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Flavonoids: modulators of brain function?

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Emerging evidence suggests that dietary phytochemicals, in particular flavonoids, may exert beneficial effects on the central nervous system by protecting neurons against stress-induced injury, by suppressing neuroinflammation and by improving cognitive function. It is likely that flavonoids exert such effects, through selective actions on different components of a number of protein kinase and lipid kinase signalling cascades, such as the phosphatidylinositol-3 kinase (PI3K)/Akt, protein kinase C and mitogen-activated protein kinase (MAPK) pathways. This review explores the potential inhibitory or stimulatory actions of flavonoids within these pathways, and describes how such interactions are likely to underlie neurological effects through their ability to affect the activation state of target molecules and/or by modulating gene expression. Future research directions are outlined in relation to the precise site(s) of action of flavonoids within signalling pathways and the sequence of events that allow them to regulate neuronal function.

Flavonoids: brain: cognitive function: neurodegeneration: cell signalling

Representing one of the most important lifestyle factors, diet can strongly influence the incidence and onset of cardiovascular and neurodegenerative diseases, and thus a healthy diet is an essential factor for healthy ageing. Various phytochemical constituents of foods and beverages, in particular a class of photochemicals known as flavonoids, have been avidly investigated in recent years. A number of dietary intervention studies in humans and animals, in particular those with foods and beverages derived from Vitis vinifera (grape), Camellia sinensis (tea), Theobroma cacao (cocoa) and Vaccinium spp. (blueberry) have demonstrated beneficial effects on vascular function and mental performance. While such foods and beverages differ greatly in chemical composition, macro- and micro-nutrient content and caloric load per serving, they have in common that they are amongst the major dietary sources of flavonoids. Dietary intervention studies in several mammalian species, including humans, using flavonoid-rich plant or food extracts have indicated an ability of these dietary components to improve memory and learning $^{(1-7)}$, by protecting vulnerable neurons, enhancing existing neuronal function or by stimulating neuronal regeneration. Their neuroprotective potential has also been demonstrated in both oxidative stress-⁽⁸⁾ and AB-induced-neuronal death models⁽⁹⁾ and evidence supports the beneficial and neuromodulatory effects of flavonoid-rich ginkgo biloba extracts, particularly in connection with age-related dementias and Alzheimer's $disease^{(9-11)}$. Furthermore, the citrus flavanone, tangeretin, has been observed to help maintain

nigrostriatal integrity and functionality following lesioning with 6-hydroxydopamine, suggesting that flavonoids may also serve as potential neuroprotective agents against the underlying pathology associated with Parkinson's disease⁽¹²⁾.

Historically, the biological actions of flavonoids have been attributed to their antioxidant properties, either through their reducing capacities per se or through their possible influences on intracellular redox status⁽¹³⁾. However, it has been speculated that their classical hydrogen donating antioxidant activity cannot explain the bioactivity of flavonoids in vivo. Indeed, it has become evident that flavonoids are more likely to exert their neuroprotective actions by (1) the modulation of intracellular signalling cascades which control neuronal survival, death and differentiation; (2) affecting gene expression and (3) interactions with mitochondria⁽¹⁴⁻¹⁶⁾. This review will emphasize the potential of flavonoids to exert beneficial effects in the brain by preventing neurodegeneration, inhibiting neuroinflammation and reducing age-related cognitive decline. In particular, it will highlight probable mechanisms which underpin such actions in the brain, including their interactions with neuronal intracellular signalling pathways vital in determining neuronal death, survival, differentiation and proliferation.

Flavonoid structure, source and metabolism

Flavonoids comprise the most common group of polyphenolic compounds in the human diet and are found ubiquitously in

Abbreviations: MAPK, mitogen-activated protein kinase; ERK, extracellular signal-regulated protein kinase; JNK, c-Jun N-terminal kinase; PI3K, phosphatidylinositol-3 kinase; PKB, protein kinase B; PKC, protein kinase C; ASK1, apoptosis signal-regulating kinase 1; STAT-1, signal transducer and activator of transcription-1; AP-1, activated protein-1; CREB, cAMP response element-binding protein; ATF-1/2, activating transcription factor 1/2; MSK1, mitogen- and stress-activated protein kinase 1; mTOR, mammalian target of rapamycin; p47^{phox}, NADPH oxidase (p47 cytoplasmic element; p90RSK (RSK), 90 kDa ribosomal S6 kinase; MEF-2, myocyte enhancer factor 2; DSP, dual specificity phosphatase; PTEN, phosphatase and tensin homologue deleted on chromosome ten; LPS, lipopolysacharide; IL-1β, interleukin-1β; iNOS, inducible nitric oxide synthase; eNOS, endothelial nitric oxide synthase; IFN-γ, interferon gamma; LDL, low-density lipoprotein; NO', nitric oxide; TNF-α, tumour necrosis factor-alpha; BBB, blood-brain barrier; CNS, central nervous system. * **Corresponding author:** Dr J. P. E. Spencer, fax +44 118 931 0080, email j.p.e.spencer@reading.ac.uk

plants. Major dietary sources of flavonoids include fruits, vegetables, cereals, tea, wine and fruit juices [reviewed in ⁽¹⁷⁾]. Flavonoids consist of two aromatic carbon rings, benzopyran (A and C rings) and benzene (B ring), and may be divided in six subgroups based on the degree of the oxidation of the C-ring, the hydroxylation pattern of the ring structure and the substitution of the 3-position. The main dietary groups of flavonoids are (1) flavonols (e.g. kaempferol, quercetin), which are found in onions, leeks, broccoli, (2) flavones (e.g. apigenin, luteolin), which are found in parsley and celery, (3) isoflavones (e.g. daidzein, genistein), which are mainly found on soy and soy products, (4) flavanones (e.g. hesperetin, naringenin), which are mainly found in citrus fruit and tomatoes, (5) flavanols (e.g. catechin, epicatechin, epigallocatechin, epigallocatechin gallate (EGCG), which are abundant in green tea, red wine, chocolate, and (6) anthocyanidins (e.g. pelargonidin, cyanidin, malvidin), whose sources include red wine and berry fruits. Further information regarding the structure and classes of flavonoids may be found in the thorough review by Manach et al. (17)

Although flavonoids display potent antioxidant capacity in vitro (13,18,19), during absorption they are extensively metabolised, resulting in significant alteration of their redox potentials. For example, the majority of flavonoid glycosides, and in some instances the aglycones, present in plant-derived foods, are extensively conjugated and metabolised during absorption [reviewed in $^{(20-24)}$]. In particular, there is much evidence for the extensive phase I de-glycosylation and phase II metabolism of the resulting aglycones to glucuronides, sulphates and O-methylated forms during transfer across the small intestine (20,25) and then again in the liver. Further transformation has been reported in the colon where the enzymes of the gut microflora degrade flavonoids to simple phenolic $acids^{(26)}$. In addition, flavonoids may undergo at least three types of intracellular metabolism: (1) oxidative metabolism, (2) P450-related metabolism and (3) conjugation with thiols, particularly GSH^(27,28). Circulating metabolites of flavonoids, such as glucuronides, sulphates and conjugated O-methylated forms, or intracellular metabolites like flavonoid-GSH adducts, have greatly reduced antioxidant potential⁽²⁸⁾. Indeed, studies have indicated that although such conjugates and metabolites may participate directly in plasma antioxidant reactions and in scavenging reactive oxygen and nitrogen species in the circulation their effective-ness is reduced relative to their parent aglycones⁽²⁹⁻³³⁾.

Do flavonoids access the brain?

In order to understand whether flavonoids and their metabolic derivatives are capable acting as neuromodulators, it is crucial to ascertain whether they are able to enter the central nervous system. In order for flavonoids to enter into the brain, they must first cross the blood-brain barrier (BBB). The functions of the BBB include controlling the entry of xenobiotics into the brain and maintenance of the brain's microenvironment⁽³⁴⁾. *In vitro* and *in vivo* studies have indicated that the flavanones hesperetin, naringenin and their relevant *in vivo* metabolites, as well as some dietary anthocyanins, cyanidin-3-rutinoside and pelargonidin-3-glucoside, are able to traverse the BBB⁽³⁵⁻³⁷⁾. Furthermore, it appears that the potential for flavonoid penetration is dependent on compound

lipophilicity⁽³⁵⁾. Accordingly, it is plausible that the uptake of the less polar O-methylated metabolites, such as the O-methylated epicatechin metabolites (formed in the small intestine and liver), may be greater than the parent aglycone. For the same reason, the more polar glucuronidated metabolites, which have lower BBB permeability values⁽³⁵⁾, may not be able to access the brain. However, evidence exists to suggest that certain drug glucuronides may cross the BBB⁽³⁸⁾ and exert pharmacological effects^(39,40), suggesting that there may be a specific uptake mechanism for glucuronides in vivo. Apart from the flavonoids lipophilicity, their ability to enter the brain may also be influenced by their interactions with specific efflux transporters expressed in the BBB. One such transporter is P-glycoprotein, which plays an important role in drug absorption and brain uptake⁽⁴¹⁾ and appears to be responsible for the differences between naringenin and quercetin flux into the brain in situ⁽³⁷⁾.

Animal feeding studies also indicate that flavonoids may access the brain, with the tea flavanol, EGCG, being reported to access the brain after oral administration to mice⁽⁴²⁾. Furthermore, oral ingestion of pure epicatechin resulted in the detection of epicatechin glucuronide and 3'-O-methyl-epicatechin glucuronide in rat brain tissue⁽⁴³⁾. Anthocyanidins have also been detected in the brain after oral administration^(44,45) with several anthocyanidins being identified in different regions of rat brain after the animals were fed with blueberry⁽⁴⁶⁾. Such flavonoid localisation has been correlated with increased cognitive performance, suggesting a central neuroprotective role of these components. Despite their apparent ability to access the brain, it is clear that the concentrations of flavonoids and their metabolite forms accumulated in vivo $^{(43)}$ are lower (high nM, low $\mu M)$ than those recorded for small molecule antioxidant nutrients such as ascorbic acid and α -tocopherol⁽⁴⁷⁾. Consequently, the beneficial effects of flavonoid metabolites in vivo are unlikely to result due to their ability to out-compete antioxidants such as ascorbate, which are present at higher concentrations (high μM to mM). However, evidence has accumulated to suggest that the cellular effects of flavonoids may be mediated by their interactions with specific proteins central to intracellular signalling cascades⁽¹⁶⁾, such as the mitogen-activated protein kinase (MAP kinase) signalling pathway and the phosphoinositide 3-kinase (PI3 kinase/Akt) signalling cascade (Fig. 1).

Improvement in memory, learning and cognitive performance

There is a growing interest in the potential of phytochemicals to improve memory, learning and general cognitive ability. Previous studies have indicated that phytochemical-rich foods such as berries and spinach are effective at reversing age-related deficits in motor function and spatial working memory^(3,48–54). For example, the latency period to find a platform and the distance swam to a platform in a Morris water maze task, are significantly reduced following blueberry supplementation^(48,49). Such results may suggest favourable effects of the blueberry diet on locomotor activity in old animals^(46,55). However, reductions in the time taken to make a choice may also reflect an improved memory component, where rats 'remembered' more rapidly and thus responded quicker. Presently, it is unclear how phytochemicals may exert such effects, although



Neuronal apoptosis

ES62

Neuronal survival and plasticity

Fig. 1. Overview of MAP kinase and Akt/PKB signalling cascades in neurons. Flavonoid-induced activation of ERK1/2 or PI3K/Akt pathways acts to stimulate neuronal survival and/or enhance synaptic plasticity and long-term potentiation relevant to the laying down of memory. In addition, inhibitory actions within JNK and p38 pathways are likely to be neuroprotective in the presence of stress.

they may be linked to antioxidant actions, the modulation of neurotransmitter release^(48,49), the stimulation of hippocampal neurogenesis⁽⁵⁰⁾ via the modulation of signalling^(52,53) or an ability to improve cerebrovascular blood flow⁽⁵⁶⁾.

Flavonoid-rich foods, in particular those containing flavanols, have been observed to improve peripheral blood flow and surrogate markers of cardiovascular function in humans⁽⁵⁶⁾. In the context of the CNS, brain imaging studies in humans have demonstrated that the consumption of flavanol-rich cocoa may enhance cortical blood flow^(57,58). Increased cerebrovascular function, especially in the hippocampus, a brain region important for memory, may facilitate adult neurogenesis⁽⁵⁹⁾. Indeed, new hippocampal cells are clustered near blood vessels, proliferate in response to vascular growth factors and may influence memory⁽⁶⁰⁾. As well as</sup> new neuronal growth, increases in neuronal spine density and morphology are considered vital for learning and memory⁽⁶¹⁾. Changes in spine density, morphology and motility have been shown to occur with paradigms that induce synaptic, as well as altered sensory experience, and lead to alterations in synaptic connectivity and strength between neuronal partners, affecting the efficacy of synaptic communication. These events are mediated at the cellular and molecular level and are strongly correlated with memory and learning (Fig. 2).

The enhancement of both short-term and long-term memory is controlled at the molecular level⁽⁶²⁾. Whereas short-term memory involves covalent modifications of pre-existing proteins, long-term memory requires the synthesis of new mRNAs and proteins^(63,64). Four signalling pathways control this process: (i) cAMP-dependent protein kinase (protein kinase A), (ii) calcium-calmodulin kinases, (iii) protein kinase C, and (iv) mitogen-activated protein kinase (MAPK). All four pathways converge to signal to the cAMP-response element-binding protein (CREB), a transcription factor which binds to the promoter regions of many genes associated with memory and synaptic plasticity^(65,66) (Fig. 2). The importance



Fig. 2. Formation of stable long-term potentiation at synapses. (1) Increased expression and release of BDNF from the synapse through enhanced CREB activation. BDNF binds to pre- and post-synaptic TrkB receptors (2), triggering glutamate release and PI3K/mTOR signalling and Arc synthesis (3). Sustained activation of mTOR leads to enhanced translational efficiency whilst Arc, in association with Cofilin, triggers F-actin expansion and synapse growth (mushroom synapse) (4).

of CREB activation in the induction of long-lasting changes in plasticity and memory are highlighted by studies which show that disruption of CREB activity specifically blocks the formation of long-term memory⁽⁶⁷⁾, whereas agents that increase the amount or activity of CREB accelerate the process⁽⁶⁸⁾. Furthermore, robust CREB phosphorylation and CRE-reporter gene expression are detected in cortical neurons during developmental plasticity⁽⁶⁹⁾ and in hippocampal neurons in response to both LTP-inducing stimuli and memory training tasks^(70,71). There is considerable interest in identifying safe effective agents that enhance the activity of CREB, as these may lead to an improvement in memory.

Previous studies have suggested that phytochemicals, especially flavonoids, may exert cellular effects via direct modulation of protein and lipid kinase signalling pathways⁽¹⁴⁾. Interactions within the MAPK pathway are thought to be central to mediating the cellular effects of flavonoids such as those found in berries, tea and $cocoa^{(15,72)}$. For example, the flavanol, (-)-epicatechin, induces both ERK1/2 and CREB activation in cortical neurons and subsequently increases CREB regulated gene expression⁽⁷³⁾ (Fig. 1). Furthermore, another flavonoid, fisetin, has recently been shown to improve long-term potentiation (LTP) and memory through a CREB/ ERK mechanism⁽⁷⁴⁾. Thus, one potential mechanism action of flavonoids in modulating neuronal function, synaptic plasticity and synaptogenesis may proceed via signalling through CREB. If flavonoids are able to promote neuronal activation of CREB in vivo they may be capable of influencing the neuronal expression of a number of genes which contain cAMPresponse element (CRE) sequences in their promoter regions⁽⁷⁵⁾. Particular emphasis has been given to the regulation of $BDNF^{(76,77)}$, which has been implicated in synaptic plasticity and long-term memory⁽⁷⁸⁾ and is robustly induced in hippocampal neurons upon synaptic stimulation⁽⁷⁹⁾. BDNF belongs to the neurotrophin family of growth factors and affects the survival and function of neurons in the central nervous system. Its secretion from neurons is under activitydependent control and is crucial for the formation of appropriate synaptic connections during development and for learning and memory in adults⁽⁸⁰⁾. Decreases in BDNF and pro-BDNF have been reported in Alzheimer's disease^(81,82) and the importance of pro-BDNF has been emphasized by the finding that a polymorphism that replaces valine for methionine at position 66 of the pro-domain is associated with memory defects and abnormal hippocampal function in humans⁽⁸³⁾. In addition, genetic⁽⁸⁴⁾ as well as pharmacological inhibition⁽⁸⁵⁾ of BDNF or its receptor, tropomyosin receptor kinase B $(TrkB)^{(86)}$, impairs learning and memory. On the other hand, agents that increase in BDNF levels may lead to improvements in spatial working memory, in part through the regulation of protein translation via the mTOR signalling pathway⁽⁸⁷⁾ (Fig. 2). BDNF is known to bind to the TrkB receptor either pre- or post-synaptically causing activation of the PI3 kinase/Akt signalling pathway, the phosphorylation of mTOR at Ser²⁴⁴⁸, the phosphorylation of NMDA receptors and the release of neurotransmitters from pre-synaptic sites⁽⁸⁸⁾.

Many of the BDNF-regulated mRNAs in mature neurons encode proteins that function at synapses⁽⁷⁸⁾. One such protein which is associated with LTP is activity-regulated cytoskeletal-associated protein, Arc/Arg31, which has been proposed to be under regulatory control of both BDNF⁽⁸⁹⁾ and

the ERK signalling pathway⁽⁹⁰⁾. Sustained synthesis of Arc/ Arg3.1 during a protracted time-window is necessary to consolidate LTP, whilst translation of pre-existing Arc/ Arg3.1 mRNA contributes to early LTP expression and translation of new Arc/Arg3.1 mRNA mediates consolidation⁽⁹¹⁾. Increased Arc/Arg3.1 expression may facilitate changes in synaptic strength, and the induction of morphological changes, such as that observed when small spines are converted into large mushroom-shaped spines through a mechanism dependent on actin polymerization⁽⁹²⁾ (Fig. 2). Whether flavonoids are able to promote changes in neuronal morphology in vivo, via signalling through these pathways is currently unknown. However, the ability of flavonoids and their in vivo metabolites to exert effects on neuronal signalling cascades (dealt with in greater depth later in this review) suggest that they may be capable of inducing behavioural changes in memory, learning and cognitive performance through interactions with such pathways. In agreement with this, previous studies have indicated that certain flavonoids may influence neuronal dentrite outgrowth in vitro⁽⁹³⁾.

Inhibition of neuroinflammation

There is increasing evidence to suggest that neuroinflammatory processes may contribute to the cascade of events leading to the progressive neuronal damage observed in Parkinson's disease and Alzheimer's disease^(94,95), and also with the neuronal injury associated with stroke⁽⁹⁶⁾. In support of this, observations suggest that the use of non-steroidal anti-inflammatory drugs, such as ibuprofen, may delay or even prevent the onset of neurodegenerative disorders, such as Parkinson disease^(97,98). Activation of glial cells (astrocytes and microglia) plays a key role in the development of inflammatory neurodegeneration⁽⁹⁹⁾. Glial cells occupy the majority of the brain volume and play a key role in the maintenance of brain integrity and upon appropriate activation, glia respond to invading pathogens, eliminate cellular debris and promote cell repair and recovery^(100,101). However, excessive and chronic activation of glial cells may have harmful effects by triggering an inflammatory response that ultimately leads to progressive neuronal degeneration⁽¹⁰²⁾. Central to glial-induced neurotoxicity is the generation of NO via increases in the expression of iNOS (Fig. 3). The production of NO' by glial cells is mediated by iNOS, and excessive NO' may diffuse away from glial cells and induce neuronal cell damage by disrupting neuronal mitochondrial electron transport chain (ETC) function⁽¹⁰³⁾ (Fig. 3). In particular, NO may selectively inhibit mitochondrial respiration at cytochrome c oxidase (complex IV) resulting in a disruption of neuronal adenosine 5'-triphosphate (ATP) synthesis and an increased generation of ROS⁽¹⁰⁴⁾. Therefore, the uncontrolled activation of iNOS in glial cells is a critical step in inflammatory-mediated neurodegeneration. Inflammation is also characterised by increased cytokine production, such as IL-1 β and TNF- α , which also act to stimulate iNOS and NO production⁽¹⁰⁵⁾, and by the activation of NADPH oxidase which generates superoxide (O_2^-) and hydrogen peroxide $(H_2O_2)^{(106)}$.

Importantly, the transcriptional and post-transcriptional regulation of iNOS and cytokines in activated glial cells is dependent on signalling through pathways such as MAPK, specifically through activation of $ERK1/2^{(107-110)}$ (Fig. 4).

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Fig. 3. Involvement of activated glial cells in neuroinflammation-induced neurodegeneration. Central to glial-induced neurotoxicity is the generation of NO via increases in the expression of iNOS. iNOS itself is induced by the cell surface CD23 receptor which is in turn activated by cytokines such as TNF- α and IL-1 γ . NO may diffuse to neighbouring neurons where it inhibits mitochondrial respiration at cytochrome *c* oxidase. NO may also react with O₂⁻ to generate ONOO⁻ which can cause damage to proteins, inhibit mitochondrial respiration and activate cell death genes and signalling pathways which may ultimately lead to neuronal death. Furthermore, cytokines such as TNF- α may cause direct cell death by binding to specific receptors expressed in neurons and subsequently activate genes that trigger the apoptotic pathway.

These observations suggest that pharmacological control of such signalling pathways may be a useful tool in the prevention/treatment of neurodegenerative diseases through their ability to modulate glial cell activation. The MEK inhibitor PD98059, which has significant structural homology with flavonoids, has been shown to effectively block iNOS expression and generation of NO⁽¹⁰⁸⁾, suggesting that flavonoids may also be capable of exerting anti-inflammatory actions via inhibitory



Fig. 4. Structural homology of flavonoids with specific pathway inhibitors. Use of specific MAPK inhibitors such as SB203580 and PD98059 inhibit the transcriptional regulation of iNOS in activated glial cells. Interestingly, the structure of PD98059 and other kinase inhibitors have close structural homology to that of flavonoids. It is therefore possible that flavonoids may modulate neuroinflammation by interfering with cell signalling pathways such as MAPK.

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actions on MEK1 within the ERK signalling pathway (Fig. 4). Thus far, studies have indicated that flavanols^(111,112), flavones^(113–117), and flavonols⁽¹¹⁸⁾ are capable of inhibiting the release of NO' by activated microglia via the down-regulation of iNOS gene expression. However, it is not known if such effects are mediated by changes in signalling through ERK, or any other MAPK, thus further investigation is warranted. The modulation of glial signalling cascades and pro-inflammatory transcription factors as well as cytokines and NO' production may result in a suppression of neuroinflammation and ultimately to protection against neurodegeneration. It is plausible that the development of novel therapeutic agents or a cocktail of drugs that target neuroinflammation at various stages may act to reduce neurodegeneration and thus delay the progression of neuroidegenerative disease.

Modulation of neuronal function through interaction with signalling pathways

As mentioned above, flavonoids have been shown to exert neuronal effects through their interactions with a number of protein kinase and lipid kinase signalling cascades, such as the PI3 kinase (PI3K)/Akt, tyrosine kinase, protein kinase C (PKC) and mitogen-activated protein kinase (MAP kinase) signalling pathways^(15,72,119-123) (Fig. 1). Inhibitory or stimulatory actions at these pathways are likely to profoundly affect neuronal function by altering the phosphorylation state of target molecules and/or by modulating gene expression. Although selective inhibitory actions at these kinase cascades may be beneficial in cancer, proliferative diseases, inflammation and neurodegeneration they could be detrimental during development particularly in the immature nervous system where protein and lipid kinase signalling regulates survival, synaptogenesis and neurite outgrowth. In the mature brain, post-mitotic neurones utilise MAP kinase and PI3K cascades in the regulation of key functions such as synaptic plasticity and memory formation^(124,125) (Fig. 1), thus flavonoid interactions within these pathways could have unpredictable outcomes and will be dependent both on the cell type and disease studied.

Flavonoids have the potential to bind to the ATP-binding sites of a large number of proteins⁽¹²⁶⁾ including, mitochondrial ATPase⁽¹²⁷⁾, calcium plasma membrane ATPase⁽¹²⁸⁾, protein kinase A⁽¹²⁹⁾, protein kinase C^(122,130–133) and topoisomerase⁽¹³⁴⁾. In addition, interactions with the benzodiazepine binding sites of GABA_A receptors and with adenosine receptors^(135,136) have been reported. For example, the stilbene resveratrol and the citrus flavanones, hesperetin and naringenin, have been reported to have inhibitory activity at a number of protein kinases^(137–139). This inhibition is mediated via the binding of the polyphenols to the ATP binding site, presumably causing three-dimensional structural changes in the kinase leading to its inactivity. They may also interact directly with mitochondria, for example, by modulating the mitochondrial transition pore (mPT), which controls cytochrome *c* release during apoptosis^(140,141), or by modulating other mitochondrial associated pro-apoptotic factors such as DIABLO/smac^(142,143). Potential interactions with the mPT are especially interesting, as the transition pore possesses a benzodiazepine-binding site where flavonoids may bind^(135,136) and influence pore opening and cytochrome *c* release during apoptosis.

Flavonoids may also be capable of modulating glutamate excitotoxicity via direct scavenging of ROS or by the modulation of calcium influx. Abnormal influx of Ca²⁺ through AMPA-type glutamate receptors has been strongly implicated in neuronal death associated with a number of brain disorders through activation of Ca²⁺-dependent proteases, phospholipases and stress-activated kinases. Flavonoids may be capable of rendering heteromeric AMPA receptor assemblies Ca²⁺-impermeable by up-regulating GluR2 expression⁽⁷³⁾. Alternatively, flavonoids and their metabolites may prevent neuronal injury by scavenging of reactive intermediates such as superoxide and peroxynitrite derived from calcium mediated activation of xanthine oxidase and nitric oxide synthase, respectively. Lastly, modulation of signalling pathways and inhibition of calcium-activated kinases may also act to prevent excitotoxic death in neurons.

Interactions within the MAP kinase signalling cascade

Mitogen-activated protein kinases (MAPK) belong to the super-family of serine/threonine kinases and play a central role in transducing various extracellular signals into intracellular responses^(144,145). MAPK cascades are organised into three main levels of regulation: (1) a MAP kinase kinase kinase (MAPKKK), which phosphorylates and activates; (2) a MAP kinase kinase (MAPKKK), which in turn, phosphorylates and activates; (3) a MAP kinase (MAPK)^(144,146) (Fig. 5). The best characterised MAPK pathways are the mitogenic, extracellular signal-regulated protein kinase (ERK) pathway and the stress activated, c-Jun N-terminal kinase (JNK) (Fig. 6) and p38 cascades (Fig. 5). Once activated, ERK, JNK and p38 phosphorylate a number of cytosolic proteins and transcription factors resulting in the enhancement of their transcriptional activities and activation of dependent genes⁽¹⁴⁷⁾.

ERK and JNK are generally considered as having opposing actions, in particular in neuronal apoptosis⁽¹⁴⁸⁾. ERK1/2 are usually associated with pro-survival signalling⁽¹⁴⁹⁻¹⁵¹⁾ through mechanisms that may involve activation of the cAMP response element binding protein (CREB)^(150,152) (Fig. 5), the up-regulation of the anti-apoptotic protein Bcl-2 and non-transcriptional inhibition of BAD^(150,151). On the other hand, JNK has been strongly linked to transcriptiondependent apoptotic signalling^(153,154), through the activation of c-Jun⁽¹⁵⁵⁾ and other AP-1 proteins including JunB, JunD and ATF-2⁽¹⁵⁶⁾ (Fig. 5). Many investigations have indicated that flavonoids and their metabolites may interact selectively within the MAPK signalling pathways^(123,157). This modulation of MAPK signalling by flavonoids is significant as ERK1/2 and JNK are involved in growth factor induced mitogenesis, differentiation, apoptosis and various forms of cellular plasticity^(153,154,158–160).

Extracellular signal-regulated protein kinase (ERK) pathway. Although most investigations have centred on the potential of flavonoids to modulate the phosphorylation state of ERK1/ $2^{(15,16,72,161,162)}$, it is likely that their actions on this MAPK isoform result from effects on upstream kinases, such as MEK1 and MEK2 (Fig. 5), and potentially membrane receptors⁽¹⁶⁾. This appears likely as flavonoids have close



Fig. 5. Potential points of action of flavonoids within MAPK signalling cascades in neurons. Activation of ERK1/2 or ERK5 are generally pro-survival, whilst inhibitory actions on JNK and p38 pathways are also likely to be neuroprotective.

structural homology to specific inhibitors of ERK signalling, such as PD98059 (2'-amino-3'-methoxyflavone) (Fig. 4). PD98059 is a flavone that has been shown to act in vivo as a highly selective non-competitive inhibitor of MEK1 activation and the MAP kinase cascade⁽¹⁶³⁻¹⁶⁶⁾</sup>. PD98059 acts via its</sup>ability to bind to the inactive forms of MEK so preventing its activation by upstream activators such as c-Raf $^{(165)}$. This raises the possibility that flavonoids, and their metabolites, may also act on this pathway in a similar manner. In support of this, the flavonol quercetin, and to a lesser extent its O-methylated metabolites have been shown to induce neuronal apoptosis via a mechanism involving the inhibition of ERK, rather than by induction of pro-apoptotic signalling through JNK⁽¹⁵⁾. The potent inhibition of ERK activation, and indeed Akt/PKB phosphorylation, was also accompanied by downstream activation of BAD and a subsequent strong activation of caspase-3.

On the other hand, some flavonoids have been observed to exert a stimulatory effect on ERK1/2. For example, the flavan-3-ol, (-)-epicatechin, and one of its metabolites, 3'-O-methyl-(-)-epicatechin, have been shown to stimulate phosphorylation of ERK1/2 and the downstream transcription factor CREB at physiologically relevant concentrations⁽⁷³⁾. Interestingly, this activation of the ERK pathway was no longer apparent at higher concentrations suggesting that effects on this pathway are concentration specific. Furthermore, stimulation of the ERK1/2 and CREB was not observed with (-)-epicatechin-5-O- β -D-glucuronide suggesting that effects on the ERK pathway may be dependent on cell or membrane permeability, as has been previously reported⁽²⁸⁾. In support of these observations, the protective action of another flavanol, EGCG, against 6-hydroxy dopamine toxicity and serum deprivation has been shown to involve the restoration of both protein kinase C and ERK1/2 activities^(167,168).

One explanation for the concentration-specific regulation of the ERK pathway, and indeed other MAP kinase cascades (JNK and p38), may be related to the ability of flavonoids to exert high affinity receptor agonist-like actions at low concentrations and direct enzyme inhibition at higher concen-trations^(121,169), or by inducing receptor desensitization. The identity of the primary flavonoid interacting sites in neurons is unknown and could be either at the cell surface or intracellular, although the ERK and PI3K dependence to CREB phosphorylation is reminiscent of ionotropic receptor signalling⁽¹⁷⁰⁾. Receptors reported to act as flavonoid-binding sites, that are present in cortical neurons, are adenosine⁽¹⁷¹⁾ and GABA_A receptors^(172,173)</sup>. However, a specific plasma mem-</sup> brane binding site for polyphenols has recently been described in rat brain⁽¹⁷⁴⁾. In addition, monomeric and dimeric flavanols show nanomolar affinity and efficacy at testosterone receptors⁽¹⁷⁵⁾ and resveratrol rapidly activates ERK signalling through alpha and beta oestrogen receptors⁽¹⁷⁶⁾. Collectively, this raises the possibility that flavonoids may act on the ERK pathway via acting through steroid-like receptors in



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Fig. 6. Potential points of action of flavonoids within PI3K/Akt signalling pathway. Active PI3K catalyzes the production of phosphatidylinositol-3,4,5-triphosphate (PIP₃) which activates phosphoinositide-dependent protein kinase 1 and 2 (PDK1 and PDK2) and Akt. Through its effects on these kinases, PI3K is involved in the regulation of a wide variety of processes, including cell growth, cell proliferation, differentiation, cell cycle entry, cell migration and apoptosis. Flavonoids have been proposed to act on this pathway via direct modulation of PI3K activity via binding to its ATP binding pocket, in a similar manner to that of LY294002. Alternatively, they may act to modulate the activity of the tumour suppressor, PTEN.

neurons to modulate ERK and CREB-mediated gene expression.

In addition to a receptor-mediated mechanism, it is equally plausibly that changes in ERK activation and related

transcription factors (i.e. CREB) may result from flavonoidinduced modulation of phosphatase activity. Phosphatases act in opposition to kinases by de-phosphorylating specific kinases and in the process either activate or de-activate them. Consequently, phosphatases are integral to many signalling pathways. Because ERK and other MAPK require both Thr and Tyr phosphorylation for full activity, dual specificity phosphatases (DSPs) that de-phosphorylate both sites are uniquely positioned to regulate MAPK signal transduction cascades. At least nine DSPs, also termed MAPK phosphatases (MKPs), have been identified in mammalian cells⁽¹⁷⁷⁾. DSPs frequently associated with ERK inactivation include MKP3, MKP4, and phosphatase of activated cells 1 (PAC1), although MKP3 (also termed PYST1) is probably the best studied and the most specific for ERK1/2 versus other $MAPK^{(178)}$. The finding that multiple phosphatases inactivate the ERK pathway suggests that the duration and extent of ERK activation is controlled by a balance of the activities of upstream MAPKK, such as MEK1, and phosphatases, such MKP3. Although there has been intense interest in the ability of flavonoids to modulate kinases, thus far there is no indication that they may affect signalling pathways via a modulation of phosphatase activity. If flavonoids are capable of interacting with phosphatases, such as MKP3, then this is likely to have a dramatic effect on the activation states of important kinases like ERK1/2. Future investigations in this area should consider the potential of flavonoids to inhibit, or activate phosphatases, the concentration-dependency of these effects and the mechanism by which they do so.

Stress-activated protein kinases: c-Jun-N-terminal kinase (JNK) and p38. There is strong evidence linking the activation of JNK to neuronal loss in response to a wide array of pro-apoptotic stimuli in both developmental and degenerative death signalling^(153,156,179). The activation of the JNK pathway and the death of specific neuronal populations is crucial during early brain development⁽¹⁸⁰⁾. As with the other MAP kinases, the core signalling unit is composed of a MAPKKK, typically MEKK1-4, which phosphorylate and activate MKK4-7, which then phosphorylate and activate the JNK^(179,181) (Fig. 5). Another MAPKKK, apoptosis signal-regulating kinase 1 (ASK1), also plays an essential role in stressinduced apoptosis (182,183). ASK1 can be activated in response to a variety of stress-related stimuli, including oxidative stress and activates MKK4, which in turn activates JNK (Fig. 5) and indeed p38⁽¹⁸⁴⁾. Overexpression of ASK1 has been shown to induce the activation of both JNK and p38 and lead to apoptosis via signals involving the mitochondrial cell death pathway(180,182)

Investigation has indicated that oxidative-induced activation of caspase-3 in neurons is blocked by flavonoids, providing compelling evidence in support of a potent anti-apoptotic action of flavonoids in these cells^(28,72,185). The flavanols, epicatechin and 3'-O-methyl-epicatechin have been shown to protect neurons against oxidative damage via a mechanism involving the suppression of JNK, and downstream partners, c-Jun and pro-caspase- $3^{(72,186)}$. Similarly, the flavone, baicalein, has been shown to significantly inhibit 6-hydroxydopamine-induced JNK activation and neuronal cell death and quercetin may suppress JNK activity and apoptosis induced by hydrogen peroxide^(187,188), 4-hydroxy-2-nonenal⁽¹⁸⁹⁾ and tumour necrosis factor-alpha (TNF-alpha)⁽¹⁵⁷⁾. There are a number of potential sites where flavonoids may interact with the JNK pathway. For instance, flavonoid-mediated inhibition of oxidative stress-induced apoptosis may occur by preventing the activation of JNK by influencing one of the many upstream MAPKKK activating proteins that transduce signals to JNK (Fig. 5). For example, their ability to inhibit JNK activation may proceed via flavonoid-induced modulation of the ASK1 phosphorylation state, and its association with 14-3-3 protein, which is essential for suppression of cellular apoptosis⁽¹⁹⁰⁾. Other potential mechanisms include an ability to preserve Ca²⁺ homeostasis, thereby preventing Ca²⁺dependent activation of JNK^(72,179) or an attenuation of the pro-apoptotic signalling cascade lying downstream of JNK.

Another potential site of action may be specific redox-sensitive motifs, notably cysteine residues, similar to those reported for JNK⁽¹⁹¹⁾. JNK redox regulation has been proposed to proceed through its binding to redox sensitive proteins such as glutathione-*S*-transferase (GST)^(192–194). It has been shown that under unstressed conditions, JNK is associated with GST resulting in the inhibition of JNK activity, but that JNK dissociates from GST following UV or oxidative stress^(192,195). Flavonoids also may act to inhibit JNK activity, and possibly other MAPKs, via the nucleophilic addition of flavonoid-*o*-quinones, formed during the intracellular oxidation of flavonoids^(27,196), to cysteine residues on JNK.

PI3 kinase signalling pathway

In addition to MAPK pathway, flavonoids have been shown to modulate signalling through the serine/threonine kinase, Akt/ PKB, one of the main downstream effectors of PI3K, a pivotal kinase in neuronal survival^(197–200) (Fig. 6). Active PI3K catalyzes the production of phosphatidylinositol-3,4,5-triphosphate (PIP₃) by phosphorylating phosphatidylinositol (PI), phosphatidylinositol-4-phosphate (PIP) and phosphatidylinositol-4,5-bisphosphate (PIP2). PIP₃ may then activate phosphoinositide-dependent protein kinase 1 (PDK1), which plays a central role in many signal transduction pathways^(201,202) activating Akt and the PKC isoenzymes p70 S6 kinase and RSK⁽²⁰³⁾. Through its effects on these kinases, PDK1 is involved in the regulation of a wide variety of processes, including cell growth, cell proliferation, differentiation, cell cycle entry, cell migration and apoptosis⁽²⁰¹⁾. One of the most important targets of PI3K and PDK1 is Akt (also known as Protein Kinase B), as this kinase plays a critical role in controlling cellular survival and apoptosis⁽²⁰⁴⁻²⁰⁶⁾ (Fig. 6). Akt promotes cell survival by inhibiting apoptosis through its ability to phosphorylate and inactivate several important targets, including $Bad^{(207)}$, Forkhead transcription factors^(208,209) and caspase-9⁽²⁰⁵⁾. Indeed, activation of Akt/ PKB in neurons has been shown to lead to an inhibition of proteins central to neuronal death machinery, such as the pro-apoptotic Bcl-2 family member, Bad⁽²¹⁰⁾, and members of the caspase family^(150,197) that specifically cleave poly(ADP-ribose) polymerase^(197,198), thus promoting cell survival. Akt is activated by phospholipid binding and activation loop phosphorylation at Thr³⁰⁸ by PDK1⁽²¹¹⁾ and by phosphorylation within the carboxy terminus at Ser⁴⁷³ and the activation of Akt is a pro-survival event in many cell types due to its ability to inactivate Bad via phosphorylation at Ser^{136(212,213)}.

There is good evidence that flavonoids inhibit PI3K via direct interactions with its ATP binding site. Indeed, a number of studies have demonstrated that the structure of flavonoids determines whether or not they act as potent inhibitors of PI3K^(121,214). One of the most selective PI3K inhibitors available, LY294002 (Fig. 4), was modelled on the structure of quercetin^(119,120). LY294002 and quercetin fit into the ATP binding pocket of the enzyme although with surprisingly different orientations⁽¹⁶⁹⁾</sup>. It appears that the number and substitution of hydroxyl groups on the B-ring and the degree of un-saturation of the C2-C3 bond are important determinants of this particular bioactivity. Interestingly in this regard quercetin and some of its in vivo metabolites inhibit pro-survival Akt/PKB signalling pathways⁽¹⁵⁾ by a mechanism of action consistent with quercetin and its metabolites acting at and inhibiting PI3K activity. Prior to inducing measurable losses of neuronal viability, quercetin stimulates a strong inhibition of basal Akt phosphorylation at both the regulatory serine⁴⁷³ and catalytic threonine³⁰⁸ sites, rendering it inactive. The inhibition of Akt/ PKB phosphorylation in this way may reflect potential inhibition of its upstream partner PI3K, as has previously been described⁽¹¹⁹⁾. If Akt/PKB inhibition is sustained, which has been reported to occur during neuronal exposure to quercetin, this leads to extensive caspase-3 activation and subsequent caspase-dependent cleavage of Akt/PKB, an event that effectively 'switches off' a major survival signal and results in the acceleration of apoptotic death⁽¹⁵⁾. However, at lower concentrations, quercetin has also been shown to trigger CREB activation in neurons indicating that exposure concentration is pivotal in determining either pro-apoptotic or anti-apoptotic effects⁽¹⁵⁾. Indeed, low concentrations of quercetin, may activate the MAPK pathway (ERK2, JNK1 and p38) leading to expression of survival genes (c-Fos, c-Jun) and defensive genes (Phase II detoxifying enzymes; glutathione-S-transferase, quinone reductase) resulting in survival and protective mechanisms (homeostasis response), whereas high concentrations stimulate pro-apoptotic pathways and caspase activation⁽¹²³⁾.

Another potential mechanism by which flavonoids may modulate the PI3 kinase/Akt signalling pathway is by their ability to modulate the expression or activity of PTEN (phosphatase and tensin homologue deleted on chromosome ten), also referred to as MMAC (mutated in multiple advanced cancers) phosphatase⁽²¹⁵⁻²¹⁷⁾. PTEN is a tumour suppressor implicated in a wide variety of human cancers⁽²¹⁸⁾ and the main substrates of PTEN are inositol phospholipids generated by the activation of the PI3K⁽²¹⁹⁾. PTEN acts a major negative regulator of the PI3K/Akt signalling pathway^(218,220) and thus a modulation of its expression or activation by flavonoids will have a profound effect of cellular function (Fig. 6). For example, if flavonoids are capable of inhibiting PTEN in cancer cells this may lead to an increase in cancer cell proliferation and tumour growth. On the other hand, its activation in post-mitotic cells, such as neurons, may have a positive effect by increasing Akt and CREB activity leading to a promotion of neuronal survival and synaptic plasticity (Fig. 2).

The Nrf-Keap1/ARE pathway and interactions with MAP kinase and PI3 kinase

The regulation of γ -GCS and other detoxification proteins, such as glutathione peroxidase, has been shown to involve

the transcription factor NF-E2-related factor 2, Nrf2^(221,222) and $Nrf1^{(223,224)}$. Nrf2 is known to regulate the gene expression of phase II detoxification enzymes and antioxidant proteins through an enhancer sequence referred to as the 'electrophile responsive element' or 'antioxidant responsive element' (EpRE/ARE)^(225,226). Deficiencies in Nrf1 and Nrf2 have been found to largely abolish the constitutive and/or inducible expression of defence enzyme genes in response to oxidative and xenobiotic stress^(227,228). Recent reports have suggested that signalling through Nrf2 is involved in HO-1 induction by polyphenols such as epigallocatechin-3-gallate⁽²²⁹⁾, whilst sulforaphane and curcumin have been shown to exert anti-inflammatory and anti-carcinogenic effects through activation of Nrf2 and subsequent up-regulation of gastrointestinal GPx⁽²³⁰⁾. The protective effects of the isoflavone, genistein has also been shown to depend primarily on the activation of glutathione peroxidase mediated by Nrf1 activation⁽²³¹⁾. However, there is limited information regarding the effects of flavonoids on Nrf1 and Nrf2 activation or whether their ability to modulate this important signalling pathway mediates their cellular beneficial effects.

The actin-binding protein, Keap1, has been identified as a docking site for Nrf2 that is responsible for sequestering Nrf2 in the cytoplasmic compartment of unstressed cells⁽²³²⁾. The association between the two proteins is between the C-terminal DGR domain of Keap1 and the N-terminal Neh2 domain of Nrf2. Although the exact mechanism of cytosolic sequestration of Nrf1 is unknown, it is also known to contain a Neh2 domain, and therefore it too may interact with Keap1 *in vivo*⁽²³³⁾. One

Nrf2

Keap1

Cytoplasm

Keap1

way in which flavnoids may induce Nrf1 and Nrf2 are via the rapid but non-toxic increases in intracellular oxidative species as has been suggested for 3',4',5',3,4,5-hexamethoxy-chalcone⁽²³⁴⁾. Increases in reactive oxygen species may induce the disruption of the Keap1/Nrf2 complex through oxidation of Cys₂₇₃ and Cys₂₈₈ residues on Keap1. Cellular uptake and metabolism of flavonoids has been shown to lead to the formation of intracellular flavonoid-o-quinone species^(27,196,231). which increase intracellular oxidative stress, or alternatively may react directly with Cys_{273} and Cys_{288} and/or other cysteine residues located on Keap1^(226,235). Interactions with cysteinyl residues would be expected to trigger the release Nrf1/Nrf2 from Keap1, so allowing their phosphorylation and translocation to the nucleus, where they may interact with the electrophile response element. Future studies are required to determine the precise nature of interactions between intracellular flavonoid metabolites, such as o-quinones, and the Keap1/Nrf1 complex.

Besides the direct oxidation or covalent modification of thiol groups of Keap1, the Nrf2-Keap1-ARE signalling can be modulated by post-transcriptional modification of Nrf2. Three major signal transduction pathways have been implicated in the regulation of ARE/EpRE motifs and nuclear translocation of Nrf2. These are the MAPK cascade, protein kinase C (PKC) and PI3 kinase^(236–238) (Fig. 7). For example, the activation of ERK and JNK has been shown to induce ARE-mediated gene expression via the recruitment of a co-activator to the transcription initiation complex and an increase in Nrf2 transcriptional activity, whereas, p38 had the opposite effect^(239,240). Furthermore, PI3 kinase also



ROS

ERK

Nrf2

Transcription of antioxidative and cytoprotective genes p38

CREB c-JUN

ATF4 c-FOS bZIP

Nrf2

bZIP

Nucleus

ЫЗК

Nrf2

Maf K

Maf G Maf F

Small Mafs

Mafs

Nrf2

ARE

appears to be involved in Nrf2 activation in cells exposed to peroxynitrite⁽²⁴¹⁾ and hemin⁽²⁴²⁾. In response to oxidative stress, the activation of signalling cascades mediated by PI3K results in de-polymerization of actin microfilaments thereby facilitating Nrf2 translocation to the nucleus⁽²⁴¹⁾. As flavonoids are known to modulate MAP kinase and PI3 kinase/Akt pathways (outlined above), it is highly likely that they will also affect the activation and nuclear translocation of Nrf1 and/or Nrf2 indirectly through the modulation of these upstream pathways (Fig. 7).

Conclusions

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Evidence suggests that dietary phytochemicals, in particular flavonoids, may exert beneficial effects in the CNS by protecting neurons against stress induced injury, by suppressing the activation of microglia and astrocytes, which mediate neuroinflammation, and by promoting synaptic plasticity, memory and cognitive function. Evidence also supports the localization of flavonoids within the brain, thus these phytochemicals may be regarded as a potential neuroprotective, neuromodulatory or anti-neuroinflammatory agents. It appears highly likely that such beneficial properties are mediated by their abilities to interact with both protein and lipid kinase signalling cascades, rather then via their potential to act as classical antioxidants. The concentrations of flavonoids encountered in vivo are sufficiently high to exert pharmacological activity at receptors, kinases and transcription factors. Presently the precise sites of action are unknown, although it is likely that their activity depends on their ability to (1) bind to ATP sites on enzymes and receptors; (2) modulate the activity of kinases directly, i.e. MAPKKK, MAPKK or MAPK; (3) affect the function of important phosphatases, which act in opposition to kinases; (4) preserve Ca^{2+} homeostasis, thereby preventing Ca^{2+} dependent activation of kinases in neurons; and (5) modulate signalling cascades lying downstream of kinases, i.e. transcription factor activation and binding to promoter sequences.

However, at present, more information is required in order to understand the precise cellular site(s) of action of flavonoids. For instance, it is still unclear whether flavonoid action requires cellular uptake or if they are capable of mediating effects via extracellular receptor binding. Presently, there is no certainty either way, although flavonoid glucuronides, which are unable to enter cells to any significant degree, do not appear to express cellular effects. This may suggest a requirement for cytosolic localisation, although it could equally signify that the conjugation of flavonoids with glucuronide or sulphate moieties blocks receptor binding and therefore their cellular activity. It seems likely that the inhibition of Akt is almost certainly mediated via actions at PI3K, thus requiring cellular uptake. However, actions at ERK1/2 could result from either flavonoid modulation of upstream regulatory kinases or by binding directly to receptors. The challenge now is to determine the precise site(s) of action of flavonoids within the signalling pathways and the sequence of events that allow them to regulate neuronal function in the central nervous system.

Ultimately actions within these neuronal signalling cascades may be beneficial or negative in the context of the brain. For example, whilst they may be positive in the treatment of proliferative diseases, they could be detrimental to the nervous system, at least at high concentrations, where these same pathways act to control neuronal survival and synaptic plasticity. Thus, flavonoid interactions with intracellular signalling pathways could have unpredictable outcomes and will be dependent on the cell type (i.e. neurons, astrocytes, microglia, oligodendrocytes), the disease studied and the stimulus applied. In summary, it is evident that flavonoids are potent bioactive molecules and a clear understanding of their mechanisms of action as modulators of cell signalling will be crucial in the evaluation of their potential to act as inhibitors of neurodegeneration or as modulators of brain function.

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