

Research Article

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Utilising mitochondrial barcode sequencing to evaluate phylogeographical structure and guide the release of illegally traded Amazon parrots

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Summary

Amazon parrots stand out as one of the most illegally traded Psittacids in the neotropics. However, the lack of effective tools for determining the geographical origin of confiscated individuals has impeded the development of well-informed release programmes. In this study, we evaluated the efficacy of the cytochrome oxidase subunit I (COI) gene to identify phylogeographical groups and infer the origins of seized individuals across six Amazon parrot species. Through comprehensive genetic and phylogenetic analyses of 140 COI sequences from individuals with documented geographical origin, a genetic reference database was assembled. The most likely origin of 156 seized parrots was inferred by comparing their genotype to this database. Within the Yellow-headed Parrot *Amazona ochrocephala* species complex, our analyses revealed the presence of seven distinct phylogeographical groups, exposing a notable poaching impact in the Middle Magdalena's river valley. For the Southern Mealy Amazon *A. farinosa*, three distinct genetic groups were identified, with seized individuals showing comparable proportions originating from both the Cis- and Trans-Andean regions. Noteworthy genetic differentiation was observed between individuals of Festive Amazon *A. festiva* from the Caquetá–Amazon Rivers and those from the Meta River, with two seized individuals assigned to the former. The Scaly-naped Amazon *A. mercenaria* exhibited genetic divergence between individuals from the central Andes and the Sierra Nevada de Santa Marta. In contrast, the Orange-winged Amazon *A. amazonica* and Red-lored Amazon *A. autumnalis* did not display significant phylogeographical structure. However, analyses of seized individuals of *A. amazonica* suggested a potential underestimation of its genetic diversity and structure. This study illustrates the utility of mitochondrial molecular markers in determining the most probable area of origin for confiscated Amazon parrots, aiding in release programmes and enhancing the monitoring of natural populations.

Resumen

Los loros del género *Amazona* se destacan como uno de los Psitácidos más comercializados ilegalmente en el Neotrópico. Sin embargo, la falta de herramientas efectivas para determinar el origen geográfico de individuos confiscados, ha obstaculizado el desarrollo de programas de liberación bien fundamentados. En este estudio, evaluamos la eficacia del gen de la subunidad I de la Citocromo Oxidasa (COI) para identificar grupos filogeográficos e inferir los orígenes de individuos incautados de seis especies de loros *Amazona*. A través de análisis genéticos y filogenéticos integrales de 140 secuencias de COI de individuos con origen geográfico documentado, se estableció una base de datos genética de referencia. Determinamos el origen más probable de 156 loros incautados comparando sus genotipos con esta base de datos. Dentro del complejo de especies del loro de cabeza amarilla, *Amazona ochrocephala*, nuestros análisis revelaron la presencia de siete grupos filogeográficos distintos, evidenciando un impacto notable de la caza furtiva en el valle Medio del río Magdalena. Para *A. farinosa*, se identificaron tres grupos genéticos distintos, con individuos incautados mostrando proporciones comparables de ambos lados de los Andes (Cis y Transandinos). Se observó una diferenciación genética significativa entre individuos de *A. festiva* de los ríos Caquetá–Amazonas y aquellos del río Meta, asignándose dos individuos incautados al primer grupo. *Amazona mercenaria* mostró divergencia genética entre individuos de los Andes centrales y la Sierra Nevada de Santa Marta. En contraste, *A. amazonica* y *A. autumnalis* no mostraron una estructura filogeográfica significativa. Sin embargo, los análisis de individuos incautados de *A. amazonica* sugirieron

una posible subestimación de su diversidad y estructura genética. Este estudio ilustra la utilidad de los marcadores moleculares mitocondriales para determinar el área de origen más probable de loros Amazona confiscados, ayudando en programas de liberación y mejorando el monitoreo de poblaciones naturales.

Introduction

Assessing the genetic diversity and structure of wildlife populations is significant for the development of effective conservation strategies. Such assessments facilitate the identification of groups with unique genetic identity, necessitating independent management approaches to ensure their preservation (Fallon 2007; Rivera-Ortíz et al. 2020). Despite the crucial role that genetic studies play in conservation and management processes, a substantial paucity of genetic information persists in wildlife populations. This deficiency is notably conspicuous in countries in the neotropics that, despite boasting a great diversity of bird species, presents a relatively low genetic characterisation in this group (Noreña et al. 2018).

Despite the neotropics having almost half the world's entire bird richness (4,194 species) and including the top 10 most diverse countries, this region faces substantial ecosystem degradation and accelerated wildlife loss (Develey 2021; Etter 1993; Renjifo et al. 2020; Tundisi and Matsumura-Tundisi 2008; Victorino 2012). This is evident in countries like Colombia, Peru, and Brazil, which are considered the richest countries worldwide, yet 140, 122, and 166 bird species, respectively, are categorised as threatened (Angulo-Pratolongo 2018; Develey 2021; Renjifo et al. 2016). Also, it has been reported that between 2002 and 2016, the number of species increasing their threat category was four times higher compared with those improving their conservation status, primarily attributed to habitat degradation and illegal trade (Renjifo et al. 2016, 2020).

Illegal wildlife trade remains a poorly managed issue, posing a significant risk to global biodiversity and biosecurity, while also providing a source of funding for criminal organisations (Rosen and Smith 2010). Estimates suggest that between 1998 and 2018, at least 421 million CITES-listed wildlife individuals were trafficked worldwide, with a disproportionate impact on developing nations facing economic challenges (Liew et al. 2021). Birds are a prime target, with poaching affecting approximately 23% of all bird species (10,278 species) and 50% of threatened species. This is especially notorious in Latin America, where specimen extraction is prevalent (Scheffers et al. 2019).

The Psittacidae family is severely impacted by habitat degradation and illegal trade with approximately one-third of Psittacidae species threatened globally (Vergara-Tabares et al. 2020). Parrots stand out as one of the most heavily traded birds globally, with approximately 321 CITES-listed species traded internationally (Chan et al. 2021). Illegal trade represents the second most significant threat to parrot populations, particularly in the neotropics, where the *Amazona* genus is among the most impacted (Mercado et al. 2020; Tella and Hiraldo 2014). In Colombia, parrots account for 74–91% of confiscated birds, and the six Amazon species found in the country constitute 41% of all confiscated parrots (Mendivelso-Gamboia and Montenegro 2007; Restrepo-Rodas and Pulgarín-Restrepo 2017). Similarly, in Venezuela, Amazon parrots are the most affected group by illegal trade, representing around 57% of all traded parrots in the country (Mercado et al. 2020). In Mexico, Amazon parrots along with macaws (genus *Ara*) are the most illegally traded parrots, with *Amazona* species representing 37% of all seized individuals (Tella and Hiraldo 2014). In Bolivia, Amazon parrots represent 15% of traded parrots, and the

Turquoise-fronted Amazon *Amazona aestiva* is the fourth most traded species (Pires et al. 2016).

The high and ongoing trade of parrots presents significant challenges for environmental agencies, where confiscated specimens with unknown classification and/or origin rapidly accumulate at reception centres. However, some specimens cannot be identified morphologically and the movement of parrots from various localities during poaching makes it challenging to trace the geographical origin, leading to biases in current translocation programmes (Restrepo-Rodas and Pulgarín-Restrepo 2017). This poses a potential risk of artificially mixing genetically distant populations, which could result in outbreeding depression, homogenisation of genetic diversity, maladaptation to environmental conditions, and interference with the evolutionary process (Frankham et al. 2011; Gippoliti et al. 2018; Oklander et al. 2020). This issue is particularly prominent for groups with taxonomic uncertainties and whose current taxonomy requires re-evaluation (Gippoliti et al. 2018). This is the case of the Amazon parrots, where taxa like *A. ochrocephala* and *A. farinosa* are considered species complexes with high genetic structure and variation between subspecies (Hellmich et al. 2021; Ribas et al. 2007; Wenner et al. 2012).

Decision-making regarding handling and disposition of confiscated individuals could be addressed using molecular tools, helping in their identification and reallocation, but such tools require a pre-existing genetic reference database (Coghlan et al. 2012; Formentão et al. 2021; Gonçalves et al. 2015; Kim 2020). Assessing the genetic structure of parrot populations has proven to be a valuable tool against illegal wildlife trafficking (Presti et al. 2015). This approach involves determining the potential origin of confiscated animals by analysing the genetic structure of populations with known origins to establish a reference database for comparison and inference (Oklander et al. 2020). While some research projects have been conducted using this approach for neotropical parrots (Fernandes and Caparroz 2013; Presti et al. 2015; Rivera-Ortíz et al. 2021), there is still a notable gap for one of the most trafficked parrot groups: the Amazon parrots.

The mitochondrial cytochrome oxidase subunit 1 (COI) gene is a widely employed molecular marker for assessing genetic structure and conducting biogeographical studies. This gene has revealed significant structural variations in neotropical birds, particularly among populations of geographically separated species (Mendoza et al. 2016; Tavares et al. 2011). Additionally, the COI gene has proven valuable in assessing phylogeographical differentiation within two Amazon parrots (Rocha et al. 2014). However, it is crucial to be cautious when using mitochondrial markers for biogeographical inferences, as their applicability may vary among different species under study (Fuhrmann and Kaiser 2021).

This study aims to fill the existing knowledge gap concerning the phylogeographical structure of Amazon parrots and evaluate the utility of this information in addressing the growing threat of illegal poaching. Our primary goal was to assess the phylogeographical structure of six Amazon parrot species using mitochondrial sequencing to determine the probable origin of confiscated individuals and to identify juveniles and chicks taxonomically. The outcomes of this research offer a practical, cost-effective, and reliable tool that can enhance the accuracy of release programmes

and help to mitigate the risks associated with intermixing distinct evolutionary lineages.

Methodology

Laboratory analysis

Sample collection

Tissue samples were sourced mainly from museum material from a variety of locations, as well as some field sampling efforts. We specifically targeted six Amazon parrot species: *Amazona ochrocephala*, *A. amazonica*, *A. farinosa*, *A. autumnalis*, *A. festiva*, and *A. mercenaria*. Seventy percent of the samples came from museum specimens collected between 1944 and 1988. Considering that these samples are 36–80 years old and that Amazon parrots have a notably long lifespan, which can exceed 60 years in captivity (Young et al. 2012), they most likely accurately represent current populations' genetic structure. Additionally, we incorporated published sequences with known origins that were obtained from the GenBank and BOLD databases.

To address the taxonomic ambiguity within the Yellow-crowned Amazon *A. ochrocephala*, which is part of the “yellow-headed parrot species complex”, we included available sequences from related species. These related species included the Yellow-naped Amazon *A. auropalliata*, Yellow-shouldered Amazon *A. barbadensis*, and Turquoise-fronted Amazon *A. aestiva*, as they have been considered part of the same complex (Eberhard and Bermingham 2004; Ribas et al. 2007). In total, our analysis included 140 sequences with known geographical origins, comprising our genetic reference database (Supplementary material Table S1).

In addition, we collected 156 blood samples from seized Amazon parrots with unknown origins confiscated in Colombia. These samples were obtained from tissue collections and live animals held by various institutions, including the Laboratory of Forensic Genetics of Wildlife Species at the Directorate of Criminal Investigation and INTERPOL, the Wild Animal Rescue and Rehabilitation Unit (URRAS) under the jurisdiction of the District Environment Secretariat of Bogotá, and the Regional Autonomous Corporation of Cundinamarca (CAR). Of these samples, 144 were obtained from adult individuals, while 12 were from young parrots whose taxonomic identification based on plumage characteristics was not feasible. For a comprehensive data set of all analysed sequences, please refer to Table S2.

DNA extraction

For fresh and well-preserved samples, we performed DNA extraction using the NucleoSpin Tissue Kit from MACHEREY-NAGEL (Düren, Germany), following the manufacturer's instructions. For museum samples we followed the protocol described in Hoyos et al. (2017). After extraction, DNA concentration was quantified with an EzDrop 1000 Micro-Volume Spectrophotometer (Blue-Ray Biotech, Taipei, Taiwan), and purity was assessed based on absorbance ratios at 280:260 and 230:260. The samples were in a range of 1.6–1.9 for the 280:260 ratio, and 1.1–3.4 for the 230:260 ratio.

Polymerase chain reaction

We amplified a 658-bp segment of the COI gene via polymerase chain reaction (PCR). COI is demonstrated to be a suitable marker, given its established efficacy in species identification and the assessment of genetic diversity and structure in neotropical birds for both

systematic and conservation purposes (Colihueque et al. 2021; Ham-Dueñas et al. 2020; Tavares et al. 2011; Tizard et al. 2019). Moreover, COI has been employed in prior studies to investigate speciation and biogeography in Amazon parrot species (Rocha et al. 2014).

We used the primers Psitt-COXIL1 (AACCAACCAYAAA-GAYATYGGCAC) and Psitt-COXIH1 (CCBGBBAGRATRA-GRATRTAHACTTC) for the majority of the samples. However, as most of the museum samples did not amplify using these primers (most likely due to DNA degradation), we employed the following internal primers: COIF (CCYGCHGGRGGAGGAGAYCCA), SITG1 (TCYGCYACMATAATYATCGC), SITG2 (TTCACAG TRGGRATAGAYGTAGAYAC), SITG3 (GCWCAYTTCCACTAYGTHCTATC), SITG4 (AAACTCYTCAYTAGAYATYGC), and COIA (GACTAYCCMGAYGCTTATACT). Psitt-COXIL1 and Psitt-COXIH1 primers were based on Kerr et al. (2009), but were modified to improve efficiency for the Psittacidae family. Internal primers were designed specifically for this study.

PCR reactions were carried out in a 25 µL final volume, containing 30–100 ng of DNA, 12.5 µL of OneTaq® 2X Master Mix with Standard Buffer (Biolabs), 1.25 µL of the forward primer, 1.25 µL of the reverse primers, and adjusted with ultrapure water. All reactions were conducted using a Mastercycler PRO S 6325 Thermal Cycler (Eppendorf, Stevenage, UK). The PCR protocol included an initial denaturation step at 95°C for 3 minutes and 30 seconds, followed by 40 cycles of denaturation at 95°C for 30 seconds, annealing at 50°C (45–55°C for internal primers) for 35 seconds, extension at 72°C for 1 minute and 15 seconds, and a final elongation step at 72°C for 10 minutes. To verify amplification and primer specificity, PCR products were analysed using 1% agarose gel electrophoresis stained with EZ-vision.

Purification and sequencing

The PCR products were purified by combining 11 µL of ammonium acetate with 37.5 µL of 96% ethanol. This mixture underwent pellet precipitation for 15 minutes at room temperature, followed by centrifugation at 12,500 rpm and 2°C for 30 minutes. The resulting supernatant was carefully removed, and the pellet was then washed with 70 µL of 70% ethanol before another round of centrifugation for 15 minutes at 12,500 rpm and 2°C. Finally, the supernatant was discarded, and the pellet was eluted in 15–20 µL of ultrapure water. The purified products were sequenced on one or both strands when necessary (based on manual inspection of the chromatograms and review of quality values with a minimal threshold of 20) using Sanger sequencing technology with an ABI 3130 sequencer (Applied Biosystems, Waltham, USA).

Data analysis

Phylogenetic analyses

To assess the genetic structure within and between Amazon parrot species, we conducted phylogenetic analyses by aligning sequences with known origins. The alignment was carried out using the MUSCLE algorithm (Edgar 2004) available in MEGA 11 (Kumar et al. 2008). For the inference of the phylogenetic hypothesis, we employed a Bayesian species tree approach, utilising the software BEAST 2.6.7 (Drummond and Rambaut 2007). The configuration of analysis parameters was made through the software BEAUTI 2. To determine the most appropriate substitution model, we utilised JmodelTest 2.1.1 (Posada 2008) and employed the Bayesian information criterion (BIC) for model selection. Two independent

Markov Chain Monte Carlo (MCMC) runs were conducted, each consisting of 50,000,000 generations with samples collected at intervals of 1,000 generations including a burn-in of 20%. The results of these two analyses were subsequently merged using the LogCombiner 2. For the assessment of sampling, we employed Tracer 2.7.2, calculating mean and 95% highest posterior density (95% HPD) values and ensuring that effective sample size (ESS) values exceeded 200 for all parameters (Rambaut et al. 2018). Maximum credibility tree was obtained, along with corresponding support values expressed as posterior probabilities (PP). This was achieved using TreeAnnotator 2.6.6, and the resulting tree was visualised using FigTree 1.4.4. In addition, we applied a maximum likelihood approach to infer the phylogenetic hypothesis, using IQ-tree version 1.6.12 (Minh et al. 2020). To identify the optimal substitution model, we used the Model Finder option. Following this, we generated a consensus tree based on 100,000 bootstrap replicates to assess support for the tree topology. The consensus tree, along with the associated bootstrap values, was also visualised using FigTree 1.4.4.

Haplotype networks

The establishment of genealogical relationships among haplotypes is a widely used strategy for studying genetic structure and discerning phylogeographical patterns of differentiation (Fernandes and Caparroz 2013; Rivera-Ortíz et al. 2021). This approach has been previously applied to assess genetic structure and ascertain probable origins in macaws (Fernandes and Caparroz 2013; Rivera-Ortíz et al. 2021). Haplotypes were inferred using DnaSP version 6.12.03 (Rozas et al. 2017) and the genealogical lineage relations were established using the haplotype networks generated with the TCS algorithm in PopART version 1.7 (Cruz et al. 2021). Geographical associations of haplotypes were plotted using PopART (Cruz et al. 2021). To better delimit the phylogeographically differentiated groups, we applied the Bayesian Analysis of Population Structure (BAPS) algorithm, using RhierBAPS version 1, implemented in the Rstudio version 4.4.1 environment (Tonkin-Hill et al. 2018).

Genetic distances and structure

To calculate the genetic distances between species and intra-specific phylogeographical groups, we computed the P uncorrected distances using the MEGA 11, with 1,000 bootstrap repetitions (Rodrigues et al. 2016). Significant genetic differences between phylogeographical groups were tested using an Analysis of Molecular Variance (AMOVA) and differentiation coefficients (F_{ST}) with Arlequin 3.5.2.2 (Excoffier et al. 2005). Correction for multiple testing was done for pairwise F_{ST} P values applying a false discovery rate (FDR) adjustment using the Benjamini–Hochberg correction in Rstudio version 4.4.1 with the stats (version 3.6.2) package “p-adjust” function (Jacobs et al. 2018).

Identification and estimation of probable geographical origin

Seized individuals' identification and the estimation of their probable geographical origin was performed by COI sequences comparison with the reference database of individuals with known origins. This inference was based on the relationships observed between haplotypes as has been carried out previously in similar studies (Fernandes and Caparroz 2013; Rivera-Ortíz et al. 2021). To visually illustrate the impact of illegal trade on the previously identified geographical genetic groups, we generated new haplotype networks using PopART, which included sequences from individuals with known origins as well as sequences from seized individuals.

Results

The Bayesian phylogenetic tree provided strong support for the differentiation among the six studied Amazon parrot species (see Figure 1). The mean genetic distance observed among these species was 5.3%, with values ranging from 3.5% to 6.4% (Table S3). These results validate COI barcoding as a reliable marker to distinguish between Amazon parrot species from Colombia.

We utilised the reference database of species to address the taxonomic identity of 12 confiscated Amazon parrot chicks that could not be identified morphologically. Our analysis revealed that 10 of these parrots belonged to the Yellow-crowned Amazon *A. ochrocephala*, while the remaining two were identified as Orange-winged Amazon *A. amazonica* (Table S1).

Beyond the observed inter-specific differentiation, we identified a significant intra-specific genetic structure in specific Amazon parrot species, as reflected in both the phylogenetic tree and haplotype network analysis (Table S1).

Southern Mealy Amazon *Amazona farinosa*

Phylogeographical and haplotype analyses

This parrot exhibits two major clades and three distinct phylogeographical groups (Figures 1 and 2A). The first major clade corresponds to individuals of the *A. f. farinosa* subspecies located in the Cis-Andean region (Figures 1 and 2). This clade further divides into two phylogeographical groups. The first group comprises parrots from the Amazon basin in the south-west of Colombia (CIA-W), while the second (CIA-E) includes individuals from the eastern part of South America, specifically from Guyana. The second major clade and the third phylogeographical group consist of specimens of *A. f. inornata* subspecies found in the Trans-Andean region, from the west and north of Colombia to Panama and in the Middle Magdalena region in eastern Colombia (TRA). Additionally, the haplotype network and haplotype map reveal some haplotypes associated with the Northern region, Middle Magdalena, and western Colombia (Figure 2A and C).

Genetic distances and structure analyses

The genetic distance between the Trans-Andean (western region passing the Andes Mountain range) group and the two Cis-Andean (eastern region passing the Andes Mountain range) groups was 0.9% (CIA-W) and 1% (CIA-E), respectively. The distance between the two Cis-Andean populations was lower, at 0.4%. The AMOVA test confirmed a significant difference among the three groups ($P < 0.001$), with 74% of the variance observed between populations. The F_{ST} values indicated high levels of genetic differentiation between the TRA population and both Cis-Andean populations ($F_{ST} = 0.7$) and a higher F_{ST} value (0.8) between the CIA-W and CIA-E groups. These differences were statistically significant in all pairwise comparisons ($P < 0.05$) (Table 1).

Estimation of the probable geographical origin

We utilised the reference database to determine the most likely origin of 20 confiscated individuals. Among them, 75% matched haplotypes that had already been documented in the reference database, while five individuals presented new haplotypes (Figure 2B). These new haplotypes were closely related to established groups, allowing them to be assigned to specific geographical

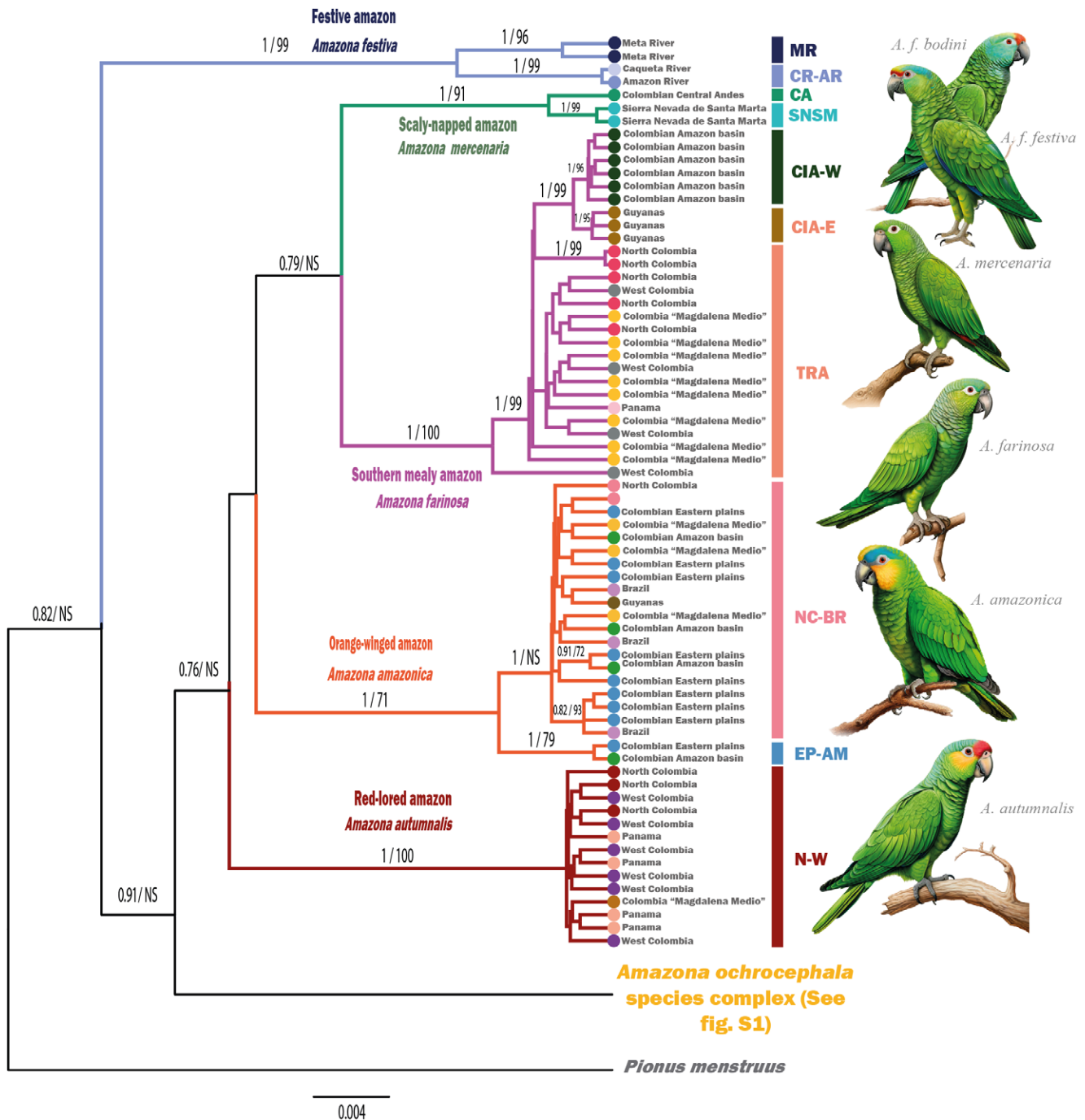


Figure 1. Consensus cytochrome oxidase subunit I (COI) gene tree of the six *Amazona* species found in Colombia, along with their corresponding support values as posterior probability (PP) (left) and bootstrap support (right). Only support values higher than 0.7/70 are shown. The geographical locality of the samples is denoted by coloured circles at the tips of the tree. *Amazona festiva*: MR: Meta River, CR-AR: Caquetá River to Amazon River; *A. mercenaria*: CA: Central Andes, SNSM: Sierra Nevada de Santa Marta; *A. farinosa*: CIA-W: Cis-Andean West, CIA-E: Cis-Andean East, TRA: Trans-Andean; *A. amazonica*: NC-BR: North Colombia to Brazil, EP-AM: Eastern Plains to Amazon Basin; *A. autumnalis*: N-W: north to west South America. The analysis of *Amazona ochrocephala* species complex (See fig. S1).

regions. Half of the confiscated parrots were assigned to the TRA, and the remaining 50% were assigned to the Cis-Andean region in CIA-W (Table S2).

Festive Amazon *Amazona festiva*

Phylogeographical and haplotype analyses

This parrot was divided into two genetic groups. A first group of *A. f. festiva* from the Caquetá River in the Colombian Amazon

basin and the mouth of the Amazon River in eastern Brazil (CR-AR). A second group included *A. f. bodini* in the Meta River (MR) (Figures 1 and 3C-D).

Genetic distances and structure analyses

The genetic distance between these two groups was 1.9%. The AMOVA test indicated a marginally non-significant value of $P = 0.06$, with 84% of the variance observed between populations.

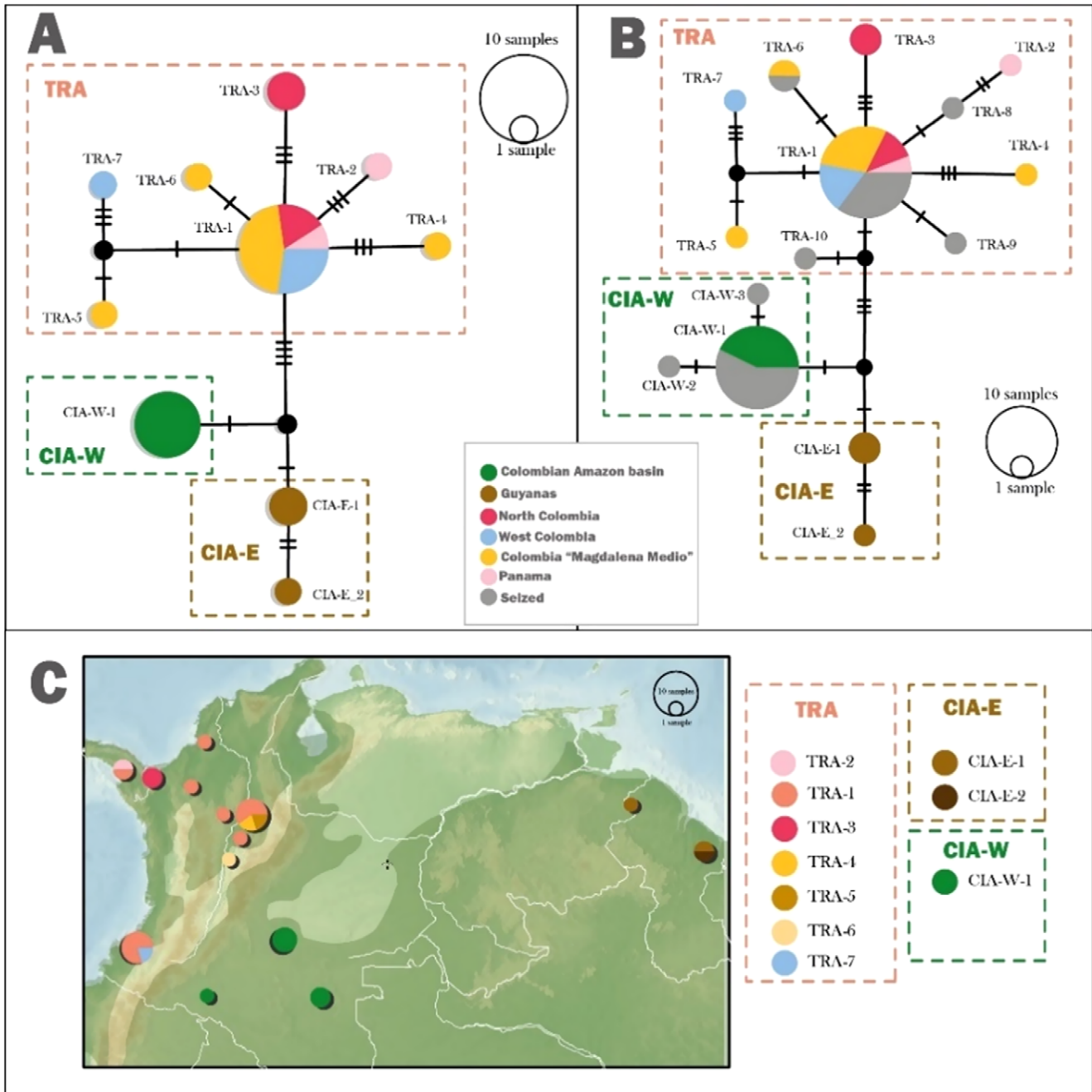


Figure 2. (A) Haplotype network of the Southern Mealy Amazon *Amazona farinosa* samples with known geographical origins. (B) Haplotype network of the Southern Mealy Amazon including sequences from seized individuals in Colombia. Hatch marks indicate the number of mutations separating each haplotype. Coloured boxes represent the haplotypes grouped under a population according to RhierBAPS analysis. (C) Haplotype map for the Southern Mealy Amazon. The distribution of the species is indicated by the shaded areas. The size of the circles is proportional to the number of samples.

Estimation of the probable geographical origin

Upon analysing two seized parrots, both were assigned to the MR locality (Table S2).

Scaly-naped Amazon *Amazona mercenaria*

Phylogeographical and haplotype analyses

This species exhibited two distinct phylogroups and haplotypes. One of the haplotypes was associated with an individual from the Central Andean Mountains (CA), while the second haplotype

corresponded to parrots in the Sierra Nevada de Santa Marta's Mountain (SNSM) (Figure 3E-F).

Genetic distances and structure analyses

The genetic distance between both phylogroups was 0.6%. However, due to the limited sample size, we were unable to conduct the RhierBAPS analysis or AMOVA test for this species.

Estimation of the probable geographical origin

None of the available seized samples corresponded to the Scaly-naped Amazon.

Table 1. Pairwise comparison of the genetic distance and F_{ST} values between the genetic and phylogeographical clades identified in Amazon parrots. AV = Andean valleys; CA: Central Andes; CAM = Central America; CIA-E: Cis-Andean East; CIA-W: Cis-Andean West; CR-AR: Caquetá River to Amazon River; EP-E = eastern part of South America; EP-W = western part of South America; MR: Meta River; NA = not available; NV = North Venezuela; NW= Northwest; SNSM = Sierra Nevada de Santa Marta's Mountain; TRA = Trans-Andean

Clade 1	Clade 2	Genetic distance		F_{ST}	
		Distance	Standard error	F_{ST} value	Corrected P value
<i>A. ochrocephala</i> species complex					
NV	CAM	0.011	0.00385	NA	NA
NV	AV	0.012	0.004	NA	NA
NV	NW	0.012	0.004	NA	NA
NV	EP-E	0.013	0.004	NA	NA
NV	EP-W	0.013	0.004	NA	NA
NV	S	0.014	0.005	NA	NA
CAM	NW	0.007	0.003	0.8	0.06
CAM	AV	0.007	0.003	0.77	0.06
CAM	EP-E	0.017	0.005	0.94	0.06
CAM	EP-W	0.018	0.005	0.91	<0.05
CAM	S	0.019	0.005	0.88	<0.001
AV	NW	0.005	0.002	0.61	<0.001
AV	EP-E	0.018	0.005	0.9	<0.01
AV	EP-W	0.019	0.005	0.89	<0.05
NW	EP-E	0.018	0.005	0.91	<0.001
NW	EP-W	0.019	0.005	0.89	<0.001
EP-E	EP-W	0.008	0.003	0.76	<0.001
S	AV	0.02	0.005	0.89	<0.001
S	NW	0.02	0.005	0.89	<0.001
S	EP-E	0.021	0.005	0.89	<0.001
S	EP-W	0.022	0.005	0.89	<0.001
<i>A. farinosa</i>					
TRA	CIA-W	0.009	0.003	0.75	<0.001
CIA-E	TRA	0.01	0.004	0.71	<0.001
CIA-E	CIA-W	0.004	0.002	0.85	<0.05
<i>A. amazonica</i>					
CIS	TRA	0.003	0.001	0	0.4
<i>A. autumnalis</i>					
N	W	0.0003	0.0003	0.14	1
<i>A. festiva</i>					
MR	CR-AR	0.019	0.005	1	0.08
<i>A. mercenaria</i>					
CA	SNSM	0.006	0.003	NA	NA

The yellow-headed species complex

Phylogeographical and haplotype analyses

The phylogenetic tree and haplotype network analysis revealed a notable structure within the yellow-headed parrot species complex (see Figure S1 and Figure 4A). This complex consists of four major groups.

The first major group (I) includes specimens from the Trans-Andean region of Colombia to Central America and is subdivided

into two subgroups. The first subgroup consists of the Yellow-naped Amazon *A. aurocollata* located in Central America (CAM), while the second subgroup includes the Panama Amazon *A. o. panamensis* subspecies, ranging from Panama to northern Colombia. This clade is further divided into two phylogeographical clades: one including specimens from the Andean valleys of Colombia (AV), and the second one with specimens from the north-west region of South America, spanning Colombia and Panama (NW).

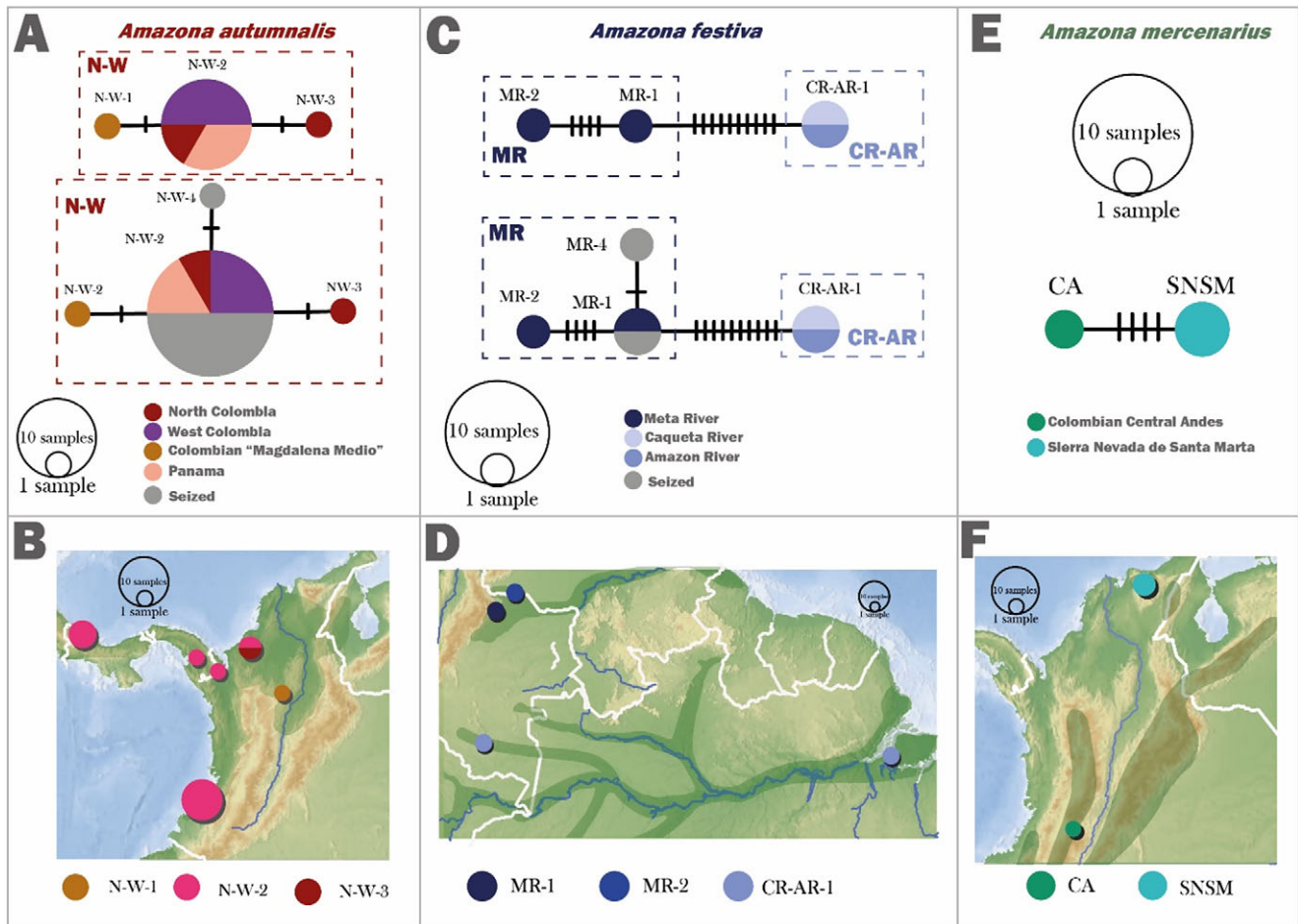


Figure 3. Haplotype network constructed using sequences of individuals with known geographical origins (top) and including seized individuals in Colombia (bottom) for (A) the Red-lore Amazon *Amazona autumnalis*, (C) Festive Amazon *Amazona festiva*, and (F) the Scaly-naped Amazon *Amazona mercenaria*. Haplotype map plot for the (B) Red-lore Amazon, (D) Festive Amazon, and (E) the Scaly-naped Amazon. The size of the circles is proportional to the number of samples. Hatch marks indicate the number of mutations separating each haplotype. Coloured boxes represent the haplotypes grouped under a population according to RhierBAPS analysis

The second major group (II) includes individuals of the *A. o. ochrocephala* subspecies and exhibited paraphyly, sharing haplotypes with the Turquoise-fronted Amazon *A. aestiva* located in the southern region of the continent, from Para state in Brazil to northern Argentina. The RhierBAPS analysis identified two clades within this phylogroup (Figure 4). However, most samples from *A. aestiva* retrieved from GenBank lack precise information regarding their geographical origin, since they correspond to captive individuals. Hence, it is not possible to determine whether these two genetic groups support a consistent phylogeographical structure. Therefore, we consider all the southern samples as a single genetic group (Table S2).

The third group (III) consists of *A. o. ochrocephala* individuals from the Cis-Andean region of Colombia to Guyana and was further subdivided into two clades according to RhierBAPS. These populations exhibited a geographical overlap in the central part of the eastern plains of Colombia (Figure 4C). The first clade (OL-E) was associated with individuals in the eastern part of South America, while the second clade (OL-W) comprised specimens from the western region of Colombia to the Amazon basin.

The final genetic group (IV, NV) corresponds to a single haplotype sequence from the Yellow-shouldered Amazon *A. barbadensis*. The species is found exclusively in the north-west of South America in Venezuela. In summary, the yellow-headed parrot species

complex consists of four major clades, further subdivided into seven phylogeographical groups, each defined by distinct geographical patterns.

Genetic distances and structure analyses

We observed a mean genetic distance of 1.49% (ranging from 0.4% to 2.1%) between the seven phylogeographical groups. Higher genetic distances were found between the Cis Andean, Trans-Andean, and southern groups (Table 1). As there was only one sequence from the *A. barbadensis* (NE) clade, genetic differentiation analysis was limited to the Cis Andean, Trans-Andean, and Southern populations. The AMOVA test showed a significant difference between the proposed phylogeographical groups ($P < 0.001$), with 88.8% of the variance observed between groups. High genetic differentiation ($F_{ST} > 0.8$) was measured between most groups. Moreover, all pairwise comparisons were statistically significant ($P < 0.05$), except for CAM vs NW, CAM vs AV, and CAM vs EP-E, which were marginally significant ($P = 0.06$).

Estimation of the probable geographical origin

Given the significant phylogeographical structure among parrots, we utilised the genetic reference database to determine the likely origin of 95 confiscated Yellow-crowned Amazons *A. ochrocephala* from Colombia. Each individual was assigned to one of the

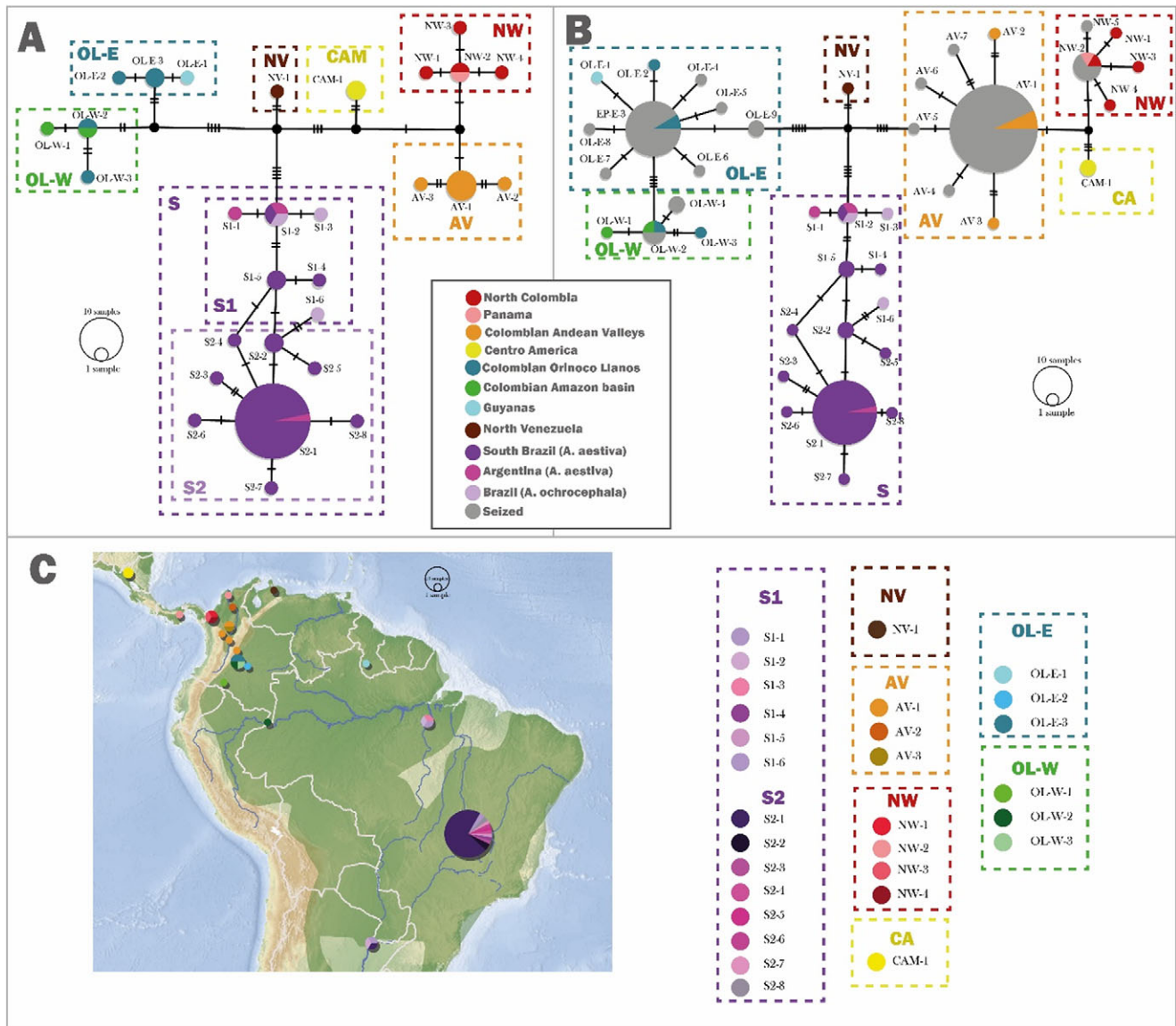


Figure 4. (A) Haplotype network of the yellow-headed parrot species complex constructed using samples with known geographical origins. (B) Haplotype network of the yellow-headed parrot species complex, including sequences from seized individuals in Colombia. The size of the circles is proportional to the number of samples. Hatch marks indicate the number of mutations separating each haplotype. Coloured boxes represent the haplotypes grouped under a population according to RhierBAPS analysis. (C) Haplotype map plot of the yellow-headed parrot species complex. The shadowed part of the map represents the distribution of the species. Precise locality information was unavailable for samples of *A. aestiva* from Brazil.

previously described groups. We found that 81 (85%) of the seized individuals had haplotypes already documented in the reference database, while only 14 individuals had new haplotypes (Figure 4B). Among the confiscated parrots, 61% were assigned to the Middle Magdalena Andean valleys group, 29% to the eastern plains-east region, 5% to the northern region of the country, and 4% to the eastern plains-western region (Table S2).

Orange-winged amazon *Amazona amazonica*

Phylogeographical and haplotype analyses

The species exhibited a less pronounced genetic structure. The phylogenetic and RhierBAPS analyses (Figures 1 and 5) identified two genetic groups. However, the first one (NC-BR) included samples from all studied geographical regions, ranging from north Colombia to the southern part of Brazil (Figure 5A). The second

group (EP-AM) included two samples from the eastern plains and Amazon basin from Colombia. However, several samples from the eastern plains and Amazon basin were also included within the NC-BR group (Figure 5C).

Genetic distances and structure analyses

No significant differences were found between the Trans-Andean and Cis-Andean regions (AMOVA test *P* value = 0.4). These results indicate a lack of genetic structure for this parrot species.

Estimation of the probable geographical origin

We analysed 26 seized Orange-winged Amazon samples of unknown origin and found that the genetic diversity and structure of the species are notably underestimated (Figure 5B). Adding seized individuals to the analyses nearly doubled the number of

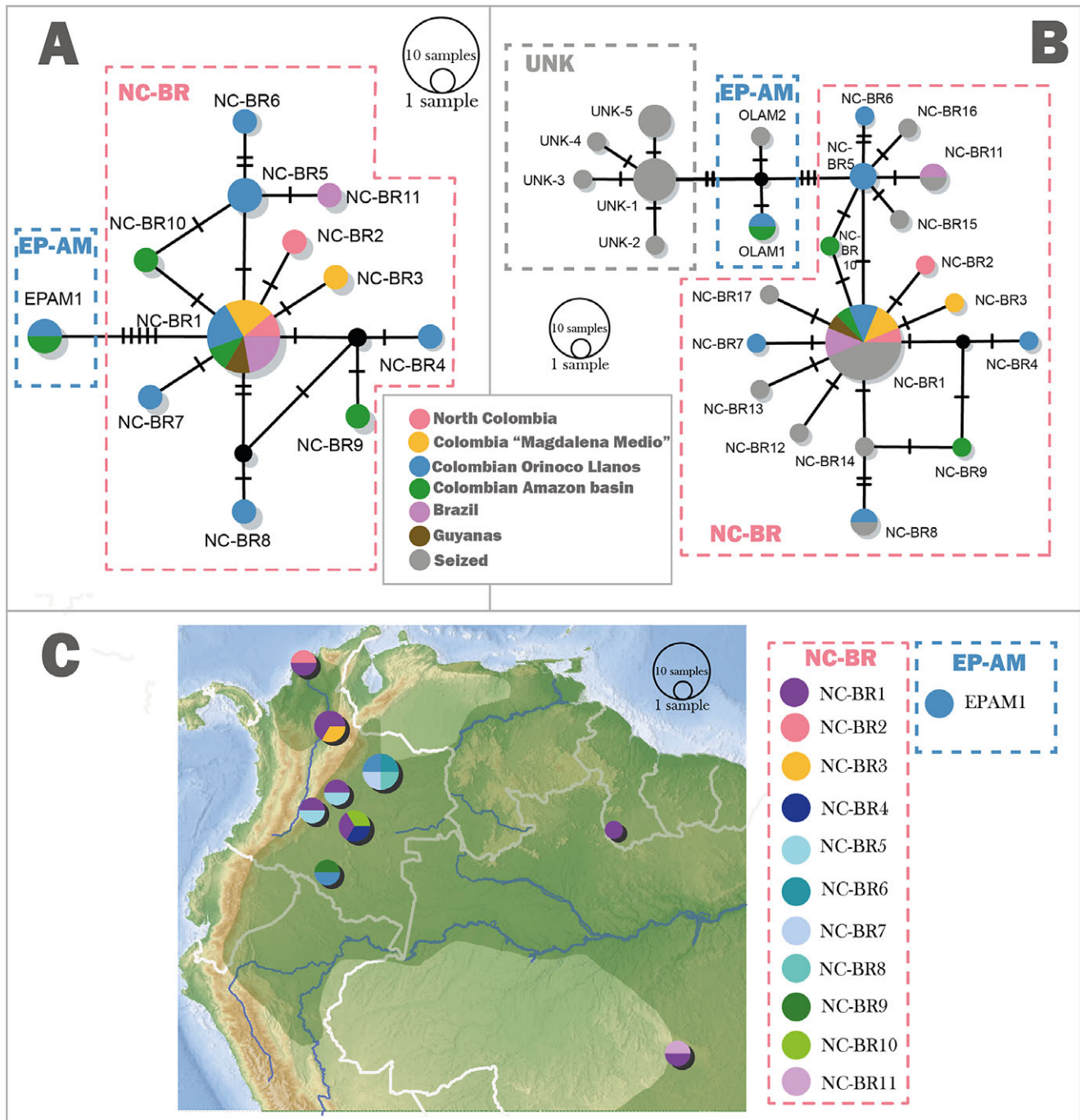


Figure 5. (A) Haplotype network of the Orange-winged Amazon *Amazona amazonica* constructed using samples with known geographical origins. (B) Haplotype network of the Orange-winged Amazon including information from seized individuals. Hatch marks indicate the number of mutations separating each haplotype. The size of the circles is proportional to the number of samples. (C) Haplotype map for the Orange-winged Amazon. The distribution of the species is shown in the shaded areas. Coloured boxes show haplotypes grouped under a population according to RhierBAPS analysis

haplotypes identified from 12 to 23. Only 35% of the seized individuals shared haplotypes with samples with known locality. Furthermore, 53% of the samples were associated with the genetic NC-BR group, making their geographical interpretation complex and potentially biased. Only one sample (4%) was related to the EP-AM group, suggesting a higher likelihood of origin in the Cis-Andean region of Colombia. Unexpectedly, 42% of the samples formed a new genetic group (UNK) according to the RhierBAPS analysis. Given the position of the haplotypes within this group in

the network analysis and their close relation to the EP-AM group, it is likely that these samples also come from the Cis-Andean region in Colombia (Figure 5B).

The Red-lored Amazon Amazona autumnalis

Phylogeographical and haplotype analyses

This parrot did not exhibit a significant phylogeographical structure. In the phylogenetic analysis (Figure 1), only one clade was

observed, and RhierBAPS analysis (Figure 3A and B) predicted a single population (N-W).

Genetic distances and structure analyses

AMOVA test revealed no significant differences between west and north samples (P value = 1) and the mean genetic divergence between this locality was low (0.03%).

Estimation of the probable geographical origin

We analysed 13 samples of seized Red-lored Amazons, but their inclusion did not result in a noticeable change in the genetic diversity or phylogeographical structure. We found only one new haplotype, and RhierBAPS consistently grouped all samples into a single population. Consequently, due to the lack of genetic structure in this species, we were unable to make any geographical inferences.

Discussion

We identified significant inter- and intra-specific genetic structure, correlated with the geographical distributions for several of the studied species. These findings align with prior research on neotropical birds, which has also demonstrated high intraspecific genetic structure associated with biogeographical differences (Tavares et al. 2011). We identified well-supported relationships among the studied species. However, it is crucial to note that our analysis only encompassed one mitochondrial gene and a subset of the species within the diverse *Amazona* genus. Our objective in conducting this analysis was to focus on the intraspecific phylogeography of the species, whereas more comprehensive evolutionary hypotheses can be found in earlier studies (Kolchanova et al. 2021; Ribas et al. 2007; Russello and Amato 2004; Smith et al. 2023; Tilston-Smith et al. 2024; Urantowska et al. 2014).

The substantial genetic structure of certain species underscores the necessity for appropriately managing seized individuals and implementing a guided release process to prevent artificial mixing between divergent lineages. Such mixing can lead to outbreeding depression and genetic homogenisation (Frankham et al. 2011; Gippoliti et al. 2018; Oklander et al. 2020). These findings hold particular relevance in regions like the neotropics, characterised by high biodiversity and widespread illegal exploitation of wildlife (Mota-Rojas et al. 2023). Consequently, there is a considerable number of seized individuals requiring appropriate management. Unfortunately, in various instances, such as in Colombia, the release of individuals often occurs without knowledge of their geographical origin or even outside their natural distribution range (Restrepo-Rodas and Pulgarín-Restrepo 2017).

Here we have validated the use of mitochondrial COI sequencing as a reliable marker to allocate seized individuals to Amazon parrot species. The use of mitochondrial sequencing for species and geographical origin inference has been performed in other organisms with high levels of poaching to fight against illegal trade and to improve management of seized individuals (de Carvalho 2013; Fernandes and Caparroz 2013; Hatten et al. 2023; Ishida et al. 2013; Kongrit et al. 2020; LaCasella et al. 2021; Ruiz-García et al. 2010). This method is particularly useful in cases where external characteristics are unavailable, such as in chicks, eggs, and products (de Carvalho 2013; Formentão et al. 2021; Gonçalves et al. 2015).

It is important to notice that mitochondrial genetic assessment is a relatively simple, inexpensive, and standardised technique that can be easily implemented as a routine test, particularly in developing countries (Bellagamba et al. 2016; Deagle et al. 2014). Our

research also underscores the utility of museum samples in constructing reference databases, offering a means to reduce both cost and time associated with sampling from distant geographical regions. The promotion of increased utilisation of museum materials in wildlife conservation studies is encouraged, as they serve as a viable and readily accessible source of information (Nakahama 2021). We anticipate that our research can serve as a reference point in the development of tools aimed at enhancing the management of other traded species in the neotropics. This, in turn, would contribute to the refinement of management protocols for seized wildlife, fostering improved conservation practices.

However, it is important to note that this delimitation analysis is based on mitochondrial DNA data. While this method can identify genetically distinct phylogroups, it may not fully represent the genetic structure of the nuclear genome. This discrepancy arises because the mitochondrial genome is exclusively maternally inherited, sensitive to population size and introgression effects, and lacks recombination (Rubinoff et al. 2006). Therefore, further studies using nuclear markers are recommended to complement our findings.

Southern Mealy Amazon *Amazona farinosa*

In the past, the Mealy Amazon had a distribution in Central and South America. However, a phylogenetic study in 2012 revealed significant differentiation within the group, leading to the split of the species into the Northern Mealy Amazon *A. guatemalae* and the Southern Mealy Amazon *A. farinosa*. This research also identified two phylogeographical subclades within the South American species, corresponding to the Trans-Andean subspecies *A. f. inornata* and the Cis-Andean subspecies *A. f. farinosa* + *A. f. chapmani* (forming a paraphyletic clade) (Wenner et al. 2012). A subsequent study in 2020 confirmed these findings and identified an additional management unit in the Atlantic Forest in southern Brazil (Hellmich et al. 2021). However, previous studies only included samples of *A. f. farinosa* from the eastern part of South America, lacking the western distribution, now included in this study.

Consistent with previous studies, we found significant genetic differentiation between Trans-Andean and Cis-Andean mealy amazon populations. Furthermore, we observed genetic differentiation between eastern populations of *A. f. farinosa* in Guyana and those in the western Amazon basin of Colombia, suggesting a new conservation management unit for the species. However, additional sampling is necessary to assess the genetic structure of these parrots across their entire distribution range. In particular, areas like Venezuela and northern and central Brazil are currently understudied.

Our findings emphasise the importance of considering the geographical origin of seized mealy amazon parrots during their release. We validate the use of COI sequencing as a reliable tool to identify the most likely geographical origin of these parrots. Our data indicate the presence of multiple trade networks in Colombia, with an equal impact on the Trans-Andean and Cis-Andean populations.

Festive amazon *Amazona festiva*

The taxonomy of this parrot species is still subject to debate as it has been divided into two main lineages: the Southern Festive Amazon (*festiva*) and the Northern Festive Amazon (*bodini*). Classification of these two clades into species or subspecies is debated between taxonomists and based on morphological and plumage differentiation (del Hoyo and Collar 2015; Hilty 2021). These parrots exhibit

riparian behaviour, with *A. f. bodini* being distributed from the Orinoco River drainage to eastern Colombia in the Meta River. *A. f. festiva* is found from the Amazon River drainage to the Amazon basin of Colombia (Caquetá River), Peru, and Ecuador (Ayerbe-Quiñones 2018; Donegan et al. 2018). Our analysis revealed significant genetic differentiation between the two lineages, with a genetic distance of 1.9%. This genetic distance is slightly lower than that reported in a previous study comparing the sister species Red-spectacled Amazon *A. pretrei* and Tucumán Amazon *A. tucumana*, which has 2.2% distance based on COI mtDNA analysis (Rocha et al. 2014). Only two samples from the seized individuals were included, both of which were assigned to the Meta River locality (*bodini* lineage).

Scaly-naped Amazon *Amazona mercenaria*

This parrot species is distributed along the middle and northern South American Andean Mountains, but currently there is little available information on its biology, ecology, and genetics. Despite the small sample size, our study describes a potential phylogeographical divergence between samples from the Central Andes and the Sierra Nevada de Santa Marta, a geographically isolated population in the north part of Colombia. The Sierra Nevada de Santa Marta is a critical centre of endemism, and its isolation from other mountain systems has likely led to important processes of speciation, resulting in genetic divergence for this species (Roach et al. 2020). Moreover, samples from the east and west Andean Mountains should be studied as these Andean Mountain systems in the country are known to promote speciation and genetic structure processes in bird species (Mendoza et al. 2016). While no seized individuals of this species were included, as it is the least traded Amazon parrot in the country (Restrepo-Rodas and Pulgarín-Restrepo 2017), our findings highlight the need to establish a more robust genetic reference database to inform guided release in trade cases.

The yellow-headed species complex

This species complex exhibited a substantial phylogeographical structure and non-monophyletic relationships between the described species and subspecies. Similar discrepancies have been identified in previous studies, leading to this group being described as a “taxonomic headache” (Eberhard and Bermingham 2004).

Our analysis revealed four major clades and seven separated phylogeographical groups that should be considered as independent management units for conservation purposes. The first clade consists of individuals from the Trans-Andean region and was further divided into three phylogeographical clades. The first one consisted of samples from the *A. o. panamensis* subspecies, spanning northern Colombia to Panama. The second clade included *A. o. panamensis* parrots from the Colombian Andean Valleys, while the third clade included samples of the Yellow-napped Amazon *A. auropalliata* from Central America. The existence of this Trans-Andean clade was also reported in previous multi-locus phylogenetic analyses, which also included the Yellow-headed Amazon *A. oratrix* (Eberhard and Bermingham 2004; Ribas et al. 2007; Urantówka et al. 2014). However, the separation of *A. o. panamensis* from the northern region and Andean Valleys had not been previously documented.

The second clade grouped individuals of the *A. o. ochrocephala* subspecies from Brazil and the Turquoise-fronted Amazon *A. aestiva* from northern Brazil to Argentina. These paraphyletic relationships have also been previously reported in studies using

multi-locus analysis, including the *A. o. xantholaema* subspecies and specimens of *A. o. nattereri* subspecies from Bolivia and southern Peru (Eberhard and Bermingham 2004; Ribas et al. 2007; Urantówka et al. 2014). However, a more recent study using ultra-conserved elements shows a strong differentiation of this species from the other parrots of the yellow-headed species complex, supporting the hypothesis that the current mitochondrial genetic structure is most likely a product of past introgression processes (Caparroz et al. 2009b; Smith et al. 2023).

The third clade consisted of specimens of the *A. o. ochrocephala* subspecies from the Cis-Andean region of Colombia, extending from the eastern plains and the Amazon basin to the east in Guyana. This lineage has also been reported in previous multi-locus analyses, including specimens of *A. o. ochrocephala* from Venezuela (Eberhard and Bermingham 2004; Ribas et al. 2007; Urantówka et al. 2014). This study additionally found that this clade splits into two sub-clades, indicating divergence between the west and east samples, but with geographical overlap in the central eastern plains of Colombia.

The final clade included the Yellow-shouldered Amazon *A. barbadensis*, found in the northern region of Venezuela. This was the most basal group within the complex. The phylogeographical position of these species within the complex has been debatable. Russello and Amato (2004) using three mitochondrial and three nuclear genes, as well as Urantówka et al. (2014) using seven mitochondrial genes, described it as part of the complex, closely related to *A. o. ochrocephala*. However, Eberhard and Bermingham (2004) using four mitochondrial loci, placed *A. barbadensis* outside the complex, but as its sister species. More recently, using ultra-conserved elements, Smith et al. (2023) placed this species as the sister of *A. versicolor* (not part of the yellow-headed species complex), but remaining in a clade encompassing *A. ochrocephala*, *A. auropalliata*, Tres Mariás Amazon *A. tresmariae*, and *A. aestiva*. Further, Tilston-Smith et al. (2024) also using genomic ultra-conserved elements in their taxonomic revision, place this species again as part of the yellow-headed species complex.

The significant phylogeographical structure observed among different regions for the Yellow-crowned Amazon parrots suggests that the release of confiscated individuals should be undertaken with caution to avoid mixing different lineages. This is a challenging task, given that the Yellow-crowned Amazon is the second most commonly traded parrot in Colombia, accounting for 24.8% of all seized parrots (Restrepo-Rodas and Pulgarín-Restrepo 2017). Current translocation protocols for this parrot do not consider the potential geographical origin of individuals, and previous attempts to differentiate between the Trans-Andean and Cis-Andean subspecies based on morphological and morphometric features have proven to be unreliable (Jaramillo-Castaño 2020). In contrast, this study shows the potential of using COI to differentiate the geographical origin of seized Yellow-crowned Amazon parrots. Our results also reveal that parrots in Colombia are collected from multiple geographical locations in the country, suggesting the existence of multiple trade networks that help to explain the high levels of illegal trade reported. Importantly, we found a higher incidence of extraction in the Middle Magdalena Andean valleys (61%) and the eastern plains-east region (29%), while the northern and eastern plains-western region were less affected (5% and 4%).

Orange-winged Amazon *Amazona amazonica*

Our study represents the first attempt to examine genetic structure in the Orange-winged Amazon. However, samples with known

geographical origin revealed a lack of significant structure in this species. This is consistent with the current taxonomy, which establishes a single subspecies (*A. a. amazonica*) distributed from northern Colombia to the south-east of Brazil (Forshaw 2010). While some authors recognise a second subspecies (*A. a. tobagensis*) distributed on Trinidad and Tobago Island, we were unable to include samples from this location. However, haplotype diversity and genetic structure significantly increased when samples from seized individuals were included in the analysis. The inclusion of these samples nearly doubled the number of haplotypes and revealed additional genetic structuration. As a result, the genetic diversity and structure in this parrot remain uncertain.

It is still inaccurate to infer the geographical origin of seized individuals in this group. Only 46% of the samples could be assigned to a geographical region, primarily associated with the Cis-Andean region of Colombia. These results evidence a management challenge since the Orange-winged Amazon is the third most commonly seized parrot in Colombia (Restrepo-Rodas and Pulgarín-Restrepo 2017). Thus, it is necessary to explore more reliable alternative molecular markers, potentially more variable between populations, such as other mitochondrial fragments (control region [CR]) or microsatellites (Presti et al. 2015; Rivera-Ortíz et al. 2021; Urantowka et al. 2013). Moreover, a more comprehensive sampling across the distribution area is required, as our data suggest that a significant portion of the genetic diversity of this species was not captured in the reference database.

Red-lored Amazon *Amazona autumnalis*

With no prior genetic studies in the Red-lored Amazon, our results indicate a single genetic group in its distribution from western and northern Colombia to Panama. However, populations in the rest of Central America and the northern part of Ecuador remain unexplored, and a more extensive sampling of the middle Magdalena region and the eastern part of Colombia is needed. Analysis including seized samples of the species supports the lack of phylogeographical structuration.

Conclusions

Our study revealed that the translocation process of Amazon parrots should not proceed without prior definition of their geographical origin. This is crucial due to the genetic structure observed in wild populations and the heterogeneity in the origins of seized individuals. Furthermore, other highly traded parrot species, such as the Blue-headed Parrot *Pionus menstruus* and the Scarlet Macaw *Ara macao*, exhibit a phylogeographical structure, showing genetic differentiation between Trans-Andean and Cis-Andean regions (Ribas et al. 2007; Schmidt et al. 2020).

In Colombia, the current environmental law encourages the use of genetic tests to assess genetic variability during the management of seized wildlife as a preventive measure aimed at avoiding genetic contamination of natural populations (Choperena Palencia and Mancera Rodríguez 2016; Ministerio de Ambiente vivienda y Desarrollo Territorial 2010). However, these recommendations are not consistently enforced. Therefore, we strongly urge environmental entities to enforce the approximation of geographical origin before making release decisions for seized parrots.

Our results validate the use of COI barcoding for guiding the translocation of several Amazon parrot species. The species *A. amazonica* did not show genetic structure with this marker

and further testing using more variable markers may be needed. Previous studies have employed sequencing of the CR, a mitochondrial informative marker due to its higher variability. Nonetheless, in the case of Amazon parrots, the use of CR sequencing would need to be carefully evaluated because some species in this genus exhibit CR duplication, which can affect sequencing quality and data analysis (Eberhard et al. 2001; Eberhard and Wright 2016; Schirtzinger et al. 2012). Microsatellites (nuclear DNA) are another potential marker that has been used to assess genetic structure and identify the potential origin of seized parrots (Presti et al. 2015; Rivera-Ortíz et al. 2021). However, microsatellite analysis is not always more effective for geographical identification in Psittacidae (Caparroz et al. 2009a; Presti et al. 2015). It is also crucial to note that our sampling for some taxa was sparse. Therefore, we strongly recommend conducting additional studies with more comprehensive sampling efforts to better elucidate the phylogeography and genetic structure of these species.

Supplementary material. The supplementary material for this article can be found at <http://doi.org/10.1017/S0959270924000339>.

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