

EMBEDDABILITY OF HIGHER-RANK GRAPHS IN GROUPOIDS AND THE STRUCTURE OF THEIR C^* -ALGEBRAS

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This paper is dedicated to our friend and mentor Iain Raeburn, whose guidance had a profound impact on us all. We'd love to say more, but he'd have been squirming enough already.

Abstract

We show that the C^* -algebra of a row-finite source-free k -graph is Rieffel–Morita equivalent to a crossed product of an approximately finite-dimensional (AF) algebra by the fundamental group of the k -graph. When the k -graph embeds in its fundamental groupoid, this AF algebra is a Fell algebra; and simple-connectedness of a certain sub-1-graph characterises when this Fell algebra is Rieffel–Morita equivalent to a commutative C^* -algebra. We provide a substantial suite of results for determining if a given k -graph embeds in its fundamental groupoid, and provide a large class of examples, arising via work of Cartwright *et al.* [‘Groups acting simply transitively on the vertices of a building of type $\tilde{A}_2$ I’, *Geom. Dedicata* **47** (1993), 143–166], Cartwright *et al.* [‘Groups acting simply transitively on the vertices of a building of type $\tilde{A}_2$ II’, *Geom. Dedicata* **47** (1993), 167–226] and Robertson and Steger [‘Affine buildings, tiling systems and higher rank Cuntz–Krieger algebras’, *J. reine angew. Math.* **513** (1999), 115–144] from the theory of $\tilde{A}_2$ -groups, which do embed.

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1. Introduction

Since their introduction [26], higher-rank graphs, or k -graphs, have been a source of interesting new higher-dimensional phenomena: in algebra [2, 13, 40], dynamics [22, 34, 43, 44], C^* -algebras [3, 12, 41], K -theory [16, 18, 35], topology [23, 28, 32, 33] and

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geometry [24, 38, 45]. However, many natural questions about their structure theory remain difficult to unravel.

One such question, and the primary motivation for this paper, is: when can a k -graph C^* -algebra be realised, modulo Rieffel–Morita equivalences, as a crossed product of a commutative C^* -algebra? For 1-graphs, the answer is ‘always’: given a row-finite source-free directed graph E , the middle two authors showed [25] that the C^* -algebra of its universal cover F is Rieffel–Morita equivalent to a commutative approximately finite-dimensional (AF) algebra, and there is an action of the fundamental group $\pi_1(E, v)$ on $C^*(F)$ whose crossed product is Rieffel–Morita equivalent to $C^*(E)$. For k -graphs, the answer is more nuanced and is related to two other intriguing structural questions: when does a k -graph embed in its fundamental groupoid and when is the boundary of its universal cover Hausdorff?

Our main C^* -algebraic theorem, Theorem 4.1, clarifies the relationships between these questions: the C^* -algebra $C^*(\Lambda)$ of any connected row-finite source-free k -graph is a crossed product of an AF algebra $C^*(\Sigma)$ by the fundamental group of Λ ; if Λ embeds in its fundamental groupoid, then the AF algebra $C^*(\Sigma)$ is a Fell algebra; and if, additionally, a naturally arising sub-1-graph of Σ is simply connected, then the boundary of Σ is Hausdorff, and $C^*(\Sigma)$ is Rieffel–Morita equivalent to a commutative AF algebra. The point is that the first part of the program of [25] above goes through smoothly for k -graphs: every connected k -graph Λ has a fundamental group $\pi(\Lambda, v)$ [32] and a universal cover Σ [33] that carries an action of $\pi(\Lambda, v)$, and when Λ is row-finite and source-free, the resulting crossed product is Rieffel–Morita equivalent to $C^*(\Lambda)$ [26]. Our main contribution is the analysis of $C^*(\Sigma)$.

Motivated by this, we study the question of when a k -graph Λ embeds in its fundamental groupoid. Many k -graphs do not embed: we give three examples in Section 3.1; and any k -graph containing a copy of one of these (of which there are many) also fails to embed. So we focus on checkable sufficient conditions. We show that *singly connected* k -graphs always embed (Proposition 3.9(ii)), and highlight a surprising difference between k -graphs and 1-graphs: universal covers of k -graphs need not be singly connected. We include a proof that 1-graphs always embed (Theorem 3.14). We then show that many standard k -graph constructions preserve embeddability: coverings (Proposition 3.13), affine pullbacks, Cartesian products, crossed-products and skew-products (Corollary 3.15), and action graphs (Corollary 3.18). The workhorse in this is Theorem 3.8, which exploits the universal properties of the fundamental groupoid and fundamental group. In Proposition 3.25, we reduce the embeddability of a connected k -graph to group-embeddability of the subsemigroup based at any vertex. Using Dilian Yang’s work [47] on k -graphs and Yang–Baxter solutions, we show that there are many embeddable k -graphs for all k (Lemma 3.22). We are far from a complete answer to the embeddability question. Johnstone’s general results [21] characterise groupoid-embeddability of categories, but the hypotheses seem uncheckable: we gleaned no practical conditions—either necessary or sufficient—from Johnstone’s work, beyond the neat result of Lawson and Vdovina [29, Theorem 11.14] presented in Remark 3.24. One might hope for help from Ore’s theorem [15, Proposition II.3.11],

but by the factorisation property, no interesting k -graphs are Ore. Remarks 4.17 and 4.18 indicate how much we still do not know about embeddability.

Finally, as definitive general results about embeddability are still beyond reach, we present a class of examples arising from the combinatorial objects used by Roberston and Steger to construct higher-rank Cuntz–Krieger C^* -algebras in [38, 39], which first inspired the middle two authors to develop the concept of a higher-rank graph. We show in Theorem 5.17 and Proposition 5.20 that every $\tilde{A}_2$ -group $\Gamma_{\mathcal{T}}$ yields 2-graphs $\Lambda_{\mathcal{T}}$ and $\Sigma_{\mathcal{T}}$, the latter being a cover of the former, and in Corollary 5.19 that $\Lambda_{\mathcal{T}}$ embeds in its fundamental groupoid—Proposition 3.13 then shows that $\Sigma_{\mathcal{T}}$ embeds as well. We also prove that $\Sigma_{\mathcal{T}}$ is singly connected and deduce that its C^* -algebra is type I_0 . The construction of $\Lambda_{\mathcal{T}}$ is related to a number of existing constructions. It is directly inspired by [38, pages 135–136]. As discussed in [8, 38], a thick $\tilde{A}_2$ -building $\mathcal{B}$ carrying a vertex-transitive action of an $\tilde{A}_2$ -group $\Gamma_{\mathcal{T}}$ arises from a finite projective plane (P, L) , a bijection between P and L , and a compatible triangle presentation $\mathcal{T}$ on P , the points of the projective plane, arising from the local structure of the building (see [8, Section 3]). The $\tilde{A}_2$ -group $\Gamma_{\mathcal{T}}$ is generated by a set indexed by P subject to the relations encoded in $\mathcal{T}$. The $\tilde{A}_2$ -building $\mathcal{B}$ is constructed as an augmented Cayley graph of $\Gamma_{\mathcal{T}}$ with 2-simplices given by $\mathcal{T}$. Our $\Lambda_{\mathcal{T}}$ is isomorphic to the 2-graph obtained from [26, Example 1.7(iv)] from the 0–1 matrices M_i of [38, page 135] (see Remark 5.18). Geometric considerations suggest both that $\Sigma_{\mathcal{T}}$ should be simply connected, and therefore equal to the universal cover of $\Lambda_{\mathcal{T}}$, and that its topological realisation should coincide with that of $\mathcal{B}$, so it should have Hausdorff boundary; we leave this for future work. Our construction is also related to the construction of k -graphs from groups in [31], but cannot be recovered from it: the covering 2-graphs in [31] are products of trees rather than $\tilde{A}_2$ -buildings.

2. Background and preliminary results

2.1. Higher-rank graphs. We write $\mathbb{N}$ for the additive monoid $\{0, 1, \dots\}$. We denote the standard generators of $\mathbb{N}^k \subset \mathbb{Z}^k$ by $\varepsilon_1, \dots, \varepsilon_k$, and we write n_i for the i th coordinate of $n \in \mathbb{N}^k$. We write $\mathbf{1}_k$ or just $\mathbf{1}$ for $(1, \dots, 1) \in \mathbb{N}^k$.

A k -graph is a small category Λ equipped with a functor $d : \Lambda \rightarrow \mathbb{N}^k$ satisfying the *factorisation property*: whenever $d(\lambda) = m + n$, there exist unique $\mu, \nu \in \Lambda$ such that $d(\mu) = m$, $d(\nu) = n$ and $\lambda = \mu\nu$. This implies that Λ is cancellative. We write $\Lambda^n := d^{-1}(n)$ for $n \in \mathbb{N}^k$. When $d(\lambda) = n$, we say λ has *degree* n . The factorisation property implies that Λ^0 is the set of identity morphisms, which we call *vertices*. Elements of $\bigcup_i \Lambda^{\varepsilon_i}$ are called *edges*. For $u, v \in \Lambda^0$, we write $u\Lambda := r^{-1}(u)$, $\Lambda v := s^{-1}(v)$ and $u\Lambda v := u\Lambda \cap \Lambda v$.

NOTATION 2.1. For $\lambda \in \Lambda$ and $0 \leq m \leq n \leq d(\lambda)$, we write $\lambda(m, n)$ for the unique element of Λ such that $\lambda \in \Lambda^m \lambda(m, n) \Lambda^{d(\lambda)-n}$. We define $\lambda(n) := \lambda(n, n) = s(\lambda(0, n))$.

DEFINITION 2.2. The k -graph Λ is *connected* if the equivalence relation $\sim$ on Λ^0 generated by $\{(u, v) \mid u\Lambda v \neq \emptyset\}$ is $\Lambda^0 \times \Lambda^0$. A k -graph is *strongly connected* if $u\Lambda v \neq \emptyset$ for all $u, v \in \Lambda^0$.

A *morphism* $\phi : \Omega \rightarrow \Lambda$ between k -graphs is a functor such that $d_\Lambda(\phi(\lambda)) = d_\Omega(\lambda)$ for all $\lambda \in \Omega$. A *quasi-morphism* from a k -graph (Ω, d_Ω) to an ℓ -graph (Λ, d_Λ) is a pair (ϕ, f) consisting of a functor $\phi : \Omega \rightarrow \Lambda$ and a homomorphism $f : \mathbb{N}^k \rightarrow \mathbb{N}^\ell$ such that $d_\Lambda \circ \phi = f \circ d_\Omega$. If Λ is a k -graph, then $\Lambda^{\mathbb{N}\mathbf{1}} := \{\lambda \in \Lambda : d(\lambda) \in \mathbb{N}\mathbf{1}\}$ is a 1-graph and the natural inclusion $\Lambda^{\mathbb{N}\mathbf{1}} \hookrightarrow \Lambda$ together with the map $f : \mathbb{N} \rightarrow \mathbb{N}^k$ given by $f(n) := n\mathbf{1}$ is a quasimorphism.

EXAMPLES 2.3.

- (i) Let B_n be the directed graph with $B_n^0 = \{u\}$ and $B_n^1 = \{f_1, \dots, f_n\}$. Its path category B_n^* is a 1-graph and coincides with the free semigroup $\mathbb{F}_n^+$ on n generators.
- (ii) Let $\Delta_k = \{(m, n) \in \mathbb{Z}^k \times \mathbb{Z}^k : m \leq n\}$. Define $r, s : \Delta_k \rightarrow \text{Obj } \Delta_k$ by $r(m, n) = m$, $s(m, n) = n$, and for $m \leq m' \leq p \in \mathbb{Z}^k$, define $(m, n)(n, p) = (m, p)$ and $d(m, n) = n - m$. Then (Δ_k, d) is a k -graph where $\text{Obj } \Delta_k$ is identified with $\{(m, m) : m \in \mathbb{Z}^k\} \subset \text{Mor } \Delta_k$.
- (iii) Similarly, $\Omega_k = \{(m, n) \in \mathbb{N}^k \times \mathbb{N}^k : m \leq n\}$ is a sub- k -graph of Δ_k .

EXAMPLE 2.4 (Skew-product graphs). Let Λ be a k -graph, G a group and $c : \Lambda \rightarrow G$ a 1-cocycle (functor). Then the set $G \times_c \Lambda := \{(g, \lambda) : g \in G, \lambda \in \Lambda\}$, under the structure maps

$$\begin{aligned} s(g, \lambda) &= (gc(\lambda), s(\lambda)), & r(g, \lambda) &= (g, r(\lambda)), \\ (g, \lambda) \cdot (gc(\lambda), \mu) &= (g, \lambda\mu), & d(g, \lambda) &= d(\lambda) \end{aligned}$$

is a k -graph called the *skew-product graph* [26, Definition 5.1]. Left translation by G on the first coordinate of $G \times_c \Lambda$ is an action of G by k -graph automorphisms.

There are two equivalent conventions for skew-product graphs in the literature: the other is [33, Definition 6.3] (see also [5, Definition 3.5]). In [33, Definition 6.3],

$$\Lambda \times_c G := \{(\lambda, g) : \lambda \in \Lambda, g \in G\}$$

with structure maps

$$\begin{aligned} s(\lambda, g) &= (s(\lambda), g), & r(\lambda, g) &= (r(\lambda), c(\lambda)g), \\ (\lambda, c(\lambda)g) \cdot (\mu, g) &= (\lambda\mu, g), & d(\lambda, g) &= d(\lambda). \end{aligned}$$

It is simple to check that $\phi(g, \lambda) = (\lambda, c(\lambda)^{-1}g^{-1})$ yields an isomorphism $\phi : G \times_c \Lambda \rightarrow \Lambda \times_c G$.

EXAMPLE 2.5 (Monoidal 2-graphs). The following class of 2-graphs was introduced in [26, Section 6] and later studied extensively by Yang *et al.* [14, 46, 47]. Fix $n_1, n_2 \geq 1$. Let $[n_i] = \{1, \dots, n_i\}$ for $i = 1, 2$. Let $\theta : [n_1] \times [n_2] \rightarrow [n_2] \times [n_1]$ be a bijection. The monoidal 2-graph $\mathbb{F}_\theta^+$ is the unique 2-graph such that $(\mathbb{F}_\theta^+)^0 = \{v\}$, $(\mathbb{F}_\theta^+)^{e_1} = \{e_1, \dots, e_{n_2}\}$, $(\mathbb{F}_\theta^+)^{e_2} = \{f_1, \dots, f_{n_2}\}$ and

$$e_i f_j = f_{j'} e_{i'} \quad \text{whenever } \theta(i, j) = (j', i').$$

REMARK 2.6. In their early papers, Yang *et al.* define $\mathbb{F}_\theta^+$ in terms of a bijection $\theta : [n_1] \times [n_2] \rightarrow [n_1] \times [n_2]$ rather than $[n_1] \times [n_2] \rightarrow [n_2] \times [n_1]$.

An *affine* map $f : \mathbb{N}^\ell \rightarrow \mathbb{N}^k$ is a map of the form $f(n) = An + p$ for $A \in M_{\ell,k}(\mathbb{N})$ and $p \in \mathbb{N}^k$. The next proposition unifies the pullback construction of [26, Definition 1.9] (case $p = 0$) and the p -dual graph of [1, Definition 3.2] (case $A = I$).

PROPOSITION 2.7 (Affine pullbacks). Let (Λ, d) be a k -graph and let $f : \mathbb{N}^\ell \rightarrow \mathbb{N}^k$ be an affine map with $f(0) = p \in \mathbb{N}^k$. Set $f^*(\Lambda) = \{(\lambda, n) : d(\lambda) = f(n)\} \subseteq \Lambda \times \mathbb{N}^\ell$. Then $f^*(\Lambda)$ is an ℓ -graph, with $r(\lambda, n) = [\lambda(0, p), 0]$, $s(\lambda, n) = [\lambda(d(\lambda) - p, d(\lambda)), 0]$,

$$(\lambda, m) \circ (\mu, n) = (\lambda(0, d(\lambda) - p)\mu, m + n) \text{ if } s(\lambda, m) = r(\mu, n),$$

and $d_{f^*(\Lambda)}(\lambda, n) = n$. We have $f^*(\Lambda)^0 = \{(\lambda, 0) : \lambda \in \Lambda^p\}$.

PROOF. As in [26, Definition 1.9], the pullback $A^*(\Lambda)$ of Λ by the homomorphism $A : \mathbb{N}^\ell \rightarrow \mathbb{N}^k$ is an ℓ -graph. By [1, Proposition 3.2], its dual $p(A^*(\Lambda))$ is also an ℓ -graph. As sets,

$$\begin{aligned} p(A^*(\Lambda)) &= \{(\lambda, n) \in \Lambda \times \mathbb{N}^\ell : d_{p\Lambda}(\lambda) = A_n\} \\ &= \{(\lambda, n) \in \Lambda \times \mathbb{N}^\ell : d(\lambda) = f(n)\} = f^*\Lambda. \end{aligned}$$

Direct calculations show that this identification intertwines the structure maps above with those of $p(A^*(\Lambda))$. $\square$

EXAMPLE 2.8 (Crossed-product graph). Let $\alpha : \mathbb{Z}^\ell \rightarrow \text{Aut } \Lambda$ be an action of $\mathbb{Z}^\ell$ on a k -graph Λ . Then the set $\Lambda \times \mathbb{N}^\ell$ with the structure maps

$$\begin{aligned} r(\lambda, m) &= (r(\lambda), 0), & s(\lambda, m) &= (\alpha^{-m}(s(\lambda)), 0), \\ (\lambda, m)(\mu, n) &= (\lambda\alpha^m(\mu), m + n), & d(\lambda, m) &= (d(\lambda), m) \end{aligned}$$

is a $(k + \ell)$ -graph, called the *crossed-product graph* $\Lambda \rtimes_\alpha \mathbb{N}^\ell$ (see [17]).

2.2. Fundamental groupoids, fundamental groups and universal covers. Every k -graph Λ has a fundamental groupoid, defined as follows (see [42, Section 19.1] or [32, Section 3]).

DEFINITION 2.9. Let Λ be a k -graph. There exists a groupoid $\Pi(\Lambda)$ and a functor $i : \Lambda \rightarrow \Pi(\Lambda)$ such that $i(\Lambda^0) = \Pi(\Lambda)^0$, with the following universal property: for every functor F from Λ into a groupoid $\mathcal{G}$, there exists a unique groupoid homomorphism $\tilde{F} : \Pi(\Lambda) \rightarrow \mathcal{G}$ such that $\tilde{F} \circ i = F$. The pair $(\Pi(\Lambda), i)$ is unique up to canonical isomorphism, so we refer to any such groupoid $\Pi(\Lambda)$ as *the fundamental groupoid* of Λ .

REMARK 2.10. The assignment $\Lambda \mapsto \Pi(\Lambda)$ is a functor from k -graphs to groupoids. The restriction of $i : \Lambda \rightarrow \Pi(\Lambda)$ to Λ^0 is injective with range $\Pi(\Lambda)^0$ and thus we identify Λ^0 with $\Pi(\Lambda)^0$. Note that $\Pi(\Lambda)$ is denoted $\mathcal{G}(\Lambda)$ in [32], but this clashes with the notation for path groupoids in Section 2.4 and [26].

Each component of a k -graph also has a fundamental group.

DEFINITION 2.11. Let Λ be a k -graph. The *fundamental group* $\pi_1(\Lambda, v)$ of Λ at $v \in \Lambda^0$ is the isotropy group $\pi_1(\Lambda, v) := v\Pi(\Lambda)v$ of $\Pi(\Lambda)$ at v .

DEFINITION 2.12. For $X \neq \emptyset$, the *pair groupoid* of X is $T(X) := X \times X$, the simple transitive groupoid with unit space $\{(x, x) : x \in X\}$ identified with X ; it has structure maps

$$r(x, y) := x, \quad s(x, y) := y, \quad (x, y)(y, z) := (x, z), \quad (x, y)^{-1} := (y, x).$$

REMARK 2.13. Suppose that Λ is connected. Then for every $u, v \in \Lambda^0$, $\pi_1(\Lambda, u) \cong \pi_1(\Lambda, v)$, but the isomorphism $\pi_1(\Lambda, u) \rightarrow \pi_1(\Lambda, v)$ is noncanonical.

Let $v \in \Lambda^0$. Then there exists a function $w \mapsto \gamma_w$ from Λ^0 to $\Pi(\Lambda)v$ such that $\gamma_v = v$ and $r(\gamma_w) = w$ for all w . Any such function γ determines a 1-cocycle $\kappa = \kappa_\gamma : \Lambda \rightarrow \pi_1(\Lambda, v)$, given by

$$\kappa_\gamma(\lambda) := \gamma_{r(\lambda)}^{-1} i(\lambda) \gamma_{s(\lambda)} \quad \text{for } \lambda \in \Lambda,$$

and an isomorphism $\phi_\gamma : \Pi(\Lambda) \rightarrow \pi_1(\Lambda, v) \times T(\Lambda^0)$ (see [33, Corollary 6.5]) given for $g \in \Pi(\Lambda)$ by

$$\phi_\gamma(g) := (\gamma_{r(g)}^{-1} g \gamma_{s(g)}, (r(g), s(g))).$$

Thus, we have $(\phi_\gamma \circ i)(\lambda) = (\kappa_\gamma(\lambda), (r(\lambda), s(\lambda)))$ for all $\lambda \in \Lambda$.

The following definitions appear in [33]. We include them for completeness.

DEFINITION 2.14. Let Λ, Σ, Γ be k -graphs.

- (i) A surjective k -graph morphism $p : \Sigma \rightarrow \Lambda$ is a *covering* if for all $v \in \Sigma^0$, p restricts to bijections $\Sigma v \rightarrow \Lambda p(v)$ and $v\Sigma \rightarrow p(v)\Lambda$.
- (ii) A covering $p : \Sigma \rightarrow \Lambda$ is said to be *connected* if Σ (and hence Λ) is connected.
- (iii) If $p : \Sigma \rightarrow \Lambda$ and $q : \Gamma \rightarrow \Lambda$ are coverings, a *morphism* from (Σ, p) to (Γ, q) is a k -graph morphism $\phi : \Sigma \rightarrow \Gamma$ such that $q \circ \phi = p$.
- (iv) A covering $p : \Sigma \rightarrow \Lambda$ is *universal* if it is connected in the sense of part (ii), and for every connected covering $q : \Gamma \rightarrow \Lambda$, there is a unique morphism $\phi : (\Sigma, p) \rightarrow (\Gamma, q)$ in the sense of part (iii).

EXAMPLE 2.15. Let Λ be a k -graph, G a group, $c : \Lambda \rightarrow G$ a 1-cocycle and $G \times_c \Lambda$ the skew product. There is a covering $p : G \times_c \Lambda \rightarrow \Lambda$ given by $p(g, \lambda) = \lambda$ [33, Proposition 6.3]. The quotient $G \backslash (G \times_c \Lambda)$ by translation in G is a k -graph, and p descends to an isomorphism $\tilde{p} : G \backslash (G \times_c \Lambda) \rightarrow \Lambda$.

THEOREM 2.16 [6, Proposition A.19], [33, Theorem 2.7]. *Every connected k -graph Λ has a universal covering. A connected covering $p : \Sigma \rightarrow \Lambda$ is universal if and only if the induced homomorphism $p_* : \pi_1(\Sigma, v) \rightarrow \pi_1(\Lambda, p(v))$ given by $p_*([\gamma]) = [p(\gamma)]$ is the trivial homomorphism for some, and hence every, $v \in \Sigma^0$.*

2.3. Simply connected k -graphs.

DEFINITION 2.17. A k -graph Λ is *simply connected* if $\pi_1(\Lambda, v)$ is trivial for every $v \in \Lambda^0$.

THEOREM 2.18 [33, Corollaries 5.5 and 6.5]. *Let Λ be a connected k -graph.*

- (i) *A connected covering $p : \Sigma \rightarrow \Lambda$ is universal if and only if Σ is simply connected.*
- (ii) *Given $v \in \Lambda^0$, there exists a cocycle $\eta : \Lambda \rightarrow \pi_1(\Lambda, v)$ for which the skew-product covering $p : \pi_1(\Lambda, v) \times_\eta \Lambda \rightarrow \Lambda$ of Example 2.15 is a universal covering.*

We can characterise simply connected k -graphs using either fundamental groupoids or 1-cocycles.

LEMMA 2.19. *Let Λ be a connected k -graph. Then the following are equivalent:*

- (i) *Λ is simply connected;*
- (ii) *$\gamma \mapsto (r(\gamma), s(\gamma))$ is an isomorphism $\Pi(\Lambda) \cong T(\Lambda^0)$; and*
- (iii) *for every group G , every 1-cocycle $c : \Lambda \rightarrow G$ is a coboundary in the sense that there is a function $b : \Lambda^0 \rightarrow G$ such that $b(r(\lambda))c(\lambda) = b(s(\lambda))$.*

PROOF. (i) $\Rightarrow$ (ii). If Λ is simply connected, then by definition, $\pi_1(\Lambda, v)$ is trivial for all v , so Remark 2.13 gives item (ii).

(ii) $\Rightarrow$ (iii). Suppose that $\Pi(\Lambda) = T(\Lambda^0)$ and fix a 1-cocycle $c : \Lambda \rightarrow G$. By the universal property of $\Pi(\Lambda)$, there is a homomorphism $\tilde{c} : T(\Lambda^0) \rightarrow G$ that extends c (that is, $c = \tilde{c} \circ i$). Fix $v \in \Lambda^0$. Define $b : \Lambda^0 \rightarrow G$ by $b(w) = \tilde{c}(v, w)$. For each $\lambda \in \Lambda$,

$$c(\lambda) = \tilde{c}(r(\lambda), s(\lambda)) = \tilde{c}((r(\lambda), v)(v, s(\lambda))) = b(r(\lambda))^{-1}b(s(\lambda)),$$

giving $b(r(\lambda))c(\lambda) = b(s(\lambda))$.

(iii) $\Rightarrow$ (i). Suppose that every 1-cocycle on Λ is a coboundary. Fix $v \in \Lambda^0$. As in Remark 2.13, for each $w \in \Lambda^0 \setminus \{v\}$, fix $\gamma_w \in \Pi(\Lambda)_v^w$, put $\gamma_v = v$ and define $\kappa : \Lambda \rightarrow \pi_1(\Lambda, v)$ by $\kappa(\lambda) = \gamma_{r(\lambda)}^{-1}i(\lambda)\gamma_{s(\lambda)}$. Then κ is a 1-cocycle so there is a map $b : \Lambda^0 \rightarrow \pi_1(\Lambda, v)$ such that $\kappa(\lambda) = b(r(\lambda))^{-1}b(s(\lambda))$ for all λ . By the universal property of the fundamental groupoid, κ extends uniquely to a 1-cocycle $\tilde{\kappa} : \Pi(\Lambda) \rightarrow \pi_1(\Lambda, v)$ (that is, $\kappa = \tilde{\kappa} \circ i$). By uniqueness, it follows that for all $\gamma \in \Pi(\Lambda)$, we have

$$\gamma_{r(\gamma)}^{-1}\gamma\gamma_{s(\gamma)} = \tilde{\kappa}(\gamma) = b(r(\gamma))^{-1}b(s(\gamma)).$$

The first equation implies that the restriction of $\tilde{\kappa}$ to $\pi_1(\Lambda, v)$ is the identity map and by the second equation, the restriction is trivial. Hence, $\pi_1(\Lambda, v)$ is trivial and so Λ is simply connected. $\square$

2.4. The path groupoid $\mathcal{G}_\Lambda$ and the C^* -algebra $C^*(\Lambda)$. Let Λ be a row-finite source-free k -graph. The *infinite path space* Λ^∞ of Λ is the space of k -graph morphisms $x : \Omega_k \rightarrow \Lambda$ under the locally compact Hausdorff topology with basic compact open sets $Z(\lambda) := \{x \in \Lambda^\infty : \lambda = x(0, d(\lambda))\}$, indexed by $\lambda \in \Lambda$. For $p \in \mathbb{N}^k$, the shift map $\sigma^p : \Lambda^\infty \rightarrow \Lambda^\infty$ is defined by $\sigma^p x(m, n) = x(m + p, n + p)$ for $x \in \Lambda^\infty$ and $(m, n) \in \Omega_k$; and $p \mapsto \sigma^p$ is an action of $\mathbb{N}^k$ by local homeomorphisms.

Elements $x, y \in \Lambda^\infty$ are *shift equivalent*, written $x \simeq y$, if $\sigma^p x = \sigma^q y$ for some $p, q \in \mathbb{N}^k$. The path groupoid $\mathcal{G}_\Lambda$ is the Deaconu–Renault groupoid of the action $p \mapsto \sigma^p$.

DEFINITION 2.20 [26, Definition 2.7]. The path groupoid is

$$\mathcal{G}_\Lambda := \{(x, n, y) \in \Lambda^\infty \times \mathbb{Z} \times \Lambda^\infty : \sigma^\ell x = \sigma^m y, n = \ell - m\},$$

with unit space $\mathcal{G}_\Lambda^0 = \{(x, 0, x) : x \in \Lambda^\infty\}$ identified with Λ^∞ , with structure maps

$$r(x, n, y) = x, \quad s(x, n, y) = y, \quad (x, n, y)(y, \ell, z) = (x, n + \ell, z), \quad (x, n, y)^{-1} = (y, -n, x),$$

and under the topology with basic open sets

$$Z(\mu, \nu) = \{(\mu x, d(\mu) - d(\nu), \nu x) : x \in Z(s(\mu))\}$$

indexed by pairs $(\mu, \nu) \in \Lambda \times \Lambda$ such that $s(\mu) = s(\nu)$.

The C^* -algebra of Λ is defined via generators and relations.

DEFINITION 2.21 [26, Definition 1.5]. A family of partial isometries $\{s_\lambda : \lambda \in \Lambda\}$ is a *Cuntz–Krieger Λ -family* if:

(CK1) $\{s_\nu : \nu \in \Lambda^0\}$ is a collection of mutually orthogonal projections;

(CK2) $s_\lambda \mu = s_\lambda s_\mu$ for all $\lambda, \mu \in \Lambda$ such that $s(\lambda) = r(\mu)$;

(CK3) $s_\lambda^* s_\lambda = s_{s(\lambda)}$ for all $\lambda \in \Lambda$; and

(CK4) for all $\nu \in \Lambda^0$ and $n \in \mathbb{N}^k$, we have $s_\nu = \sum_{\lambda \in \nu \Lambda^n} s_\lambda s_\lambda^*$.

We write $C^*(\Lambda)$ for the universal C^* -algebra generated by a Cuntz–Krieger Λ -family $\{s_\lambda : \lambda \in \Lambda\}$.

The groupoid $\mathcal{G}_\Lambda$ is étale [26, Proposition 2.8], and [26, Corollary 3.5(i)] says that $C^*(\Lambda) \cong C^*(\mathcal{G}_\Lambda)$.

3. Embedding results for higher-rank graphs

In this section, we develop tools for determining when a k -graph Λ embeds in $\Pi(\Lambda)$, and describe classes of examples that do embed; we also present three examples—one from [32], one due to Ben Steinberg and one that is new—that do not embed.

3.1. Nonembeddings. Even a fairly elementary monoidal 2-graph Λ need not embed in $\Pi(\Lambda)$.

EXAMPLE 3.1 [32, Example 7.1]. Let Λ be the 1-vertex 2-graph with $\Lambda^{\varepsilon_1} = \{d, e\}$ and $\Lambda^{\varepsilon_2} = \{a, b, c\}$ such that

$$da = ad, \quad db = be, \quad dc = ae, \quad ea = cd, \quad eb = ce, \quad ec = bd. \quad (3-1)$$

Using the first four relations from (3-1) and that the map $i : \Lambda \rightarrow \Pi(\Lambda)$ is a morphism, we obtain

$$i(a) = i(d)i(a)i(d)^{-1} = i(d)i(e)^{-1}i(c) = i(d)i(b)i(e)^{-1} = i(b),$$

so $i(a) = i(b)$ in $\Pi(\Lambda)$. The fifth equation in (3-1) gives $i(d) = i(e)$, so equations two and five give $i(b) = i(c)$. Hence, $i(a) = i(b) = i(c)$ and $i(d) = i(e)$. The degree map

descends to an isomorphism $\tilde{d} : \Pi(\Lambda) \rightarrow \mathbb{Z}^2$; so the universal cover of Λ is isomorphic to $\mathbb{Z}^2 \times_d \Lambda$.

The next example, shown to us by Ben Steinberg, who attributes the idea to Mal'cev [30, §2] (see also [29, Example 11.13]), is a monoidal 2-graph that does not embed even though its edge-set does.

EXAMPLE 3.2 (Steinberg, private communication). Let Λ be the unique 1-vertex 2-graph with $\Lambda^{e_1} = \{e_1, e_2, e_3, e_4\}$ and $\Lambda^{e_2} = \{f_1, f_2, f_3, f_4\}$, and such that

$$e_a f_b := \begin{cases} f_b e_a & \text{if } (a, b) = (1, 4), (4, 1); \\ f_a e_b & \text{otherwise.} \end{cases} \quad (3-2)$$

Since $i : \Lambda \rightarrow \Pi(\Lambda)$ is a functor, $i(e_a)^{-1}i(f_a) = i(f_b)i(e_b)^{-1}$ for $(a, b) = (1, 2), (2, 3), (3, 4)$, so

$$i(e_1)^{-1}i(f_1) = i(f_2)i(e_2)^{-1} = i(e_3)^{-1}i(f_3) = i(f_4)i(e_4)^{-1},$$

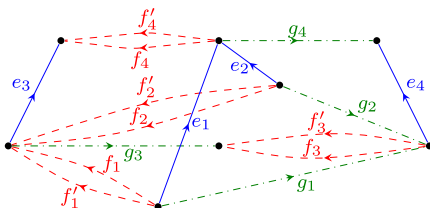
and then rearranging the outer terms gives

$$i(f_1 e_4) = i(f_1)i(e_4) = i(f_4)i(e_1) = i(f_4 e_1).$$

Uniqueness of factorisations in Λ shows that $f_1 e_4 \neq f_4 e_1$, so i is not injective.

We show that i is injective on $\Lambda^{e_1} \cup \Lambda^{e_2}$. For this, define $c : \Lambda^{e_1} \cup \Lambda^{e_2} \rightarrow \mathbb{Z}$ by $c(e_j) = c(f_j) = j$ for $j = 1, \dots, 4$. Since c respects (3-2), it extends to a functor $c : \Lambda \rightarrow \mathbb{Z}$. By Definition 2.9, there is a functor $\tilde{c} : \Pi(\Lambda) \rightarrow \mathbb{Z}$ such that $\tilde{c} \circ i = c$. In particular, $\tilde{c}(i(e_j)) = j = \tilde{c}(i(f_j))$ for all j . Hence, $(\tilde{c} \times \tilde{d}) \circ i : (\Lambda^{e_1} \cup \Lambda^{e_2}) \rightarrow \mathbb{Z}^2$ is injective. Thus, i is injective on $\Lambda^{e_1} \cup \Lambda^{e_2}$.

EXAMPLE 3.3. For readers looking at this paper in monochrome, in the following example we refer to solid edges as blue, dashed edges as red, and dot-dashed edges as green. By [19, Theorems 4.4 and 4.5], there is a unique 3-graph Γ with the skeleton and factorisation rules below (there are no 3-coloured paths, so the associativity condition is vacuous).



$$\begin{array}{ll} e_3 f_i = f_4 e_i & e_3 f'_i = f'_4 e_i \quad (i = 1, 2) \\ e_4 g_i = g_4 e_i & \quad (i = 1, 2) \\ f_3 g_2 = g_3 f_2 & f'_3 g_2 = g_3 f'_2 \\ f'_3 g_1 = g_3 f_1 & f_3 g_1 = g_3 f'_1 \end{array}$$

By Proposition 3.12(ii) below, the 2-coloured sub-2-graphs of Γ are all embeddable: the $\mathbb{Z}$ -valued cocycle on the blue–red graph carrying $\{f_i : i \leq 4\}$ to 1 and all other edges to 0 is essential (see Definition 3.10); the $\mathbb{Z}$ -valued cocycle on the red–green graph carrying $\{f_1\} \cup \{f'_i : i \geq 2\}$ to 1 and all other edges to 0 is essential; and the trivial cocycle on the blue–green graph is essential.

However, Γ does not embed in $\Pi(\Gamma)$: writing $[x]$ for $i(x) \in \Pi(\Lambda)$, we calculate:

$$\begin{aligned} [f'_1][g_1]^{-1} &= [g_3]^{-1}[f_3] = [f_2][g_2]^{-1} = [f_2][e_2]^{-1}[e_2][g_2]^{-1} = [e_3]^{-1}[f_4][g_4]^{-1}[e_4] \\ &= [e_3]^{-1}[f_4][e_1][e_1]^{-1}[g_4]^{-1}[e_4] \\ &= [e_3]^{-1}[e_3][f_1][g_1]^{-1}[e_4]^{-1}[e_4] = [f_1][g_1]^{-1}. \end{aligned}$$

So cancellation gives $[f'_1] = [f_1]$. We then have $[f'_3] = [g_3][f_1][g_1]^{-1} = [g_3][f'_1][g_1]^{-1} = [f_3]$ and $[f'_4] = [e_3][f'_1][e_1]^{-1} = [e_3][f_1][e_1]^{-1} = [f_4]$, and then also $[f'_2] = [e_3]^{-1}[f'_4][e_2] = [e_3]^{-1}[f_4][e_2] = [f_2]$.

Motivated by these examples, we seek conditions under which $i : \Lambda \rightarrow \Pi(\Lambda)$ is injective.

3.2. Embedding singly connected higher-rank graphs.

DEFINITION 3.4. A k -graph Λ is *singly connected* if there is at most one path between any two vertices; that is, for all $u, v \in \Lambda^0$, we have $|u\Lambda v| \leq 1$.

Singly connected k -graphs need not be connected. The vertex set of a singly connected k -graph is partially ordered by the relation $\leq$ given by $u \leq v$ if and only if $u\Lambda v \neq \emptyset$.

EXAMPLE 3.5. Write $\{t_i : i = 1, \dots, n\}$ for the generators of the free group $\mathbb{F}_n$. Let $c : B_n \rightarrow \mathbb{F}_n$ be the 1-cocycle such that $c(f_i) = t_i$ for all i . Then $\mathbb{F}_n \times_c B_n$ is singly connected.

There is a relationship between singly connected k -graphs and the simply connected k -graphs of Section 2.3, though neither condition implies the other.

PROPOSITION 3.6. Let Λ be a connected k -graph and suppose that $i : \Lambda \rightarrow \Pi(\Lambda)$ is injective. If Λ is simply connected, then it is singly connected.

PROOF. Suppose that Λ is not singly connected. Then there exist distinct elements $\lambda, \mu \in \Lambda$ such that $s(\lambda) = s(\mu)$ and $r(\lambda) = r(\mu)$. Since $i : \Lambda \rightarrow \Pi(\Lambda)$ is injective, $i(\lambda) \neq i(\mu)$ and thus $i(\lambda)^{-1}i(\mu) \in \pi_1(\Lambda, s(\lambda)) \setminus \{s(\lambda)\}$. Hence, Λ is not simply connected. $\square$

The reverse implication fails, as the following example illustrates.

EXAMPLE 3.7. Let E be the directed graph with $E^0 = \{u, v, w, x\}$ and $E^1 = \{e, f, g, h\}$ such that $s(e) = u = s(f)$, $s(g) = w = s(h)$, $r(e) = v = r(h)$ and $r(f) = x = r(g)$. Then the 1-graph E^* is a singly connected 1-graph that is not simply connected since $\pi_1(E^*, u) \cong \mathbb{Z}$. Adding tails at both u and w as in [4, Lemma 1.2] yields a source-free 1-graph with the same property.

We use the next theorem, which exploits the universal property of the fundamental groupoid from Section 2.2, to show that singly connected k -graphs embed in their fundamental groupoids.

THEOREM 3.8. *Let Λ be a k -graph and let $\mathcal{G}$ be a groupoid. If there is an injective functor $F : \Lambda \rightarrow \mathcal{G}$, then $i : \Lambda \rightarrow \Pi(\Lambda)$ is injective.*

PROOF. The universal property of the fundamental groupoid yields a homomorphism $\tilde{F} : \Pi(\Lambda) \rightarrow \mathcal{G}$ such that $F = \tilde{F} \circ i$. Hence, if F is injective, then i is injective. $\square$

PROPOSITION 3.9. *Let Λ be a connected k -graph. Then:*

- (i) *the canonical map $\iota : \Lambda \rightarrow T(\Lambda^0)$ is injective if and only if Λ is singly connected;*
- (ii) *if Λ is singly connected, then $i : \Lambda \rightarrow \Pi(\Lambda)$ is injective.*

PROOF. The first assertion follows by definition and the second follows from Theorem 3.8. $\square$

Theorem 3.8 also allows us to deduce embeddability from the existence of a suitable 1-cocycle.

DEFINITION 3.10. Let Λ be a k -graph, G a countable group and $c : \Lambda \rightarrow G$ a 1-cocycle. We say that c is *essential* if the restriction of c to $u\Lambda v$ is injective for all $u, v \in \Lambda^0$.

EXAMPLE 3.11. The 1-cocycle $c : B_n \rightarrow \mathbb{F}_n$ described in Example 3.5 is essential.

PROPOSITION 3.12. *Let Λ be a connected k -graph and let $v \in \Lambda^0$. Then the following are equivalent:*

- (i) *the 1-cocycle $\kappa : \Lambda \rightarrow \pi_1(\Lambda, v)$ given in Remark 2.13 is essential;*
- (ii) *Λ admits an essential cocycle $c : \Lambda \rightarrow G$ to a group G ; and*
- (iii) *$i : \Lambda \rightarrow \Pi(\Lambda)$ is injective.*

For any essential cocycle $c : \Lambda \rightarrow G$ as in part (ii), $G \times_c \Lambda$ is singly connected.

PROOF. The implication (i) $\implies$ (ii) is obvious.

For (ii) $\implies$ (iii), suppose that $c : \Lambda \rightarrow G$ is an essential cocycle into a group. Note that $G \times T(\Lambda^0)$ is a groupoid. Define $j : \Lambda \rightarrow G \times T(\Lambda^0)$ by $j(\lambda) := (c(\lambda), (r(\lambda), s(\lambda)))$; then j is a functor. Since c is essential, j is injective, so $i : \Lambda \rightarrow \Pi(\Lambda)$ is injective by Theorem 3.8.

For (iii) $\implies$ (i), suppose that $i : \Lambda \rightarrow \Pi(\Lambda)$ is injective. Recall that by Remark 2.13, $(\kappa(\lambda), (r(\lambda), s(\lambda))) = (\phi_\gamma \circ i)(\lambda)$ for all $\lambda \in \Lambda$. Hence, κ is essential, since $\phi_\gamma \circ i$ is injective.

For the final statement, suppose that $c : \Lambda \rightarrow G$ is essential, and that $r(g, \lambda) = r(h, \mu)$ and $s(g, \lambda) = s(h, \mu)$ in $G \times_c \Lambda$. Then

$$(g, r(\lambda)) = r(g, \lambda) = r(h, \mu) = (h, r(\mu)) \quad \text{and} \\ (c(\lambda)g, s(\lambda)) = s(g, \lambda) = s(h, \mu) = (c(\mu)h, s(\mu)).$$

So $r(\lambda) = r(\mu)$, $s(\lambda) = s(\mu)$, $g = h$ and $c(\lambda)g = c(\mu)h$. These last two equalities give $c(\lambda) = c(\mu)$. Thus, $j(\lambda) = j(\mu)$ and hence $\lambda = \mu$. Therefore, $(g, \lambda) = (h, \mu)$ and so $G \times_c \Lambda$ is singly connected. $\square$

3.3. More general embedding results. In this section, we investigate embeddability of k -graphs that are not singly connected. We start with one of the most useful results in our toolkit, which relies on the universal property of the fundamental groupoid given in Definition 2.9.

PROPOSITION 3.13 (Lifting embeddability). *Let Λ, Σ be connected k -graphs and let $p : \Sigma \rightarrow \Lambda$ be a covering. Then $i_\Lambda : \Lambda \rightarrow \Pi(\Lambda)$ is injective if and only if $i_\Sigma : \Sigma \rightarrow \Pi(\Sigma)$ is injective.*

PROOF. Suppose that $i_\Lambda : \Lambda \rightarrow \Pi(\Lambda)$ is injective and that $\sigma, \sigma' \in \Sigma$ satisfy $i_\Sigma(\sigma) = i_\Sigma(\sigma')$. In particular, $s(\sigma) = s(\sigma')$; let $u := s(\sigma)$. By universality of $\Pi(\Sigma)$, there is a unique groupoid morphism $\tilde{p} : \Pi(\Sigma) \rightarrow \Pi(\Lambda)$ such that $\tilde{p} \circ i_\Sigma = i_\Lambda \circ p$. Hence,

$$i_\Lambda(p(\sigma)) = \tilde{p}(i_\Sigma(\sigma)) = \tilde{p}(i_\Sigma(\sigma')) = i_\Lambda(p(\sigma')).$$

Injectivity of i_Λ forces $p(\sigma) = p(\sigma')$. Since p is a covering, it is injective on $s^{-1}(u)$. So $\sigma = \sigma'$, and hence $i_\Sigma : \Sigma \rightarrow \Pi(\Sigma)$ is injective.

For the reverse implication, suppose that $i_\Lambda : \Lambda \rightarrow \Pi(\Lambda)$ is not injective. Then there are distinct $\lambda, \lambda' \in \Lambda$ such that $i_\Lambda(\lambda) = i_\Lambda(\lambda')$. We may assume without loss of generality that Σ is the universal covering of Λ so that Σ is simply connected. Since Σ is connected, $r \times s : \Pi(\Sigma) \rightarrow \Sigma^0 \times \Sigma^0$ is an isomorphism, so $\Pi(\Sigma) \cong T(\Sigma^0)$. By Theorem 2.18(ii), given $u \in \Lambda^0$, there is a cocycle $\eta : \Lambda \rightarrow \pi_1(\Lambda, u)$ such that $\Sigma \cong \pi_1(\Lambda, u) \times_\eta \Lambda$ and p is given by projection onto the second factor. It follows that $s(\lambda) = s(\lambda')$, $r(\lambda) = r(\lambda')$ and $\eta(\lambda) = \eta(\lambda')$ (since η factors through i_Λ and $i_\Lambda(\lambda) = i_\Lambda(\lambda')$). Identifying Σ with the skew-product as above, set $\sigma = (1, \lambda)$, $\sigma' = (1, \lambda') \in \Sigma$, so σ, σ' are distinct. We have

$$s(\sigma) = s(1, \lambda) = (\eta(\lambda), s(\lambda)) = (\eta(\lambda'), s(\lambda')) = s(1, \lambda') = s(\sigma')$$

and similarly, $r(\sigma) = r(\sigma')$. So $(r \times s)(i_\Sigma(\sigma)) = (r \times s)(i_\Sigma(\sigma'))$. Since $r \times s$ is injective on i_Σ , we deduce that $i_\Sigma : \Sigma \rightarrow \Pi(\Sigma)$ is not injective. $\square$

Our later results say that embeddability is preserved by various constructions of new k -graphs from old ones. So we need to know that some basic classes of k -graphs, like 1-graphs, embed.

THEOREM 3.14. *Let Λ be a 1-graph. Then $i_\Lambda : \Lambda \rightarrow \Pi(\Lambda)$ is injective.*

PROOF. Write $\Lambda = \bigsqcup_{i=1}^n \Lambda_i$ as a disjoint union of connected graphs. For $i = 1, \dots, n$, let Σ_i be the universal cover of Λ_i . Since $\Sigma = \bigsqcup_{i=1}^n \Sigma_i$ is (the path category of) a disjoint union of trees, there is at most one undirected path connecting any two distinct vertices. It follows that Σ is singly connected and therefore embeddable by Proposition 3.9(ii). Hence, $i_\Lambda : \Lambda \rightarrow \Pi(\Lambda)$ is injective. $\square$

COROLLARY 3.15. *Let Λ be a k -graph and suppose that $i_\Lambda : \Lambda \rightarrow \Pi(\Lambda)$ is injective.*

- (i) *Let $f : \mathbb{N}^\ell \rightarrow \mathbb{N}^k$ be an affine map. Then $i : f^*(\Lambda) \rightarrow \Pi(f^*(\Lambda))$ is injective.*
- (ii) *If Γ is an ℓ -graph and $i_\Gamma : \Gamma \rightarrow \Pi(\Gamma)$ is injective, then $i_{\Lambda \times \Gamma} : \Lambda \times \Gamma \rightarrow \Pi(\Lambda \times \Gamma)$ is injective.*

- (iii) If $c : \Lambda \rightarrow G$ is a 1-cocycle into a group, then $i_{G \times \Lambda} : G \times_c \Lambda \rightarrow \Pi(G \times_c \Lambda)$ is injective.
- (iv) If $\alpha : \mathbb{N}^\ell \rightarrow \text{Aut}(\Lambda)$ is an action, then there is an action $\tilde{\alpha} : \mathbb{Z}^\ell \rightarrow \text{Aut}(\Pi(\Lambda))$ such that $\tilde{\alpha}_n \circ i_\Lambda = i_\Lambda \circ \alpha_n$ for $n \in \mathbb{N}^\ell$. Both

$$i_\Lambda \times i_{\mathbb{N}^\ell} : \Lambda \times_\alpha \mathbb{N}^\ell \rightarrow \Pi(\Lambda) \times_\alpha \mathbb{Z}^\ell \quad \text{and} \quad i_{\Lambda \times_\alpha \mathbb{N}^\ell} : \Lambda \times_\alpha \mathbb{N}^\ell \rightarrow \Pi(\Lambda \times_\alpha \mathbb{N}^\ell)$$

are injective. Moreover, $i_\Lambda \times i_{\mathbb{N}^\ell}$ induces an isomorphism $\Pi(\Lambda \times_\alpha \mathbb{N}^\ell) \cong \Pi(\Lambda) \times_\alpha \mathbb{Z}^\ell$.

PROOF. (i) Define $i \times \text{id} : f^*(\Lambda) \rightarrow \Pi(\Lambda) \times \mathbb{Z}^\ell$ by $(i \times \text{id})(\lambda, n) = (i(\lambda), n)$. Then $i \times \text{id}$ is an injective functor into a groupoid, so the result follows from Theorem 3.8.

(ii) The map $i_\Lambda \times i_\Gamma : \Lambda \times \Gamma \rightarrow \Pi(\Lambda) \times \Pi(\Gamma)$ is an injective functor into a groupoid, so the result follows from Theorem 3.8.

(iii) By universality of $\Pi(\Lambda)$, there is a cocycle $\tilde{c} : \Pi(\Lambda) \rightarrow G$ such that $\tilde{c} \circ i_\Lambda = c$. The skew-product groupoid $G \times_{\tilde{c}} \Pi(\Lambda)$ is equal as a set to $G \times \Pi(\Lambda)$, and $\text{id}_G \times i_\Lambda : G \times_c \Lambda \rightarrow G \times_{\tilde{c}} \Pi(\Lambda)$ is a functor. Since i_Λ is injective, so is $\text{id}_G \times i_\Lambda$, so the result follows from Theorem 3.8.

(iv) Since the action α of $\mathbb{N}^\ell$ on Λ is determined by ℓ commuting automorphisms, it extends to an action (also called α) of $\mathbb{Z}^\ell$ on Λ . By functoriality, this extends to an action $\tilde{\alpha} : \mathbb{Z}^\ell \rightarrow \text{Aut}(\Pi(\Lambda))$ such that $\tilde{\alpha}_n \circ i_\Lambda = i_\Lambda \circ \alpha_n$ for $n \in \mathbb{N}^\ell$. It is routine to check that $i_\Lambda \times i_{\mathbb{N}^\ell}$ is a functor; it is injective because i_Λ and $i_{\mathbb{N}^\ell}$ are injective. So Theorem 3.8 implies that $i_{\Lambda \times_\alpha \mathbb{N}^\ell}$ is injective.

To see that $i_\Lambda \times i_{\mathbb{N}^\ell}$ induces an isomorphism $\Pi(\Lambda \times_\alpha \mathbb{N}^\ell) \cong \Pi(\Lambda) \times_\alpha \mathbb{Z}^\ell$, note that the universal property of $\Pi(\Lambda \times_\alpha \mathbb{N}^\ell)$ implies that $i_\Lambda \times i_{\mathbb{N}^\ell}$ induces a homomorphism $\tilde{i} : \Pi(\Lambda \times_\alpha \mathbb{N}^\ell) \rightarrow \Pi(\Lambda) \times_\alpha \mathbb{Z}^\ell$ such that $\tilde{i} \circ i_{\Lambda \times_\alpha \mathbb{N}^\ell} = i_\Lambda \times i_{\mathbb{N}^\ell}$. We construct an inverse. The restriction $c_1 := i_{\Lambda \times_\alpha \mathbb{N}^\ell}|_{\Lambda \times \{0\}} : \Lambda \rightarrow \Pi(\Lambda \times_\alpha \mathbb{N}^\ell)$ is a functor, as is $c_2 := i_{\Lambda \times_\alpha \mathbb{N}^\ell}|_{\Lambda^0 \times \mathbb{N}^\ell}$. The universal property of $\Pi(\Lambda)$ implies that $i_{\Lambda \times_\alpha \mathbb{N}^\ell}|_{\Lambda \times \{0\}}$ induces a homomorphism $\tilde{c}_1 : \Pi(\Lambda) \rightarrow \Pi(\Lambda \times_\alpha \mathbb{N}^\ell)$; and $i_{\Lambda \times_\alpha \mathbb{N}^\ell}|_{\Lambda^0 \times \mathbb{N}^\ell}$ extends to a homomorphism $\tilde{c}_2 : \Lambda^0 \times \mathbb{Z}^\ell \rightarrow \Pi(\Lambda \times_\alpha \mathbb{N}^\ell)$. Routine calculations show that $\tilde{c}_1 \times \tilde{c}_2 : \Pi(\Lambda) \times_{\tilde{\alpha}} \mathbb{Z}^\ell \rightarrow \Pi(\Lambda \times_\alpha \mathbb{N}^\ell)$ is a homomorphism inverse to $\tilde{i}$. $\square$

REMARK 3.16. Combining Theorem 3.14 and Corollary 3.15(iv), we see that crossed-product graphs of 1-graphs always embed in their fundamental groupoids.

EXAMPLES 3.17. We present two examples of Corollary 3.15(i).

- (i) Define $f : \mathbb{N}^2 \rightarrow \mathbb{N}$ by $f(a, b) = a + b$. Let $\Lambda = f^*(B_n)$. Corollary 3.15 implies that $f^*(\Lambda)$ embeds in its fundamental group since Theorem 3.14 implies that the 1-graph B_n does. Indeed, for $\theta : [n] \times [n] \rightarrow [n] \times [n]$ given by $\theta(i, j) = (i, j)$, we have $\Lambda \cong \mathbb{F}_\theta^+$.
- (ii) Let Λ be a 2-graph and define $f : \mathbb{N}^2 \rightarrow \mathbb{N}^2$ by $f(a, b) = (a, b) + \mathbf{1}$. Then $f^*(\Lambda)$ is the dual graph $\mathbf{1}\Lambda$ described in [1, Definition 3.1]. So for the 2-graph $\Lambda = \mathbb{F}_\theta^+$ from example (i) above, $f^*(\mathbb{F}_\theta^+)$ embeds in its fundamental group by Corollary 3.15(i).

COROLLARY 3.18 (Action graphs). *Let Λ be a k -graph. Let B_n be the 1-graph described in Example 2.3(i). Let $\mu \mapsto \alpha_\mu$ be a functor from B_n to $\text{Aut}(\Lambda)$. Let $\Gamma = B_n \times \Lambda$; define $d : \Gamma \rightarrow \mathbb{N}^{k+1}$ by $d(\mu, \lambda) = (|\mu|, d(\lambda))$; define $r, s : \Gamma \rightarrow \Gamma^0$ by $r(\mu, \lambda) = (u, \alpha_\mu(r(\lambda)))$ and $s(\mu, \lambda) = (u, s(\lambda))$; and define composition in Γ by*

$$(\mu, \alpha_\nu(\lambda))(\nu, \xi) = (\mu\nu, \lambda\xi). \quad (3-3)$$

Then (Γ, d) is a $(k+1)$ -graph. If $i_\Lambda : \Lambda \rightarrow \Pi(\Lambda)$ is injective, then $i_\Gamma : \Gamma \rightarrow \Pi(\Gamma)$ is injective.

PROOF. It is routine to check that (3-3) determines an associative composition. The map d is clearly a functor and if $d(\mu, \lambda) = (a+b, m+n)$, then factorising $\mu = \mu_a \mu_b$ and $\lambda = \lambda_m \lambda_n$ with the appropriate degrees, the factorisation $(\mu, \lambda) = (\mu_a, \alpha_{\mu_b}(\lambda_m))(\mu_b, \lambda_n)$ is the unique factorisation of (μ, λ) into morphisms of degrees (a, m) and (b, n) . So Γ is a $(k+1)$ -graph.

Universality of $\Pi(\Lambda)$ implies that each α_μ extends to an automorphism of $\Pi(\Lambda)$. So α extends to an action of $\Pi(B_n) \cong \mathbb{F}_n$ on $\Pi(\Lambda)$, with semidirect product groupoid $\Pi(B_n) \ltimes_{\tilde{\alpha}} \Pi(\Lambda)$. Then $i_{B_n} \times i_\Lambda$ is an embedding of Γ in $\Pi(B_n) \ltimes_{\tilde{\alpha}} \Pi(\Lambda)$. The result now follows by Theorem 3.8. $\square$

EXAMPLES 3.19.

- (i) Fix $m, n \geq 2$. Let $\Lambda = B_m$ be the 1-graph described in Example 2.3(i). For each $f \in B_n^1$, let α_f be a permutation of B_m^1 and extend this to a 1-cocycle $B_n \rightarrow \text{Aut}(\Lambda)$ in the only possible way. By Theorem 3.18, these data give rise to a 2-graph Γ that embeds in its fundamental group. Define $\theta : [n] \times [m] \rightarrow [m] \times [n]$ by $\theta(i, j) = (j', i)$ if and only if $\alpha_{f_i}(f_j) = f_{j'}$. Then Γ is isomorphic to the 2-graph $\mathbb{F}_\theta^+$ of Example 2.5. In particular, $\mathbb{F}_\theta^+$ embeds in its fundamental group.

EXAMPLE 3.20. Fix $n \geq 2$ and a permutation $\sigma \in \text{Bij}([n])$, the group of all bijections of the set $[n]$. Define $\theta : [n] \times [n] \rightarrow [n] \times [n]$ by $\theta(i, j) = (\sigma(i), j)$. This fits into the situation of Example 3.19, so $\mathbb{F}_\theta^+$ embeds in its fundamental group.

DEFINITION 3.21. Let X be a nonempty set. A map $R : X^2 \rightarrow X^2$ is a (set-theoretic) *Yang–Baxter solution* if

$$(R \times \text{id}_X)(\text{id}_X \times R)(R \times \text{id}_X) = (\text{id}_X \times R)(R \times \text{id}_X)(\text{id}_X \times R)$$

as maps on X^3 . For every permutation σ of X , there is a Yang–Baxter solution R given by $R(e, f) = (\sigma(f), e)$; such solutions are called *permutation-type Yang–Baxter solutions*.

For the interplay between the Yang–Baxter equation and k -graphs, see [47].

LEMMA 3.22. *Fix a finite set X and a Yang–Baxter solution $R : X^2 \rightarrow X^2$ on X . Fix $k \geq 2$. Let $\Lambda_{k,R}^0 = \{v\}$. For $i \leq k$, let $\Lambda_{k,R}^{\varepsilon_i} = \{i\} \times X$. For $(i, e) \in \Lambda_{k,R}^{\varepsilon_i}$ and $(j, f) \in \Lambda_{k,R}^{\varepsilon_j}$ with $i < j$, set*

$$(i, e)(j, f) = (j, f')(i, e') \quad \text{if } R(e, f) = (f', e').$$

There is a unique k -graph $\Lambda_{k,R}$ with these edges and factorisation rules. If R is a permutation-type Yang–Baxter solution, then $i : \Lambda_{k,R} \rightarrow \Pi(\Lambda_{k,R})$ is injective.

PROOF. The first statement follows from [47, Section 4.1]. For the second statement, we proceed by induction. For $k = 2$, this follows from [47, Section 4.1]. Now suppose inductively that $\Lambda_{k-1,R}$ embeds in its fundamental groupoid. There is an automorphism α of $\Lambda_{k-1,R}$ such that $\alpha(i, e) = (i, \sigma(e))$ for all $i \leq k-1$ and $e \in X$. For $e \in B_{[X]}^1$, let $\alpha_e := \alpha \in \text{Aut}(\Lambda_{k-1,R})$. Corollary 3.18 yields a k -graph $\Gamma = B_{[X]} \times_{\alpha} \Lambda_{k-1,R}$. Choose a bijection $\phi : B_{[X]}^1 \rightarrow \Lambda_{k,R}^{\varepsilon_1}$. Then there is an isomorphism $\Gamma \rightarrow \Lambda_{k,R}$ that agrees with ϕ on $B_{[X]}^1 \subseteq \Gamma$ and takes each $(i, e) \in \Lambda_{k-1,R}^{\varepsilon_i} \subseteq \Gamma$ to $(i+1, e) \in \Lambda_{k,R}^{\varepsilon_{i+1}}$. Corollary 3.18 implies that Γ embeds in its fundamental groupoid, so $\Lambda_{k,R}$ does too. $\square$

REMARK 3.23. For a long time, the literature on k -graphs lacked concrete examples with $k \geq 3$ not obtained from lower-rank graphs via the constructions of Corollary 3.15. Yang’s important insight [47] remedied this situation: every Yang–Baxter solution yields k -graphs for arbitrary k , typically not of the forms from Corollary 3.15. In particular, Lemma 3.22 uses Yang’s construction to see that every finite permutation σ yields a k -graph that embeds in its fundamental groupoid for each $k \geq 1$. Taking $\sigma = \text{id}$ yields Cartesian-product k -graphs, but most other choices of σ yield k -graphs that do not arise from the constructions of Corollary 3.15.

REMARK 3.24. Work of Lawson and Vdovina also yields many embeddable k -graphs. A monoidal k -graph is *rigid* [29, page 37] if whenever e and f are edges of different degrees, there are unique edges e', e'', f', f'' such that $e'f = f'e$ and $ef'' = fe''$. Theorem 3.8 and [29, Theorem 11.14] combined imply that every rigid monoidal k -graph Λ embeds in $\Pi(\Lambda)$.

We finish the section by showing that a strongly connected k -graph Λ embeds in $\Pi(\Lambda)$ whenever the submonoid of endomorphisms at any vertex embeds in a group.

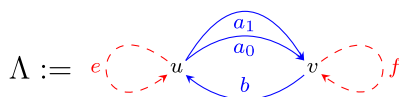
PROPOSITION 3.25. *Let Λ be a strongly connected k -graph and H a group. Fix $v \in \Lambda^0$. If there exists an injective monoid homomorphism $c : v\Lambda v \rightarrow H$, then $i : \Lambda \rightarrow \Pi(\Lambda)$ is injective.*

PROOF. The universal property of $\Pi(\Lambda)$ given in Definition 2.9 implies that there is a homomorphism $\tilde{c} : i(v)\Pi(\Lambda)i(v) \rightarrow H$ such that $\tilde{c} \circ i = c$. Since Λ is strongly connected and since $\Pi(\Lambda)$ is a discrete groupoid, $\Pi(\Lambda)$ is isomorphic to $T(\Lambda^0) \times i(v)\Pi(\Lambda)i(v)$. Post-composing this isomorphism with $\text{id}_{T(\Lambda^0)} \times \tilde{c}$ yields a groupoid homomorphism $q : \Pi(\Lambda) \rightarrow T(\Lambda^0) \times H$. Suppose that $q(i(\mu)) = q(i(\nu))$. Fix $\lambda \in v\Lambda r(\mu)$ and $\tau \in s(\mu)\Lambda v$. We have

$$\begin{aligned} ((v, v), c(\lambda\mu\tau)) &= ((v, v), \tilde{c}(i(\lambda\mu\tau))) = q(i(\lambda\mu\tau)) \\ &= q(i(\lambda\nu\tau)) = ((v, v), \tilde{c}(i(\lambda\nu\tau)))(v, v), c(\lambda\nu\tau)). \end{aligned}$$

Since c is injective, $\lambda\mu\tau = \lambda\nu\tau$ and so $\mu = \nu$. Thus, $q \circ i$, and therefore i , is injective. $\square$

EXAMPLE 3.26. Consider the 2-graph Λ below with relations $a_0e = fa_1$, $a_1e = fa_0$, $bf = eb$.



Then Λ is strongly connected. None of our results before Proposition 3.25 apply to show that Λ embeds in $\Pi(\Lambda)$. Since $eba_i = bfa_i = ba_{1-i}e$ for each i , the monoid $u\Lambda u \subseteq \Lambda$ has presentation

$$u\Lambda u = \langle e, ba_0, ba_1 : eba_i = ba_{1-i}e, i = 0, 1 \rangle,$$

so is isomorphic to the semidirect product $\mathbb{F}_2^+ \rtimes_{\alpha} \mathbb{N}$ for the action α that interchanges $\{ba_0, ba_1\}$, the generators of $\mathbb{F}_2^+$. The action α extends uniquely to an action $\tilde{\alpha}$ of $\mathbb{Z}$ on $\mathbb{F}_2$, and $u\Lambda u \cong \mathbb{F}_2^+ \rtimes_{\alpha} \mathbb{N}$ embeds in $\mathbb{F}_2 \rtimes_{\tilde{\alpha}} \mathbb{Z}$. So Proposition 3.25 implies that $i : \Lambda \rightarrow \Pi(\Lambda)$ is injective.

4. C^* -algebraic results

Here, we generalise [25, Corollary 4.14], which says that the C^* -algebra of a connected row-finite 1-graph is Rieffel–Morita equivalent to a crossed product of a commutative C^* -algebra by the fundamental group of the graph. The situation is much more complicated in higher dimensions.

Let Λ be a connected row-finite source-free k -graph. Fix $v \in \Lambda^0$. By Theorem 2.18 (see [33, Corollary 6.5]), there is a cocycle $\eta : \Lambda \rightarrow \pi_1(\Lambda, v)$ such that the skew-product $\pi_1(\Lambda, v) \times_{\eta} \Lambda$ is isomorphic to the universal cover Σ of Λ . It then follows from [26, Theorem 5.7] that $C^*(\Lambda)$ is Rieffel–Morita equivalent to $C^*(\Sigma) \rtimes \pi_1(\Lambda, v)$. Our main theorem describes the coefficient algebra $C^*(\Sigma)$ of this crossed product.

THEOREM 4.1. *Let Λ be a connected row-finite source-free k -graph and let $\Sigma = \pi_1(\Lambda, v) \times_{\eta} \Lambda$ be as above so that $C^*(\Lambda)$ is Rieffel–Morita equivalent to $C^*(\Sigma) \rtimes \pi_1(\Lambda, v)$.*

- (i) *The C^* -algebra $C^*(\Sigma)$ is AF.*
- (ii) *If Λ embeds in its fundamental groupoid $\Pi(\Lambda)$, then $C^*(\Sigma)$ is type I_0 and its spectrum has a cover by zero-dimensional compact open Hausdorff subsets.*
- (iii) *If Λ embeds in its fundamental groupoid $\Pi(\Lambda)$ and $\Sigma^{\mathbb{N}^1}$ is simply connected, then $C^*(\Sigma)$ is Rieffel–Morita equivalent to a commutative C^* -algebra.*

We use the next two results to prove parts (i) and (ii) of Theorem 4.1.

PROPOSITION 4.2. *Let Γ be a row-finite source-free k -graph. If Γ is simply connected, then there is a map $f : \Gamma^0 \rightarrow \mathbb{Z}^k$ such that $d(\lambda) = f(s(\lambda)) - f(r(\lambda))$ for all $\lambda \in \Gamma$. Moreover, $C^*(\Gamma)$ is AF.*

PROOF. Since $d : \Gamma \rightarrow \mathbb{Z}^k$ is a cocycle, Lemma 2.19 ensures the existence of f . Now, [26, Lemma 5.4] implies that $C^*(\Gamma)$ is AF. $\square$

EXAMPLE 4.3. Let Λ be the 2-graph of Example 3.1 (see [32, Example 7.1]). Recall that Λ does not embed in its fundamental groupoid and the universal cover $\Sigma = \mathbb{Z}^2 \times_d \Lambda$ is simply connected. We claim that $C^*(\Sigma)$ is Rieffel–Morita equivalent to the UHF algebra M_{6^∞} (in fact, $C^*(\Sigma) \cong M_{6^\infty} \otimes \mathcal{K}$). For each $n \in \mathbb{N}$, set $v_n := n\mathbf{1}$ and observe that as in the proof of [26, Lemma 5.4], $A_n := C^*(\{s_\lambda : s(\lambda) = v_n\}) \cong \mathcal{K}(\ell^2(s^{-1}(v_n)))$. Moreover, for all n , $A_n \subset A_{n+1}$ and the multiplicity of the embedding is 6 (since $|v_n \Lambda v_{n+1}| = 6$). Since the sequence $(v_n)_{n=1}^\infty$ is cofinal in $\mathbb{Z}^2$,

$$C^*(\Sigma) \cong \varinjlim A_n \cong \varinjlim \mathcal{K}(\ell^2(s^{-1}(v_n))).$$

Hence, $C^*(\Sigma)$ is Rieffel–Morita equivalent to the UHF algebra M_{6^∞} as claimed.

PROPOSITION 4.4. *Let Γ be a row-finite source-free k -graph. If Γ is singly connected, then, for each $v \in \Gamma^0$, the corner $s_v C^*(\Gamma) s_v$ is an abelian C^* -algebra isomorphic to $C(Z(v))$. Moreover, $C^*(\Gamma)$ is type I_0 and $\text{Prim } C^*(\Gamma)$ admits a cover by zero-dimensional compact open Hausdorff sets.*

PROOF. Fix $x, y \in \Gamma^\infty$ such that $x(0) = y(0)$ and $p, q \in \mathbb{N}^k$. We claim that if $\sigma^p(x) = \sigma^q(y)$, then $p = q$ and $x = y$. To see this, suppose that $\sigma^p(x) = \sigma^q(y)$. Then $\sigma^p(x)(0) = \sigma^q(y)(0)$. Let $u := x(0) = y(0)$ and $v := \sigma^p(x)(0) = \sigma^q(y)(0)$. Then $x(0, p), y(0, q) \in u\Gamma v$. Since Γ is singly connected, $x(0, p) = y(0, q)$. Hence, $x = x(0, p)\sigma^p(x) = y(0, q)\sigma^q(y)(0) = y$, and the claim holds.

Now, recall from [26] that $\Gamma^\infty = \mathcal{G}_\Gamma^0$ and that for $v \in \Gamma^0$,

$$\mathcal{G}_\Gamma|_{Z(v)} := \{\gamma \in \mathcal{G}_\Gamma : s(\gamma), r(\gamma) \in Z(v)\},$$

$s_v = \chi_{Z(v)} \subset C_0(\mathcal{G}_\Gamma^0)$ and $s_v C^*(\Gamma) s_v \cong C^*(\mathcal{G}_\Gamma|_{Z(v)})$. By the first paragraph, $\mathcal{G}_\Gamma|_{Z(v)}^0 \cong Z(v)$. Hence, $C^*(\mathcal{G}_\Gamma|_{Z(v)}) \cong C(Z(v))$. So for each $v \in \Gamma^0$, the ideal I_v generated by s_v is Rieffel–Morita equivalent to the abelian C^* -algebra $C(Z(v))$. Since $C^*(\Gamma)$ is generated by the ideals I_v , $C^*(\Gamma)$ is type I_0 .

By definition of the hull-kernel topology, the ideals I_v yield a cover of $\text{Prim}(C^*(\Gamma))$ by open sets $\widehat{I_v} \cong \text{Prim}(I_v)$. Since each I_v is Rieffel–Morita equivalent to $C(Z(v))$, each $\text{Prim}(I_v) \cong Z(v)$ is a zero-dimensional compact open Hausdorff subspace of $\text{Prim}(C^*(\Gamma))$. $\square$

PROOF OF THEOREM 4.1(I) AND (II). Proposition 4.2 for $\Gamma = \Sigma$ gives part (i). If $\Lambda \rightarrow \Pi(\Lambda)$ is injective, then so is $\Sigma \rightarrow \Pi(\Sigma)$ by Proposition 3.13. Since Σ is simply connected, Proposition 3.6 implies that Σ is singly connected; so Proposition 4.4 for $\Gamma = \Sigma$ gives part (ii). $\square$

To prove Theorem 4.1(iii), we argue that the spectrum $C^*(\Sigma)^\wedge$ is Hausdorff: then Theorem 4.1(ii) shows that $C^*(\Sigma)$ is Rieffel–Morita equivalent to a continuous-trace C^* -algebra with totally disconnected spectrum, and the Dixmier–Douady theorem shows that $C^*(\Sigma)$ is Rieffel–Morita equivalent to $C_0(C^*(\Sigma)^\wedge)$. We argue that $C_0(C^*(\Sigma)^\wedge) \cong \Sigma^{\mathbb{N}^1}/\mathcal{G}_{\Sigma^{\mathbb{N}^1}}$ and use the additional hypothesis that $\Sigma^{\mathbb{N}^1}$ is simply connected

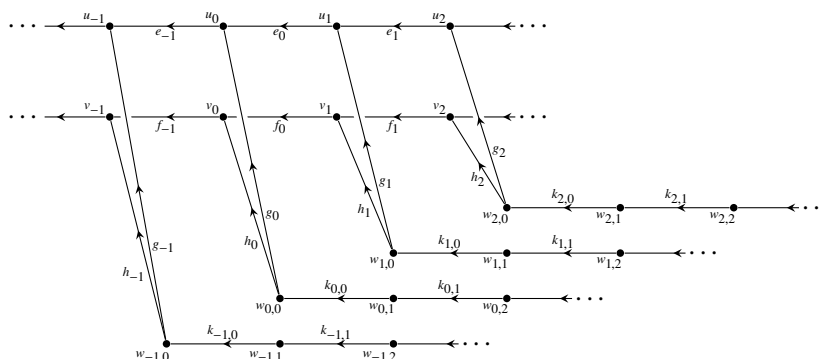
to prove Theorem 4.1(iii). We do not know whether this additional hypothesis is automatic; certainly, even for 1-graphs, being singly connected does not guarantee that the associated C^* -algebra has Hausdorff spectrum.

EXAMPLE 4.5. Let E be the directed graph (pictured below) such that:

- $E^0 = \{u_n, v_n : n \in \mathbb{Z}\} \cup \{w_{n,i} : n \in \mathbb{Z} \text{ and } i \geq 0\}$; and
- $E^1 = \{e_n, f_n, g_n, h_n : n \in \mathbb{Z}\} \cup \{k_{n,i} : n \in \mathbb{Z} \text{ and } i \geq 0\}$,

and such that for $n \in \mathbb{Z}$ and $i \geq 0$,

$$\begin{aligned} r(e_n) &= s(e_{n-1}) = r(g_n) = u_n, & r(f_n) &= s(f_{n-1}) = r(h_n) = v_n, \\ s(g_n) &= s(h_n) = r(k_{n,0}) = w_{n,0}, & s(k_{n,i}) &= r(k_{n,i+1}) = w_{n,i+1}. \end{aligned}$$



This graph E is singly connected. Define $x, y \in E^\infty$ by $x = e_0 e_1 e_2 \cdots$ and $y = f_0 f_1 f_2 \cdots$. Then $[x] \neq [y]$ in $\mathcal{G}_E^{(0)} / \mathcal{G}_E$. We claim that they cannot be separated by disjoint open sets. To see this, for $n \in \mathbb{Z}$, let $z_n = k_{n,0} k_{n,1} k_{n,2} \cdots$. We show that $[z_n] \rightarrow [x]$ and $[z_n] \rightarrow [y]$ as $n \rightarrow \infty$. By symmetry, we just have to show that $[z_n] \rightarrow [x]$. For this, just note that $[z_n] = [e_0 e_1 \cdots e_{n-1} g_n z_n]$ and we have $\lim_{n \rightarrow \infty} e_0 e_1 \cdots e_{n-1} g_n z_n = e_0 e_1 e_2 \cdots = x$.

We have $C^*(E) \cong C^*(\mathcal{G}_E)$ by [27, Proposition 4.1]. Since $C^*(E)$ is type I_0 , its spectrum is homeomorphic, by [11, Corollary 4.2], to the orbit space $\mathcal{G}_E^{(0)} / \mathcal{G}_E$ of $\mathcal{G}_E$, which we just saw is not Hausdorff. Note that E is not simply connected (for example, $e_0 g_1 h_1^{-1} f_0^{-1} h_0 g_0^{-1} \in \Pi(E^*)_{u_0}^{u_0} \setminus \{u_0\}$).

Example 4.5 suggests a Hausdorffness criterion (Lemma 4.7). As this criterion is not easy to check, in Theorem 4.8, we specialise to singly connected k -graphs and recast it in terms of the following relation on vertices, which permeates analyses of ideals of k -graph C^* -algebras [36].

NOTATION 4.6. For Γ a k -graph, we define a relation $\leq$ on Γ^0 by $v \leq w$ if and only if $v\Gamma w \neq \emptyset$.

LEMMA 4.7. *Let Γ be a row-finite source-free k -graph and let $\mathcal{G}_\Gamma$ be its k -graph groupoid. The orbit space $\Gamma^\infty/\mathcal{G}_\Gamma$ is Hausdorff if and only if for every pair of infinite paths $x, y \in \Gamma^\infty$ such that $[x] \neq [y]$, there exists $N \in \mathbb{N}^k$ such that the vertices $x(N)$ on x and $y(N)$ on y have no common upper bound with respect to $\leq$, in the sense that $s(\mu) \neq s(\nu)$ for all $\mu \in x(N)\Gamma$ and $\nu \in y(N)\Gamma$.*

PROOF. We have $[x] = [y]$ if and only if $\sigma^m(x) = \sigma^n(y)$ for some m, n . So it suffices to fix x, y such that $\sigma^m(x) \neq \sigma^n(y)$ for all m, n , and show that $[x]$ and $[y]$ can be separated if and only if there exists N as in the statement. Suppose that there is no such N . For each $N \in \mathbb{N}^k$, choose $\mu_N \in x(N)\Gamma$ and $\nu_N \in y(N)\Gamma$ with $s(\mu_N) = s(\nu_N)$, and $z_N \in s(\mu_N)\Gamma^\infty$. Then $x(0, N)\mu_N z_N \rightarrow x$ and $y(0, N)\nu_N z_N \rightarrow y$. Since each $[x(0, N)\mu_N z_N] = [z_N] = [y(0, N)\nu_N z_N]$, this forces $[z_N] \rightarrow [x]$ and $[z_N] \rightarrow [y]$. Now suppose that there exists N as in the statement. Then $q(Z(x(0, N)))$ and $q(Z(y(0, N)))$ are disjoint open neighbourhoods of $[x]$ and $[y]$ in $\Gamma^\infty/\mathcal{G}_\Gamma$. $\square$

Recall that a *filter* for a partially ordered set $(X, \leq)$ is a nonempty subset $F \subseteq X$ such that:

- (a) for all $u, v \in F$, there exists $w \in F$ such that $u, v \leq w$;
- (b) if $v \in F$ and $u \leq v$, then $u \in F$.

A filter F for $\leq$ is called an *ultrafilter* if:

- (c) F is not properly contained in any other filter F' for $(X, \leq)$.

If Γ is singly connected, then $\leq$ is a partial order on Γ^0 . We show that elements of $\Gamma^\infty/\mathcal{G}_\Gamma$ correspond with ultrafilters for $(\Gamma^0, \leq)$ and use this to characterise Hausdorffness of $\Gamma^\infty/\mathcal{G}_\Gamma$.

THEOREM 4.8. *Let Γ be a singly connected row-finite source-free k -graph. Then the ultrafilters for $(\Gamma^0, \leq)$ are exactly the sets $[x]^0 := \{r(y) : y \in [x]\}$ indexed by elements $x \in \Gamma^\infty$. Moreover, $\Gamma^\infty/\mathcal{G}_\Gamma$ is Hausdorff if and only if for every pair U, V of distinct ultrafilters of $(\Gamma^0, \leq)$, there is a pair $u \in U$ and $v \in V$ with no common upper bound with respect to $\leq$.*

PROOF. For the first statement, first fix $x \in \Gamma^\infty$. If $v_1, v_2 \in [x]^0$, then $v_1 = r(\alpha\sigma^m(x))$ and $v_2 = r(\beta\sigma^n(x))$ for some α, β, m, n , and then $w = r(\sigma^{m+n}(x)) \in [x]^0$ satisfies $v_i\Gamma w \neq \emptyset$ by definition; so $[x]^0$ satisfies part (a). If $w \in [x]^0$ and $v \in \Gamma^0$ satisfy $v \leq w$, say $\alpha \in v\Gamma w$, then since $w \in [x]^0$, we have $w = r(\beta\sigma^n(x))$ for some β, n and so $v = r(\alpha\beta\sigma^n(x)) \in [x]^0$; so $[x]^0$ satisfies part (b). Suppose that F is a filter for $(\Gamma^0, \leq)$ containing $[x]^0$. Fix $v \in F$; we must show that $v \in [x]^0$. Since $v, x(0) \in F$, there exists $w \in F$ with $v \leq w$ and $x(0) \leq w$, and by part (b), if $w \in [x]^0$, then $v \in [x]^0$; so we just have to show that $w \in [x]^0$. Fix $\alpha \in x(0)\Gamma w$. Then $r(\sigma^{d(\alpha)}(x)) \in [x]^0 \subseteq F$. So there exists $w' \in F$ such that $r(\sigma^{d(\alpha)}(x)), w \leq w'$; say $\rho \in r(\sigma^{d(\alpha)}(x))\Gamma w'$ and $\tau \in w\Gamma w'$. So $\alpha\tau$ and $x(0, d(\alpha))\rho$ both belong to $x(0)\Gamma w'$. Since Γ is singly connected, this forces $\alpha\tau = x(0, d(\alpha))\rho$, so the factorisation property forces $x(0, d(\alpha)) = \alpha$; hence, $w = s(\alpha) = s(x(0, d(\alpha))) \in [x]^0$.

Now, fix an ultrafilter F for $(\Gamma^0, \leq)$. Enumerate $F = (v_1, v_2, \dots)$, put $w_1 = v_1$ and inductively use part (a) to choose $w_{i+1} \in F$ such that $v_{i+1}, w_i \leq w_{i+1}$. So $(w_i)_i$ is an increasing sequence such that every $v \in F$ satisfies $v \leq w_i$ for some i . For each i , use that $w_i \leq w_{i+1}$ to fix $\alpha_i \in w_i \Gamma_{w_{i+1}}$, let $\rho_i := \alpha_1 \cdots \alpha_i$ and choose $y_i \in Z(\rho_i) \subseteq \Gamma^\infty$. Since $(y_i)_i$ belongs to the compact set $Z(v_1)$, it has a convergent subsequence $y_{i_l} \rightarrow y \in Z(v_1)$. We claim that $F = [y]^0$. By part (c), it suffices to show that $F \subseteq [y]^0$. So fix $v \in F$. Then $v = v_m \leq w_m$ for some $m \in \mathbb{N}$. Choose l so that $i_l \geq m$. For $l' \geq l$, we have $y_{i_{l'}} \in Z(\rho_{i_{l'}}) \subseteq Z(\rho_{i_l})$. Hence, $y \in Z(\rho_{i_l})$. So $w_{i_l} = s(\rho_{i_l}) = r(\sigma^{d(\rho_{i_l})}(y)) \in [y]^0$. By choice of $(w_j)_j$ and l , we have $v \leq w_m \leq w_{i_l}$. So part (b) gives $v \in [y]^0$. This proves the first statement.

For the second statement, by Lemma 4.7, it suffices to show that for all $x, y \in \Gamma^\infty$ with $[x] \neq [y]$, there exists N such that $s(x(N)\Gamma) \cap s(y(N)\Gamma) = \emptyset$ if and only if, for all pairs $U \neq V$ of ultrafilters of $(\Gamma^0, \leq)$, there exist $u \in U$ and $v \in V$ with no common upper bound with respect to $\leq$.

First, suppose that for every pair $x, y \in \Gamma^\infty$, there exists N such that $s(\rho) \neq s(\tau)$ for every $\rho \in x(N)\Gamma$ and $\tau \in y(N)\Gamma$. Fix ultrafilters $U \neq V$, and fix $x, y \in \Gamma^\infty$ with $U = [x]^0$ and $V = [y]^0$. Fix N such that $s(\rho) \neq s(\tau)$ for every $\rho \in x(N)\Gamma$ and $\tau \in y(N)\Gamma$. Then $u = x(N) \in U$ and $v = y(N) \in V$ have no common upper bound. Now, suppose that for every pair $U \neq V$ of ultrafilters, there exist $u \in U$ and $v \in V$ with no common upper bound. Fix $x, y \in \Gamma^\infty$ with $U = [x]^0$ and $V = [y]^0$. Fix $u \in U$ and $v \in V$ with no common upper bound. Fix $x' \in [x]$ and $y' \in [y]$ with $r(x') = u$ and $r(y') = v$, and m, m' and n, n' such that $\sigma^m(x) = \sigma^{m'}(x')$ and $\sigma^n(y) = \sigma^{n'}(y')$. Fix $N \geq m, n$. Then $u\Gamma x(N) \neq \emptyset$ and $v\Gamma y(N) \neq \emptyset$. Since u, v have no common upper bound, nor do $x(N)$ and $y(N)$; so $s(\rho) \neq s(\tau)$ for all $\rho \in x(N)\Gamma$ and $\tau \in y(N)\Gamma$. $\square$

REMARK 4.9. Lemma 4.7 gels with [25, Proposition 4.3]: if E is a simply connected row-finite source-free directed graph, then $E^\infty/\mathcal{G}_E$ is Hausdorff. We prove the contrapositive. Suppose that $E^\infty/\mathcal{G}_E$ is not Hausdorff. Since E is a 1-graph, $i: E^* \rightarrow \Pi(E^*)$ is injective. Corollary 4.7 gives $x, y \in E^\infty$ such that $\sigma^m(x) \neq \sigma^n(y)$ for all m, n , and, for all $N \geq 0$, $\rho_N \in x(N)E^*$ and $\tau_N \in y(N)E^*$ such that $s(\rho_N) = s(\tau_N) =: w_N$. We first claim that there exists N_0 such that $x(n) \neq y(m)$ for all $m, n \geq N_0$. To see this, suppose that there are increasing sequences $(n_i), (m_i)$ such that $x(n_i) = y(m_i)$ for all i . Since E is singly connected, $x(n_i, n_{i+1}) = y(m_i, m_{i+1})$ for all i ; so $\sigma^{n_0}(x) = \sigma^{n_0}(y)$, which is a contradiction. So by replacing x, y with $\sigma^{N_0}(x)$ and $\sigma^{N_0}(y)$, we may assume that $x(m) \neq y(n)$ for all m, n .

Hence, each w_N is on exactly one of x, y ; without loss of generality, w_0 is not on x . Let $\alpha = x(0, |\rho_0|)$ and $\beta = y(0, |\tau_0|)$. Then $\rho_0^{-1}\alpha\rho_{|\rho_0|}\tau_{|\tau_0|}^{-1}\beta^{-1}\tau_0 \in (\Pi(E))_{w_0}^{w_0}$. We show that $\rho_0^{-1}\alpha\rho_{|\rho_0|}\tau_{|\tau_0|}^{-1}\beta^{-1}\tau_0 \neq w_0$. Since $r(\rho_{|\rho_0|}) = x(|\rho_0|) \neq y(|\tau_0|) = r(\tau_{|\tau_0|})$ in reduced form [20, Proposition 4.9], $\rho_{|\rho_0|}\tau_{|\tau_0|}^{-1} = e\gamma\lambda^{-1}$, where $e \in E^1$ is the first edge of $\rho_{|\rho_0|}$. Similarly, since $w_0 = s(\tau_0) \neq x(|\rho_0|) = s(\alpha)$ in reduced form, $\rho_0^{-1}\alpha = \zeta^{-1}\eta f$, where f is the last edge of α and $\zeta, \eta \in E^*$. So in reduced form, $\rho_0^{-1}\alpha\rho_{|\rho_0|}\tau_{|\tau_0|}^{-1} = \zeta^{-1}\eta f e \gamma \lambda^{-1}$. In particular, the word $f e$ appears in the reduced form of $\rho_0^{-1}\alpha\rho_{|\rho_0|}\tau_{|\tau_0|}^{-1}\beta^{-1}\tau_0$, so this is a nontrivial element of $(\Pi(E))_{w_0}^{w_0}$. Hence, E is not simply connected.

REMARK 4.10. The argument of the preceding remark does not go through for k -graphs because there is no canonical reduced form for elements of the fundamental groupoid of a k -graph.

For 1-graphs E^* , we can use Remark 4.9 to check Hausdorffness of $E^\infty/\mathcal{G}_E \cong C^*(E^*)^\wedge$. So it helps to relate Hausdorffness of the orbit space of a k -graph to that of a natural sub-1-graph

PROPOSITION 4.11. *Let Γ be a row-finite source-free k -graph. Suppose that Γ is simply connected. Then $\Gamma^\infty/\mathcal{G}_\Gamma$ is Hausdorff if and only if $(\Gamma^{\mathbb{N}1})^\infty/\mathcal{G}_{\Gamma^{\mathbb{N}1}}$ is Hausdorff.*

To prove this, we show that $\Gamma^\infty/\mathcal{G}_\Gamma$ is homeomorphic to a clopen subset of $(\Gamma^{\mathbb{N}1})^\infty/\mathcal{G}_{\Gamma^{\mathbb{N}1}}$.

LEMMA 4.12. *Let Γ be a row-finite source-free k -graph. Suppose that Γ is simply connected. Let $f : \Gamma^0 \rightarrow \mathbb{Z}^k$ be a function such that $d(\lambda) = f(s(\lambda)) - f(r(\lambda))$ for all $\lambda \in \Gamma$ as in Proposition 4.2. Let E be the directed graph such that $E^0 = f^{-1}(\mathbb{Z}1)$ and $E^1 = E^0\Gamma^1$. Let $j : E^\infty \rightarrow \Gamma^\infty$ be the map such that $j(x)$ is the unique infinite path such that $j(x)(0, n \cdot 1) = x_1x_2 \cdots x_n$ for all $n \in \mathbb{N}$ (see [26, Remark 2.2]). Then j descends to a homeomorphism $\tilde{j} : E^\infty/\mathcal{G}_E \rightarrow \Gamma^\infty/\mathcal{G}_\Gamma$.*

PROOF. The map j restricts to a homeomorphism $vE^\infty \rightarrow v\Gamma^\infty$ for each $v \in E^0$, so is continuous.

We claim that if $x, y \in E^\infty$, then $j(x) \sim_{\mathcal{G}_\Gamma} j(y)$ if and only if $x \sim_{\mathcal{G}_E} y$. To see this, fix $x, y \in E^\infty$. Then $j(x) \sim_{\mathcal{G}_\Gamma} j(y)$ if and only if there exist $m, n \in \mathbb{N}^k$ such that $\sigma^m(j(x)) = \sigma^n(j(y))$. Since $f(r(\sigma^m(j(x)))) = f(r(x)) + m$ for all $m \in \mathbb{N}^k$ and similarly for y , and since $f(r(x)), f(r(y)) \in \mathbb{Z}1$, we deduce that $j(x) \sim_{\mathcal{G}_\Gamma} j(y)$ if and only if there exist $m, n \in \mathbb{N}^k$ such that $\sigma^m(j(x)) = \sigma^n(j(y))$ and $m - n \in \mathbb{Z}1$. Since $m - n \in \mathbb{Z}1$ if and only if there exists $p \in \mathbb{N}^k$ such that $m + p, n + p \in \mathbb{N}1$, we deduce that $j(x) \sim_{\mathcal{G}_\Gamma} j(y)$ if and only if $\sigma^{a1}(j(x)) = \sigma^{b1}(j(y))$ for some $a, b \in \mathbb{N}$; that is, if and only if $\sigma^a(x) = \sigma^b(y)$ for some $a, b \in \mathbb{N}$. Hence, $j(x) \sim_{\mathcal{G}_\Gamma} j(y)$ if and only if $x \sim_{\mathcal{G}_E} y$. It follows that j descends to a continuous function $\tilde{j} : E^\infty/\mathcal{G}_E \rightarrow \Gamma^\infty/\mathcal{G}_\Gamma$.

Fix $p : \Gamma^0 \rightarrow \mathbb{N}^k$ satisfying $f(v) + p(v) \in \mathbb{Z}1$ for all v . For $x \in \Gamma^\infty$ and $j \in \mathbb{N}$, let $\tilde{x}_j := \sigma^{p(r(x))}((j-1)1, j1) \in E^1$ and define $h(x) := \tilde{x}_1\tilde{x}_2 \cdots \tilde{x}_n \cdots \in E^\infty$. As $x \mapsto p(r(x))$ is locally constant, h is continuous. Since $\sigma^{p(x)}(x) \sim_{\mathcal{G}_\Gamma} x$ for all x , the claim above shows that $x \sim_{\mathcal{G}_\Gamma} y$ if and only if $h(x) \sim_{\mathcal{G}_E} h(y)$, so h descends to a continuous function $\tilde{h} : \Gamma^\infty/\mathcal{G}_\Gamma \rightarrow E^\infty/\mathcal{G}_E$. It is routine to check that $\tilde{h}$ and $\tilde{j}$ are mutually inverse: $h \circ j = \text{id}_{E^\infty}$ and $[j \circ h(x)] = [\sigma^{p(x)}(x)] = [x]$ for all $x \in \Gamma^\infty$. In particular, j descends to a homeomorphism as claimed. $\square$

PROOF OF PROPOSITION 4.11. Resume the notation of Lemma 4.12. It suffices for us to show that $(\Gamma^{\mathbb{N}1})^\infty/\mathcal{G}_{\Gamma^{\mathbb{N}1}}$ is Hausdorff if and only if $E^\infty/\mathcal{G}_E$ is Hausdorff.

For $p \in \mathbb{Z}^k$, let $V_p := f^{-1}(p + \mathbb{Z}1) \subseteq \Gamma^0$ (so V_0 is V in Lemma 4.12). If $p - q \notin \mathbb{Z}1$, then $V_p\Gamma^{\mathbb{N}1}V_q = \emptyset$. So if $x \in V_p\Gamma^{\mathbb{N}1}$ and $y \in V_q\Gamma^{\mathbb{N}1}$, then $\sigma^{a1}(x) \neq \sigma^{b1}(y)$ for all $a, b \in \mathbb{N}$ and hence, $[x]_{\mathcal{G}_{\Gamma^{\mathbb{N}1}}} \neq [y]_{\mathcal{G}_{\Gamma^{\mathbb{N}1}}}$. Hence, the sets $\{V_p(\Gamma^{\mathbb{N}1})^\infty : p \in \mathbb{Z}^{k-1} \times \{0\}\}$ have mutually

disjoint open images in $(\Gamma^{\mathbb{N}1})^\infty/\mathcal{G}_{\Gamma^{\mathbb{N}1}}$. So it suffices to show that each of these images is Hausdorff.

Let $q : (\Gamma^{\mathbb{N}1})^\infty \rightarrow (\Gamma^{\mathbb{N}1})^\infty/\mathcal{G}_{\Gamma^{\mathbb{N}1}}$ be the quotient map. By assumption, $E^\infty/\mathcal{G}_E = q(V_0(\Gamma^{\mathbb{N}1})^\infty)$ is Hausdorff, so it suffices to fix $p \in \mathbb{Z}^{k-1} \setminus \{0\}$ and show that $(q(V_p\Gamma^{\mathbb{N}1})^\infty) \cong (q(V_0\Gamma^{\mathbb{N}1})^\infty)$.

Since $V_p = V_{p+a1}$ for all $a \in \mathbb{N}$, we may assume that $p \geq 0$. Fix $n \in \mathbb{N}^k$ such that $p+n \in \mathbb{Z}1$. Then $\sigma^p : V_0\Gamma^\infty \rightarrow V_p\Gamma^\infty$ and $\sigma^n : V_p\Gamma^\infty \rightarrow V_{p+n}\Gamma^\infty = V_0\Gamma^\infty$ are continuous. Using [26, Remark 2.2], we can identify $V_p\Gamma^\infty$ with $V_p(\Gamma^{\mathbb{N}1})^\infty$ and $V_0\Gamma^\infty$ with $V_0(\Gamma^{\mathbb{N}1})^\infty$, and these identifications are compatible with the shift maps.

If $x \sim_{\mathcal{G}_{\Gamma^{\mathbb{N}1}}} y$, then $\sigma^p(x) \sim_{\mathcal{G}_{\Gamma^{\mathbb{N}1}}} \sigma^p(y)$ and similarly for n , so σ^p and σ^n descend to continuous maps $\tilde{\sigma}^p : q(V_0\Gamma^{\mathbb{N}1})^\infty \rightarrow q(V_p\Gamma^{\mathbb{N}1})^\infty$ and $\tilde{\sigma}^n : q(V_p\Gamma^{\mathbb{N}1})^\infty \rightarrow q(V_0\Gamma^{\mathbb{N}1})^\infty$. Since $x \sim_{\mathcal{G}_{\Gamma^{\mathbb{N}1}}} \sigma^{p+n}(x) = \sigma^p(\sigma^n(x))$, we see that $\tilde{\sigma}^p \circ \tilde{\sigma}^n$ is the identity map on $q(V_p\Gamma^{\mathbb{N}1})^\infty$ and, similarly, $\tilde{\sigma}^n \circ \tilde{\sigma}^p$ is the identity map on $q(V_0\Gamma^{\mathbb{N}1})^\infty$. So $\tilde{\sigma}^p$ and $\tilde{\sigma}^n$ are mutually inverse and hence homeomorphisms. $\square$

COROLLARY 4.13. *Let Γ be a row-finite source-free k -graph. Suppose that both Γ and the sub-1-graph $\Gamma^{\mathbb{N}1}$ are simply connected. Then $\Gamma^\infty/\mathcal{G}_\Gamma$ is Hausdorff.*

PROOF. Proposition 4.2 gives $f : \Gamma^0 \rightarrow \mathbb{Z}^k$ such that $d(\lambda) = f(s(\lambda)) - f(r(\lambda))$ for all $\lambda \in \Gamma$. Let E be the directed graph such that $E^0 = f^{-1}(\mathbb{Z}1)$ and $E^1 = E^0\Gamma^1$. Lemma 4.12 gives $\Gamma^\infty/\mathcal{G}_\Gamma \cong E^\infty/\mathcal{G}_E$. Since E^* is a sub-1-graph of the simply connected graph $\Gamma^{\mathbb{N}1}$, it is simply connected. Hence, $E^\infty/\mathcal{G}_E$ is Hausdorff by [25, Lemma 4.2] (see Remark 4.9) and thus, $\Gamma^\infty/\mathcal{G}_\Gamma$ is Hausdorff. $\square$

EXAMPLE 4.14. Surprisingly, simple connectedness of Γ and of $\Gamma^{\mathbb{N}1}$ are independent conditions. For the monoidal 2-graph Λ of [32, Example 7.1] (Example 3.1), we have an isomorphism $\Pi(\Lambda) \cong \mathbb{Z}^2$ that intertwines $i : \Lambda \rightarrow \Pi(\Lambda)$ with $d : \Lambda \rightarrow \mathbb{N}^2 \subseteq \mathbb{Z}^2$. So $\Gamma := \mathbb{Z}^2 \times_d \Lambda \cong \pi(\Lambda) \times_i \Lambda$ is simply connected. However, $\Gamma^{\mathbb{N}1}$ is the graph with vertices $\{v_m : m \in \mathbb{Z}^2\}$ and six parallel edges from v_{m+1} to v_m for each $m \in \mathbb{Z}^2$, so is not simply connected. In the other direction, let Δ_1 be the 1-graph with vertices $\mathbb{Z}$ and edges e_n with $s(e_n) = n+1$ and $r(e_n) = n$, and define $l : \mathbb{N}^2 \rightarrow \mathbb{N}$ by $l(m, n) = m+n$. Then the 2-graph $\Gamma := l^*(\Omega_1)$ has fundamental group $\mathbb{Z}$ generated by $(e_0, (1, 0))(e_0, (0, 1))^{-1}$, so is not simply connected, but $\Gamma^{\mathbb{N}1}$ is a disjoint union of copies of Ω_1 , so is simply connected.

REMARK 4.15. In the context of Corollary 4.13, simple connectedness of $\Gamma^{\mathbb{N}1}$ is equivalent to that of E^* as in Lemma 4.12. Also, as in the proof of Proposition 4.11, the orbit space $(\Gamma^{\mathbb{N}1})^\infty/\mathcal{G}_{\Gamma^{\mathbb{N}1}}$ is a topological disjoint union of copies of $E^\infty/\mathcal{G}_E$ indexed $\mathbb{Z}^k/\mathbb{Z}1$.

PROOF OF THEOREM 4.1(III). As in the proof of part (ii), since $\Lambda \rightarrow \Pi(\Lambda)$ is injective, Σ is singly connected, and $C^*(\Sigma)$ is type I_0 . The proof of Proposition 4.4 shows that $\mathcal{G}_\Sigma$ has trivial isotropy. Hence, the spectrum of $C^*(\Sigma)$ is homeomorphic to the orbit space $\Sigma^\infty/\mathcal{G}_\Sigma$ [11, Corollary 4.2]. Now, since $\Sigma^{\mathbb{N}1}$ is simply connected, Corollary 4.13 implies that $\Sigma^\infty/\mathcal{G}_\Sigma$ is Hausdorff. So $C^*(\Sigma)$ is a continuous-trace C^* -algebra. Since

$X := \Sigma^\infty / \mathcal{G}_\Sigma$ is zero-dimensional, $\check{H}_3(X, \mathbb{Z}) = \{0\}$, and hence the Dixmier–Douady invariant $\delta(C^*(\Sigma)) \in \check{H}_3(X, \mathbb{Z})$ is trivial. So by the Dixmier–Douady theorem [37, Corollary 5.58], $C^*(\Sigma)$ is Rieffel–Morita equivalent to $C(\Sigma^\infty / \mathcal{G}_\Sigma)$. $\square$

REMARK 4.16. A related realisation of C^* -algebras of k -graphs (and more general categories) as crossed products of abelian algebras by *partial* actions of their fundamental groups appears in [7, Theorem 4.17]. Interestingly, embeddability also crops up there for different reasons.

REMARK 4.17. It seems hard to nail down the relationships between the key hypotheses in this section: simple connectedness of Γ and of $\Gamma^{\mathbb{N}^1}$, and embedding of Γ in $\Pi(\Gamma)$.

For example, the following two assertions both seem reasonable: that if Γ is simply connected, then the $\mathbf{1}$ -dual $\mathbf{1}\Gamma$ obtained from Proposition 2.7 for $f : n \mapsto n + \mathbf{1}$ is also simply connected; and that $\mathbf{1}\Gamma$ always embeds in $\Pi(\mathbf{1}\Gamma)$ (after all, $\mathbf{1}\Gamma \ni \lambda \mapsto (r(\lambda), d(\lambda), s(\lambda))$ is injective on $\bigcup_{n \leq \mathbf{1}} \Gamma^n$, and this map descends to $\Pi(\Gamma)$, so the skeleton and factorisation rules are preserved in $\Pi(\Gamma)$). However, at most one of these assertions is true in general: consider the skew-product $\Gamma := \mathbb{Z}^2 \times_d \Lambda$ of Example 3.1; we show that if $\mathbf{1}\Gamma$ is simply connected, then it does not embed in $\Pi(\mathbf{1}\Gamma)$.

Since $\mathbf{1}\Gamma$ is canonically isomorphic to the skew-product $\mathbb{Z}^2 \times_d (\mathbf{1}\Lambda)$, if $\mathbf{1}\Gamma$ is simply connected, then $\mathbb{Z}^2 \times_d (\mathbf{1}\Lambda)$ is simply connected, forcing $\pi_1(\Lambda, v) \cong \mathbb{Z}^2$. However, inspection of the skeleton of $\mathbf{1}\Lambda$ shows that *eeec* and *eedec* are distinct blue cycles based at the vertex $ec \in \mathbf{1}\Lambda$, so generate a sub-semigroup of $\mathbf{1}\Lambda$ isomorphic to $\mathbb{F}_2^+$, which cannot embed in $\mathbb{Z}^2$.

REMARK 4.18. The preceding remark is exemplary of a number of seemingly elementary questions that we have been unable to resolve.

- (i) If Γ is simply connected and embeds in $\Pi(\Gamma)$, is $\Gamma^\infty / \mathcal{G}_\Gamma$ Hausdorff?
- (ii) If both Γ and $\Gamma^{\mathbb{N}^1}$ are simply connected, does Γ necessarily embed in $\Pi(\Gamma)$?
- (iii) Which, if either, of the two assertions mentioned in Remark 4.17 is correct?
- (iv) Does $\mathbf{1}\Gamma$ always embed in $\Pi(\mathbf{1}\Gamma)$?

5. $\tilde{A}_2$ -groups

In this section, we construct coverings $\Sigma_{\mathcal{T}} \rightarrow \Lambda_{\mathcal{T}}$ of 2-graphs corresponding to $\tilde{A}_2$ -groups $\Gamma_{\mathcal{T}}$. These groups arise from free, vertex-transitive actions on buildings. We show that $\Sigma_{\mathcal{T}}$ and $\Lambda_{\mathcal{T}}$ both embed in their fundamental groupoids, and that $\Sigma_{\mathcal{T}}$ is always singly connected so that its C^* -algebra is of Type I_0 .

The $\tilde{A}_2$ -groups are built from finite projective planes. A finite projective plane (P, L) of order q consists of finite sets P of *points* and L of *lines* with $|P| = |L| = q^2 + q + 1$, and a relation $\in$ from P to L —if $p \in l$, we say p *lies on* l and that l *contains* p —such that any two points lie on exactly one common line, any two lines contain exactly one common point and there exist four distinct points of which no single line contains more than two. Each line necessarily contains exactly q points and each point necessarily lies on exactly q lines.

We begin with a brief introduction of the groups we wish to study and by collecting some structural results that we need for our construction.

5.1. $\tilde{A}_2$ -group basics. Following [8, Section 2], given a finite projective plane (P, L) and a bijection $\lambda : P \rightarrow L$, we define a *triella compatible with λ* to be a set $\mathcal{T} \subset P \times P \times P$ such that:

- (T1) given $x, y \in P$, there exists $z \in P$ such that $(x, y, z) \in \mathcal{T}$ if and only if $y \in \lambda(x)$;
- (T2) $(x, y, z) \in \mathcal{T} \Rightarrow (y, z, x) \in \mathcal{T}$;
- (T3) for any $x, y \in P$, there is at most one $z \in P$ such that $(x, y, z) \in \mathcal{T}$.

DEFINITION 5.1. Given a finite projective plane (P, L) , a bijection $\lambda : P \rightarrow L$ and a triella $\mathcal{T}$ compatible with λ as above, we define the associated $\tilde{A}_2$ -group by

$$\Gamma = \Gamma_{\mathcal{T}} := \langle a_x, x \in P \mid a_x a_y a_z = 1 \text{ for each } (x, y, z) \in \mathcal{T} \rangle.$$

REMARKS 5.2.

- (i) The associated $\tilde{A}_2$ -building is an oriented simplicial 2-complex constructed from the Cayley graph of $\Gamma_{\mathcal{T}}$: the vertices or 0-simplices are identified with $\Gamma_{\mathcal{T}}$, the 1-simplices are identified with pairs (w, wa_x) where $w \in \Gamma_{\mathcal{T}}$ and $x \in P$. The 2-simplices are identified with triples $(w, wa_x, wa_x a_y)$ where $w \in \Gamma_{\mathcal{T}}$, $x \in P$ and $y \in \lambda(x)$. The free and transitive action of $\Gamma_{\mathcal{T}}$ on 0-simplices by left multiplication extends to a free action on the building.
- (ii) In [24, 45], Vdovina *et al.* start with similar data to produce an object they call a polyhedron satisfying rules that have the flavour of a triella. We discovered this point of view late in our investigation and plan to look into it more deeply in future work.

EXAMPLE 5.3. Many examples are considered in [9]. The following illustrative example with $q = 2$ was first described in [9, Section 4]:

$$\Gamma_{A.1} = \langle a_0, \dots, a_6 : a_{[i]_7} a_{[i+1]_7} a_{[i+3]_7} = 1 \rangle \quad \text{where } [i]_7 = (i \bmod 7).$$

We describe elements of $\Gamma_{\mathcal{T}}$ as products of generators and their inverses. The following standard terminology for finitely generated groups helps us discuss such expressions.

DEFINITION 5.4. Let $\Gamma_{\mathcal{T}}$ be an $\tilde{A}_2$ -group with generators $\{a_x : x \in P\}$. By a *word* in $\Gamma_{\mathcal{T}}$, we mean a string of the form $g_1 g_2 \cdots g_k$ such that each $g_i \in \{a_x, a_x^{-1} : x \in P\}$. The word $g_1 \cdots g_k$ *represents* the element $w \in \Gamma_{\mathcal{T}}$ if the product $\prod_{i=1}^k g_i$ in $\Gamma_{\mathcal{T}}$ is equal to w . We typically indicate the group law by juxtaposition, so we write $w = g_1 \cdots g_k$ when the word $g_1 \cdots g_k$ represents w . Context dictates whether a string $g_1 \cdots g_k$ is being regarded as a word or as a product.

It is helpful to express elements of $\Gamma_{\mathcal{T}}$ in a standard form.

PROPOSITION 5.5. *Let $\Gamma_{\mathcal{T}}$ be an $\tilde{A}_2$ -group with generators $\{a_x : x \in P\}$. Let $w \in \Gamma_{\mathcal{T}}$. Then there are unique integers $m, n \geq 0$ and unique elements $x_1, \dots, x_m, y_1, \dots, y_n \in P$ such that*

$$w = a_{x_1} \cdots a_{x_m} a_{y_1}^{-1} \cdots a_{y_n}^{-1}, \text{ and} \quad (5-1)$$

- (a) $x_{i+1} \notin \lambda(x_i)$ for $1 \leq i < m$; (b) $y_j \notin \lambda(y_{j+1})$ for $1 \leq j < n$; and
(c) $x_m \neq y_1$ if $m, n \geq 1$.

For the same m, n , there are also unique $t_1, \dots, t_n, s_1, \dots, s_m \in P$ such that

$$w = a_{t_1}^{-1} \cdots a_{t_n}^{-1} a_{s_1} \cdots a_{s_m}, \text{ and} \quad (5-2)$$

- (a)' $s_{i+1} \notin \lambda(s_i)$ for $1 \leq i < m$; (b)' $t_j \notin \lambda(t_{j+1})$ for $1 \leq j < n$; and
(c)' $t_\ell \neq s_1$ if $m, n \geq 1$.

We call the expressions above the right normal form and left normal form of w , respectively. Both have minimal length amongst words in the generators and their inverses that represent w . Moreover, every minimal-length word in the generators and their inverses that represents w contains m generators and n generator-inverses.

PROOF. See [8, Proposition 3.2] and [10, Lemma 6.2]. $\square$

COROLLARY 5.6. *Let $\Gamma_{\mathcal{T}}$ be an $\tilde{A}_2$ -group with generators $\{a_x : x \in P\}$. For all $x, y \in P$ such that $x \neq y$, there exist unique $s, t \in P$ with $s \neq t$ such that $a_x^{-1} a_y = a_s a_t^{-1}$.*

Proposition 5.5 allows us to define a degree functor for a 2-graph structure on $\Gamma_{\mathcal{T}}$ in terms of the number of generators and their inverses in a minimal representative of an element.

DEFINITION 5.7. Let $\Gamma_{\mathcal{T}}$ be an $\tilde{A}_2$ -group. Define $\delta : \Gamma_{\mathcal{T}} \rightarrow \mathbb{N}^2$ by $\delta(w) = (m, n)$ if its right normal form is as in (5-1) (equivalently, its left normal form is as in (5-2)). We define the *length* of w to be $|\delta(w)| = m + n$. We call δ the *shape function*.

REMARK 5.8. The shape function δ is not additive. For example, in the $\tilde{A}_2$ -group $\Gamma_{A,1} = \langle a_0, \dots, a_6 : a_{[i]_7} a_{[i+1]_7} a_{[i+3]_7} = 1 \rangle$,

$$\delta(a_1 a_2) = \delta(a_4^{-1}) = (0, 1) \neq (2, 0) = \delta(a_1) + \delta(a_2).$$

The shape function δ gives rise to a natural notion of a reduced word.

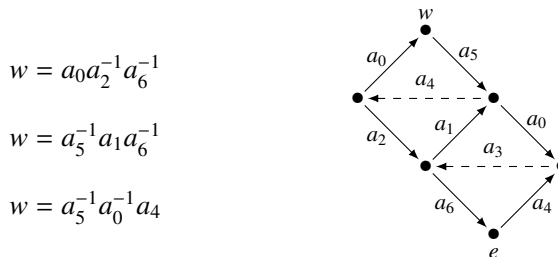
DEFINITION 5.9. A word $g_1 \cdots g_k$ in $\Gamma_{\mathcal{T}}$ is said to be *reduced* if it has minimal length among words that represent the same element of $\Gamma_{\mathcal{T}}$. That is, $g_1 \cdots g_k$ is reduced if $|\delta(g_1 \cdots g_k)| = k$.

REMARKS 5.10.

- (i) The final statement of Proposition 5.5 shows that words in right normal form or left normal form are reduced words.
- (ii) Not all words that have no ‘obvious cancellations’ are reduced: the word $w_1 = a_0 a_4^{-1} a_6$ in $\Gamma_{A,1} = \langle a_0, \dots, a_6 : a_{[i]_7} a_{[i+1]_7} a_{[i+3]_7} = 1 \rangle$ is not reduced since

$$a_0 a_4^{-1} a_6 = a_0 a_1 a_2 a_6 = a_0 a_1 a_0^{-1} = a_3^{-1} a_0^{-1}.$$
- (iii) Every subword of a reduced word is reduced.
- (iv) If $w = g_1 \cdots g_k$ is reduced and for some i , $g_i = a_x$ and $g_{i+1} = a_y^{-1}$ for some $x, y \in P$ with $x \neq y$, then by Corollary 5.6, there exist unique $s, t \in P$ with $s \neq t$ such that $a_x a_y^{-1} = a_s^{-1} a_t$. The word obtained from w by replacing $g_i g_{i+1} = a_x a_y^{-1}$ with $a_s^{-1} a_t$ is also reduced.

EXAMPLE 5.11. Consider $\Gamma_{\mathcal{T}} := \Gamma_{A,1} = \langle a_0, \dots, a_6 : a_{[i]_7} a_{[i+1]_7} a_{[i+3]_7} = 1 \rangle$ from Example 5.3. For $w = a_0 a_2^{-1} a_5^{-1} \in \Gamma_{\mathcal{T}}$, we have $\delta(w) = (1, 2)$; the reduced expressions for w and the corresponding segment of the reversed Cayley graph of $\Gamma_{\mathcal{T}}$ (the Cayley graph of $\Gamma_{\mathcal{T}}^{\text{op}}$) are illustrated below.



To obtain 2-graphs from $\tilde{A}_2$ groups, we relate the shape function δ to the group law.

LEMMA 5.12 (Unique factorisation). *Let $\Gamma_{\mathcal{T}}$ be an $\tilde{A}_2$ -group and suppose that $m, n \in \mathbb{N}^2$ and $w \in \Gamma_{\mathcal{T}}$ satisfy $\delta(w) = m + n$. Then there exist unique $h, k \in \Gamma_{\mathcal{T}}$ such that $\delta(h) = m$, $\delta(k) = n$ and $w = hk$. More generally, if $n_i \in \mathbb{N}^2$ satisfy $\delta(w) = n_1 + \cdots + n_k$, then there exist unique $h_i \in \Gamma_{\mathcal{T}}$ such that each $\delta(h_i) = n_i$ and $w = h_1 \cdots h_k$.*

Given $w, h, k \in \Gamma_{\mathcal{T}}$ such that $\delta(whk) = \delta(w) + \delta(h) + \delta(k)$, we have $\delta(wh) = \delta(w) + \delta(h)$ and $\delta(hk) = \delta(h) + \delta(k)$.

PROOF. This follows from repeated applications of Corollary 5.6. □

NOTATION 5.13. If $\delta(w) = (m, n) \geq \mathbf{1}$, then Lemma 5.12 yields unique $a, b, c, d \in \Gamma_{\mathcal{T}}$ such that

$$w = bd = ca, \quad \delta(a) = \delta(b) = \mathbf{1} \quad \text{and} \quad \delta(d) = \delta(c) = \delta(w) - \mathbf{1}.$$

We adopt the notation $s(w) = a$, $r(w) = b$, $c(w) = c$, $d(w) = d$. Note that if $\delta(w) = \mathbf{1}$, then $r(w) = s(w)$ and $b(w) = c(w) = 1$.

We provide a criterion for determining when a concatenation of three reduced words is reduced.

PROPOSITION 5.14. *Let $\Gamma_{\mathcal{T}}$ be an $\tilde{A}_2$ -group and fix $w_0, w_1, w_2 \in \Gamma_{\mathcal{T}}$. Suppose that $\delta(w_0w_1) = \delta(w_0) + \delta(w_1)$, $\delta(w_1w_2) = \delta(w_1) + \delta(w_2)$ and $\delta(w_1) \geq \mathbf{1}$. Then*

$$\delta(w_0w_1w_2) = \delta(w_0) + \delta(w_1) + \delta(w_2).$$

PROOF. We induct on $|\delta(w_2)|$. Suppose that $|\delta(w_2)| = 1$, so $\delta(w_2) \in \{(1, 0), (0, 1)\}$. If $\delta(w_2) = (1, 0)$, then $w_2 = a_x$ for some $x \in P$, so $w_0w_1w_2 = w_0w_1a_x$. By Proposition 5.5, if $\delta(w_0w_1) = (m, n)$, then in left normal form, $w_0w_1 = a_{s_1}^{-1} \cdots a_{s_n}^{-1} a_{t_1} \cdots a_{t_m}$ and $w_1 = a_{p_1}^{-1} \cdots a_{p_k}^{-1} a_{q_1} \cdots a_{q_\ell}$. Lemma 5.12 gives $q_\ell = t_m$ (as $\delta(w_0w_1) = \delta(w_0) + \delta(w_1)$) and $x \notin \lambda(q_\ell)$ (as $\delta(w_1w_2) = \delta(w_1) + \delta(w_2)$). Hence,

$$w_0w_1w_2 = a_{s_1}^{-1} \cdots a_{s_n}^{-1} a_{t_1} \cdots a_{t_m} a_x$$

is the left normal form of $w_0w_1w_2$ and so

$$\delta(w_0w_1w_2) = (m+1, n) = (m, n) + (1, 0) = \delta(w_0w_1) + \delta(w_2) = \delta(w_0) + \delta(w_1) + \delta(w_2).$$

If $\delta(w_2) = (0, 1)$, arguing similarly with right normal forms gives $\delta(w_0w_1w_2) = \delta(w_0) + \delta(w_1) + \delta(w_2)$.

Now suppose that the result holds for $|\delta(w_2)| = n \geq 1$, suppose that $|\delta(w_2)| = n+1$. Then there exist unique $h, k \in \Gamma_{\mathcal{T}}$ such that $w_2 = hk$, $\delta(w_2) = \delta(h) + \delta(k)$ and $|\delta(k)| = 1$. Since

$$\delta(w_1hk) = \delta(w_1w_2) = \delta(w_1) + \delta(w_2) = \delta(w_1) + \delta(h) + \delta(k),$$

Lemma 5.12 gives $\delta(w_1h) = \delta(w_1) + \delta(h)$. Since $|\delta(h)| = n$, the induction hypothesis gives $\delta(w_0(w_1h)) = \delta(w_0) + \delta(w_1) + \delta(h) = \delta(w_0) + \delta(w_1h)$. Moreover,

$$\delta((w_1h)k) = \delta(w_1w_2) = \delta(w_1) + \delta(w_2) = \delta(w_1) + \delta(h) + \delta(k) = \delta(w_1h) + \delta(k).$$

Therefore, since $\delta(w_1h) \geq \mathbf{1}$ and $|\delta(k)| = 1$, it follows that

$$\begin{aligned} \delta(w_0w_1w_2) &= \delta(w_0(w_1h)k) = \delta(w_0) + \delta(w_1h) + \delta(k) = \delta(w_0) + \delta(w_1) + \delta(h) + \delta(k) \\ &= \delta(w_0) + \delta(w_1) + \delta(w_2). \end{aligned} \quad \square$$

The following extends the above criterion to an arbitrary concatenation of reduced words.

COROLLARY 5.15. *Fix $w_0, w_1, \dots, w_n \in \Gamma_{\mathcal{T}}$. Suppose that $\delta(w_iw_{i+1}) = \delta(w_i) + \delta(w_{i+1})$ for all $0 \leq i < n$ and $\delta(w_i) \geq \mathbf{1}$ for all $0 < i < n$. Then*

$$\delta(w_0w_1 \cdots w_{n-1}w_n) = \delta(w_0) + \delta(w_1) + \cdots + \delta(w_{n-1}) + \delta(w_n).$$

PROOF. We induct on n . This is trivial for $n = 1$. Fix $n \geq 1$, suppose the result holds for all $k \leq n$, and fix $w_0, w_1, \dots, w_n, w_{n+1} \in \Gamma_{\mathcal{T}}$ with $\delta(w_iw_{i+1}) = \delta(w_i) + \delta(w_{i+1})$ for all $i = 0, 1, \dots, n$ and $\delta(w_i) \geq \mathbf{1}$ for all $i = 1, \dots, n$. Then

$$\delta(w_0 w_1 \cdots w_n) = \delta(w_0) + \delta(w_1) + \cdots + \delta(w_n) = \delta(w_0 w_1 \cdots w_{n-1}) + \delta(w_n),$$

and since $\delta(w_n w_{n+1}) = \delta(w_n) + \delta(w_{n+1})$,

$$\begin{aligned} \delta(w_0 w_1 \cdots w_n w_{n+1}) &= \delta(w_0 w_1 \cdots w_{n-1}) + \delta(w_n) + \delta(w_{n+1}) \\ &= \delta(w_0) + \delta(w_1) + \cdots + \delta(w_n) + \delta(w_{n+1}) \end{aligned}$$

by Proposition 5.14. Thus, the result holds by induction. $\square$

5.2. The 2-graph associated to an $\tilde{A}_2$ -group. Given an $\tilde{A}_2$ -group $\Gamma_{\mathcal{T}}$, we now construct a 2-graph $\Lambda_{\mathcal{T}}$ using the relation between the multiplicative structure of its reduced words and the shape function discussed in the previous section.

DEFINITION 5.16. Fix an $\tilde{A}_2$ -group $\Gamma_{\mathcal{T}}$. We define

$$\Lambda_{\mathcal{T}} = \{w \in \Gamma_{\mathcal{T}} : \delta(w) \geq \mathbf{1}\} \quad \text{and} \quad \Lambda_{\mathcal{T}}^0 = \{u \in \Gamma_{\mathcal{T}} : \delta(u) = \mathbf{1}\}.$$

We define $r, s : \Lambda_{\mathcal{T}} \rightarrow \Lambda_{\mathcal{T}}^0$ as in Notation 5.13 and $d : \Lambda_{\mathcal{T}} \rightarrow \mathbb{N}^2$ by $d(\lambda) = \delta(\lambda) - \mathbf{1}$. For $\lambda, \mu \in \Lambda_{\mathcal{T}}$ such that $s(\lambda) = r(\mu)$, we define $\lambda \circ \mu$ as follows: write $\lambda = c(\lambda)s(\lambda)$ and $\mu = r(\mu)b(\mu)$ as in Notation 5.13; we define

$$\lambda \circ \mu := c(\lambda)s(\lambda)b(\mu). \quad (5-3)$$

Our definition of $\lambda \circ \mu$ in (5-3) emphasises the overlap of $\lambda = c(\lambda)s(\lambda)$ and $\mu = r(\mu)b(\mu)$ in the element $s(\lambda) = r(\mu)$ of $\delta^{-1}(\mathbf{1}) \subseteq \Gamma_{\mathcal{T}}$. We can also express it to emphasise its compatibility with the maps b and c : for $\lambda, \mu \in \Lambda_{\mathcal{T}}$ as above with $s(\lambda) = r(\mu)$,

$$\lambda \circ \mu = c(\lambda)s(\lambda)b(\mu) = r(\lambda)b(\lambda)b(\mu) \quad \text{and} \quad \lambda \circ \mu = c(\lambda)r(\mu)b(\mu) = c(\lambda)c(\mu)s(\mu). \quad (5-4)$$

Our main result in this subsection is that Definition 5.16 defines a 2-graph.

THEOREM 5.17. With definitions and notation as above, $(\Lambda_{\mathcal{T}}, d)$ is a 2-graph and the maps $b, c : \Lambda_{\mathcal{T}} \rightarrow \Gamma_{\mathcal{T}}$ of Notation 5.13 are 1-cocycles.

PROOF. Associativity of multiplication in $\Gamma_{\mathcal{T}}$ ensures that $\Lambda_{\mathcal{T}}$ is a category under $\circ$. To see that $d : \Lambda_{\mathcal{T}} \rightarrow \mathbb{N}^2$ is a functor, fix $\lambda, \mu \in \Lambda_{\mathcal{T}}$ with $s(\lambda) = r(\mu)$. We have $\lambda \circ \mu = c(\lambda)s(\lambda)b(\mu)$, where $\delta(s(\lambda)) = \mathbf{1}$. So the first part of Lemma 5.12 gives

$$\begin{aligned} \delta(c(\lambda)s(\lambda)) &= d(\lambda) + \mathbf{1} = \delta(c(\lambda)) + \delta(s(\lambda)) \\ \delta(s(\lambda)b(\mu)) &= d(\mu) + \mathbf{1} = \delta(s(\lambda)) + \delta(b(\mu)). \end{aligned}$$

Hence, by Proposition 5.14 and since $\delta(s(\lambda)) = \mathbf{1}$ by definition,

$$d(\lambda \circ \mu) = \delta(c(\lambda)s(\lambda)b(\mu)) - \mathbf{1} = \delta(c(\lambda)) + \delta(s(\lambda)) + \delta(b(\mu)) - \mathbf{1} = d(\lambda) + d(\mu).$$

It remains to show that $(\Lambda_{\mathcal{T}}, d)$ satisfies the factorisation property. Suppose that $d(\lambda) = (m_1 + m_2, n_1 + n_2)$. Then $\delta(\lambda) = (m_1 + m_2, n_1 + n_2) + \mathbf{1}$. Hence, by Lemma 5.12, there exist unique g, h, k such that $\lambda = ghk$, $\delta(g) = (m_1, n_1)$, $\delta(h) = \mathbf{1}$

and $\delta(k) = (m_2, n_2)$. Thus, $\lambda = \mu \circ \nu$, where $\mu = gh$, $\nu = hk$, $d(\mu) = (m_1, n_1)$ and $d(\nu) = (m_2, n_2)$, and this is the unique such factorisation.

Fix $\lambda, \mu \in \Lambda_{\mathcal{T}}$ with $s(\lambda) = r(\mu)$. Equation (5-4) and the definition of c give $c(\lambda \circ \mu)s(\lambda \circ \mu) = \lambda \circ \mu = c(\lambda)c(\mu)s(\mu)$ and $r(\lambda \circ \mu)b(\lambda \circ \mu) = \lambda \circ \mu = r(\lambda)b(\lambda)b(\mu)$. We already saw that $s(\lambda \circ \mu) = s(\mu)$ and $r(\lambda \circ \mu) = r(\lambda)$, so cancellativity in $\Gamma_{\mathcal{T}}$ gives $c(\lambda)c(\mu) = c(\lambda \circ \mu)$ and $b(\lambda)b(\mu) = b(\lambda \circ \mu)$. $\square$

REMARK 5.18. Resume the notation of [38, Section 7]. Let M_1, M_2 be the matrices [38, page 135] obtained from the Cayley graph $\mathcal{B}_{\mathcal{T}}$ of $\Gamma_{\mathcal{T}}$ regarded as a building as in [8]. Then $\Lambda_{\mathcal{T}}$ is isomorphic to the 2-graph Λ_{M_1, M_2} obtained from the M_i as in [26, Example 1.7(iv)]. Indeed, as $\Gamma_{\mathcal{T}}$ acts transitively on vertices of $\mathcal{B}_{\mathcal{T}}$, we can identify the alphabet $A = \Gamma/\mathcal{I}$ [38, page 135] with type-rotating isometries $i : t \rightarrow \mathcal{B}_{\mathcal{T}}$ such that $i((0, 0)) = e_{\Gamma_{\mathcal{T}}}$. By Proposition 5.5, $i \mapsto i((1, 1))$ is a bijection between such isometries and $\delta^{-1}(\mathbf{1}) = \Lambda_{\mathcal{T}}^0$. Likewise, for $w_1, w_2 \in \Lambda_{\mathcal{T}}^0$, the set $w_1 \Lambda_{\mathcal{T}}^{\varepsilon_i} w_2$ is in bijection with type-rotating isometries $i : p_{\varepsilon_i} \rightarrow \mathcal{B}_{\mathcal{T}}$ such that $i((0, 0)) = e_{\Gamma_{\mathcal{T}}}$, $i(\mathbf{1}) = w_1$ and $t(\mathbf{1} + \varepsilon_i)t(\varepsilon_i)^{-1} = w_2$; that is, diagrams as in [38, Figure 9]. So the adjacency matrices of $\Lambda_{\mathcal{T}}$ are the M_i . Since M_1, M_2 satisfy (H0)–(H3) [38, Proposition 7.9 and Theorem 7.10], $M_1 M_2$ is a 0, 1-matrix, so [19, Theorems 4.4 and 4.5] gives $\Lambda_{\mathcal{T}} \cong \Lambda_{M_1, M_2}$.

COROLLARY 5.19. *With notation as above, the cocycle $c : \Lambda_{\mathcal{T}} \rightarrow \Gamma_{\mathcal{T}}$ of Theorem 5.17 is essential and the canonical map $i : \Lambda_{\mathcal{T}} \rightarrow \Pi(\Lambda_{\mathcal{T}})$ is injective.*

PROOF. Since $\lambda = c(\lambda)s(\lambda)$ for all $\lambda \in \Lambda_{\mathcal{T}}$, $c \times s$ is injective. Hence, c is essential as in Definition 3.10, and the result follows from Proposition 3.12. $\square$

5.3. The covering 2-graph $\Sigma_{\mathcal{T}}$. In this section, we construct a covering 2-graph $\Sigma_{\mathcal{T}}$ for $\Lambda_{\mathcal{T}}$.

We define $\Sigma_{\mathcal{T}} \subseteq \Gamma_{\mathcal{T}} \times \Gamma_{\mathcal{T}}$ as follows. Let

$$\Sigma_{\mathcal{T}} := \{(x, y) \in \Gamma_{\mathcal{T}} \times \Gamma_{\mathcal{T}} : \mathbf{1} \leq \delta(x^{-1}y)\} \quad \text{and} \quad \Sigma_{\mathcal{T}}^0 := \{(x, y) \in \Gamma_{\mathcal{T}} \times \Gamma_{\mathcal{T}} : \mathbf{1} = \delta(x^{-1}y)\}$$

with $d(x, y) := \delta(x^{-1}y) - \mathbf{1}$ for all $(x, y) \in \Sigma_{\mathcal{T}}$. By Lemma 5.12, for each $(x, y) \in \Sigma_{\mathcal{T}}$, there exist unique $z_{x,y}, w_{x,y} \in \Gamma_{\mathcal{T}}$ such that

$$\delta(x^{-1}z_{x,y}) = \delta(w_{x,y}^{-1}y) = \mathbf{1} \quad \text{and} \quad \delta(x^{-1}y) = \delta(x^{-1}z_{x,y}) + \delta(z_{x,y}^{-1}y) = \delta(x^{-1}w_{x,y}) + \delta(w_{x,y}^{-1}y). \quad (5-5)$$

We define $r(x, y) := (x, z_{x,y})$ and $s(x, y) := (w_{x,y}, y)$. If $(u, v) \in \Sigma_{\mathcal{T}}$ satisfies $s(x, y) = r(u, v)$, we define $(x, y)(u, v) := (x, v)$. We show that $\Sigma_{\mathcal{T}} \cong \Gamma_{\mathcal{T}} \times_c \Lambda_{\mathcal{T}}$ (see Definition 2.4).

PROPOSITION 5.20. *With the above structure, $\Sigma_{\mathcal{T}}$ is a 2-graph. Let $c : \Lambda_{\mathcal{T}} \rightarrow \Gamma_{\mathcal{T}}$ be the cocycle of Theorem 5.17. There is an isomorphism $\phi : \Sigma_{\mathcal{T}} \rightarrow \Gamma_{\mathcal{T}} \times_c \Lambda_{\mathcal{T}}$ such that $\phi(x, y) = (x, x^{-1}y)$ for all $(x, y) \in \Sigma_{\mathcal{T}}$. The inverse satisfies $\phi^{-1}(x, \lambda) = (x, x\lambda)$. There is a free action of $\Gamma_{\mathcal{T}}$ on $\Sigma_{\mathcal{T}}$ given by $g \cdot (x, y) := (gx, gy)$, and ϕ is equivariant for this*

action and the left action of $\Gamma_{\mathcal{T}}$ on $\Gamma_{\mathcal{T}} \times_c \Lambda_{\mathcal{T}}$ by translation in the first coordinate. In particular, ϕ descends to an isomorphism $\tilde{\phi} : \Gamma_{\mathcal{T}} \backslash \Sigma_{\mathcal{T}} \rightarrow \Lambda_{\mathcal{T}}$ such that $\tilde{\phi}([x, y]) = x^{-1}y$.

PROOF. We prove that $\psi : \Gamma_{\mathcal{T}} \times_c \Lambda_{\mathcal{T}} \rightarrow \Sigma_{\mathcal{T}}$ defined by $\psi(x, \lambda) = (x, x\lambda)$ for $(x, \lambda) \in \Gamma_{\mathcal{T}} \times_c \Lambda_{\mathcal{T}}$ is an isomorphism, and deduce that $\phi = \psi^{-1}$ has the desired properties. To see that ψ is a functor, fix $(x, \lambda) \in \Gamma_{\mathcal{T}} \times_c \Lambda_{\mathcal{T}}$. Then $d(x, \lambda) = d(\lambda) = d(x, x\lambda) = d(\psi(x, \lambda))$. Recall that $c(\lambda)s(\lambda) = \lambda = r(\lambda)b(\lambda)$, $s(x, \lambda) = (xc(\lambda), s(\lambda))$ and $s(x, x\lambda) = (xc(\lambda), x\lambda)$ since $w = xc(\lambda)$ satisfies $\delta(w^{-1}x\lambda) = \delta(s(\lambda)) = \mathbf{1}$ and

$$\delta(x^{-1}x\lambda) = \delta(\lambda) = \delta(c(\lambda)) + \delta(s(\lambda)) = \delta(x^{-1}w) + \delta(w^{-1}x\lambda).$$

Hence,

$$\psi(s(x, \lambda)) = \psi(xc(\lambda), s(\lambda)) = (xc(\lambda), xc(\lambda)s(\lambda)) = (xc(\lambda), x\lambda) = s(x, x\lambda) = s(\psi(x, \lambda)).$$

A similar computation shows that $\psi(r(x, \lambda)) = (x, xr(\lambda)) = r(\psi(x, \lambda))$.

Given composable elements $(x, \lambda), (xc(\lambda), \mu) \in \Gamma_{\mathcal{T}} \times_c \Lambda_{\mathcal{T}}$, the above argument shows that $\psi(x, \lambda), \psi(xc(\lambda), \mu)$ are composable in $\Sigma_{\mathcal{T}}$. We have

$$\begin{aligned} \psi((x, \lambda)(xc(\lambda), \mu)) &= \psi(x, \lambda \circ \mu) = (x, x(\lambda \circ \mu)) \\ &= (x, xc(\lambda)\mu) = (x, x\lambda)(xc(\lambda), \mu) = \psi(x, \lambda)\psi(xc(\lambda), \mu). \end{aligned}$$

Hence, ψ is an isomorphism and thus $\Sigma_{\mathcal{T}}$ is a 2-graph. That ϕ is equivariant follows from its definition and the last assertion follows from [26, Remark 5.6]. $\square$

PROPOSITION 5.21. Let $(x, z), (w, y) \in \Sigma_{\mathcal{T}}^0$. Then $(x, z)\Sigma_{\mathcal{T}}(w, y) \neq \emptyset$ if and only if

$$\delta(x^{-1}w) + \mathbf{1} = \delta(x^{-1}y) = \delta(z^{-1}y) + \mathbf{1},$$

and then $(x, z)\Sigma_{\mathcal{T}}(w, y) = \{(x, y)\}$. In particular, $\Sigma_{\mathcal{T}}$ is singly connected and $C^*(\Sigma_{\mathcal{T}})$ is type I_0 .

PROOF. If $\sigma \in (x, z)\Sigma_{\mathcal{T}}(w, y)$, then $r(\sigma) = (x, z)$ and $s(\sigma) = (w, y)$, so $\sigma = (x, y)$, $w = w_{x,y}$ and $z = z_{x,y}$ by (5-5). In particular, $(x, z)\Sigma_{\mathcal{T}}(w, y)$ is either empty or equal to $\{(x, y)\}$.

If $\delta(x^{-1}y) \geq \mathbf{1}$, then $(x, y) \in \Sigma_{\mathcal{T}}$ if and only if $s(x, y) = (w, y)$ and $r(x, y) = (x, z)$. Moreover, $s(x, y) = (w, y)$ if and only if $w = w_{x,y}$, that is (see (5-5)),

$$\delta(x^{-1}y) = \delta(x^{-1}w) + \delta(w^{-1}y) = \delta(x^{-1}w) + \mathbf{1}$$

and $r(x, y) = (x, z)$ if and only if $z = z_{x,y}$, that is,

$$\delta(x^{-1}y) = \delta(x^{-1}z) + \delta(z^{-1}y) = \delta(z^{-1}y) + \mathbf{1}.$$

The final assertion follows from the first paragraph of the proof and Proposition 4.4. $\square$

REMARK 5.22. That $\Sigma_{\mathcal{T}}$ is singly connected also follows from the facts that $\Sigma_{\mathcal{T}} \cong \Gamma_{\mathcal{T}} \times_c \Lambda_{\mathcal{T}}$ (by Proposition 5.20), c is essential and $\Gamma_{\mathcal{T}} \times_c \Lambda_{\mathcal{T}}$ is singly connected (by Corollary 5.19).

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