

# *Environmental shielding is contrast preservation\**

**Juliet Stanton**

New York University

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The term ‘environmental shielding’ has been used to refer to a class of processes in which the phonetic realisation of a nasal stop depends on its vocalic context. In Chiriguano, for example, nasal consonants are realised as such before nasal vowels (/mã/ → [mã]), but acquire an oral release before oral vowels (/ma/ → [mba]). Herbert (1986) claims that shielding protects a contrast between oral and nasal vowels: if Chiriguano /ma/ were realised as [ma], [a] would likely carry some degree of nasal coarticulation, and be less distinct from nasal /ã/. This article provides new arguments for Herbert’s position, drawn from a large typological study of South American languages. I argue that environmental shielding is contrast preservation, and that any successful analysis of shielding must make explicit reference to contrast. These results contribute to a growing body of evidence that constraints on contrast are an essential component of phonological theory.

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## 1 Introduction

The hypothesis that constraints on contrast are a necessary component of the phonological grammar (Flemming 2002) has received a significant amount of support. Recent work has argued that appealing to constraints on contrast leads to desirable results, including the ability to accurately predict contextual restrictions on various segment types by taking into consideration the perceptibility of contrasts that they enter into in different contexts (e.g. Steriade 1997, Flemming 2004), the potential to achieve a unified explanation of certain types of co-occurrence restrictions that otherwise appear contradictory (e.g. Gallagher 2010), and the potential to explain certain apparently opaque generalisations, e.g. vowel chain shifts in Finnish (Łubowicz 2012; see also Sanders 2003).

The present article contributes to this growing body of research by presenting a novel set of empirical arguments that constraints on contrast are

\* E-mail: [STANTON@NYU.EDU](mailto:STANTON@NYU.EDU).

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an essential part of the speaker's phonological grammar. The arguments come from the typology of environmental shielding (hereafter just SHIELDING), as established through a large-scale survey of South American languages (described in §2). In languages that exhibit shielding, the phonetic realisation of a nasal consonant depends on its local vocalic context. In Karitiâna (Tupí), for example, where vocalic nasality is contrastive (e.g. [opi] 'earring' vs. [opĩ] 'to cut'; Storto 1999: 14), a nasal consonant acquires a brief oral phase at any position in which it is directly adjacent to an oral vowel, as in (1) (from Storto 1999: 25–26).<sup>1</sup>

(1) *Shielding in Karitiâna*

/m/ → [mb] / $\tilde{V}$ __V, #__V	e.g. /ãmo/ → [ãmbo]	'to climb'
[bm] / V__#, V__ $\tilde{V}$	/kam/ → [kabm̃]	'now'
[bmb] / V__V	/apimik/ → [apibmbik̃]	'to pierce'
[m] elsewhere	/ãmãŋ/ → [ãmãŋ̃]	'to plant'

To understand what motivates the alternations in (1), consider the alternative: if a pure nasal consonant were realised as such before an oral vowel, e.g. /ma/ → [ma], the oral vowel would likely have some degree of perseveratory nasal coarticulation (see Everett 2007: 140–142 on variation between shielding and vowel nasalisation in Karitiâna). Since a major perceptual cue to the contrast between oral and nasal vowels is a difference in the duration of acoustic nasality (see Whalen & Beddor 1989), nasalisation of an oral vowel in a given context presumably reduces the perceptibility of the contrast between it and a nasal vowel in that same context. Shielding, which involves raising of the velum prior to the onset of the oral vowel, prevents coarticulatory nasalisation from occurring. When shielding occurs, then, the contrast between oral and nasal vowels is rendered maximally distinct.

The hypothesis that shielding preserves contrasts in vocalic nasality is due to Herbert (1986), and has since been adopted by many others (e.g. Steriade 1993a: 448, Ladefoged & Maddieson 1996: 103–106, Flemming 2004: 256–258, Wetzels 2008). In what follows, I formalise a contrast-based analysis of shielding in Dispersion Theory (Flemming 2002), identify several of its predictions and show that they are borne out. For example, if shielding is a strategy to preserve contrasts in vocalic nasality, then it should only be attested in languages that license a contrast in vocalic nasality. §2 presents results of a large typological survey that verify this prediction. In addition, the contrast-based analysis predicts that if a language exhibits shielding in a context where the contrast between oral and nasal vowels is relatively distinct, it should exhibit shielding in all contexts where the contrast is less distinct; §3 shows that this prediction, too, is correct (to the extent that contextual asymmetries in the perceptibility of nasality can be indirectly quantified). §4 shows that the contrast-based

<sup>1</sup> The exact allophones produced by shielding are to some extent speaker-dependent (see Storto 1999: 20, Everett 2007).

analysis developed in this article makes correct predictions, beyond the typology of shielding, about the larger typology of vowel-nasalisation contrasts. In §5 I discuss three potential alternative analyses that do not explicitly reference contrast, and show that they face problems in accounting for the generalisations presented here. Given the lack of a clear alternative, I conclude that environmental shielding is contrast preservation: alternations like those in (1) occur to preserve contrasts in vocalic nasality. More broadly, contrast and the constraints that reference it are essential components of the phonological grammar.

Readers may wish to note that while the generalisations established here are based on a large number of languages (422), this sample is not geographically balanced. The survey of 324 languages reported in §2 is composed entirely of languages indigenous to South America; the smaller survey of 98 reported in §5 is composed mainly of languages whose grammars are on the shelves at the Hayden Library at MIT, and have call numbers in the PL5000–PM7875 range. The decision to restrict the survey in §2 to South American languages was due to a desire to conduct a large survey that would include as many languages with shielding as possible, as well as a suspicion, based on pre-existing literature, that South American languages would provide such a sample. The decision to restrict the survey in §5 to PL5000–PM7875 was essentially arbitrary: surveying all grammars in the library would have taken an extraordinary amount of time, and this region houses the highest concentration of modern descriptive grammars in the library.

Narrowly, then, the conclusions drawn here hold only for the collection of languages under investigation in this study. While I expect that further work would support this study's implicit prediction that all generalisations established here are universal, this has yet to be verified.

## **2 The typology of shielding**

This section addresses a basic prediction of a contrast-based approach to shielding: that shielding should occur only in languages that license a contrast in vocalic nasality. §2.1 presents the results of a large typological study suggesting that this prediction is correct, and discusses a couple of apparent counterexamples. §2.2 lays out what the successful criteria for an analysis of the typology are, and formalises an analysis in Dispersion Theory (Flemming 2002).

### **2.1 Survey methodology and results**

The contrast-based approach to shielding sketched above makes a basic prediction: if shielding occurs to protect a contrast in vocalic nasality, it should be found only in languages that license this contrast. In other words, while the Karitiâna pattern is predicted by a contrast-based analysis, we do not expect Karitiâna' in (2), where there is no contrast in

vocalic nasality, but nasals are realised as (partially) oral consonants when adjacent to oral vowels.<sup>2</sup>

(2) *Karitiâna'*: shielding with no contrast in vocalic nasality

/m/ → [mb] / # __ V	e.g. /ma/ → [mba]	*[mã]
[bm] / V __ #	/am/ → [abm]	*[ãm]
[bmb] / V __ V	/ama/ → [abmba]	*[ãmã]

Herbert (1986: 219–220), following Haudricourt (1970), claims that this prediction is correct: shielding processes ‘are perceptually conditioned and never obtain in languages which do not oppose nasal and non-nasal vowels’. To test the prediction more thoroughly, I surveyed languages in the South American Phonological Inventory Database. As of November 2016, when the survey was conducted, inventories and references for 363 languages (hailing from 76 different language families, including 36 isolates) were included in the database. Of these, I was able to locate at least one of the cited sources for 324 languages. These languages were divided into four groups, according to two parameters: whether or not they license a contrast in vocalic nasality, and whether or not they exhibit shielding. The criteria used to classify each language along both of these parameters are described below.

(i) *Does a language license a contrast in vocalic nasality?* Whether or not a language licenses a contrast in vocalic nasality was primarily determined by consulting the inventory in the source, as well as any additional discussion regarding the role of vocalic nasality in the language’s phonology. Of the 149 systems in which nasality was claimed to be lexically contrastive, for 81 I was able to verify this claim by locating minimal or near-minimal pairs. For 62, (near-)minimal pairs were not easy to find, but I was able to locate at least one example of a nasal vowel transcribed in a non-nasal consonantal environment (e.g. forms like [kã]). For the remaining six systems, additional evidence of this sort was difficult to find, due to a lack of data in the description. For information on what kind of additional evidence was available for which language, see the online appendices.<sup>3</sup>

(ii) *Does a language exhibit shielding?* Whether or not a language exhibits shielding was determined by examining the allophonic realisations of its nasal consonants. A language has shielding if its nasal consonants (e.g. [n]) appear as oral (e.g. [d]) or partially oral (e.g. [nd]) voiced stops when directly adjacent to an oral vowel. In the minority of cases where spectrograms were available, I used these to confirm the author’s description –

<sup>2</sup> Languages that lack nasals, e.g. Pawnee (Parks 1976), could be treated as cases where shielding applies in all contexts. But without any evidence to indicate that all surface oral stops are derived from underlying nasals, it is simpler to state that these languages lack nasals. Evidence that shielding exists in a language without a contrast in vocalic nasality could come from (i) variability in the output, (ii) a contextual restriction on shielding or (iii) the preservation of [+nasal] in some or all allophones that the shielding process produces (as in (2)).

<sup>3</sup> Available as supplementary materials at <https://doi.org/10.1017/S0952675717000379>.

the spectrograms for Krenak nasals (Pessoa 2012: 92–97), for example, are consistent with the presence of shielding; the spectrograms for Shipibo nasals (Elías-Ulloa 2010: 160–165) are consistent with its absence.

A note is necessary here regarding the relationship between [+nasal] spreading and shielding. In a language that licenses a contrast in vocalic nasality and exhibits complementary distribution between nasal and oral stops according to the nasal *vs.* oral quality of the surrounding vowels ([mã] and [ba], but \*[ma] and \*[bã]), there are two possible analyses. In the first, the nasal stop is an allophone of the oral stop, conditioned by a following [+nasal] vowel (/b/ → [m] / \_\_ [+nasal]). In the second, the oral stop is an allophone of the nasal stop, conditioned by a following [–nasal] vowel (/m/ → [b] / \_\_ [–nasal]). This article is concerned with cases of the latter variety, where a nasal stop licenses an oral allophone when adjacent to an oral vowel. In languages where the nasal and oral allophones are in complementary distribution, however, it can be impossible to determine which of the above analyses is correct, as explicitly noted by some authors (e.g. Cathcart 1979: 11 on Kakua). The survey takes an inclusive approach towards what counts as ‘shielding’, providing as many chances as possible for the contrast-based hypothesis to be falsified. A language counts as having shielding, or exhibiting variation between a nasal and its oral allophone conditioned by a neighbouring vowel, in all cases where this is a plausible interpretation of the data.<sup>4</sup> Thus languages like Kakua, where it is unclear if the alternations are due to shielding or to nasal harmony, ‘have shielding’. By contrast, cases where it is more likely that the alternations are due to [+nasal] harmony do not count as having shielding, as is clear for Desano (Tucanoan; Silva 2012), for example, where [+nasal] harmony targets all segments except voiceless stops (e.g. [pĩrũ] ‘snake’, [nã<sup>h</sup>kũ] ‘forest’; Silva 2012: 74). All ‘shielding’ languages for which there is a question of analysis are identified as such in Appendix B; all ‘non-shielding’ languages that display allophonic variation (due to [+nasal] harmony) in Appendix C.

Note that even if the use of these criteria has led to a misclassification of a language as ‘having shielding’ when it does not, or *vice versa*, this does not affect the generalisations drawn here. The prediction explored here is that ‘if a language displays shielding, it must license a contrast in vocalic nasality’. All languages for which there is a question of analysis license a contrast in vocalic nasality; the theory does not predict whether or not they should exhibit shielding.

As shown in Table I, with three potential exceptions, all languages with shielding license a contrast in vocalic nasality (a V– $\tilde{V}$  contrast). For more information on the 66 languages that exhibit shielding and a V– $\tilde{V}$  contrast, see Appendices A and B. For a list of the remaining 255, see Appendix C.

As is clear from Table I, the prediction of the contrast-based approach is largely borne out. In the following subsections I argue that the three

<sup>4</sup> I do not consider cases where the allophonic variation is very clearly due to the influence of a neighbouring consonant. In Palikür (Launey 2003), for example, stem-final stops are realised as nasal when a nasal-initial suffix is added.

	shielding	no shielding
no V- $\tilde{V}$ contrast	3 languages e.g. Umotína (Schultz 1952)	172 languages e.g. Shipibo (Elias-Ulloa 2010)
V- $\tilde{V}$ contrast	66 languages e.g. Karitiána (Storto 1999)	83 languages e.g. Urarina (Olawsky 2006)

*Table I*

Results from the shielding survey.

apparent counterexamples – attested in Umotína and two dialects of Ese Ejja – are only apparent. For discussion of other apparent counterexamples that fall outside of the range of languages surveyed here, see §6.2.

**2.1.1 *Shielding in Umotína.*** Umotína (Macro-Ge; Lima 1995), which does not license a contrast in vocalic nasality, exhibits variation between [m] and [b] in the form [iremo'to] ~ [irebo'to] 'I find'. Lima (1995: 43) writes that 'although the fluctuation is extremely restricted and [b] is widely represented in the corpus, [she] decided to consider [b] an allophone of /m/'. Lima notes that this analysis lines up with the observations of Schultz (1952: 86), who writes that 'all of the 'm's and 'b's vary between a definitive pronunciation of 'm' and 'b', depending on the individual in question'.<sup>5</sup>

Further discussion by Lima (1995: 43) suggests it may be possible to predict the distribution of [m] and [b] by appealing to the [±syllabic] value of the following segment: [b] appears before [-syllabic] /w/ and /j/, and [m] appears before all vowels. If this is correct, there is an analysis available under which the [m ~ b] variation is conditioned by syllabic position. Under this analysis, the [m–b] contrast is neutralised in all positions, with [m] as the default allomorph that appears before vowels (perhaps to maximise the contrast with voiceless /p/). In onset consonant–glide clusters, however, /m/ is realised as [b], perhaps in order to maximise the cluster-internal sonority rise (see Zec 2007: 188–189 on minimal sonority distance in clusters). As shielding is variation between voiced stops and nasals depending on the quality of a neighbouring vowel, Umotína (where variation is conditioned by the syllabicity of the following segment) does not exhibit shielding.

**2.1.2 *Shielding in Ese Ejja.*** The remaining two systems that exhibit shielding despite not allowing a contrast in vocalic nasality are both dialects of Ese Ejja (or Ese Eja) (Tacanan; Chavarria 2012, Vuillermet

<sup>5</sup> Schultz transcribes [b] and [m] in his lexicon, but does not discuss how these sounds were distinguished.

Translations from Lima and Schultz, and more broadly all translations in this article, are the author's.

2012). Chavarria’s description of Peruvian Ese Ejja notes that, for speakers of the Palma Real dialect, ‘the phonemes /m/ and /n/ ... are realised as [b] and [d], but lightly nasalised’ (2012: 23). Vuillermet’s description of shielding in Bolivian Ese Ejja is similar – the bilabial and alveolar nasal consonants vary allophonically with oral consonants at the same place of articulation, as illustrated in (3) (data from Vuillermet 2012: 169). Vuillermet notes that the variation is conditioned by speech register: nasal allophones are more common in hyperarticulated speech, while oral allophones are more common in fast speech.

(3) *Shielding-like behaviour in Bolivian Ese Ejja*

<i>miya</i>	[ <sup>l</sup> mija] ~ [bija]	(2SG.ABS)
<i>mei</i>	[ <sup>l</sup> mbej]	‘stone’
<i>xemi</i>	[ <sup>l</sup> xemi] ~ [ <sup>l</sup> xebi]	‘squash sp.’
<i>naba’ewi</i>	[naβa’ewi] ~ [daβa’ewi]	‘fish sp.’

Given the available data, there is an alternative analysis: [n] and [m] are not underlying phonemes, but rather allophones of oral /*(n)d*/ and /*(m)b*/. The /*(n)d*/ → [n] and /*(m)b*/ → [m] processes occur in order to maximise cues to the contrast between the non-laryngealised stop series (e.g. /b/) and a co-existing laryngealised series (e.g. /β/; see Vuillermet 2012 on voicing in implosives): a [m–β] contrast is presumably perceptually more distinct than a [b–β] contrast. The hypothesis then is that Ese Ejja does not exhibit shielding (where an underlying *nasal* stop can be realised as *oral*), but rather a different form of contrast enhancement (where an underlying *oral* stop can be realised as *nasal*).

2.1.3 *Local summary.* This subsection has verified that if shielding exists in a given language, so does a V– $\bar{V}$  contrast. An anonymous reviewer raises the concern that this finding could be an artefact of descriptive bias: if a linguist were to encounter a language that exhibits shielding, but no contrast depends on it, would the linguist be likely to note that shielding occurs? While it is impossible to rule out this situation – very few of the references provide acoustic measurements, meaning that in the vast majority of cases the reader must blindly trust the author’s description – it seems at odds with the fact that many of the descriptions referenced in the South American Phonological Inventory Database do discuss non-contrastive details about the realisation of nasality. For example, in a number of descriptions, the authors provide somewhat detailed description of allophonic nasalisation, conditioned by nasal consonants in certain contexts (see Zariquiey 2011 on Cashibo-Cacataibo, Pachêco 2001 on Ikpeng and Dos Anjos 2011 on Katukina, among many others).

Vocalic nasality is not contrastive in any of the languages cited in the previous paragraph, yet the authors make a point of transcribing allophonic nasalisation of vowels. Thus, in order to maintain the claim that the asymmetry in Table I is an artefact of descriptive bias, there would

have to be a good reason why a linguist would be more likely to overlook the existence of shielding than the existence of allophonic nasalisation. Especially given that the vast majority of these descriptions are by linguists who natively speak a language with allophonic nasalisation and not shielding – most, perhaps all, cited sources appear to be written by native speakers of English, French, Portuguese or Spanish – I find this unlikely. One would naively expect that, when describing a language, a linguist would be more likely to notice (and transcribe) those features of the target language that differ from those of their native language.<sup>6</sup>

## 2.2 Analysis

We can now outline several desiderata for a successful analysis of shielding. First, in order to correctly predict that it should only occur in languages that license a contrast in vocalic nasality, the analysis of shielding must be able to reference facts about a language's phonemic inventory. Second, phonology must be able to 'see' the output of the phonetic grammar (see Jun 1995, among others). Presumably, the duration and extent of coarticulatory nasality are controlled by a language's phonetic grammar; for shielding to be motivated, the phonological grammar must be aware that oral vowels in nasal environments are nasalised.

These desiderata exclude an analysis of the typology under which shielding is motivated by constraints of the form \*NV and \*VN ('a nasal consonant must not be adjacent to an oral vowel'), as \*NV and \*VN are not sensitive to the structure of a language's larger vocalic inventory. A claim that \*NV or \*VN motivates shielding predicts that shielding could occur in any language – regardless of whether or not it licenses a contrast in vocalic nasality. Since this prediction is incorrect, \*NV and \*VN cannot be the right constraints to motivate shielding.<sup>7</sup>

In this article I adopt a version of Dispersion Theory (Flemming 2002), which satisfies both of the criteria described above. To show how the theory provides an account of the typology of environmental shielding, I begin with an analysis of the Karitiãna pattern in (1) above.

I assume that shielding is motivated by a MINDIST constraint that requires the contrast between oral and nasal vowels to be sufficiently distinct. MINDIST constraints are markedness constraints that set thresholds of distinctiveness for a given contrast, and assign violations to contrasts that are insufficiently distinct (see Flemming 2002). For example, we might imagine that in Karitiãna there is a MINDIST constraint requiring

<sup>6</sup> In addition, an anonymous reviewer notes that we would expect to find careful transcription of nasals and voiced stops in these descriptions, as nasals and voiced stops contrast in English, Portuguese, etc.

<sup>7</sup> An anonymous reviewer suggests that the analysis involving constraints like \*NV and \*VN could be saved if [ $\pm$ nasal] is only specified when a V- $\bar{V}$  contrast is present. To the extent that this proposal is successful, it underscores the major argument of this article: that any successful analysis of shielding must in some way explicitly reference contrast. However, the proposal cannot account for the further generalisations outlined in §3; see §5.1 for discussion.

oral and nasal vowels to be maximally different: for sufficient distinctiveness, an oral vowel must be fully oral and a nasal vowel must be fully nasal. This MINDIST constraint is formalised in (4) as NASDUR<sub>100%</sub>.

(4) MINDISTV- $\tilde{V}$  = NASDUR<sub>100%</sub>

For a contrast in vocalic nasality to be sufficiently distinct, the oral vowel must be fully oral and the nasal vowel must be fully nasal. Assign one violation mark for each violating pair.

A contrast that satisfies NASDUR<sub>100%</sub> is the fully oral vowel in [mba] (5a) *vs.* the fully nasal vowel in [mã] (5c): the oral vowel is fully oral and the nasal vowel is fully nasal, so the contrast between them is sufficiently distinct. A pair that violates NASDUR is the nasalised oral vowel in [m<sup>ã</sup>a] (5b) *vs.* the fully nasal vowel in [mã] (5c): the oral vowel is marked by some degree of acoustic nasality, so the contrast between it and a nasal vowel is not sufficiently distinct. (Throughout, coarticulatory nasalisation is denoted with a superscripted nasal vowel.)

(5) *Comparisons between oral and nasal vowels*

a. Fully oral vowel	mb	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td style="text-align: center;">a</td></tr></table>	a	
a				
b. Nasalised oral vowel	m	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td style="text-align: center; background-color: #cccccc;">ã</td><td style="text-align: center;">a</td></tr></table>	ã	a
ã	a			
c. Fully nasal vowel	m	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td style="text-align: center; background-color: #cccccc;">ã</td></tr></table>	ã	
ã				

Whether or not (5b–c) is modified in order to satisfy NASDUR<sub>100%</sub>, and how, depends on the ranking of other constraints. One way to satisfy NASDUR<sub>100%</sub> is through neutralisation: if both /ma/ and /mã/ are realised as [mã], there is no V- $\tilde{V}$  contrast, and NASDUR<sub>100%</sub> is vacuously satisfied. I assume that neutralisation violates MAX[-nas] in (6).<sup>8</sup>

(6) MAX[-nas]

Assign one violation mark for each [-nasal] value present in the input that is absent in the output.

Another way to satisfy NASDUR<sub>100%</sub> is through shielding. By oralising part of a nasal consonant (as in (5a)), the neighbouring oral vowel is rendered fully oral, which satisfies NASDUR<sub>100%</sub>. I assume that shielding results in the violation of either a markedness or a faithfulness constraint, depending on the allophone that is produced: a nasal contour segment (e.g.

<sup>8</sup> Note that Flemming (2002) does not use input–output (IO) faithfulness constraints in his single-level version of Dispersion Theory, as the introduction of MAXCONTRAST, a positively evaluated constraint that favours contrast maintenance, renders them unnecessary (and in fact undesirable; see Flemming 2002: 33–35 for discussion). However, the phenomena at issue here are most transparently analysed by making reference to input–output mappings and the faithfulness constraints that regulate them. In this domain, at least, the inclusion of IO faithfulness constraints seems to render MAXCONTRAST unnecessary. I leave a reconciliation of Flemming (2002) and the current analysis to future work.

/ma/ → [mba]) violates \*CONTOUR (7a), and a fully oral segment (e.g. /ma/ → [ba]) violates MAX[+nas] (7b).

(7) a. \*CONTOUR

Assign one violation mark for each nasal contour consonant (i.e. [mb bm bmb] or each segment linked to both [+nasal] and [-nasal]).

b. MAX[+nas]

Assign one violation mark for each [+nasal] value present in the input that is absent in the output.

As shown in (8), when NASDUR<sub>100%</sub> is high-ranked, whether or not shielding occurs depends on the relative ranking of the constraints that disprefer shielding (for brevity, only \*CONTOUR is shown) and those that disprefer neutralisation (MAX[-nas]). Note that in (8) I also assume the activity of NASALISE, an undominated markedness constraint that requires vowels adjacent to nasal consonants to be nasalised in the vicinity of the nasal. When a nasal vowel is adjacent to a nasal consonant (e.g. /mã/), NASALISE is automatically satisfied, as the entire vowel is nasal; when an oral vowel is adjacent to a nasal consonant, NASALISE is satisfied if coarticulatory nasalisation is present. For now I leave aside the question of how much coarticulation is necessary to satisfy NASALISE. For further discussion, see §3.3.

(8)

	/ma/	/mã/	NASALISE	NASDUR <sub>100%</sub>	MAX[-nas]	*CONTOUR
a.	[ma]	[mã]	*!			
b.	[m <sup>ã</sup> a]	[mã]		*!		
c.	[mba]	[mã]				*
d.	[mã]	[mã]			*	

Candidate (8a) fatally violates NASALISE, as the nasal-adjacent oral vowel does not bear any nasal coarticulation. Candidate (b) fatally violates NASDUR<sub>100%</sub>, as the nasalised oral vowel is insufficiently distinct from a nasal vowel (as per the definition in (4)).<sup>9</sup> For concreteness, I assume that the introduction of coarticulatory nasalisation does not violate any faithfulness constraints, i.e. MAX[-nas] or IDENT[±nas]. More generally, I assume throughout that input–output faithfulness constraints only regulate *contrastive properties*. As coarticulatory nasalisation is cross-linguistically non-contrastive (no known language contrasts [ma] with [m<sup>ã</sup>a]), its introduction is not penalised by any faithfulness constraint. Presumably, restrictions on the distribution of coarticulatory nasalisation are regulated by other constraints: in (8), for example, if NASDUR<sub>100%</sub> ≫ NASALISE, coarticulatory nasalisation would be avoided, to render contrasts in vocalic nasality sufficiently distinct.

<sup>9</sup> Partially nasalised vowels do not violate \*CONTOUR: as defined in (7a), \*CONTOUR only penalises consonants.

Candidate (8c), the enhancement candidate, violates \*CONTOUR; candidate (8d), the neutralisation candidate, violates MAX[-nas] (as /ma/ maps to [mã]). In order for the enhancement candidate to win, as in Karitiãna and other shielding languages, \*CONTOUR (and other constraints that penalise shielding) must be ranked beneath MAX[-nas]. The other repair – neutralisation of the insufficiently distinct contrast – is discussed further in §4.

For speakers of languages that lack a contrast in vocalic nasality, NASDUR<sub>100%</sub> is irrelevant: the constraint can only be evaluated when oral and nasal vowels contrast. As shown in the tableau in (9), modification of NV sequences in languages *without* a contrast in vocalic nasality is not motivated by NASDUR<sub>100%</sub>, and therefore blocked by other constraints that disprefer the result. It is impossible, then, to generate a system in which shielding occurs in the absence of a contrast in vocalic nasality. This is a desirable result, as such systems are unattested.<sup>10</sup>

(9)

	/ma/	NASALISE	NASDUR <sub>100%</sub>	MAX[-nas]	*CONTOUR
a.	[ma]	*!			
b.	[m <sup>a</sup> a]				
c.	[mba]				*!
d.	[mã]			*!	

Up to this point, I have assumed that oral vowels adjacent to fully nasal consonants have some degree of nasalisation. While the phonetics of coarticulatory nasalisation do vary by language (see §3.1), there are regularities. In most of the world’s languages, oral vowels adjacent to nasal consonants are reported to be nasalised to some degree (though see Butcher 1999 on Australian languages). By contrast, oralisation of nasal vowels adjacent to oral consonants is rarely described, and in the one case I know of, French (Cohn 1990), oralisation is brief. For this article, then, I make two simplifying assumptions: (i) oral vowels adjacent to nasal consonants are always nasalised, and (ii) nasal vowels adjacent to oral consonants are fully nasal. While a full version of the overall theory would build language-specific variation into the analysis, this is not currently feasible, as we do not know what the range of variation is. Note, however, that incorporating language-specific phonetic detail into the analysis would not change in any way the overall predicted typology: in languages where coarticulatory nasalisation is absent, for example, shielding would simply not be motivated.

<sup>10</sup> In a language with shielding, how does the learner know that a contrast in vocalic nasality would have been in danger, had shielding not occurred? Perhaps learners are able to infer what the non-shielding outcome would have been, based on either variability in the outcome (noted in 26 of the 66 descriptions consulted; see Appendix B) or extrapolation from other kinds of coarticulation in the language.

### 3 Asymmetries in the typology

Looking beyond Karitiâna, we find that languages differ in unpredictable ways as to the sets of allophones that shielding can produce. The only generalisation apparent in (10) is that if a language licenses medionasals (e.g. [bmb]), it also licenses other contours.

(10) *Attested sets of (partially) nasal allophones in shielding languages*

[b]	[mb]	[bm]	[bmb]	<i>example language</i>
✓				Kakua (Cathcart 1979)
✓	✓			Epena (Harms 1984)
✓		✓		Yuhup (Martins 2005)
✓	✓	✓		Arara (D'Angelis 2010)
	✓			Tenharim (Sampaio 1998)
		✓		Nadëb (Barbosa 2005)
	✓	✓		Amundava (Sampaio 1998)
	✓	✓	✓	Kaingáng (Cavalcante 1987)

There are, however, predictable asymmetries in the typology of shielding that mirror cross-linguistic asymmetries in the direction and extent of nasal coarticulation. This section shows that asymmetries in the typology of shielding are correctly predicted by the phonetic asymmetries. The major generalisation that emerges is the following: if a language licenses shielding in a context where contrasts in vocalic nasality are expected to be relatively distinct, it also licenses shielding in all contexts where contrasts in vocalic nasality are expected to be less distinct. §3.3 shows that this cross-linguistic generalisation is predicted by a contrast-based analysis, and §3.4 suggests that the generalisation also holds within the grammars of individual languages.

#### 3.1 The phonetics of nasal coarticulation

It is well-known that languages display asymmetries in the direction and extent of nasal coarticulation. In [Table II](#), I summarise data from a variety of phonetic studies that illustrate the known asymmetries. The discussion here focuses on three contexts where coarticulation occurs: perseveratory (NV), tautosyllabic anticipatory (VN]<sub>o</sub>) and heterosyllabic anticipatory (V]<sub>o</sub>N). To the best of my knowledge, further contextual asymmetries (i.e. between word-initial perseveratory (#NV) and word-medial perseveratory (VNV)) have not been discussed. This survey draws mostly on work by Diakoumakou (2004) and Jeong (2012), though I have verified all facts with the original sources wherever possible. The cases below include only those languages where there is a claimed asymmetry. Languages like Bengali, where anticipatory and perseveratory coarticulation are claimed to be equally extensive (Diakoumakou 2004: 145), are not included.

type	language	NV	VN] <sub>σ</sub>	V] <sub>σ</sub> N	
1	Hindi	–	+	–	Ohala (1975)
	St Lucian Creole	–	+	–	Bhatt & Nikiema (2000)
2	Agwagwune	100%		15%	Huffman (1988)
	Akan	74%		92%	Huffman (1988)
	Arabic (Cairene)	72%	38%		Jeong (2012)
	Chinese (Standard)		+	–	Chen (2000)
	English (American)	82%	76%		Flege (1988), Cohn (1990)
	French	73%	33%	17%	Cohn (1990), Diakoumakou (2004)
	Greek	71%	57%	29%	Diakoumakou (2004)
	Ikalanga	75%		33%	Beddor & Onsuwan (2003)
	Italian	+	–	–	Farnetani (1986)
	Japanese (Standard)		+	–	Ushijima & Sawashima (1972)
	Swedish	+	–		Clumeck (1975)

*Table II*  
Coarticulatory nasalisation survey.

For contexts in **Table II** where no data is available, the cell is blank. For contexts where data is available, the notation used depends on the source. If the source provides percentages (i.e. how much of an oral vowel is nasalised in a given nasal context), the percentages are given. In cases where the source provide multiple percentages for a given context, I give only the overall average.<sup>11</sup> When exact percentages are not provided, I did not try to measure them; instead, I use plus/minus notation. For each language, contexts where there is a plus (+) exhibit more nasalisation than contexts where there is a minus (–); if two minuses are listed, it is not clear which context exhibits less nasalisation. As what is important to this argument is only the asymmetries among the contexts considered below, this notation is sufficient.

The data in **Table II** can be characterised by two generalisations.<sup>12</sup> First, perseveratory coarticulation is more extensive than heterosyllabic anticipatory coarticulation. The sole apparent exception to this generalisation is Akan; in the cited study (Huffman 1988), the durations of anticipatory

<sup>11</sup> For example, Huffman (1988) provides separate percentages for the two Akan tokens measured, and Flege (1988) for different age groups. In these cases and others, to provide one value, I took the mean.

<sup>12</sup> The status of American English as a Type 2 language is debatable; results from Chen *et al.* (2007) suggest that, for at least some speakers, the amount of nasalisation in VN]<sub>σ</sub> is greater than that in NV, and Cohn (1990) shows that vowels are more nasalised in some VN]<sub>σ</sub> contexts (e.g. before voiceless nasal–stop clusters; 1990: 175) than others.

and perseveratory nasalisation were roughly equivalent. Because the stimuli are of the form  $V_1NV_2$ , however, and  $V_2$  in these tokens is longer than  $V_1$ ,  $V_1$  is comparatively more nasalised than  $V_2$ . Further work is required to determine if the asymmetry found in Huffman's study is in fact due to a difference in the amount of anticipatory *vs.* perseveratory nasalisation, or rather to a durational asymmetry between word-final vowels and vowels in other positions. The second generalisation characterising Table II is that tautosyllabic anticipatory coarticulation is more extensive than heterosyllabic anticipatory coarticulation. While only four languages in Table II demonstrate this, the tautosyllabic *vs.* heterosyllabic asymmetry has been documented more widely (see e.g. Schourup 1973, Herbert 1986, Krakow 1993).<sup>13</sup>

These two generalisations appear to hold for all languages: languages displaying the reverse asymmetries have not, to the best of my knowledge, been documented. But whether perseveratory coarticulation is more extensive than tautosyllabic anticipatory coarticulation depends on the language. In TYPE 1 SYSTEMS (see Table II), tautosyllabic anticipatory coarticulation is more extensive. In TYPE 2 SYSTEMS, either perseveratory coarticulation is more extensive or the data necessary to determine this is not available.

Given these generalisations, we expect there to be two types of system that display asymmetries in nasal coarticulation. In Type 1 systems, as shown in (11), the amount of nasal coarticulation in the tautosyllabic anticipatory context is greater than the amount in the perseveratory context, which is in turn greater than in the heterosyllabic anticipatory context. In (11) and the examples that follow, the precise breakdown of a vowel into percentages of oral and nasal is for illustrative purposes only. What matters is only the asymmetries among the different contexts.

(11) *Type 1 systems*:  $VN]_{\sigma} > NV > V]_{\sigma}N$

a. *Tautosyllabic anticipatory coarticulation* ( $VN]_{\sigma}$ )



b. *Perseveratory coarticulation* (NV)



c. *Heterosyllabic anticipatory coarticulation* ( $V]_{\sigma}N$ )



In Type 2 systems, the amount of nasal coarticulation in the perseveratory context is greater than the amount in the tautosyllabic anticipatory context, which is greater than in the heterosyllabic anticipatory context, as shown in (12).

<sup>13</sup> It is not crucial that the difference between the two classes of nasals is one of syllable position (onset *vs.* coda). I use syllable-based notation here because this is the notation used in the majority of studies on nasal coarticulation.

(12) Type 2 systems:  $NV > VN]_{\sigma} > V]_{\sigma}N$

a. Perseveratory coarticulation (NV)



b. Tautosyllabic anticipatory coarticulation (VN]<sub>σ</sub>)



c. Heterosyllabic anticipatory coarticulation (V]<sub>σ</sub>N)



Assuming that the greater the degree of nasal coarticulation on an oral vowel, the less distinct it is from a nasal vowel, we can translate the phonetic asymmetries in (11) and (12) into predictions about where contrasts in vocalic nasality are more and less distinct. In all systems, we expect contrasts in vocalic nasality to be more distinct in the heterosyllabic anticipatory context than in either the perseveratory or tautosyllabic anticipatory context, as oral vowels are less nasalised in the heterosyllabic anticipatory context ( $\Delta V]_{\sigma}N - \tilde{V}]_{\sigma}N > \Delta NV - N\tilde{V}$ ,  $\Delta VN]_{\sigma} - \tilde{V}N]_{\sigma}$ , where,  $\Delta x - y =$  ‘the perceptual distance between  $x$  and  $y$ ’). In Type 1 systems, we expect contrasts in vocalic nasality to be more distinct in the perseveratory context than in the tautosyllabic anticipatory context ( $\Delta NV - N\tilde{V} > \Delta VN]_{\sigma} - \tilde{V}N]_{\sigma}$ ); in Type 2 systems, we expect these contrasts to be more distinct in the tautosyllabic anticipatory context than they are in the perseveratory context ( $\Delta VN]_{\sigma} - \tilde{V}N]_{\sigma} > \Delta NV - N\tilde{V}$ ), as in (13).

(13) Expected distinctiveness of vocalic nasality contrasts

a. Type 1 systems:  $\Delta V]_{\sigma}N - \tilde{V}]_{\sigma}N > \Delta NV - N\tilde{V} > \Delta VN]_{\sigma} - \tilde{V}N]_{\sigma}$

b. Type 2 systems:  $\Delta V]_{\sigma}N - \tilde{V}]_{\sigma}N > \Delta VN]_{\sigma} - \tilde{V}N]_{\sigma} > \Delta NV - N\tilde{V}$

If shielding is a strategy to maximise cues to contrasts in vocalic nasality, we would expect the phonetic asymmetries outlined above to yield an implicational generalisation regarding the contexts in which shielding occurs: if a given language licenses shielding in a context where a contrast in vocalic nasality is *more* distinct, it must license shielding in all contexts where the contrast is *less* distinct. For example, in both Type 1 and Type 2 systems, shielding in the heterosyllabic anticipatory context should imply shielding in both the perseveratory and tautosyllabic anticipatory contexts, because we expect contrasts in vocalic nasality to be more distinct in the heterosyllabic anticipatory context than in the perseveratory or tautosyllabic anticipatory contexts. We do not expect to find systems in which shielding applies in limited contexts, to preserve only the more distinct contrasts in vocalic nasality (e.g. in the  $V]_{\sigma}N$  context only).

### 3.2 Testing the predictions

The predicted and non-predicted shielding patterns are given in [Table III](#), where a checkmark indicates the presence of shielding in the given context. Note that while [Table III](#) represents predictions about the typology of shielding, the asymmetries in nasal coarticulation that generate these predictions come from a set of languages that do not license shielding. The assumption is that the phonetic asymmetries documented above represent the full range of possible variation in coarticulatory patterns; while they are only visible in non-shielding languages, the grammar more generally is constrained to generate only these asymmetries.

	NV	VN] <sub>σ</sub>	V] <sub>σ</sub> N	predicted?	type	shielding
a.		✓		yes	1	in VN] <sub>σ</sub> context only
b.	✓			yes	2	in NV context only
c.	✓	✓		yes	1,2	in VN] <sub>σ</sub> and NV contexts
d.	✓	✓	✓	yes	1,2	in all contexts
e.	✓		✓	no	no	in NV and V] <sub>σ</sub> N contexts
f.		✓	✓	no	no	in VN] <sub>σ</sub> and V] <sub>σ</sub> N contexts
g.			✓	no	no	in V] <sub>σ</sub> N context only

*Table III*

Predicted and non-predicted shielding patterns.

Pattern (a), with shielding in the tautosyllabic anticipatory (VN]<sub>σ</sub>) context, is predicted because in Type 1 systems the tautosyllabic anticipatory context is where contrasts in vocalic nasality are the least distinct. Pattern (b), with shielding in the perseveratory (NV) context, is predicted because in Type 2 systems the perseveratory context is where contrasts in vocalic nasality are the least distinct. Pattern (c), with shielding in the tautosyllabic anticipatory and perseveratory contexts, is predicted as these are the two contexts in which vocalic nasality contrasts are least distinct, for both Type 1 and 2 systems. And finally, pattern (d), where shielding occurs in all contexts, is also predicted; in these languages, contrasts in vocalic nasality must be maximally distinct.

All four predicted patterns are attested. Seven of the languages surveyed shield in the tautosyllabic anticipatory context only (14a), 45 shield in the perseveratory context only (14b), eight shield in both contexts (14c) and six shield in all contexts (14d).<sup>14</sup> Recall that the exact allophones produced by shielding vary by language in unpredictable ways: for example, while

<sup>14</sup> An anonymous reviewer asks why shielding is found mostly in NV sequences. I don't know, but perhaps languages with Type 2 phonetics are more common, and many shield to avoid only the most imperilled V-V contrasts.

Chiriguano (Tupí; Dietrich 1986) and many other languages use [mb] to shield in NV contexts (/m/ → [mb] / \_\_ V), others (like Kakuá; Cathcart 1979) use [b] (/m/ → [b] / \_\_ V). The specific patterns given in (14) are the allophones from the languages cited in the examples. For more details on the other languages, see Appendix B.

- (14) a. *Shielding in VN]<sub>σ</sub> only*  
 (7 languages, including Nadëb; Barbosa 2005: 42, 45)  
 /m/ → [bm] / V \_\_ C, V \_\_ #    [[ədn]    'hair'  
           [m] elsewhere                [napij]    'sieve'
- b. *Shielding in NV only*  
 (45 languages, including Chiriguano; Dietrich 1986: 61)  
 /m/ → [mb] / \_\_ V                [amboáku]    'I warm up'  
           [m] elsewhere                [ãmōtāta]    'I toughen up'
- c. *Shielding in VN]<sub>σ</sub> and NV*  
 (8 languages, including Karo; Gabas 1998: 14, 16)  
 /m/ → [bm] / V \_\_ C, V \_\_ #    [ko'rebm]    'also'  
           [mb] / \_\_ V                [tah'mbək]    'all of them'  
           [m] elsewhere                [nəp]        'cable'
- d. *Shielding in all contexts*  
 (6 languages, including Kaingang; Cavalcante 1987: 39)  
 /m/ → [bm] / V \_\_ Ṽ, V \_\_ #    [pã'tɛdn]    'surpass'  
           [mb] / # \_\_ V, Ṽ \_\_ V    [ndo]        'arrow'  
           [bmb] / V \_\_ V                [ko'bmbɛ]    'broth'  
           [m] elsewhere                [ka'dnān]    'to smooth'

The patterns in (e)–(g) in Table III are predicted not to occur, as shielding occurs in the heterosyllabic anticipatory context only. As contrasts in vocalic nasality are expected to be relatively more distinct in the heterosyllabic anticipatory context, languages that shield in the heterosyllabic anticipatory context should also shield in the other two contexts. As predicted, these patterns are unattested.

### 3.3 Incorporating the asymmetries into the analysis

For the sake of analysis, I assume that the phonetics of Type 1 and Type 2 languages are as described in (11) and (12). These schematic figures are summarised in (15). Throughout, I assume that phonemically nasal vowels are fully nasal, regardless of the segmental context they occur in.

(15) *Assumed patterns of nasal coarticulation*a. *Tautosyllabic anticipatory coarticulation* (VN]<sub>σ</sub>)Type 1 

V <sub>20%</sub>	Ṽ <sub>80%</sub>	
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 N]<sub>σ</sub>Type 2 

V <sub>40%</sub>	Ṽ <sub>60%</sub>	
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 N]<sub>σ</sub>b. *Perseveratory coarticulation* (NV)Type 1 N 

Ṽ <sub>60%</sub>	V <sub>40%</sub>
-------------------	------------------

Type 2 N 

Ṽ <sub>80%</sub>	V <sub>20%</sub>
-------------------	------------------

c. *Heterosyllabic anticipatory coarticulation* (V]<sub>σ</sub>N)Type 1 

V <sub>60%</sub>	Ṽ <sub>40%</sub>
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 ]<sub>σ</sub>NType 2 

V <sub>60%</sub>	Ṽ <sub>40%</sub>
------------------	-------------------

 ]<sub>σ</sub>N

The difference between the Type 1 and Type 2 patterns is due to a difference in the patterns of coarticulatory timing, which are themselves likely due to the activity of more general constraints on the coordination of gestures. While ultimately it would be desirable to build a theory that lays out the possible and preferred ways of coordinating velic gestures with other kinds of gestures, for present purposes it is sufficient to assume that there are only the two possible kinds of coarticulatory pattern in (15), and that they arise due to a difference in the definition of NASALISE (see §2.2). The constraint responsible for the Type 1 pattern is  $N_{ASALISE_{Type1}}$  in (16a); the Type 2 pattern is compelled by  $N_{ASALISE_{Type2}}$  in (16b).

(16) a.  $N_{ASALISE_{Type1}}$ 

Assign one violation mark for:

each VN]<sub>σ</sub> sequence where V is not at least 80% nasalised;

each NV sequence where V is not at least 60% nasalised;

each V]<sub>σ</sub>N sequence where V is not at least 40% nasalised.b.  $N_{ASALISE_{Type2}}$ 

Assign one violation mark for:

each NV sequence where V is not at least 80% nasalised;

each VN]<sub>σ</sub> sequence where V is not at least 60% nasalised;each V]<sub>σ</sub>N sequence where V is not at least 40% nasalised.

In what follows, I assume that one NASALISE constraint is active in each language; the specific amounts of nasal coarticulation required in each context are parameterised on a language-specific basis. It is worth emphasising at this point that NASALISE is meant to function as a shorthand for whatever constraints compel nasal coarticulation, and is not meant to function in any way as a claim about how those constraints are defined. Presumably, any successful theory of the grammar of coarticulation needs to explain why certain contextual asymmetries are universal (e.g. more coarticulation in VN]<sub>σ</sub> than V]<sub>σ</sub>N) and others are not (e.g.

language-dependent amounts of coarticulation in NV and VN]<sub>σ</sub>). NASALISE does not do this – it would be possible, for example, to define a version of the constraint that requires more nasalisation in V]<sub>σ</sub>N than in VN]<sub>σ</sub>. But as our interest is not in how to derive universals of nasal coarticulation, but in what can be derived from them, the constraints in (16) are sufficient.

The attested typology of shielding patterns can be derived by defining MINDIST constraints that set varying thresholds of distinctiveness for contrasts in vocalic nasality. A constraint that requires oral vowels to be at least 50% oral to be distinct from nasal vowels, for example, penalises only the contrasts between oral vowels in (15a, b) and nasal vowels in those same environments.

A question arises here: when we talk about partially nasalised vowels, should they be described in terms of *percentage* or *absolute duration* of acoustic nasality? I will assume here that referring to the ratio of nasality in a vowel is relevant: although this has not been shown, it seems reasonable to believe that a longer vowel that is 50% nasalised will be perceived as less nasal than a shorter vowel that is 75% nasalised, even if the absolute duration of vocalic nasality in the two vowels is the same. There is some evidence, however, that the absolute duration of acoustic nasality is also relevant to the perception of vocalic nasality. For example, Whalen & Beddor (1989) provide experimental evidence that the longer the duration of a vowel with an intermediate level of nasalisation, the more likely listeners are to identify it as nasal. This preference for long nasal vowels is reflected by a typological asymmetry: of the twelve languages in Maddieson (1984) that license contrasts in both vowel length and nasality, several license a contrast in nasality for long vowels only (e.g. Breton), but none license it for short vowels only.<sup>15</sup>

The analyses presented here make reference to ratios of nasality, not absolute duration. Once we better understand the roles that absolute and relative duration of nasality play in the perception of vocalic nasality contrasts, it is to be hoped that both of these factors can be integrated into the analysis.

3.3.1 *Languages with shielding in all contexts.* To analyse systems in which shielding occurs in all contexts, we need a MINDIST constraint that requires an oral vowel to be fully oral, and a nasal vowel to be fully nasal, for the contrast between them to be sufficiently distinct. This constraint is NASDUR<sub>100%</sub> in (4) above. When the constraint is high-ranked, all contrasts in vocalic nasality adjacent to a nasal consonant are dispreferred, as oral vowels are nasalised in these environments (see (15)). Thus shielding is motivated even in the heterosyllabic context, as shown in (17). (NASALISE<sub>Type1/2</sub> is referred to as such because either NASALISE<sub>Type1</sub> or NASALISE<sub>Type2</sub> would derive the intended result.)

<sup>15</sup> Karok, an isolate spoken in Western California, appears to be an exception; however, the source (Bright 1957) does not accord nasal vowels phonemic status, and in any case does not claim that they are short.

(17)

	/amã/	/ãmã/	NAS <sub>Type1/2</sub>	NASDUR <sub>100%</sub>	MAX[-nas]	*CONTOUR
a.	[amã]	[ãmã]	*!			
b.	[a <sup>ã</sup> mã]	[ãmã]		*!		
☞ c.	[abmã]	[ãmã]				*
d.	[ãmã]	[ãmã]			*!	

3.3.2 *Languages with tautosyllabic anticipatory and perseveratory shielding.* To analyse systems where shielding occurs in the tautosyllabic anticipatory and perseveratory contexts, I assume that some languages place less strict requirements on vocalic nasality contrasts. For example, a language might only require oral vowels to be 50% oral to be distinct from nasal vowels. A constraint enforcing this less stringent requirement is NASDUR<sub>50%</sub> in (18).

(18) MINDISTV- $\tilde{V}$  = NASDUR<sub>50%</sub>

For a contrast in vocalic nasality to be sufficiently distinct, the oral vowel must be at least 50% oral and the nasal vowel must be fully nasal. Assign one violation mark for each violating pair.

In both Type 1 and Type 2 systems, NASDUR<sub>50%</sub> is satisfied in the heterosyllabic anticipatory context only. This is because the heterosyllabic anticipatory context is the only context of the three in which vowels are more than 50% oral; see (15). The result is that shielding is motivated in both the perseveratory and the tautosyllabic anticipatory contexts, as illustrated in (19). Because the oral vowel must be more than 50% nasal (as required by NASALISE<sub>Type1/2</sub>; cf. (19a.ii), where a lesser amount of nasal coarticulation violates NASALISE<sub>Type1/2</sub>), the contrast between it and a nasal vowel does not satisfy NASDUR<sub>50%</sub>. In the tableaux below, a subscripted percentage either preceding or following the vowel denotes how much of the vowel is oral.

(19) a.

	/ma/	/mã/	NAS <sub>Type1/2</sub>	NASDUR <sub>50%</sub>	MAX[-nas]	*CONTOUR
i.	[ma]	[mã]	*!			
ii.	[m <sup>ã</sup> a <sub>&gt;50%</sub> ]	[mã]	*!			
iii.	[m <sup>ã</sup> a <sub>&lt;50%</sub> ]	[mã]		*!		
☞ iv.	[mba]	[mã]				*
v.	[mã]	[mã]			*!	

b.

	/am/	/ãm/	NAS <sub>Type1/2</sub>	NASDUR <sub>50%</sub>	MAX[-nas]	*CONTOUR
i.	[am]	[ãm]	*!			
ii.	[ <sub>&lt;50%</sub> a <sup>ã</sup> m]	[ãm]		*!		
☞ iii.	[abm]	[ãm]				*
iv.	[ãm]	[ãm]		*!		

In the heterosyllabic anticipatory context, contrasts between less nasalised (>50% oral) vowels and fully nasal vowels do not violate  $NAS_{DUR_{50\%}}$ , so shielding is not motivated, as illustrated in (20).

(20)

	/amã/	/ãmä/	$NAS_{Type1/2}$	$NAS_{DUR_{50\%}}$	$MAX[-nas]$	*CONTOUR
a.	[amã]	[ãmä]	*!			
b.	$[_{>50\%}a^ãmã]$	[ãmä]				
c.	[abmä]	[ãmä]				*!
d.	[ãmã]	[ãmä]			*!	

3.3.3 *Languages with either perseveratory or tautosyllabic anticipatory shielding.* To analyse systems in which shielding occurs in only one context, either perseveratory or tautosyllabic anticipatory, we have to assume that there are languages that place even less strict requirements on the distinctiveness of vocalic nasality contrasts. For example, a language might require its oral vowels to be only 30% oral for them to be sufficiently distinct from nasal vowels, as specified by the constraint in (21). While this sounds minimal, it is not uncommon. For example, vocalic nasality is contrastive in the perseveratory context in French, even though oral vowels are significantly nasalised following nasal consonants (see Table II).

(21)  $MINDISTV-\tilde{V} = NAS_{DUR_{30\%}}$

For a contrast in vocalic nasality to be sufficiently distinct, the oral vowel must be at least 30% oral and the nasal vowel must be fully nasal. Assign one violation mark for each violating pair.

Which contrast violates  $NAS_{DUR_{30\%}}$  depends on system type. For Type 1 systems, contrasts in the tautosyllabic anticipatory context violate  $NAS_{DUR_{30\%}}$ , as oral vowels in this context are less than 30% oral. Contrasts in the perseveratory and heterosyllabic anticipatory contexts do not violate  $NAS_{DUR_{30\%}}$ , as oral vowels in these contexts are more than 30% oral. Thus for Type 1 systems,  $NAS_{DUR_{30\%}}$  motivates shielding in the tautosyllabic anticipatory context only, as in (22).

(22)

	/am/	/ãm/	$NAS_{Type1}$	$NAS_{DUR_{30\%}}$	$MAX[-nas]$	*CONTOUR
a.	[am]	[ãm]	*!			
b.	$[_{>30\%}a^ãm]$	[ãm]		*!		
c.	[abm]	[ãm]				*
d.	[ãm]	[ãm]			*!	

For Type 2 systems, only contrasts in the perseveratory context violate  $NAS_{DUR_{30\%}}$ , as oral vowels in the perseveratory context are less than 30% oral (see (23)). Contrasts in the tautosyllabic and heterosyllabic anticipatory contexts do not violate  $NAS_{DUR_{30\%}}$ , as oral vowels are less nasalised.

(23)

	/ma/	/mã/	N <sub>AS</sub> Type2	N <sub>AS</sub> DUR <sub>30%</sub>	MAX[-nas]	*CONTOUR
a.	[ma]	[mã]	*!			
b.	[m <sup>ã</sup> a <sub>&lt;30%</sub> ]	[mã]		*!		
c.	[mba]	[mã]				*
d.	[mã]	[mã]			*!	

At this point it is worth reiterating that the percentages used in all N<sub>AS</sub>DUR constraints, as well as the finer points of the representations they assess, are not crucial. What is crucial are the cross-linguistic asymmetries in coarticulation documented in Table II. Regardless of the exact extent of coarticulatory nasalisation or the exact point at which nasalisation in an oral vowel renders it indistinguishable from a nasal vowel, setting thresholds of distinctiveness with MINDIST constraints allows us to derive those and only those shielding patterns that obey the existing implicational laws.

3.3.4 *Local summary.* In sum, the contrast-based approach makes a set of accurate predictions regarding contextual asymmetries in the typology of shielding. Specifically, it correctly predicts that shielding in some context C<sub>1</sub> implies shielding in some context C<sub>2</sub> if a contrast in vocalic nasality is more distinct in C<sub>1</sub> than it is in C<sub>2</sub>. MINDIST constraints naturally capture this generalisation, because they set thresholds at which contrasts are sufficiently distinct. If some contrast *x*-*y* violates a given MINDIST constraint in some context C<sub>1</sub>, then *x*-*y* will also violate that MINDIST constraint in all contexts in which *x*-*y* is as distinct as or less distinct than it is in C<sub>1</sub>. As it is impossible to define a MINDIST constraint that penalises only relatively distinct contrasts, there is no way to derive the unattested patterns in which shielding targets only the more distinct contrasts in vocalic nasality.

### 3.4 Language-internal asymmetries

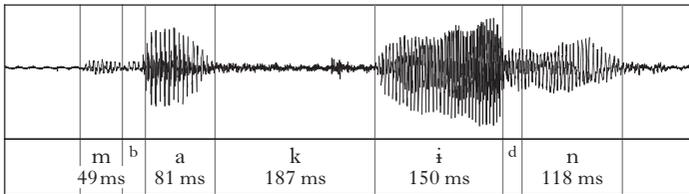
The contrast-based analysis correctly predicts that when shielding is limited to certain contexts in a language, it occurs in those contexts where vocalic nasality contrasts are the least distinct. This subsection provides further evidence for this prediction from asymmetries in Krenak (Pessoa 2012), Aguaruna (Overall 2007) and Karajá (Ribeiro 2012).

3.4.1 *The role of stress in Krenak.* In Krenak (Macro-Ge; Pessoa 2012), shielding occurs in all contexts, but more frequently in unstressed syllables than in stressed syllables. Examples are given in (24), from Pessoa (2012: 114–121): (a) illustrates shielding in the perseveratory context before an oral vowel *vs.* its absence before a nasal vowel, and (b) illustrates shielding in the tautosyllabic anticipatory context *vs.* its absence after a nasal vowel.

(24) *Shielding in Krenak*

- a. /amiʒik/ → [ambiʒik] ‘manioc’      cf. [amʒʰŋgut] ‘food’
- b. /tõnõn/ → [tõʰndõdn] ‘small’      [hiʰnũn] ‘his/her arm’

Why should a shielding process preferentially apply in stressless syllables? One answer appeals to a potential link between stress and duration: perhaps stressed vowels are longer than stressless vowels. Primary stress in Krenak is word-final (Pessoa 2012: 113), and some evidence for final lengthening comes from the few phonetic measurements provided of disyllabic words, where there is a substantial difference between the durations of the word-initial and word-final vowels. This is illustrated by the waveform in Fig. 1, from Pessoa (2012: 96): at 150 ms, word-final [i] in [m<sup>b</sup>aki<sup>d</sup>n] is almost twice as long as non-final [a]. This difference likely cannot be traced to an inherent durational asymmetry between [i] and [a], as low vowels are generally longer than high ones (Lehiste 1976).

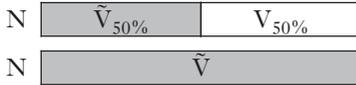


*Figure 1*

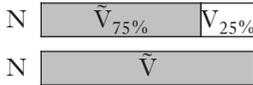
Waveform and segmental durations for Krenak [m<sup>b</sup>aki<sup>d</sup>n] ‘little bird’, from Pessoa (2012: 96).

If we assume that the amount of nasal coarticulation induced on a vowel adjacent to a nasal consonant is consistent regardless of the vowel’s length (i.e. the velic gesture is frequently longer than the oral closure of the nasal consonant, but does not depend on the length of other gestures that coincide with and/or surround it), we would expect contrasts in vocalic nasality to be less distinct for short vowels than they are for long vowels. While a given amount of nasal coarticulation might only take up 50% of a long vowel, for example (25a), that same amount of nasal coarticulation will take up comparatively more of a shorter vowel (25b).

(25) a. *Contrasts in vocalic nasality are more distinct when vowels are long*



b. *Contrasts in vocalic nasality are less distinct when vowels are short*



It is possible to motivate shielding in only (25b) by defining a MINDIST constraint that considers the contrast in (25a), but not the one in (b), to be sufficiently distinct. NASDUR<sub>50%</sub> in (18) suits this purpose. As the oral vowel in (a) is only 50% nasal, NASDUR<sub>50%</sub> is satisfied, as shown in (26). (In (26) and (27), I assume that NASALISE compels the patterns of coarticulation diagrammed in (25).)

(26)

	/ma:/	/mã:/	NASALISE	NASDUR <sub>50%</sub>	MAX[-nas]	*CONTOUR
a.	[ma:]	[mã:]	*!			
☞ b.	[m <sup>h</sup> a: <sub>50%</sub> ]	[mã:]				
c.	[mba:]	[mã:]				*!
d.	[mã:]	[mã:]			*!	

The contrast in (25b) violates NASDUR<sub>50%</sub>, however, as the oral vowel is only 25% nasal. Shielding is therefore motivated for short, but not long, vowels.

(27)

	/ma/	/mã/	NASALISE	NASDUR <sub>50%</sub>	MAX[-nas]	*CONTOUR
a.	[ma]	[mã]	*!			
b.	[m <sup>h</sup> a <sub>25%</sub> ]	[mã]		*!		
☞ c.	[mba]	[mã]				*
d.	[mã]	[mã]			*!	

The fact that Krenak exhibits greater frequency of shielding in stressless syllables is predicted by a contrast-based account: a given amount of nasal coarticulation will render a stressless (or shorter) oral vowel comparatively less distinct from a nasal vowel than it will a stressed (or longer) oral vowel. As was the case for the contextual asymmetries documented above, when shielding targets only some contexts, it targets those contexts in which contrasts in vocalic nasality are less distinct.

3.4.2 *The role of vowel quality in Aguaruna and Karajá.* In Karajá (Macro-Ge; Ribeiro 2012), shielding occurs only in the perseveratory

context.<sup>16</sup> It is also dependent on the quality of the following vowel: shielding largely does not occur before /a/. A similar pattern is attested in Aguaruna, where shielding is generally more likely when preceding high vowels, and almost entirely absent preceding a word-final /a/ (examples in (28) from Overall 2007: 53).<sup>17</sup>

(28) *Shielding in Aguaruna*

- /mama/ → [mamá] ‘mother’
- /nusi/ → [dúsi] ~ [ndúsi] ‘peanut’
- /natsa/ → [dátσα] ~ [ndátσα] ‘youth’

The vocalic inventories of Karajá (Ribeiro 2012: 86) and Aguaruna (Overall 2007: 40) are provided in (29). Note that, in both languages, /a/ is the only low vowel.

(29) a. *Karajá*

i	ĩ	u	ũ	
ɪ	ɨ	ʊ		
e	ə	o	õ	ō
ɛ	(ə)	ɔ		
	a		ã	

b. *Aguaruna*

i	ĩ	u	ũ	ĩ	ĩ	ũ
		a			ã	

Generally speaking, we know that low vowels are longer than higher vowels (Lehiste 1976). In Karajá, it may be the case that shielding does not occur adjacent to oral [a] because it is longer than the other oral vowels: in nasal contexts, assuming a fixed amount of nasal coarticulation, we would predict the contrast between [a] and its nasal counterpart to be the most distinct. In Aguaruna, the same general principles apply; although the context-specific ban on pre-[a] shielding in final position cannot be linked to any other known facts about Aguaruna’s phonology, it is not surprising, given the general prevalence of word-final lengthening in the world’s languages (Lehiste 1976, Lunden 2014). If word-final [a] is longer than other [a]’s in Aguaruna, a word-final [a–ã] contrast in a nasal context will be more distinct than non-final [a–ã] in that same nasal context, and therefore less in need of enhancement. Here too, the subset of contexts targeted by shielding in Karajá and Aguaruna are those contexts in which contrasts in vocalic nasality are expected to be less distinct.<sup>18</sup>

<sup>16</sup> Ribeiro (2012) assumes that [m] and [n] are allophones of /b/ and /d/; the data are also compatible with a shielding analysis, in which [b] and [d] are allophones of [m] and [n].

<sup>17</sup> This statement simplifies the details of what conditions Aguaruna shielding in some non-crucial ways. For a more complete discussion, see Overall (2007: 52–57). Note also that Overall (2007: 51–52) proposes that all nasal vowels can be derived from underlying VN sequences; see Appendix B for discussion of this and other points.

<sup>18</sup> An anonymous reviewer notes that the pattern in Karajá could also be explained under the assumption that there is no contrast between oral [a] and nasal [ã]: both are allophones of /ã/. This proposal is consistent with historical evidence (see

#### 4 Extensions: the typology of neutralisation

Faced with an insufficiently distinct contrast, a language has two options: preserve the contrast through enhancement, or neutralise it. This article has focused only on enhancement, but the analysis of enhancement makes predictions regarding the typology of neutralisation as well. Under a contrast-based analysis, shielding and neutralisation of vocalic nasality contrasts are motivated by the same set of MINDIST constraints. If MAX[-nas] dominates \*CONTOUR (or other constraints that disprefer shielding), the language will shield (as shown throughout §3); if \*CONTOUR dominates MAX[-nas], the language will neutralise, as in (30).

(30)

	/ma/	/mā/	N <sub>ASALISE</sub>	N <sub>ASDUR</sub> <sub>100%</sub>	*CONTOUR	MAX[-nas]
a.	[ma]	[mā]	*!			
b.	[m <sup>̃</sup> a]	[mā]		*!		
c.	[mba]	[mā]			*!	
☞ d.	[mā]	[mā]				*

Under a contrast-based analysis, shielding and neutralisation are two sides of the same coin: both are strategies to avoid insufficiently distinct contrasts in vocalic nasality.

Given that shielding and neutralisation are motivated by the same set of MINDIST constraints, the contrast-based analysis predicts that the same implicational laws should govern both. Recall that if shielding targets a vocalic nasality contrast in some context where the contrast is more distinct, it also targets this contrast in all contexts where it is less distinct. As a corollary, if neutralisation targets a vocalic nasality contrast in some context where it is more distinct, it should also target this contrast in all contexts where it is less distinct (see Steriade 1997 and others cited in the introduction for evidence that this is true in other domains). More generally, if two contexts  $C_1$  and  $C_2$  differ in that some contrast  $x$ - $y$  is better-cued in  $C_1$  than it is in  $C_2$ , then both enhancement and neutralisation targeting  $x$ - $y$  in  $C_1$  must also target  $x$ - $y$  in  $C_2$  (see also Flemming 2008: 32ff).

To test this prediction, I conducted a survey composed of all descriptive grammars from PL5000–PM7875 available in the MIT Hayden Library, as well as various online sources. Of the languages in the sample, 98 licensed contrasts in vocalic nasality. In 32, contextual restrictions on the distribution of these contrasts were explicitly discussed in the source. Asymmetries in the typology of neutralisation, for the most part, directly mirror asymmetries in the typology of shielding, as shown in Table IV. For a list of languages surveyed, and information about the contexts of neutralisation (where applicable), see Appendix D.

Ribeiro 2012: 88–89 for discussion), but is difficult to reconcile with the fact that [a] and [ā] do appear to contrast in the contemporary lexicon (see Ribeiro 2012: 88ff for discussion and near-minimal pairs).

	NV	VN] <sub>σ</sub>	V] <sub>σ</sub> N	predicted?	number attested	example language
a.	✓			yes	20	Vai (Welmers 1976)
b.		✓		yes	2	Gbeya (Samarin 1966)
c.	✓	✓		yes	2	Kiowa (Watkins 1984)
d.	✓	✓	✓	yes	6	Kana (Ikoro 1996)
e.		✓	✓	no	2	Tinrin (Osumi 1995)
f.	✓		✓	no	–	
g.			✓	no	–	

*Table IV*  
Results from the neutralisation survey.

The two attested systems in (e) are predicted not to exist, but it is possible to show that these counterexamples are only apparent. There is substantial evidence that Tinrin (Osumi 1995) has (or had) a process of regressive nasal spreading. While sequences of oral (VV) and nasal (ṼṼ) vowels are possible, there are restrictions on sequences of oral and nasal vowels (Osumi 1995: 24): a nasal vowel can precede an oral vowel (ṼV), but an oral vowel cannot precede a nasal vowel (\*ṼṼ). This is exactly what we expect from a language that licenses regressive [+nasal] spreading. Further evidence for a process of regressive nasal spreading comes from restrictions on vowel sequences across approximants ([w], [r ~ ɾ] and [ɹ]). Across these segments, vowels agree for nasality in the vast majority (91%, or 305/335) of cases. The existing mismatches are almost exclusively ṼRV (26/30): the general absence of VRṼ is again consistent with the activity of regressive [+nasal] spreading.

Across voiceless obstruents, the rate of matches is lower (65%, or 43/66), suggesting that spreading applies less consistently (if at all) across stops. More frequent application across sonorants is consistent with implicational laws governing the typology of nasal spreading (cf. Schourup 1973, Walker 2000). The other language with neutralisation of vowel nasality contrasts in both anticipatory contexts is Xârâcùù (Lynch 2002), a relative of Tinrin. While there is less data available, the counts largely resemble Tinrin: vowels match for nasality in most VRV sequences (96%, or 48/50), but they are less likely to match across voiceless stops (72%, or 23/32).<sup>19</sup>

<sup>19</sup> For Tinrin, the counts include all relevant forms in Osumi (1995); the counts for vowel-nasality matches across voiceless obstruents are from pages 1–100. For Xârâcùù, all relevant forms have been included for both counts. For both languages, forms transcribed variably (e.g. VRV on one page, but ṼRV on another) have been excluded.

Neutralisation of all pre-N vocalic contrasts in nasality in these two languages is not a reaction to insufficiently distinct contrasts, but a consequence of an unrelated process of unbounded regressive nasal spreading.<sup>20</sup> As progressive nasal spreading would be indistinguishable from neutralisation of all postnasal vocalic nasality contrasts, the pattern that we find in Tinrin and Xârâcùù is the only one that the possibility of nasal spreading adds to the predicted typology of neutralisation.

In the current survey, the prediction that the typologies of shielding and neutralisation should parallel one another appears to be borne out: all apparent counterexamples have a plausible reanalysis. (To verify the prediction more fully, a larger sample size would of course be necessary.)

## 5 Are there alternatives?

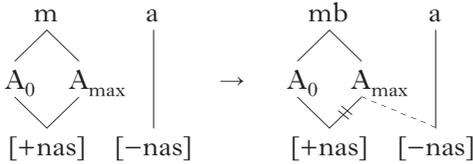
So far, this article has shown that analysing environmental shielding as contrast preservation makes a set of strong and accurate predictions. But is it possible to account for the existing set of generalisations without appealing directly to constraints on contrast? This section considers three alternative analyses. The first, discussed in §5.1, claims that shielding arises from spreading of [–nasal] (e.g. Storto 1999: 26–31). The second, discussed in §5.2, uses CUE constraints (e.g. Boersma 2009) instead of directly referencing contrast; the third, discussed in §5.3, treats shielding as a byproduct of channel bias (Ohala 1981, Blevins 2004, Moreton 2008). While these alternatives are capable of analysing portions of the shielding typology, it is unclear how any of them in their current form could be extended to cover the full range of generalisations presented in this article. A general failing of all these alternatives is that they fail to link the possibility of shielding to facts about the set of contrasts that a language licenses: they do not recognise that shielding is a form of contrast enhancement.

### 5.1 Spreading of [–nasal]

The only existing alternative analysis of shielding claims that it arises due to local spreading of [–nasal] from an oral vowel onto (part of) a nasal stop (e.g. Storto 1999: 26–31, Eberhard 2004), perhaps motivated by a ban on nasal consonants followed by oral vowels (\*NV). A case of shielding in the perseveratory context, for example, is analysed as (31). (Below,  $A_0$  denotes the closure phase of the stop, and  $A_{\max}$  the release; see Steriade 1993a on aperture positions.)

<sup>20</sup> I assume here that unbounded nasal spreading is not motivated by constraints on contrast. While there is not space to develop a full analysis of the Tinrin and Xârâcùù patterns here, one possibility is that they are triggered by a constraint like SPREAD-L([+nas],PrWd) (after Walker 2000: 44): for every [+nasal] autosegment N, assign one violation for every segment in N's prosodic word that is to N's left.

(31) *Shielding as spreading of [-nasal]*



A spreading-based analysis, however, does not predict the link between facts about the inventory (i.e. the existence of a contrast in vocalic nasality) and facts about the phonotactics (i.e. the possibility of shielding). As shown above, this is crucial to the analysis. While it is possible to solve this problem by allowing [-nasal] to spread only if [ $\pm$ nasal] is contrastive for vowels, this analysis has no explanation for contextual asymmetries in the shielding typology (§3), nor does it predict that the typologies of shielding and contextual neutralisation should mirror one another (§4). (For additional arguments that [-nasal] cannot spread, see Steriade 1993b.)

**5.2 CUE constraints**

The proposed analysis of shielding claims that it is crucial to explicitly reference contrast by appealing to acoustic properties that cue phonemic contrasts. Other approaches appeal to acoustic properties that cue the presence of individual feature values or segments, and do not reference contrast. Here I explore how one such model, Boersma’s (2009) Parallel Bidirectional Phonology and Phonetics (BiPhon) model, might account for the data in this article. We focus here on CUE constraints, which penalise correspondences between abstract phonological units and their phonetic realisations.<sup>21</sup> A schematic CUE constraint is given in (32); this constraint penalises a correspondence between a vowel that is [-nasal] and a vowel that is  $\geq X\%$  nasalised.

(32)  $*/V/[\geq X\% \text{ nasalised}]$

Assign one violation mark for each oral vowel that is  $\geq X\%$  nasalised.

As CUE constraints interact with more traditional markedness and faithfulness constraints, instances of (32) can motivate shielding and neutralisation. Violations of  $*/V/[\geq 60\% \text{ nasalised}]$ , for example, can be ameliorated through neutralisation (mapping the nasalised oral vowel to a nasal one) or shielding (eliminating the nasalisation), depending on the ranking of the relevant constraints.

While a model that incorporates CUE constraints might be capable of accounting for the existing contextual asymmetries in shielding, as well as their parallels in the typology of neutralisation, it cannot account for the generalisation that shielding only occurs in languages that license a

<sup>21</sup> For a general summary of how CUE constraints figure within the larger BiPhon model, see Boersma (2009).

contrast in vocalic nasality. CUE constraints do not make reference to contrast: (32) is applicable to all languages, regardless of whether or not they license a contrast in vocalic nasality. Although there are hints that the range of cues referenced by CUE constraints is dependent on the language's phonemic inventory (Hamann & Downing 2017: 93, 101), this aspect of the theory has not been spelled out. Further developments may change this conclusion, but at present the inability to refer to contrast renders the BiPhon model unable to account for the full set of generalisations presented in this article.

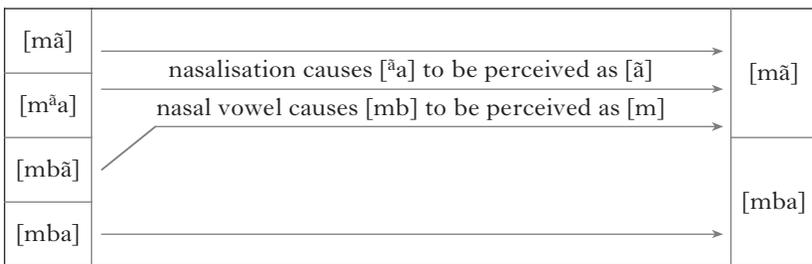
### 5.3 Channel bias

The final alternative I discuss holds that shielding emerges as a byproduct of channel bias, or innocent misapprehension (Ohala 1981, Blevins 2004, Moreton 2008). Under this alternative, shielding is not the result of enhancement, but rather of neutralisation processes that have occurred as a result of misperception arising during language transmission. For example, consider the system in (33), in which all syllables are open, and shielding occurs in the perseveratory context only.

(33) *Hypothetical perseveratory shielding system*

- a. Oral and nasal vowels contrast after voiceless consonants: pã, pa
- b. Only oral vowels may follow NCs: mba, \*mbã
- c. Only nasal vowels may follow Ns: mã, \*ma

The system in (33) could have developed from an earlier stage in which nasal and voiced prenasalised consonants were contrastive. Over time, however, oral vowels following nasal consonants could have been confused with, and reinterpreted as, nasal vowels ([m<sup>a</sup>a] > /mã/). In addition, prenasalised consonants preceding nasal vowels could have been reinterpreted as plain nasal consonants ([mbã] > /mã/; see Beddor & Onsuwan 2003 on cues to the N *vs.* NC contrast). The resulting system is one in which nasal and prenasalised consonants are in complementary distribution, as in Fig. 2.



*Figure 2*

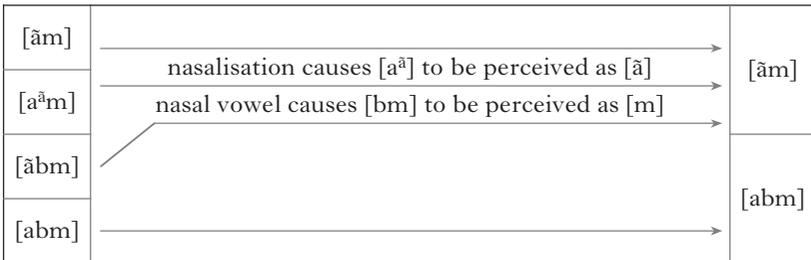
Proposed historical source of the distribution in (33).

This account, however, faces a number of problems in accounting for some of the more complex patterns attested in the typology. First, it is unclear how it would be able to account for systems in which shielding occurs in only a subset of the contexts in which it could possibly occur. Consider for example those systems in which shielding occurs in only the tautosyllabic anticipatory context (e.g. Nadëb; Barbosa 2005). In these systems, both oral and nasal vowels can follow oral and nasal consonants, but shielding results when a coda nasal is preceded by an oral vowel, as in (34).

(34) *Hypothetical tautosyllabic anticipatory system*

- a. Oral and nasal vowels contrast after oral consonants: pã, pa
- b. Oral and nasal vowels contrast after nasal consonants: mã, ma
- c. Only oral vowels may precede CNs: abm, \*ãbm
- d. Only nasal vowels may precede Ns: ãm, \*am

A channel-bias account of these facts would assume that the historical starting point for the system in Fig. 3 was a language in which a contrast between nasal and preoralised nasal consonants coexisted with a contrast in vocalic nasality. Over time, however, oral vowels preceding nasal consonants would be confused with, and reinterpreted as, nasal vowels ([a<sup>ã</sup>m] > /ãm/). Preoralised stops following nasal vowels would be confused with, and reinterpreted as, plain nasals ([ãbm] > /ãm/).



*Figure 3*

Proposed historical source of the distribution in (34).

But if the system in (34) is one in which nasal and preoralised nasal consonants originally contrasted, why are preoralised segments no longer attested in any context? Why, for example, do we not find an intervocalic contrast between /abmã/ and /amã/ in any language with the shielding pattern in Fig. 3? To explain why a language that displays the shielding pattern in Fig. 3 should ban /bm/ elsewhere, it would be necessary to postulate an additional markedness constraint that bans the occurrence of /bm/ in all prevocalic contexts: a constraint like \*CN / \_\_ V in (35), for

example, would prevent both [bma] and [abma] from surfacing, but crucially allow [abm] to exist.

(35) \*CN / \_\_ V

Assign one violation mark for each prevocalic CN sequence.

But the proposal that \*CN / \_\_ V is a part of CON becomes problematic when we consider how preoralised segments pattern in languages where they contrast with other segment types. In the two clear cases discussed by Poser (1979: 32–35) where preoralised and other kinds of stops contrast, preoralised segments are allowed *only* in prevocalic position. While the data is limited, a constraint banning postnasals from only prevocalic position does not provide an accurate characterisation of the existing typology: it predicts an unattested pattern in which phonemic preoralised segments are allowed in coda position only. The contrast-based analysis presented in §2 and §3 avoids this problem, as it does not need to employ contextual markedness constraints to characterise a pattern like Nadëb: shielding in coda position only results from an interaction between MINDIST and context-free \*CONTOUR (see §3.3.3). Without appealing to a constraint like \*CN / \_\_ V, it is unclear how the pattern in Nadëb – where [bm] and [m] both appear finally, but do not contrast prevocalically – would be derived under a channel-bias account.

A second difficulty becomes apparent when we consider the set of allophones produced by shielding in Karitiâna (Storto 1999). In languages like Karitiâna, nasals are realised as medionasals between two oral vowels (/ama/ → [abmba]; though cf. Everett 2007 on variation between [bmb], [b] and [mb] in Karitiâna). An analysis in which shielding arises as a result of contextual neutralisation would have to assume that medionasals originally contrasted with plain nasals, and that complementary distribution between medionasals and other kinds of (partially) nasal segments arose through neutralisation of consonantal and vocalic nasality contrasts, in different contexts. As medionasals are unattested outside of shielding phenomena, however, proposing that they contrasted with other stops at any point in any language's history is undesirable. More succinctly, the channel-bias account of shielding must assume that all allophones produced by shielding were at one point contrastive. In the case of medionasals, this is not a desirable assumption.

The fundamental problem is that the channel-bias account is only capable of deriving patterns of neutralisation, not patterns of enhancement (though cf. Blevins 2004: 285–289). And while some specific instances of enhancement can be reanalysed as arising via neutralisation, like the prevocalic shielding example in (33), this is not true of the entire typology.

## 6 Extensions and conclusions

This article has argued that any successful analysis of the typology of shielding in South American languages must explicitly reference contrast. Before concluding, this section provides a brief discussion of two necessary areas of further research: further contextual restrictions (and the lack thereof) on the distribution of shielding (§6.1), and support for the analysis beyond the typological survey discussed in §2 and §3 (§6.2).

### 6.1 Further asymmetries in the typology of shielding

In many cases, languages do not license vocalic nasality contrasts in all positions within the word: in Wari' (Chapakuran; Everett & Kern 1997), for example, the vocalic nasality contrast is licensed only in stressed syllables. Under the assumption that MINDIST constraints compare only sounds that occur in the same context, the analysis proposed above predicts that the distribution of shielding should track these positional asymmetries where they exist. In other words, shielding should only be licensed in environments where there is a contrast in vocalic nasality to protect. This prediction appears to hold: descriptions are often not clear about contextual limitations on contrasts in vocalic nasality, but in the five surveyed cases where it is extremely likely that contextual restrictions exist, shielding applies only in contexts where the vocalic nasality contrast is licensed (see Appendix B). But there are other ways in which shielding does not track the distribution of vocalic nasality contrasts. In particular, whether or not shielding applies before a given oral vowel is not sensitive to whether or not that oral vowel has a nasal correspondent. In Karajá, for example, shielding applies before [i i̯ u], even though nasal [ĩ ỹ õ] do not exist (see the examples in Ribeiro 2001: 79). More broadly, for 26 of the 66 shielding languages surveyed, the oral vowel inventory is larger than the nasal vowel inventory (see Appendix B); none of the descriptions, however, mention that shielding fails to occur before oral vowels that lack nasal correspondents.

There are at least two potential explanations for this fact. The first is that predictable changes in the vowel quality of nasalised vowels (e.g. Beddor 1983) impact the distinctiveness of contrasts between those vowels and phonemically nasal vowels of different qualities. For example, Karajá [i] contrasts not with nasal \*[ĩ], but with nasal [ĩ]. It could be the case, however, that the quality of an allophonically nasalised [i] is similar to [ĩ], thus rendering the contrast between them insufficiently distinct. Whether or not an explanation along these lines can account for the lack of sensitivity to vowel quality more generally is a question outside the scope of this article, as verifying this hypothesis would require careful study of allophonic nasalisation in the 26 languages with fewer nasal than oral vowels. Another potential explanation for this fact is that the MINDIST constraints that motivate shielding can refer only to the presence *vs.* absence of a [±nasal] contrast: they evaluate only the perceptual

distance between prototypical oral and nasal vowels (i.e. V *vs.*  $\tilde{V}$ ), rather than each individual contrast between, for example, [i] and [ĩ], or [a] and [ã]. If this latter explanation is correct, it would have substantial implications for the formalisation and implementation of distinctiveness constraints: the claim that the relevant contrast is the one between a prototypical oral and a prototypical nasal vowel implies that distinctiveness constraints are evaluated at a higher level of abstraction than is currently assumed. As it is not clear which of these explanations (if either) is correct, I leave the questions raised here for future research.

## 6.2 Shielding in other languages

As noted at the outset, the generalisations regarding the typology of shielding established in this article are based on a survey of South American languages. In order for the conclusions to hold universally, evidence that the generalisations hold across a more geographically diverse sample of languages would be required. While the investigation necessary to provide this evidence is beyond the scope of this article, a cursory examination of languages from other regions reveals some that license both shielding and a contrast in vocalic nasality (e.g. Slave, Na-Dené; Rice 1989: 58–60), as well as several others that appear to allow shielding without licensing a contrast in vocalic nasality.<sup>22</sup> These latter cases are discussed below.

6.2.1 *Prestopping in Australian languages.* Prestopping, attested mainly in Australian and Austronesian languages, appears similar to shielding. Examples of prestopping from Arabana-Wangkangurru (Hercus 1972: 296) are given in (36); where Bāgundji has a nasal, Arabana-Wangkangurru has a prestopped nasal.

(36) <i>Bāgundji</i>	<i>Arabana-Wangkangurru</i>	
dina	ḍidna	‘foot’
gudna	guna	‘excrement’

But this is not the whole picture: in Arabana-Wangkangurru, as well as many other languages that exhibit nasal prestopping, laterals are prestopped as well (e.g. Arabana-Wangkangurru *madla* ‘dog’, *bidla* ‘name’). This suggests that the alternations in (36) instantiate a process that is distinct from the class of shielding processes discussed above. As defined in §2, shielding targets only nasals; prestopping is capable of targeting a larger class of sonorants. The two kinds of process also clearly

<sup>22</sup> Rice (1989: 83) claims that all nasal vowels can be derived from VN sequences in conservative Slave. There is no evidence, however, that this generalisation is psychologically real, i.e. that speakers are aware of the source of nasalised vowels, and changes in the Slavey dialect point to nasal vowels having acquired phonemic status (Rice 1989: 83ff).

have different motivations: prestopping does not appear to be motivated by a desire to protect the orality of a preceding vowel, as we expect vowels preceding laterals to be fully oral. The notion that prestopping has nothing to do with protecting the orality of a preceding vowel is supported by reports that prestopping of nasal consonants can occur after nasal vowels in Stieng (Austro-Asiatic) and Thai (Tai-Kadai) (Poser 1979: 43–44).

Following Steriade (1993b), I hypothesise that prestopping occurs to enhance a syntagmatic sonority contrast between a stressed vowel and the consonant that immediately follows it. In languages that license prestopping, the allophonic variation is frequently or always limited to immediately posttonic position. Steriade (1993b) links this restriction to Edwards & Beckman's (1988) suggestion that stress 'induces a hypercharacterization of the sonority contrasts within the syllable', and proposes that, in processes of prestopping, 'the sonority contrast is being exaggerated by turning the coda consonant into an obstruent' (Steriade 1993b: 343).

It is possible to distinguish a case of shielding from a case of prestopping, because they have different typological signatures: prestopping processes share a number of characteristics (outlined by Steriade 1993b: 342) that shielding processes do not. For example, as discussed above, prestopping processes frequently target both nasals and laterals. In addition, prestopping processes target only long or lengthened sonorants, either underlyingly geminate (as in Icelandic; Einarsson 1945) or predictably lengthened (as in Arabana-Wangkangurru). This is not the case for shielding, where there is no clear link between shielding and the duration of the consonant that it targets. While it is unclear what causes prestopping processes to exhibit some of the characteristics that they do (e.g. the preference to target long consonants; though see Steriade 1993b: 343), these are questions best left for future work. The important point is that while prestopping processes may superficially resemble shielding processes, a closer look at the typology reveals that they are best treated as a different kind of process, with a distinct motivation and a distinct surface manifestation.

**6.2.2 Denasalisation in Korean.** As documented by a number of scholars, Korean word-initial nasal consonants are partially denasalised, with the resulting segment being acoustically and aerodynamically similar (but not identical) to a voiced obstruent at the same place of articulation (see e.g. Cho & Keating 2001 and Kim 2011 on the phonetics of denasalisation). Korean does not license a contrast in vocalic nasality; for further description of Korean phonetics and phonology, see Kim (2011) and references there.

But just as in the case of prestopping discussed above, there is evidence that the word-initial denasalisation process observed in Korean is just one symptom of a more general process. In the case of Korean, Cho & Keating (2001) show that denasalisation is part of a more general domain-initial strengthening process (e.g. Fougeron & Keating 1996) which likely

affects the realisation of all obstruents in word-initial position.<sup>23</sup> Cho & Keating (2001) show that each of the Korean coronal stops – /n t t<sup>h</sup> t<sup>\*</sup>/, where /t<sup>\*</sup>/ represents a tense, or fortis, stop – undergoes fortition, or obstruentisation, when in word-initial position: the consonants are lengthened, they evidence greater linguopalatal contact, the VOTs for /t/ and /t<sup>h</sup>/ increase, the nasal energy associated with /n/ decreases, and so on (see Cho & Keating 2001 on these and other measurements).

The point here is that, as for the cases of prestopping above, denasalisation in Korean does not require an independent explanation, and should not be given one. Denasalisation merely represents one side-effect of a more general process: here, the fortition of all stops in word-initial position.

### 6.3 Summary

The major finding of this article is that constraints on contrast are essential to the analysis of environmental shielding in South American languages. In §2–§4, I showed that the contrast-based analysis is capable of predicting three typological generalisations: (i) shielding occurs only in languages with a contrast in vocalic nasality, (ii) if shielding targets a contrast in vocalic nasality that is relatively distinct, it targets all contrasts in vocalic nasality that are less so, and (iii) asymmetries in the typologies of shielding and neutralisation parallel one another. Though this last result is naturally predicted by contrast-based theories such as Dispersion Theory, evidence for parallels between the typologies of neutralisation and enhancement phenomena has previously proven elusive (see Flemming 2008: 32–35).

In §5, I argued that three conceivable alternative analyses of the shielding typology that do not explicitly refer to contrast make unwanted predictions that are avoided under a contrast-based account. Thus, given the apparent lack of a workable alternative, we can conclude two things. First, environmental shielding is contrast preservation: contrast must play a central role in any successful analysis of the typology of shielding. Second, and more broadly, these results provide strong evidence that contrast and the constraints that reference it are an essential part of the phonological grammar. It is hoped that pursuing the areas for further research outlined in §6.1 and §6.2 will serve to strengthen this result.

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<sup>23</sup> Kim (2011) disputes the claim that denasalisation in Korean is a form of domain-initial strengthening, on the grounds that in her data nasals at different levels of the prosodic hierarchy do not behave any differently from one another. She does not discuss the connections between denasalisation and stop fortition established by Cho & Keating (2001).

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