^{-}(x+y) = q^{-}(x) + q^{-}(y) + 2 x \cdot y$$

for any  $x, y \in H_1(\Sigma; \mathbb{Z}_2)$ .

In particular, if  $\gamma \subset \Sigma$  is a simple closed curve, then  $q^-([\gamma])$  is even if and only if  $\gamma$  is two-sided and this is the case whenever  $\gamma$  is a vanishing cycle for a Lefschetz fibration. Moreover, recall that the 1-dimensional Pin<sup>-</sup>-cobordism group is  $\Omega_1^{\text{Pin}^-} \cong \mathbb{Z}_2$  (see [3, Theorem 5.1] and [17, Section 0]) and every closed simple curve  $\gamma \subset \Sigma$  in a Pin<sup>-</sup> surface inherits a Pin<sup>-</sup> structure. We have that  $q^-([\gamma]) = 0$  if and only if  $\gamma$  inherits the bounding Pin<sup>-</sup>-structure.

In order to prove Theorem 1, we will need the following Lemma.

LEMMA 1. Let  $f: X \to D^2$  be a Lefschetz fibration with regular fibre  $\Sigma$  and vanishing cycles  $c_1, \ldots, c_n \subset \Sigma$ . There is a natural one to one correspondence between the set of Pin<sup>-</sup>-structures on X and the set of quadratic enhancements

$$q^-: H_1(\Sigma; \mathbb{Z}_2) \to \mathbb{Z}_4$$

such that  $q^-(x+y) = q^-(x)+q^-(y)+2x\cdot y$  for all  $x,y\in H_1(\Sigma;\mathbb{Z}_2)$  and  $q^-([c_i])=2$  for all  $i=1,\ldots,n$ . Moreover, such correspondence is equivariant with respect to the free and transitive action of  $H^1(X;\mathbb{Z}_2)$ .

*Proof.* The existence of a Pin<sup>-</sup>-structure on X is equivalent to the existence of a Pin<sup>-</sup>-structure on  $\Sigma$  that extends to the 2-handles. This follows from the fact that any Pin<sup>-</sup>-structure on X induces by restriction a Pin<sup>-</sup> structure on a (trivial) tubular neighbourhood  $\nu(\Sigma) \cong \Sigma \times D^2$  and all the Pin<sup>-</sup>-structures on  $\Sigma \times D^2$  are pull-backs of the ones on  $\Sigma$  via the projection map  $\Sigma \times D^2 \to \Sigma$ , see also the proof of [23, Theorem 1.1]. Since such 2-handles are attached to  $\Sigma \times \partial D^2$  with relative odd framing, this corresponds to a Pin<sup>-</sup>-structure on  $\Sigma$  restricting to the non-bounding one on every vanishing cycle. The conclusion follows from Theorem 5.

The free and transitive action of  $H^1(X; \mathbb{Z}_2)$  on the set of Pin<sup>-</sup>-structures on X can be seen as follows. In [17, Section 3], it is shown that  $H^1(\Sigma; \mathbb{Z}_2)$  acts on the set of Pin<sup>-</sup>-structures of the surface  $\Sigma$  by

$$q_{\gamma}^{-}(x) = q^{-}(x) + 2 \cdot \gamma(x) \tag{6}$$

for all  $\gamma \in H^1(\Sigma; \mathbb{Z}_2)$  and  $x \in H_1(\Sigma; \mathbb{Z}_2)$ . In particular, Pin<sup>-</sup>-structures on  $\Sigma$  equivariantly correspond to quadratic enhancements and the action (6) is well defined on  $H^1(X; \mathbb{Z}_2) \subset H^1(\Sigma; \mathbb{Z}_2) \cong H^1(X; \mathbb{Z}_2) \oplus K$ , where  $K \subset H^1(\Sigma; \mathbb{Z}_2)$  is the kernel of the map induced by the inclusion  $\Sigma \subset X$ .

In light of this fact, it is possible to characterize the existence of Pin<sup>-</sup>-structures on non-orientable Lefschetz fibrations over the 2-disk in terms of the  $\mathbb{Z}_2$ -homology classes of the vanishing cycles.

*Proof of Theorem* 1. By Lemma 1, X does not support any Pin<sup>-</sup>-structure if and only if it is not possible to build a map

$$q^-: H_1(\Sigma; \mathbb{Z}_2) \to \mathbb{Z}_4$$

such that  $q^-(x+y) = q^-(x) + q^-(y) + 2x \cdot y$  for all  $x, y \in H_1(\Sigma; \mathbb{Z}_2)$  and such that  $q^+([c_i]) = 2$  for all  $i = 1, \ldots, n$ . The remaining part of the proof is essentially the same as the proof of [23, Theorem 1.1]. We will now sketch it for the convenience of the reader. Let  $V \subset H_1(\Sigma; \mathbb{Z}_2)$  be the vector subspace generated by the classes of the vanishing cycles. Notice that any given enhancement  $q^-$  on V can be extended to the whole  $H_1(\Sigma; \mathbb{Z}_2)$  by setting  $q^- = 0$  on a basis  $u_1, \ldots, u_k$  of an orthogonal vector subspace and using the relation (6) to define it on the vectors of the form  $\sum_j u_{i_j} + v$ , where  $v \in V$ . In particular, in order to define a Pin<sup>-</sup>-structure on X, one needs to find a quadratic enhancement  $q^- : V \to \mathbb{Z}_4$  which takes value 2 on the class of any vanishing cycle. Let  $v_1, \ldots, v_r$  be a basis of V represented by vanishing cycles and let  $V_i = \langle v_1, \ldots, v_i \rangle$  for  $i = 1, \ldots, r$ . We then want to define  $q^-$  inductively on each  $V_i$  as in the proof of [23, Theorem 1.1], setting  $q^-(v_i) = 2$  at each step. One then notices that things go wrong if and only if there are k classes  $v_{i_1}, \ldots, v_{i_k}$  such that their sum  $v_0 = \sum_{j=1}^k v_{i_j} \in H_1(\Sigma; \mathbb{Z}_2)$  is again represented by

a vanishing cycle and  $q^-(v_0) \neq 2 \in \mathbb{Z}_4$ . This implies that  $q^-(v_0) = 0 \in \mathbb{Z}_4$ , being  $v_0$  is represented by a curve with trivial tubular neighbourhood. Since by construction we had that  $q^-(v_{i_j}) = 2$  for  $j = 1, \ldots, k$ , using (6) the condition  $q^-(q_0) = 0 \in \mathbb{Z}_4$  translates into

$$q^{-}(v_{0}) = \sum_{j=1}^{k} q^{-}(v_{i_{j}}) + 2 \cdot \left(\sum_{1 \leq i_{n} \leq i_{m} \leq k} v_{i_{n}} \cdot v_{i_{m}}\right) = 2 \cdot \left(k + \sum_{1 \leq i_{n} \leq i_{m} \leq k} v_{i_{n}} \cdot v_{i_{m}}\right) = 0 \in \mathbb{Z}_{4}.$$

The conclusion then follows by noticing that  $2 \cdot x = 0 \in \mathbb{Z}_4$  if and only if  $x = 0 \in \mathbb{Z}_2$ .

Note that, if we restrict to orientable surfaces, there is a natural map

$$\Omega_1^{\mathrm{Spin}} \to \Omega_1^{\mathrm{Pin}^-}$$

giving a group isomorphism, see [17, Theorem 2.1]. At the level of the associated quadratic forms, the enhancement

$$s: H_1(\Sigma; \mathbb{Z}_2) \to \mathbb{Z}_2$$

corresponds to

$$q^-: H_1(\Sigma; \mathbb{Z}_2) \to \mathbb{Z}_4$$

given by

$$q^-(x) = 2 \cdot s(x)$$

for every  $x \in H_1(\Sigma; \mathbb{Z}_2)$ , where 2· denotes the inclusion  $\mathbb{Z}_2 \subset \mathbb{Z}_4$ . In particular, the condition on the vanishing cycles we found in Theorem 1 coincides with the one in [23, Theorem 1.1] and this is due to the fact that, when restricting to orientable Lesfschetz fibrations over  $D^2$ , being Spin coincides with being Pin<sup>-</sup>.

## 5. Pin<sup>+</sup>-structures on Lefschetz fibrations over the 2-disk

As a consequence of [7, Theorem A], there is a canonical affine bijective correspondence between  $\operatorname{Pin}^+$ -structures on a surface  $\Sigma$  and the set of maps

$$q^+: H_1(\Sigma; \mathbb{Z}_4) \to \mathbb{Z}_2 \tag{7}$$

with the property that

$$q^{+}(x+y) = q^{+}(x) + q^{+}(y) + x \cdot y \tag{8}$$

for every  $x, y \in H_1(\Sigma; \mathbb{Z}_4)$ , where  $x \cdot y \in \mathbb{Z}_2$  denotes the algebraic intersection modulo 2 between representatives of the  $\mathbb{Z}_2$ -reductions of the classes  $x, y \in H_1(\Sigma; \mathbb{Z}_4)$ .

Recall that the 1-dimensional Pin<sup>+</sup>-cobordism group is

$$\Omega_1^{\mathrm{Pin}^+} \cong \{0\}$$

see [17, Section 3]. However,  $S^1$  has two distinct Pin<sup>+</sup>-structures. These correspond to two different trivializations of the direct sum  $TS^1 \oplus \varepsilon$  of the tangent bundle of

 $S^1$  with a trivial line bundle, where the first one is given by the restriction to  $S^1$  of a trivialization of the tangent bundle  $TD^2$  of the 2-disk and the second one comes from the Lie group framing of  $S^1$ . In particular, one can show that such structures have the property that they respectively bound the 2-disk and the Möbius strip, see [17, Section 1]. A close look at the construction of  $q^+$  starting from a Pin<sup>+</sup>-structure on  $\Sigma$  shows that  $q^+([\gamma]) = 0$  if the induced Pin<sup>+</sup>-structure on  $\gamma$  is the one bounding a 2-disk, while  $q^+([\gamma]) = 1$  if it is the one bounding the Möbius strip, see [17]. Note that, given any two maps  $q_1^+, q_2^+$  corresponding to Pin<sup>+</sup>-structures on  $\Sigma$ , their difference can be regarded as an element

$$q_1^+ - q_2^+ \in \operatorname{Hom}(H_1(\Sigma; \mathbb{Z}_4), \mathbb{Z}_2).$$

The proof of Theorem 2 is based on the following Lemma.

LEMMA 2. Let  $f: X \to D^2$  be a Lefschetz fibration with regular fibre  $\Sigma$  and vanishing cycles  $c_1, \ldots, c_n \subset \Sigma$ . There is a natural bijection between the set of  $Pin^+$ -structures on X and the set of quadratic enhancements

$$q^+: H_1(\Sigma; \mathbb{Z}_4) \to \mathbb{Z}_2$$

such that  $q^+(x+y) = q^+(x) + q^+(y) + x \cdot y$  for all  $x, y \in H_1(\Sigma; \mathbb{Z}_4)$  and  $q^+([c_i]) = 1$  for all i = 1, ..., n. Moreover, such correspondence is equivariant with respect to the free and transitive action of  $H^1(X; \mathbb{Z}_2)$ .

*Proof.* As is the Pin<sup>-</sup> case, the existence of a Pin<sup>+</sup>-structure on X is equivalent to the existence of a Pin<sup>+</sup>-structure on  $\Sigma$  that extends to the 2-handles. Since such 2-handles are attached to  $\Sigma \times \partial D^2$  with relative odd framing, this corresponds to a Pin<sup>+</sup>-structure on  $\Sigma$  restricting to the one bounding the Möbius strip on every vanishing cycle. The conclusion follows from [7].

In the Pin<sup>+</sup>-case,  $H^1(\Sigma; \mathbb{Z}_2)$  acts on the set of Pin<sup>+</sup>-structures of the surface  $\Sigma$  by

$$q_{\gamma}^{+}(x) = q^{+}(x) + \gamma(x) \tag{9}$$

for all  $\gamma \in H^1(\Sigma; \mathbb{Z}_2)$  and  $x \in H_1(\Sigma; \mathbb{Z}_4)$ , see [7]. In particular,  $\operatorname{Pin}^+$ -structures on  $\Sigma$  equivariantly correspond to quadratic enhancements and the action (9) is well defined on  $H^1(X; \mathbb{Z}_2) \subset H^1(\Sigma; \mathbb{Z}_2) \cong H^1(X; \mathbb{Z}_2) \oplus K$ , where  $K \subset H^1(\Sigma; \mathbb{Z}_2)$  is the kernel of the map induced by the inclusion  $\Sigma \subset X$ .

Let  $f: X \to D^2$  be a Lefschetz fibration over the 2-disk with surface fibre  $\Sigma$ . Let  $c_1, \ldots, c_n \subset \Sigma$  be its vanishing cycles. Note that one can find simple closed curves  $e_1, \ldots, e_g$  inducing a basis of  $H_1(\Sigma; \mathbb{Z}_2) \cong \mathbb{Z}_2^g$ . From now on, we will assume that  $\Sigma$  has a Pin<sup>+</sup>-structure, since this is a necessary condition for X to be a Pin<sup>+</sup>-manifold. In particular, this means that  $\Sigma$  is not a closed non-orientable surface of odd Euler characteristic. Let

$$q_0^+: H_1(\Sigma; \mathbb{Z}_4) \to \mathbb{Z}_2 \tag{10}$$

be the quadratic enhancement associated to a fixed Pin<sup>+</sup>-structure on  $\Sigma$  and let  $c_j = \sum_{i=1}^r c_{ji}[e_i]$ . This gives the setting of Theorem 2, of which we now provide a proof.

*Proof of Theorem* 2. Lemma 2 implies that X has a  $Pin^+$ -structure if and only if there is a map

$$q^+: H_1(\Sigma, \mathbb{Z}_4) \to \mathbb{Z}_2$$

such that  $q^+(x+y) = q^+(x) + q^+(y) + x \cdot y$  for all  $x, y \in H_1(\Sigma; \mathbb{Z}_4)$  and  $q^+([c_i]) = 1$  for all  $i = 1, \ldots, n$ . In particular, every such  $q^+$  is necessarily of the form  $q^+ = q_0^+ + l$  for some linear map  $l = \sum_{i=1}^r \lambda_i [e^i]$ , where  $[e^i] \in H^1(\Sigma; \mathbb{Z}_2)$  denotes the dual element to  $[e_i] \in H_1(\Sigma; \mathbb{Z}_2)$ . In particular, this reduces the problem to finding  $l = \sum_{i=1}^r \lambda_i e^i$  such that for every  $j = 1, \ldots, n$  we have

$$q^{+}([c_{j}]) = q_{0}^{+}([c_{j}]) + l([c_{j}]) = q_{0}^{+}([c_{j}]) + \sum_{i=1}^{r} c_{ji}\lambda_{i} = 1.$$

The conclusion follows from Rouché-Capelli's Theorem [22, Theorem 2.38].

REMARK 2. The proof of Theorem 1 as well as the one of [23, Theorem 1.1] rely on the fact that  $H_1(\Sigma; \mathbb{Z}_2)$  is a vector space. This is why we cannot adopt the same strategy for Theorem 2, since  $H_1(\Sigma; \mathbb{Z}_4)$  is just a  $\mathbb{Z}_4$ -module.

### 6. Some examples

In this section, we show how to apply the results proven so far. In particular, starting from the handlebody decomposition of some small non-orientable 4-manifold M, we endow the union of handles up to index 2 with the structure of a Lefschetz fibration over the 2-disk, following the procedure explained in the proof of [19, Theorem 1.1]. At this point, we apply Theorem 1 and Theorem 2 to understand whether or not M admits a  $\operatorname{Pin}^+$  or a  $\operatorname{Pin}^-$ -structure, describing the action of  $H^1(M; \mathbb{Z}_2)$  in terms of the action of a subgroup of  $H^1(\Sigma; \mathbb{Z}_2)$  on the quadratic enhancements on the non-singular fibre  $\Sigma$  of the Lefschetz fibration. We refer the reader to [1, Section 1.5] for the conventions and backgound on Kirby diagrams of non-orientable 4-manifolds, see also [2], [4, Section 2.1], [6] and [18]. The procedure we describe in this section applies to any closed non-orientable 4-manifold represented by means of a Kirby diagram.

EXAMPLE 2 ( $\mathbb{RP}^4$ ). Figure 1 represents a Kirby diagram for the standard handlebody decomposition of  $\mathbb{RP}^4$  with a single k-handle for each  $k=0,\ldots,4$ . In particular, the union of all handles up to index 2 corresponds to a tubular neighbourhood  $\nu(\mathbb{RP}^2) \cong D^2 \times \mathbb{RP}^2$  of  $\mathbb{RP}^2$  (see [2, Section 0] and [4, Section 2.5]) and  $\mathbb{RP}^4$  is the result of attaching a 3-handle and a 4-handle to this handlebody. Recall that 3-handles and 4-handles need not be drawn by [6] and [18], see [4, Example 7].

The union of handles up to index 2 can be seen as a Lefschetz fibration over the 2-disk with the Möbius band Mb as fibre and a single vanishing cycle  $c_1$ , given by the projection of the (1,0)-framed 2-handle, see Figure 2.

A  $Pin^+$ -structure on Mb extending to  $\mathbb{RP}^4$  is given by the quadratic enhancement

$$q^+: H_1(\mathrm{Mb}; \mathbb{Z}_4) \to \mathbb{Z}_2$$
 (11)

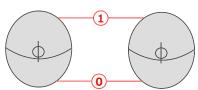


Figure 1. A Kirby diagram of  $\mathbb{RP}^4$ .

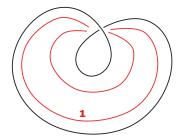


Figure 2. The Lefschetz fibration associated to the 2-handlebody of  $\mathbb{RP}^4$ .

defined by the condition  $q^+([e_1]) = 1$ , where  $[e_1]$  is the generator of  $H_1(Mb; \mathbb{Z}_4) \cong \mathbb{Z}_4$  represented by the core of the band. Indeed,  $[c_1] = 2[e_1]$  and hence

$$q^+([c_1]) = q^+(2[e_1]) = q^+([e_1]) + q^+([e_1]) + e_1^2 = 1 \in \mathbb{Z}_2.$$

The other Pin<sup>+</sup>-structure

$$q_x^+(y) = q^+(y) + x \cdot y$$
 for all  $y \in H_1(\mathrm{Mb}; \mathbb{Z}_4)$ 

is obtained by acting on  $q^+$  by the cohomology class dual to  $[e_1]$ , where  $x \cdot y$  indicates the intersection number between x and the  $\mathbb{Z}_2$ -reduction of y. Note that the condition  $q_x^+([c_1]) = 1$  is still satisfied.

On the other hand, if  $\mathbb{RP}^4$  supported a Pin<sup>-</sup>-structure, then there would be a quadratic enhancement

$$q^-: H_1(\mathrm{Mb}; \mathbb{Z}_2) \to \mathbb{Z}_4$$

satisfying  $q^{-}([c_1]) = 2$ . But this is impossible, since it would imply that

$$2 = q^{-}([c_1]) = q^{-}(2[e_1]) = q^{-}(0) = 0 \in \mathbb{Z}_4.$$

EXAMPLE 3  $(S^2 \times \mathbb{RP}^2)$ . The non-orientable 4-manifold  $S^2 \times \mathbb{RP}^2$  is obtained as a quotient of  $S^2 \times S^2$  under the orientation-reversing involution

$$\iota: S^2 \times S^2 \to S^2 \times S^2$$
  
 $(x,y) \mapsto (-x, \rho_{\pi}(y))$ 

where  $\rho_{\theta}: S^2 \to S^2$  is the rotation of  $S^2$  of angle  $\theta$  about a fixed axis for any  $\theta \in S^1$ , see [15, Chapter 12]. Moreover, it can also be seen as the result of a Gluck twist on

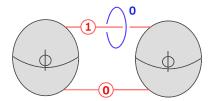


Figure 3. A Kirby diagram of  $S^2 \times \mathbb{RP}^2$ .

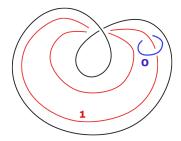


Figure 4. Lefschetz fibration associated to the 2-handlebody of  $S^2 \times \mathbb{RP}^2$ .

the product  $S^2 \times \mathbb{RP}^2$  along a 2-sphere fibre, see [4, Lemma 1]. In particular, there is a decomposition

$$S^2 \cong \mathbb{RP}^2 = (S^2 \times D^2) \cup_{\varphi} (S^2 \times \mathrm{Mb})$$

where the gluing map is

$$\varphi: S^1 \times S^1 \to S^2 \times S^2$$
  
 $(x, \theta) \mapsto (\rho_{\theta}(x), \theta).$ 

A Kirby diagram of this manifold is given in Figure 3, see [2, Section 0], [4, Figure 1], [18] and [25, Section 4.1]. It is obtained by considering  $S^2 \times \mathbb{RP}^2$  as the double of  $D^2 \times \mathbb{RP}^2$ . Figure 4 represents the projection of the attaching circles of the 2-handles onto the page of the trivial Lefschetz fibration given by the union of handles up to index one. In order to endow the 2-handlebody of  $S^2 \times \mathbb{RP}^2$  with the structure of a Lefschetz fibration over  $D^2$ , we need to stabilize the page and add vanishing cycles as in Figure 5, so that the attaching spheres of the 2-handles can be isotoped into different pages and have the right framing. This is Harer's trick and is explained in the non-orientable setting in [19, Proof of Theorem 1.1], see also [14] and [8, Theorem 2.1]. At the level of Kirby diagrams, this corresponds to adding canceling pairs of 1- and 2-handles.

In Figure 6 we fix a set of simple closed loops  $e_1, \ldots, e_6$  inducing a homology basis of the page  $\Sigma$  of this new fibration. The quadratic enhancement

$$q^+: H_1(\Sigma; \mathbb{Z}_4) \to \mathbb{Z}_2$$

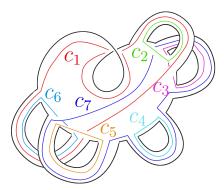


Figure 5. The 2-handlebody of  $S^2 \times \mathbb{RP}^2$  as a Lefschetz fibration over  $D^2$ .

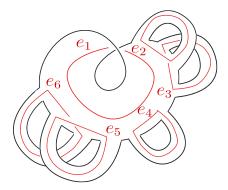


Figure 6. Curves representing a basis of  $H_1(\Sigma)$ .

defined by the condition  $q^+([e_i]) = 1$  for every i = 1, ..., 6 has the property that  $q^+([c_i]) = 1$  for all the vanishing cycles  $c_1, ..., c_7$  and hence it determines a Pin<sup>+</sup>-structure on  $S^2 \cong \mathbb{RP}^2$ . The other Pin<sup>+</sup>-structure can be found by acting on  $q^+$  with  $[e_1] \in H_1(\Sigma; \mathbb{Z}_2)$ .

On the other hand,  $S^2 \cong \mathbb{RP}^2$  does not support a Pin<sup>-</sup>-structure. Indeed, if this was the case, we could find a quadratic enhancement

$$q^-: H_1(\Sigma; \mathbb{Z}_2) \to \mathbb{Z}_4$$

such that  $q^{-}([e_i]) = 2$  for all i = 1, ..., 7 and this would imply that

$$2 = q^{-}([c_1]) = q^{-}([e_2 + e_6]) = q^{-}([e_2]) + q^{-}([e_6]) = q^{-}([c_2]) + q^{-}([c_6]) = 2 + 2$$
$$= 0 \in \mathbb{Z}_4$$

a contradiction.

EXAMPLE 4  $(S^2 \times \mathbb{RP}^2)$ . A Kirby diagram of the product 4-manifold  $S^2 \times \mathbb{RP}^2$  is given in Figure 7, see [2, Section 0], [4, Figure 1], [18] and [25, Section 4.1]. It is obtained by considering  $S^2 \times \mathbb{RP}^2$  as the double of  $D^2 \times \mathbb{RP}^2$ . Figure 8 shows

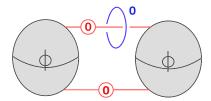


Figure 7. A Kirby diagram of  $S^2 \times \mathbb{RP}^2$ .

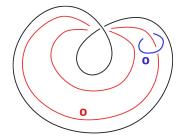


Figure 8. Lefschetz fibration associated to the 2-handlebody of  $S^2 \times \mathbb{RP}^2$ .

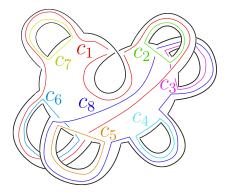


Figure 9. The 2-handlebody of  $S^2 \times \mathbb{RP}^2$  as a Lefschetz fibration over  $D^2$ .

the projection of the attaching circles of the 2-handles onto the page of the trivial Lefschetz fibration given by the union of handles up to index one.

We use Harer's trick again (see [19, Proof of Theorem 1.1], [14] and [8, Theorem 2.1]) and show that the union of the handles up to index 2 in the fixed decomposition of  $S^2 \times \mathbb{RP}^2$  is given by the surface  $\Sigma$  and by the vanishing cycles  $c_1, \ldots, c_8$  in Figure 9. Note that, with respect to the case of  $S^2 \times \mathbb{RP}^2$ , we need to stabilize the page one additional time in order to adjust the framing of the red coloured 2-handle.

In Figure 10 we again fix a set of loops  $e_1, \ldots, e_7 \subset \Sigma$  inducing a first homology basis of the page.

The quadratic enhancement

$$q^-: H_1(\Sigma; \mathbb{Z}_2) \to \mathbb{Z}_4$$

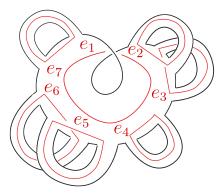


Figure 10. Curves representing a basis of  $H_1(\Sigma)$ .

defined by the condition  $q^-([e_i]) = 2$  for every i = 1, ..., 7 has the property that  $q^-([c_i]) = 2$  for all the vanishing cycles  $c_1, ..., c_8$  and hence it determines a Pin<sup>-</sup>structure on  $S^2 \times \mathbb{RP}^2$ . The other Pin<sup>-</sup>-structure can be found by acting on  $q^-$  with  $[e_1] \in H_1(\Sigma; \mathbb{Z}_2)$ .

On the other hand,  $S^2 \times \mathbb{RP}^2$  can not support a Pin<sup>+</sup>-structure. Indeed, if this was the case we could find a quadratic enhancement

$$q^+: H_1(\Sigma; \mathbb{Z}_4) \to \mathbb{Z}_2$$

such that  $q^+([c_i]) = 1$  for all i = 1, ..., 8 and this would imply that

$$1 = q^{+}([c_{1}]) = q^{+}([2e_{1} + e_{2} + e_{6} + e_{7}]) =$$

$$2q^{+}([e_{1}]) + e_{1}^{2} + q^{+}([e_{2}]) + q^{+}([e_{6}]) + q^{+}([e_{7}]) =$$

$$1 + q^{+}([c_{2}]) + q^{+}([c_{6}]) + q^{+}([c_{7}]) = 1 + 1 + 1 + 1 = 0 \in \mathbb{Z}_{2}$$

a contradiction.

# 7. $Pin^{\pm}$ -structures on Lefschetz fibrations over $S^2$

In this section, we provide a proof of Theorem 4.

*Proof.* Proof of Theorem 4 The proof is a  $\mathbb{Z}_2$ -coefficients version of the one of [23, Theorem 1.3] using the two formulas (4) and (3) in Section 2. We hence leave it to the interested reader as an exercise.

REMARK 3. One can check whether  $X \setminus \nu(\Sigma)$  supports a Pin<sup>±</sup>-structure by applying Theorem 1 and Theorem 2 respectively.

## 8. Pin<sup>+</sup> and Pin<sup>-</sup>-structures on 3-manifolds

The following well-known fact can be easily proven by adapting to the Pin<sup>-</sup> case the one of [23, Theorem 1.4], see [17].

Theorem 6. Any closed 3-manifold M admits a Pin<sup>-</sup>-structure.

Sketch of Proof. We reduce to the case in which M is non-orientable, since if M is orientable then it is automatically Spin and hence also  $\operatorname{Pin}^+$  and  $\operatorname{Pin}^-$ . The idea is to write M as the union of a non-orientable 3-dimensional handlebody H of genus g with g 2-handles and a single 3-handle. Then [17, Corollary 1.12] implies that M admits a  $\operatorname{Pin}^-$ -structure if and only if the closed surface  $\partial H$  supports a  $\operatorname{Pin}^-$ -structure which restricts to the one bounding the 2-disk on all the attaching spheres of the 2-handles and on all the belt spheres of the 1-handles. Such a  $\operatorname{Pin}^-$ -structure can always be constructed by means of a quadratic enhancement g :  $H_1(\partial H; \mathbb{Z}_2) \to \mathbb{Z}_4$  which vanishes on all such curves. The proof follows the same lines of the one of [23, Theorem 1.4] and we leave the details to the interested reader.

On the other hand, it is not true that any closed 3-manifold admits a  $\operatorname{Pin}^+$ -structure, since the condition  $w_2(M)=0$  is not always satisfied in the non-orientable setting.  $\mathbb{RP}^2 \times S^1 \# N$  for any 3-manifold N is such an example. However, we now show that it is still possible to check this condition by considering a handlebody decomposition of M.

THEOREM 7. Let  $M = H \cup H'$  be a closed non-orientable 3-manifold obtained by adding g 2-handles and a 3-handle to a genus-g non-orientable handlebody H and let  $q_0^+: H_1(\partial H; \mathbb{Z}_4) \to \mathbb{Z}_2$  be a quadratic enhancement corresponding to a  $Pin^+$  structure on  $\partial H$ . Let  $a_1, \ldots, a_g \in H_1(\partial H; \mathbb{Z}_4)$  and  $b_1, \ldots, b_g \in H_1(\partial H; \mathbb{Z}_4)$  be the homology classes of the attaching circles of the 2-handles and of the belt circles of the 1-handles of H respectively and set

$$q_0^+([a_j]) = \alpha_j$$
 and  $q_0^+([b_j]) = \beta_j$ 

for j = 1, ..., g. If for a fixed basis  $e_1, ..., e_{2g}$  of  $H_1(\partial H; \mathbb{Z}_2)$  we have

$$\tilde{a}_j = \sum_{i=1}^{2g} a_{j,i} e_i \quad and \quad \tilde{b}_j = \sum_{i=1}^{2g} b_{j,i} e_i$$

for j = 1, ..., g, where  $\tilde{a}_j$  and  $\tilde{b}_j$  are the  $\mathbb{Z}_2$ -reduction of  $a_j$  and  $b_j$  respectively, then M has a  $Pin^+$ -structure if and only if

$$\mathit{rank}(C|A) = \mathit{rank}(C)$$

where C and A are respectively the  $2q \times 2q$  matrix and the column vector

$$C = \begin{pmatrix} a_{1,1} & \dots & a_{1,2g} \\ \vdots & \ddots & \vdots \\ a_{g,1} & \dots & a_{g,2g} \\ b_{1,1} & \dots & b_{1,2g} \\ \vdots & \ddots & \vdots \\ b_{2g,1} & \dots & b_{g,2g} \end{pmatrix}, \qquad A = \begin{pmatrix} \alpha_1 \\ \vdots \\ \alpha_g \\ \beta_1 \\ \vdots \\ \beta_g \end{pmatrix}. \tag{12}$$

*Proof.* There is a Pin<sup>+</sup>-structure on M if and only if the non-orientable closed surface  $\partial H$  has a Pin<sup>+</sup>-structure which extends to the attaching circles of the 2-handles and to the belt spheres of the 1-handles in H. In particular, this is equivalent to check whether or not there exists a map

$$q^+: H_1(\partial H; \mathbb{Z}_4) \to \mathbb{Z}_2 \tag{13}$$

satisfying the condition  $q^+(x+y) = q^+(x) + q^+(y) + x \cdot y$  for all  $x, y \in H_1(\partial H; \mathbb{Z}_4)$  and which vanishes on  $a_1, \ldots, a_g$  and on  $b_1, \ldots, b_g$ .

If we fix a quadratic enhancement

$$q_0^+: H_1(\partial H; \mathbb{Z}_4) \to \mathbb{Z}_2 \tag{14}$$

corresponding to a Pin<sup>+</sup>-structure on  $\partial H$ , then all the other Pin<sup>+</sup>-structures on  $\partial H$  will be of the form  $q^+ = q_0^+ + l$  for some linear map  $l \in \text{Hom}(H_1(\partial H; \mathbb{Z}_4), \mathbb{Z}_2)$ . It follows that M supports a Pin<sup>+</sup>-structure if and only if there is  $l = \sum_{i=1}^{2g} x_i e^i \in \text{Hom}(H_1(\partial H; \mathbb{Z}_4), \mathbb{Z}_2)$  such that

$$\sum_{i=1}^{2g} a_{j,i} x_i = \alpha_j \quad \text{and} \quad \sum_{i=1}^{2g} b_{j,i} x_i = \beta_j$$

in  $\mathbb{Z}_2$  for  $j=1,\ldots,g$ , where  $e^1,\ldots,e^{2g}$  is the dual basis to  $e_1,\ldots,e_{2g}\in H_1(\partial H;\mathbb{Z}_2)$ . The conclusion follows from Rouché–Capelli's theorem.

# 9. Interpretation of Pin<sup>+</sup>-structures à la Milnor

Kirby and Taylor showed in [17] that the sets of Pin<sup>+</sup> and Pin<sup>-</sup>-structures on a fixed vector bundle  $\xi$  are in one to one correspondence with Spin-structures on  $\xi \oplus 3 \cdot \det(\xi)$  and  $\xi \oplus \det(\xi)$  respectively. In this section, we remark that for Pin<sup>+</sup>-structures one can get another equivalent characterization, which recalls the following one of Spin-structures by Milnor.

THEOREM 8 (Milnor [20]). There is a canonical bijection between the set of Spinstructures on a real rank-k vector bundle  $\xi : E \to M$  and the set of homotopy classes of trivializations of  $\xi|_{M^1}$  extending to trivializations of  $\xi|_{M^2}$ , where  $M^i$  denotes the ith skeleton of M. Moreover, such correspondence is equivariant with respect to the action of  $H^1(M; \mathbb{Z}_2)$ .

Recall that  $x \in H^1(M; \mathbb{Z}_2)$  acts on a trivialization of  $\xi|_{M^1}$  by flipping the framing of any loop  $\gamma \subset M^1$  such that  $\langle x, [\gamma] \rangle = 1 \in \mathbb{Z}_2$ .

In a similar fashion, we prove the following result. We remark that this follows essentially from the discussion in [17].

THEOREM 9. Let  $\xi: E \to M$  be a real rank-k vector bundle. There is a canonical bijection between the set of  $Pin^+$ -structures on  $\xi$  and the set of homotopy classes of (k-1)-tuples of everywhere linearly independent sections of  $\xi|_{M^1}$  extending to  $\xi|_{M^2}$ , where  $M^i$  denotes the ith skeleton of M. Moreover, such correspondence is equivariant with respect to the action of  $H^1(M; \mathbb{Z}_2)$ .

We remark that the definition of the action of  $H^1(M; \mathbb{Z}_2)$  on the set of homotopy classes of linearly independent sections of  $\xi|_{M^1}$  is completely analogous to the one described after the statement of Theorem 8.

Proof. The vanishing of  $w_2(\xi)$  is a necessary and sufficient condition for the existence of both a (k-1)-tuple of linearly independent sections of  $\xi|_{M^2}$  and a  $\operatorname{Pin}^+$ -structure on  $\xi$ . We now suppose that  $w_2(\xi)$  is trivial and we endow  $\det(\xi)$  with its canonical  $\operatorname{Pin}^+$ -structure, see [17, Addendum to 1.2]. Let  $v_1, \ldots, v_{k-1}$  be a (k-1)-tuple of linearly independent sections of  $\xi|_{M^1}$  extending to  $\xi|_{M^2}$ . We are now going to associate to such a (k-1)-tuple a  $\operatorname{Pin}^+$ -structure on  $\xi|_{M^2}$ . This will uniquely determine a  $\operatorname{Pin}^+$ -structure on  $\xi$ , as in the case of Spin-structures. Using  $v_1, \ldots, v_{k-1}$  one can define a vector bundle isomorphism

$$\xi|_{M^2} \cong \varepsilon^{k-1} \oplus \det(\xi)$$
 (15)

where  $\varepsilon^{k-1}$  denotes the trivial rank-(k-1) real vector bundle. The associated  $\operatorname{Pin}^+$ -structure on  $\xi|_{M^2}$  is given by the pull-back via (15) of the  $\operatorname{Pin}^+$ -structure on  $\varepsilon^{k-1} \oplus \det(\xi)$  given by the direct sum of the trivial Spin-structure on  $\varepsilon^{k-1}$  with the fixed  $\operatorname{Pin}^+$ -structure on  $\det(\xi)$ . It is now easy to verify that this correspondence satisfies all the desired properties.

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