

ON THE DIVISIBILITY OF THE CLASS NUMBER OF $Q(\sqrt{-pq})$ BY 16

by PHILIP A. LEONARD and KENNETH S. WILLIAMS*

(Received 29th January 1982)

1. Introduction

Let $d(<0)$ denote a squarefree integer. The ideal class group of the imaginary quadratic field $Q(\sqrt{d})$ has a cyclic 2-Sylow subgroup of order ≥ 8 in precisely the following cases (see for example [5] and [6]):

- (i) $d = -p, p = 2g^2 - h^2 \equiv 1 \pmod{8}, (g/p) = +1$;
- (ii) $d = -2p, p = u^2 - 2v^2 \equiv 1 \pmod{8}$ with u chosen so that $u \equiv 1 \pmod{4}, (u/p) = +1$;
- (iii) $d = -2p, p \equiv 15 \pmod{16}$;
- (iv) $d = -pq, p \equiv 1 \pmod{4}, q \equiv 3 \pmod{4}, (q/p) = +1, (-q/p)_4 = +1$,

where p and q denote primes and g, h, u and v are positive integers. The class number of $Q(\sqrt{d})$ is denoted by $h(d)$ and in the above cases $h(d) \equiv 0 \pmod{8}$. For cases (i), (ii) and (iii) the authors [6] have given necessary and sufficient conditions for $h(d)$ to be divisible by 16. In this paper we do the same for case (iv) extending the results of Brown [4].

As the ideal class group of $Q(\sqrt{-pq})$ is isomorphic to the group (under composition) of classes of integral positive-definite binary quadratic forms $(a, b, c) = ax^2 + bxy + cy^2$ of discriminant $b^2 - 4ac = -pq$, we can work with forms rather than ideals. In order to determine $h(-pq)$ modulo 16 we construct explicitly a form f of discriminant $-pq$ whose square is in the ambiguous class containing the form $(p, p, \frac{1}{4}(p+q))$ (see Theorem 1 in Section 2). The form f is given in terms of a solution in positive integers X, Y, Z of the Legendre equation

$$pX^2 + qY^2 - Z^2 = 0 \tag{1.1}$$

satisfying

$$(X, Y) = (Y, Z) = (Z, X) = 1, p \nmid YZ, q \nmid XZ, \tag{1.2}$$

and

$$X \text{ odd, } Y \text{ even, } Z \equiv 1 \pmod{4}. \tag{1.3}$$

*Research supported by Natural Sciences and Engineering Research Council Canada Grant No. A-7233 and also by a travel grant from Carleton University.

That there is a solution of (1.1) satisfying (1.2) follows immediately from Legendre’s theorem in view of (iv). However we must justify that we can always find a solution with $Z \equiv 1 \pmod{4}$. In order to see this we let $R + S\sqrt{q}$ be the fundamental unit (> 1) of the real quadratic field $Q(\sqrt{q})$. As $q \equiv 3 \pmod{4}$ we have

$$R^2 - qS^2 = +1.$$

It is well known that

$$\begin{aligned} R \equiv 2 \pmod{8}, S \equiv 1 \pmod{2}, & \quad \text{if } q \equiv 3 \pmod{8}, \\ R \equiv 0 \pmod{8}, S \equiv 1 \pmod{2}, & \quad \text{if } q \equiv 7 \pmod{8}, \end{aligned}$$

and hence

$$R_1 = R^2 + qS^2 \equiv 7 \pmod{8}, S_1 = 2RS \equiv 0 \pmod{4}, \quad R_1^2 - qS_1^2 = +1.$$

Hence if Z is even (so that X and Y are both odd) we can replace the solution (X, Y, Z) of (1.1) by the solution (X_1, Y_1, Z_1) given by

$$X_1 = X, Y_1 = RY + SZ, Z_1 = qSY + RZ,$$

for which Z_1 is odd. Further if $Z \equiv 3 \pmod{4}$ (in which case X is odd and Y is even) we can replace the solution (X, Y, Z) by the solution (X_2, Y_2, Z_2) given by

$$X_2 = X, Y_2 = R_1Y + S_1Z, Z_2 = qS_1Y + R_1Z,$$

for which $Z_2 \equiv 1 \pmod{4}$.

Our main result is the following theorem.

Theorem 2. *If p and q are primes such that*

$$p \equiv 1 \pmod{4}, q \equiv 3 \pmod{4}, \left(\frac{p}{q}\right) = +1, \left(\frac{-q}{p}\right)_4 = +1, \tag{1.4}$$

and (X, Y, Z) is any solution in positive integers of (1.1) which satisfies (1.2) and (1.3), then

$$h(-pq) \equiv 0 \pmod{16} \Leftrightarrow \left(\frac{Z}{p}\right)_4 = \left(\frac{2X}{Z}\right).$$

We remark that $(Z/p)_4$ is well-defined as $(Z/p) = +1$ and $p \equiv 1 \pmod{4}$. To see that $(Z/p) = +1$ we perform the following calculation: letting $Y = 2^n Y_1$, Y_1 odd, we have, using

(1.1) and (1.2),

$$\begin{aligned} \left(\frac{Z}{p}\right) &= \left(\frac{Z^2}{p}\right)_4 = \left(\frac{qY^2}{p}\right)_4 = \left(\frac{q}{p}\right)_4 \left(\frac{Y}{p}\right) = \left(\frac{q}{p}\right)_4 \left(\frac{2}{p}\right)^n \left(\frac{Y_1}{p}\right) \\ &= \left(\frac{q}{p}\right)_4 \left(\frac{2}{p}\right) \left(\frac{p}{Y_1}\right) \quad (\text{as } n = 1 \text{ when } p \equiv 5 \pmod{8}) \\ &= \left(\frac{q}{p}\right)_4 \left(\frac{-1}{p}\right)_4 \left(\frac{pX^2}{Y_1}\right) \quad (\text{as } p \equiv 1 \pmod{4}) \\ &= \left(\frac{-q}{p}\right)_4 \left(\frac{Z^2}{Y_1}\right) \\ &= +1. \quad (\text{by (1.4)}). \end{aligned}$$

2. Square root of $(p, p, (p + q)/4)$

In this section we construct a form f of discriminant $-pq$ such that $f^2 \sim (p, p, \frac{1}{4}(p + q))$.

As $(X, Y) = 1$ there exists an integer u_0 such that $u_0X \equiv 1 \pmod{Y}$. If the integer $e = (u_0X - 1)/Y$ is odd we set $u = u_0$. If the integer $(u_0X - 1)/Y$ is even then the integer

$$e = \frac{(u_0 + Y)X - 1}{Y} = \frac{u_0X - 1}{Y} + X$$

is odd and we set $u = u_0 + Y$. Thus the integers u and e satisfy

$$uX \equiv 1 \pmod{Y}, \quad u \text{ odd}, \quad e = (uX - 1)/Y \text{ odd.} \tag{2.1}$$

Next, appealing to (1.1) and (2.1), we have

$$X(pX - uZ^2) \equiv 0 \pmod{Y}$$

so that, as $(X, Y) = 1$, we have

$$pX - uZ^2 \equiv 0 \pmod{Y}.$$

Hence we can define a positive integer a and an integer b by

$$a = Z, \quad b = (pX - ua^2)/Y. \tag{2.2}$$

From (2.2) we obtain

$$pX - bY = ua^2. \tag{2.3}$$

Also using (1.1), (2.1) and (2.2) we get

$$bX + qY = -ea^2, \quad (2.4)$$

and

$$b^2 + pq = (pe^2 + qu^2)a^2. \quad (2.5)$$

From (1.4) and (2.1) we see that $pe^2 + qu^2 \equiv 0 \pmod{4}$ so we can define an integer c by

$$c = (pe^2 + qu^2)/4. \quad (2.6)$$

Thus, from (2.5) and (2.6), we have

$$b^2 - 4a^2c = -pq, \quad (2.7)$$

showing that the form (a, b, ac) has discriminant $-pq$. We note that (2.7) shows that b is odd.

With a, b and c as defined in (2.2) and (2.6) we prove the following theorem.

Theorem 1. $(a, b, ac)^2 \sim (p, p, (p+q)/4)$.

Proof. We define integers v, α and β by

$$v = 2Y, \quad \alpha = (u+e)/2, \quad \beta = X + Y. \quad (2.8)$$

Appealing to (1.1), (2.3) and (2.7) we obtain, on completing the square for u ,

$$a^2u^2 + buv + cv^2 = p, \quad (2.9)$$

and appealing to (2.3), (2.4), (2.7) and (2.8), we obtain

$$\begin{aligned} bu + 2cv &= \frac{1}{a^2}(bua^2 + 4a^2cY) \\ &= \frac{1}{a^2}(bua^2 + (b^2 + pq)Y) \\ &= \frac{1}{a^2}(b(bY + ua^2) + pqY) \\ &= \frac{1}{a^2}(bpX + pqY), \end{aligned}$$

that is

$$bu + 2cv = -pe. \tag{2.10}$$

Hence from (2.3), (2.8) and (2.10) we have

$$\alpha = (pu - bu - 2cv)/2p, \quad \beta = (2ua^2 + bv + pv)/2p. \tag{2.11}$$

Thus from (2.9) and (2.11) we obtain

$$u\beta - v\alpha = 1 \tag{2.12}$$

and

$$2a^2u\alpha + bu\beta + bv\alpha + 2cv\beta = p. \tag{2.13}$$

Hence from (2.7), (2.9) (2.12) and (2.13) and the identity

$$(2a^2u\alpha + bu\beta + bv\alpha + 2cv\beta)^2 - 4(a^2u^2 + buv + cv^2)(a^2\alpha^2 + b\alpha\beta + c\beta^2) = (u\beta - v\alpha)^2(b^2 - 4a^2c),$$

we deduce

$$a^2\alpha^2 + b\alpha\beta + c\beta^2 = (p + q)/4. \tag{2.14}$$

Hence the unimodular transformation with matrix $\begin{bmatrix} u & \alpha \\ v & \beta \end{bmatrix}$ changes the form (a^2, b, c) into

$$(a^2u^2 + buv + cv^2, 2a^2u\alpha + bu\beta + bv\alpha + 2cv\beta, a^2\alpha^2 + b\alpha\beta + c\beta^2) = (p, p, (p + q)/4).$$

Thus we have (see for example [3, p. 185])

$$(a, b, ac)^2 \sim (a^2, b, c) \sim (p, p, (p + q)/4),$$

which completes the proof of Theorem 1.

3. Determination of $h(-pq)$ modulo 16; Proof of Theorem 2

By Theorem 1 the class of the form (a, b, ac) is of order 4 and so as the 2-Sylow subgroup of the class group of forms of discriminant $-pq$ is cyclic, the form (a, b, ac) is equivalent to the square of a form (r, s, t) , where we may take $(r, 2pqac) = 1$. Hence (a, b, ac) represents r^2 primitively so that there are integers x and y such that

$$r^2 = ax^2 + bxy + acy^2, \quad x > 0, \quad (x, y) = 1. \tag{3.1}$$

We define non-negative integers S and T by

$$S = |2Xx - aey|, \quad T = |2Yx - auy|. \tag{3.2}$$

Appealing to (1.1), (2.1), (2.2), (2.6) and (3.1) we obtain

$$4ar^2 = pS^2 + qT^2. \tag{3.3}$$

From (3.3) we easily deduce that S and T are positive.

We now show that S and T have no odd common divisors greater than 1. Suppose k is an odd prime divisor of both S and T . Then k divides

$$\begin{aligned} &u(2Xx - aey) - e(2Yx - auy) \\ &= 2x(uX - eY) \\ &= 2x \quad (\text{by (2.1)}), \end{aligned}$$

that is $k|x$. Further from (3.3) we have $k|ar^2$ so that $k|a$ or $k|r$. If $k|a$ from (3.1) we have $k|r$ contradicting $(r, a) = 1$. If $k|r$ by (3.1) we have $k|acy^2$ contradicting $(r, ac) = (x, y) = 1$.

Similarly we can show that T and apr have no odd common divisors greater than 1.

We note that as a is represented by (a, b, ac) and the class of the form (a, b, ac) is in the principal genus we have

$$\left(\frac{a}{p}\right) = +1. \tag{3.4}$$

Further by (1.3) and (2.2) we have

$$a \equiv 1 \pmod{4}. \tag{3.5}$$

Then

$$\begin{aligned} \left(\frac{r}{p}\right)\left(\frac{a}{p}\right)_4 &= \left(\frac{ar^2}{p}\right)_4 = \left(\frac{2}{p}\right)\left(\frac{4ar^2}{p}\right)_4 \\ &= \left(\frac{-1}{p}\right)_4 \left(\frac{qT^2}{p}\right)_4 \quad (\text{by (3.3)}) \\ &= \left(\frac{-q}{p}\right)_4 \left(\frac{T}{p}\right), \end{aligned}$$

that is (by (1.4))

$$\left(\frac{r}{p}\right)\left(\frac{a}{p}\right)_4 = \left(\frac{T}{p}\right) = \left(\frac{2}{p}\right)^n \left(\frac{t}{p}\right), \tag{3.6}$$

where

$$T = 2^n t, \quad t \text{ odd}. \tag{3.7}$$

Then

$$\begin{aligned} \left(\frac{t}{p}\right) &= \left(\frac{p}{t}\right) \\ &= \left(\frac{pS^2}{t}\right) \\ &= \left(\frac{4ar^2}{t}\right) && \text{(by (3.3))} \\ &= \left(\frac{a}{t}\right) \\ &= \left(\frac{t}{a}\right) && \text{(by (3.5))} \\ &= \left(\frac{2}{a}\right)^n \left(\frac{T}{a}\right) && \text{(by (3.7))} \\ &= \left(\frac{2}{a}\right)^n \left(\frac{|2Yx - au y|}{a}\right) \\ &= \left(\frac{2}{a}\right)^n \left(\frac{2Yx - au y}{a}\right) && \text{(by (3.5))} \\ &= \left(\frac{2}{a}\right)^{n+1} \left(\frac{Y}{a}\right) \left(\frac{x}{a}\right) \\ &= \left(\frac{2}{a}\right)^{n+1} \left(\frac{Y}{a}\right) \left(\frac{b}{a}\right) \left(\frac{y}{a}\right) && \text{(by (3.1)).} \end{aligned}$$

Now set

$$|y| = 2^m y_1, \quad y_1 \text{ odd, } y_1 > 0,$$

so appealing to (3.1) and (3.5) we have

$$\left(\frac{y}{a}\right) = \left(\frac{|y|}{a}\right) = \left(\frac{2}{a}\right)^m \left(\frac{y_1}{a}\right) = \left(\frac{2}{a}\right)^m \left(\frac{a}{y_1}\right) = \left(\frac{2}{a}\right)^m,$$

giving

$$\left(\frac{t}{p}\right) = \left(\frac{2}{a}\right)^{m+n+1} \left(\frac{bY}{a}\right).$$

Next as $bY = pX - ua^2$ and using (3.4) we have

$$\left(\frac{bY}{a}\right) = \left(\frac{pX}{a}\right) = \left(\frac{a}{p}\right)\left(\frac{X}{Z}\right) = \left(\frac{X}{Z}\right),$$

so

$$\left(\frac{t}{p}\right) = \left(\frac{2}{Z}\right)^{m+n+1}\left(\frac{X}{Z}\right),$$

giving

$$\left(\frac{r}{p}\right) = \left(\frac{2}{p}\right)^n \left(\frac{2}{Z}\right)^{m+n+1} \left(\frac{X}{Z}\right) \left(\frac{a}{p}\right). \tag{3.8}$$

Taking (1.1) modulo 8 we obtain $p + qY^2 \equiv 1 \pmod{8}$, so that

$$\begin{aligned} p \equiv 1 \pmod{8} &\Rightarrow Y \equiv 0 \pmod{4}, \\ p \equiv 5 \pmod{8} &\Rightarrow Y \equiv 2 \pmod{4}. \end{aligned}$$

We now treat the case $p \equiv 1 \pmod{8}$: we have

$$\begin{aligned} m = 0 &\Rightarrow y \text{ odd} \Rightarrow T \text{ odd} \Rightarrow n = 0; \\ m = 1 &\Rightarrow 2 \parallel y \Rightarrow 2 \parallel T \Rightarrow n = 1; \\ m = 2 &\Rightarrow 4 \parallel y \Rightarrow 4 \parallel T \Rightarrow n = 2; \\ m \geq 3 &\Rightarrow 8 \mid y \Rightarrow x \text{ odd} \Rightarrow a \equiv 1 \pmod{8} \Rightarrow \left(\frac{2}{Z}\right) = +1; \end{aligned}$$

so that in each case

$$\left(\frac{2}{p}\right)^n \left(\frac{2}{Z}\right)^{m+n} = 1.$$

For the case $p \equiv 5 \pmod{8}$ we have

$$\begin{aligned} m = 0 &\Rightarrow y \text{ odd} \Rightarrow T \text{ odd} \Rightarrow n = 0; \\ m = 1 &\Rightarrow 2 \parallel y \Rightarrow 4 \mid S, 2 \parallel T \Rightarrow pS^2 + qT^2 \equiv 12 \pmod{16} \\ &\Rightarrow ar^2 \equiv 3 \pmod{4}, \text{ which is impossible;} \\ m = 2 &\Rightarrow x \text{ odd}, 4 \parallel y \Rightarrow a \equiv 5 \pmod{8} \Rightarrow \left(\frac{2}{Z}\right) = -1; \end{aligned}$$

$$m \geq 3 \Rightarrow x \text{ odd}, 8|y \Rightarrow \begin{cases} a \equiv 1 \pmod{8} & \Rightarrow \left(\frac{2}{Z}\right) = +1, \\ 4||T & \Rightarrow n = 2; \end{cases}$$

so that again in each case we have

$$\left(\frac{2}{p}\right)^n \left(\frac{2}{Z}\right)^{m+n} = 1.$$

Hence by (3.8) we have

$$\left(\frac{r}{p}\right) = \left(\frac{2}{Z}\right) \left(\frac{X}{Z}\right) \left(\frac{Z}{p}\right)_4.$$

Now by a theorem of Bauer [1] (see also [2, Theorem 6])

$$h(-pq) \equiv 0 \pmod{16} \Leftrightarrow \left(\frac{r}{p}\right) = +1$$

so we have

$$h(-pq) \equiv 0 \pmod{16} \Leftrightarrow \left(\frac{Z}{p}\right)_4 = \left(\frac{2X}{Z}\right).$$

This completes the proof of Theorem 2.

We remark that Theorem 2 of Brown [4] is the special case of our Theorem 2 which arises when (1.1) has a solution with $X = 1$.

4. Examples

Example 1. $p = 5, q = 19$.
Here

$$\left(\frac{q}{p}\right) = \left(\frac{19}{5}\right) = 1, \quad \left(\frac{-q}{p}\right)_4 = \left(\frac{-19}{5}\right)_4 = +1.$$

A solution of (1.1)–(1.3) is given by

$$X = 1, \quad Y = 2, \quad Z = 9$$

so

$$\left(\frac{Z}{p}\right)_4 = \left(\frac{9}{5}\right)_4 = \left(\frac{3}{5}\right) = -1, \quad \left(\frac{2X}{Z}\right) = \left(\frac{2}{9}\right) = +1,$$

and Theorem 2 implies $h(-pq) = h(-95) \equiv 8 \pmod{16}$. Indeed $h(-95) = 8$.

Example 2. $p = 37, q = 11$.

Here

$$\left(\frac{q}{p}\right) = \left(\frac{11}{37}\right) = \left(\frac{37}{11}\right) = \left(\frac{4}{11}\right) = +1, \quad \left(\frac{-q}{p}\right)_4 = \left(\frac{-11}{37}\right)_4 = \left(\frac{100}{37}\right)_4 = \left(\frac{10}{37}\right)_4 = +1.$$

We start with a solution of (1.1) and (1.2) for which Z is even, say,

$$X = 1, \quad Y = 7, \quad Z = 24,$$

in order to illustrate how to obtain a solution which satisfies (1.3) as well. Since the fundamental unit of $Q(\sqrt{11})$ is $10 + 3\sqrt{11}$ we have

$$R = 10, \quad S = 3, \quad R_1 = 199, \quad S_1 = 60.$$

First we transform the solution (X, Y, Z) into a solution (X_1, Y_1, Z_1) with Z_1 odd:

$$X_1 = X = 1, \quad Y_1 = RY + SZ = 142, \quad Z_1 = qSY + RZ = 471.$$

As $Z_1 \equiv 3 \pmod{4}$ we transform the solution (X_1, Y_1, Z_1) into a solution (X_2, Y_2, Z_2) with $Z_2 \equiv 1 \pmod{4}$:

$$X_2 = X_1 = 1, \quad Y_2 = R_1Y_1 + S_1Z_1 = 56518, \\ Z_2 = qS_1Y_1 + R_1Z_1 = 187449,$$

so that

$$\left(\frac{Z_2}{p}\right)_4 = \left(\frac{187449}{37}\right)_4 = \left(\frac{7}{37}\right)_4 = \left(\frac{81}{37}\right)_4 = +1, \quad \left(\frac{2X_2}{Z_2}\right) = \left(\frac{2}{187449}\right) = +1,$$

and Theorem 2 implies $h(-pq) = h(-407) \equiv 0 \pmod{16}$. Indeed $h(-407) = 16$.

Example 3. $p = 5, q = 79$.

Here

$$\left(\frac{q}{p}\right) = \left(\frac{79}{5}\right) = +1, \quad \left(\frac{-q}{p}\right)_4 = \left(\frac{-79}{5}\right)_4 = +1.$$

A solution of (1.1) and (1.2) is given by

$$X = 3, \quad Y = 2, \quad Z = 19.$$

As $Z \equiv 3 \pmod{4}$ we transform this solution into one for which $Z \equiv 1 \pmod{4}$ obtaining

$$X = 3, \quad Y = 52958, \quad Z = 470701,$$

so that

$$\left(\frac{Z}{p}\right)_4 = +1, \quad \left(\frac{2X}{Z}\right) = \left(\frac{2}{Z}\right)\left(\frac{3}{Z}\right) = (-1)(+1) = -1,$$

and Theorem 2 implies $h(-pq) = h(-395) \equiv 8 \pmod{16}$. Indeed $h(-395) = 8$.

This example illustrates Theorem 2 in a situation where (1.1) has no solution with $X = 1$ as

$$u^2 - 79v^2 = 5$$

is insolvable in integers u and v (see for example [7, Theorem 109]).

REFERENCES

1. H. BAUER, Zur Berechnung der 2-Klassenzahl der quadratische Zahlkörper mit genau zwei verschiedenen Diskriminantenprimteilern, *J. Reine Angew. Math.* **248** (1971), 42–46.
2. EZRA BROWN, The power of 2 dividing the class-number of a binary quadratic discriminant, *J. Number Theory* **5** (1973), 413–419.
3. EZRA BROWN, Class numbers of complex quadratic fields, *J. Number Theory* **6** (1974), 185–191.
4. EZRA BROWN, The class-number of $Q(\sqrt{-pq})$, for $p \equiv -q \equiv 1 \pmod{4}$ primes, *Houston J. Math.* **7** (1981), 497–505.
5. PIERRE KAPLAN, Divisibilité par 8 du nombre des classes des corps quadratiques dont le 2-groupe des classes est cyclique, et réciprocity biquadratique, *J. Math. Soc. Japan* **25** (1973), 596–608.
6. PHILIP A. LEONARD and KENNETH S. WILLIAMS, On the divisibility of the class numbers of $Q(\sqrt{-p})$ and $Q(\sqrt{-2p})$ by 16, *Canad. Math. Bull.* **25** (1982), 200–206.
7. TRYGVE NAGELL, *Introduction to Number Theory*, (reprinted, Chelsea Publishing Company, New York, 1964).

DEPARTMENT OF MATHEMATICS
ARIZONA STATE UNIVERSITY
TEMPE, ARIZONA 85287, U.S.A.

DEPARTMENT OF MATHEMATICS
AND STATISTICS
CARLETON UNIVERSITY
OTTAWA, ONTARIO, CANADA K1S 5B6