

DILATIONS AND HAHN DECOMPOSITIONS FOR LINEAR MAPS

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Suppose \mathcal{A} is a C^* -algebra and H is a Hilbert space. Let $\text{CP}(\mathcal{A}, H)$ denote the set of completely positive maps from \mathcal{A} into the set $B(H)$ of (bounded linear) operators on H . This paper studies the vector space $\mathcal{V}(\mathcal{A}, H)$ spanned by $\text{CP}(\mathcal{A}, H)$, i.e., the linear maps that are finite linear combinations of completely positive maps. From another viewpoint, a map φ is in $\mathcal{V}(\mathcal{A}, H)$ precisely when it has a decomposition $\varphi = (\varphi_1 - \varphi_2) + i(\varphi_3 - \varphi_4)$ with $\varphi_1, \varphi_2, \varphi_3, \varphi_4$ in $\text{CP}(\mathcal{A}, H)$; this decomposition is analogous to the Hahn decomposition for measures [8, III.4.10] (see also Theorem 20). The analogous class of maps with "completely positive" replaced by "positive" was studied by R. I. Loeb [11] and S.-K. Tsui [17], and when \mathcal{A} is commutative, this latter class coincides with $\mathcal{V}(\mathcal{A}, H)$, since every positive linear map on a commutative C^* -algebra is completely positive [16].

Completely positive maps were introduced by W. F. Stinespring [16], who showed that $\text{CP}(\mathcal{A}, H)$ consists of precisely those maps that are compressions of (have dilations to) representations. We show that $\mathcal{V}(\mathcal{A}, H)$ consists precisely of those maps that are compressions of homomorphisms that are similar to representations. We show that a bounded homomorphism from \mathcal{A} into $B(H)$ is in $\mathcal{V}(\mathcal{A}, H)$ if and only if it is similar to a representation.

In the case when \mathcal{A} is the C^* -algebra $C(X)$ of continuous complex functions on a compact Hausdorff space X , R. I. Loeb [11, Theorem 4.4] has shown that the set of maps satisfying a certain "bounded variation" condition is included in $\mathcal{V}(\mathcal{A}, H)$. We provide an example that shows that the inclusion is usually proper. The set of bounded linear maps from $C(X)$ into $B(H)$ can be identified with certain $B(H)$ -valued measures on X , and we show that a map is in $\mathcal{V}(\mathcal{A}, H)$ if and only if its associated measure is a linear combination of positive operator-valued measures. We also show that a bounded operator-valued measure is a linear combination of positive operator-valued measures if and only if it can be dilated to a bounded (non-self-adjoint) spectral measure.

The key new ideas are the following two relatively simple lemmas; the first is a factorization theorem for pairs of operators A, B for which $AB = 1$, and the second says that any two operators have dilations that are inverses of each other.

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LEMMA 1. *If $A, B \in B(H)$ and $AB = 1$, then there is an isometry V and a positive invertible operator S such that $A = V^*S^{-1}$ and $B = SV$.*

Proof. Let P be the orthogonal projection of H onto $(\text{ran } A^*)^\perp$, let $\epsilon = 1/(2\|A\|^2)$, let $t = \|BB^*\|^2/\epsilon$, and let $Q = BB^* + (t + \epsilon)P$. Clearly $Q \geq 0$. We will show that Q is invertible by proving that $(Qf, f) \geq \epsilon$ for every unit vector f in H . Suppose $f \in H$ and $\|f\| = 1$. Since $B^*A^* = 1$, we know that A^* is bounded from below; thus $\text{ran } A^*$ is closed. Write $f = u + v$ with $u \in \text{ran } A^*$ and $v \in (\text{ran } A^*)^\perp$, and write $u = A^*h$. Note that $\|u\| \leq \|A^*\| \|h\| = \|A\| \|h\|$ implies that $\|h\|^2 \geq 2\epsilon\|u\|^2$. Thus

$$\begin{aligned} (Qf, f) &= (BB^*u, u) + (t + \epsilon)\|v\|^2 + 2 \operatorname{Re} (BB^*u, v) + (BB^*v, v) \\ &\geq (BB^*u, u) + (t + \epsilon)\|v\|^2 - 2\|BB^*\| \|u\| \|v\|. \end{aligned}$$

Since

$$(BB^*u, u) = \|B^*u\|^2 = \|B^*A^*h\|^2 = \|h\|^2 \geq 2\epsilon\|u\|^2,$$

we conclude that

$$\begin{aligned} (Qf, f) &\geq 2\epsilon\|u\|^2 + (t + \epsilon)\|v\|^2 - 2\|BB^*\| \|u\| \|v\| \\ &= \epsilon(\|u\|^2 + \|v\|^2) + (\epsilon^{1/2}\|u\| - t^{1/2}\|v\|)^2 \geq \epsilon. \end{aligned}$$

Thus Q is invertible. Let S be the positive square root of Q . Then S is positive and invertible and

$$S^2A^* = (BB^* + (t + \epsilon)P)A^* = B.$$

Let $V = SA^* = S^{-1}B$. Then $V^*V = (AS)(S^{-1}B) = 1$; whence V is an isometry, and $A = V^*S^{-1}$, and $B = SV$. This completes the proof.

LEMMA 2. *If $A, B \in B(H)$, then there is a Hilbert space $H_1 \supset H$ and an invertible operator S in $B(H_1)$ such that if P is the projection from H_1 onto H , then $PS^{-1}|_H = A$ and $PS|_H = B$.*

Proof. If

$$S = \begin{pmatrix} A & 1 \\ 1 - BA & -B \end{pmatrix} \text{ then } S^{-1} = \begin{pmatrix} B & 1 \\ 1 - AB & -A \end{pmatrix}.$$

The preceding 2×2 matrix argument, which replaces the author's original 8×8 version, is due to Man-Duen Choi.

Suppose $\varphi : A \rightarrow B(H)$ is a linear map. For each positive integer n let \mathfrak{M}_n denote the $n \times n$ complex matrices and let

$$\varphi^{(n)} : A \otimes \mathfrak{M}_n \rightarrow B(H) \otimes \mathfrak{M}_n$$

be the linear map defined by $\varphi^{(n)}(a \otimes b) = \varphi(a) \otimes b$. The map φ is *positive* if $\varphi(a) \geq 0$ whenever $a \in \mathcal{A}$ and $a \geq 0$. The map φ is *completely positive* if $\varphi^{(n)}$ is positive for $n = 1, 2, \dots$, and φ is *completely bounded* if

$\sup_n \|\varphi^{(n)}\| < \infty$. We also define $\varphi^*: \mathcal{A} \rightarrow B(H)$ by $\varphi^*(a) = \varphi(a^*)^*$, and we define

$$\operatorname{Re} \varphi = (\varphi + \varphi^*)/2 \quad \text{and} \quad \operatorname{Im} \varphi = (\varphi - \varphi^*)/2i.$$

We are now ready for the main results.

THEOREM 3. *If $\varphi: \mathcal{A} \rightarrow B(H)$, then the following are equivalent:*

- (1) $\varphi \in \mathcal{V}(\mathcal{A}, H)$;
- (2) *there is a positive integer n , Hilbert spaces H_i , completely positive maps $\psi_i: \mathcal{A} \rightarrow B(H_i)$, and operators $A_i: H_i \rightarrow H$, $B_i: H \rightarrow H_i$, for $i = 1, 2, \dots, n$ such that $\varphi(a) = \sum_i A_i \psi_i(a) B_i$ for every a in \mathcal{A} ;*
- (3) *there is a representation $\pi: \mathcal{A} \rightarrow B(H_\pi)$, a positive invertible operator S in $B(H_\pi)$, and an isometry $V: H \rightarrow H_\pi$ such that*

$$\varphi(a) = V^*[S^{-1}\pi(a)S]V$$

for every a in \mathcal{A} .

Proof. Clearly (3) \Rightarrow (2). To prove (2) \Rightarrow (1) assume that (2) holds. There is no harm in assuming $n = 1$, since $\mathcal{V}(\mathcal{A}, H)$ is closed under addition. Write $\varphi(\cdot) = A\psi(\cdot)B^*$ with ψ completely positive. Since $C\psi(\cdot)C^*$ is completely positive for every operator C , we can conclude $\varphi \in \mathcal{V}(\mathcal{A}, H)$ from the polarization identity.

$$\begin{aligned} \varphi(a) = & \frac{1}{4}[(A + B)\psi(a)(A + B)^* - (A - B)\psi(a)(A - B)^* \\ & + i(A + iB)\psi(a)(A + iB)^* - i(A - iB)\psi(a)(A - iB)^*] \end{aligned}$$

for every a in \mathcal{A} .

We next prove (1) \Rightarrow (3). Suppose $\varphi = \alpha_1\psi_1 + \dots + \alpha_n\psi_n$ where $\alpha_1, \dots, \alpha_n$ are scalars and $\psi_1, \dots, \psi_n \in \mathcal{CP}(\mathcal{A}, H)$. It follows from Stinespring's theorem [16] that there are Hilbert spaces H_i , representations $\pi_i: \mathcal{A} \rightarrow B(H_i)$, and operators $W_i: H \rightarrow H_i$ for $i = 1, 2, \dots, n$ such that

$$\varphi(a) = \alpha_1 W_1^* \pi_1(a) W_1 + \dots + \alpha_n W_n^* \pi_n(a) W_n$$

for every a in \mathcal{A} . Define $A: H \rightarrow H_1 \oplus \dots \oplus H_n$ by

$$Ah = \alpha_1 W_1 h \oplus \dots \oplus \alpha_n W_n h,$$

and define $B: H_1 \oplus \dots \oplus H_n \rightarrow H$ by

$$B(h_1 \oplus \dots \oplus h_n) = W_1^* h_1 + W_2^* h_2 + \dots + W_n^* h_n.$$

Let $\rho = \pi_1 \oplus \dots \oplus \pi_n$. Then ρ is a representation of \mathcal{A} and $\varphi(a) = A\rho(a)B$ for every a in \mathcal{A} . Since we are trying to prove that φ can be dilated to a map that is similar to a representation, there is no harm in replacing φ by some dilation of φ . In particular, we can replace φ by a direct sum of arbitrarily many copies of φ . Thus we can assume that H and $H_1 \oplus \dots \oplus H_n$ have the same dimension. Hence there is no harm in

assuming that $H = H_1 \oplus \dots \oplus H_n$. Thus $A, B \in B(H)$, and, by Lemma 2, there is a Hilbert space $H_\pi \supset H$ and an invertible operator T in $B(H_\pi)$ such that $PT^{-1}|_H = A$ and $PT|_H = B$, where P is the projection from H_π onto H . Let $T = US$ be the polar decomposition of T with U unitary and S positive (and invertible). Define $\pi: \mathcal{A} \rightarrow B(H_\pi)$ by

$$\pi(a) = U^*(\rho(a) \oplus 0)U,$$

and define $V: H \rightarrow H_\pi$ by $Vh = h$. Then π is a representation, V is an isometry, and

$$\varphi(a) = V^*S^{-1}\pi(a)SV$$

for every a in \mathcal{A} . This completes the proof.

Note that the representation π in the preceding theorem is not necessarily nondegenerate. In fact, if $1 \in \mathcal{A}$, and π is nondegenerate, then $\pi(1) = 1$, which would imply that $\varphi(1) = 1$ for every map φ that is a compression of a map that is similar to π . It turns out that if $\varphi(1) = 1$, then the representation π in the preceding theorem can be chosen so that $\pi(1) = 1$.

THEOREM 4. *If $\varphi \in \mathcal{V}(\mathcal{A}, H)$ and $\varphi(1) = 1$, then there is a representation $\pi: \mathcal{A} \rightarrow B(H_\pi)$, a positive invertible operator S in $B(H_\pi)$, and an isometry $V: H \rightarrow H_\pi$ such that*

$$\varphi(a) = V^*[S^1\pi(a)S]V$$

for every a in \mathcal{A} and such that $\pi(1) = 1$.

Proof. If we follow the proof of (1) \Rightarrow (3) in the preceding theorem, we can reduce the present proof to the case when there is a representation $\pi: \mathcal{A} \rightarrow B(H)$ such that $\pi(1) = 1$ (this follows from [16]), and operators A, B in $B(H)$ such that $\varphi(a) = A\pi(a)B$ for every a in \mathcal{A} . Since $\varphi(1) = 1 = \pi(1)$, we conclude $AB = 1$. Thus, by Lemma 1, there is a positive invertible operator S and an isometry V such that $A = V^*S^{-1}$ and $B = SV$. This completes the proof.

In [1, Theorem 1.2.3] W. Arveson proved that if \mathcal{S} is a norm closed self-adjoint linear subspace of \mathcal{A} with $1 \in \mathcal{S}$, and if $\varphi \in \text{CP}(\mathcal{S}, H)$, then $\varphi = \psi|_{\mathcal{S}}$ for some ψ in $\text{CP}(\mathcal{A}, H)$. Clearly Arveson's extension theorem implies its analogue for $\mathcal{V}(\mathcal{A}, H)$.

LEMMA 5. *If \mathcal{S} is a normed closed self-adjoint linear subspace of \mathcal{A} with $1 \in \mathcal{S}$, and if $\varphi \in \mathcal{V}(\mathcal{S}, H)$, then $\varphi = \psi|_{\mathcal{S}}$ for some ψ in $\mathcal{V}(\mathcal{A}, H)$.*

The next lemma shows that the class of maps that are finite linear combinations of completely positive maps is closed under composition.

LEMMA 6. *If $\varphi \in \mathcal{V}(\mathcal{A}, H)$, H_1 is a Hilbert space, and*

$$\psi \in \mathcal{V}(C^*(\varphi(\mathcal{A})), H_1),$$

then

$$\psi \circ \varphi \in \mathcal{V}(\mathcal{A}, H_1).$$

Proof. It follows from the preceding lemma that we can assume that $\psi \in \mathcal{V}(B(H), H_1)$. Write

$$\varphi = (\varphi_1 - \varphi_2) + i(\varphi_3 - \varphi_4) \quad \text{and} \quad \psi = (\psi_1 - \psi_2) + i(\psi_3 - \psi_4)$$

where $\varphi_j \in \text{CP}(\mathcal{A}, H)$ and $\psi_j \in \text{CP}(B(H), H_1)$ for $j = 1, 2, 3, 4$. Clearly $\psi \circ \varphi$ is a linear combination of the completely positive maps $\psi_j \circ \varphi_k$ ($1 \leq j, k \leq 4$).

COROLLARY 7. *Suppose $\varphi: \mathcal{A} \rightarrow B(H)$ is a bounded linear map and*

$$\pi: C^*(\varphi(\mathcal{A})) \rightarrow B(H_\pi)$$

*is a one to one *-homomorphism. Then $\varphi \in \mathcal{V}(\mathcal{A}, H)$ if and only if $\pi \circ \varphi \in \mathcal{V}(\mathcal{A}, H_\pi)$.*

Proof. This follows from Lemma 6 and the fact that $\varphi = \pi^{-1} \circ (\pi \circ \varphi)$.

The following example shows that $\mathcal{V}(\mathcal{A}, H)$ is generally not closed under norm limits (and a posteriori limits in the standard notions of pointwise convergence). This example relies on the observation of Loebel [11] that every map in $\mathcal{V}(\mathcal{A}, H)$ is completely bounded.

Example 8. Let

$$\mathcal{A} = \bigoplus_n \mathfrak{M}_n = \{ \{A_n\} : \|A_n\| \rightarrow 0, A_n \in \mathfrak{M}_n \text{ for } n \geq 1 \}.$$

Let A^t denote the transpose of a complex matrix A . Define $\varphi: \mathcal{A} \rightarrow \mathcal{A}$ by

$$\varphi(\{A_n\}) = \{A_n^t/n^{1/2}\}.$$

For each positive integer k define $\varphi_k: \mathcal{A} \rightarrow \mathcal{A}$ by

$$\varphi_k(\{A_n\}) = \{B_n\}$$

where

$$B_n = \begin{cases} A_n^t/n^{1/2} & \text{if } 1 \leq n \leq k \\ A_n/n^{1/2} & \text{if } n > k. \end{cases}$$

If $\mathcal{A} \subseteq B(H)$, then clearly $\varphi_k \in \mathcal{V}(\mathcal{A}, H)$ for $k = 1, 2, \dots$. Since $\|\varphi_k - \varphi\| \rightarrow 0$ (since $\|\varphi_k - \varphi\| \leq 2/k^{1/2}$ for $k = 1, 2, \dots$), we know that $\varphi_k \rightarrow \varphi$ in all of the familiar (point-norm, point-strong, point-weak) topologies. However, $\varphi \notin \mathcal{V}(\mathcal{A}, H)$ because φ is not completely bounded. To see this, note that Arveson [1, p. 144] shows that if k is a positive integer and $\psi: \mathfrak{M}_n \rightarrow \mathfrak{M}_n$ is defined by $\psi(A) = A^t$, then $\|\psi^{(k)}\| \geq k$. Thus (by looking at k th coordinates)

$$\|\varphi^{(k)}\| \geq \|\psi^{(k)}/k^{1/2}\| \geq k^{1/2} \quad \text{for } k = 1, 2, \dots$$

In spite of the preceding example we define a norm $\|\cdot\|_{\mathcal{V}}$ on $\mathcal{V}(\mathcal{A}, H)$ that makes $\mathcal{V}(\mathcal{A}, H)$ into a Banach space. First note that if $\psi \in \text{CP}(\mathcal{A}, H)$ and r is a non-negative number, then $r\psi \in \text{CP}(\mathcal{A}, H)$. Thus if z_1, \dots, z_n are scalars and $\psi_1, \dots, \psi_n \in \text{CP}(\mathcal{A}, H)$, then we can write

$$z_1\psi_1 + \dots + z_n\psi_n = \lambda_1|z_1|\psi_1 + \dots + \lambda_n|z_n|\psi_n$$

where $|\lambda_1| = \dots = |\lambda_n| = 1$.

We define the norm $\|\cdot\|_{\mathcal{V}}$ on $\mathcal{V}(\mathcal{A}, H)$ by

$$\|\varphi\|_{\mathcal{V}} = \inf \left\{ \sum_{j=1}^n \|\psi_j\| : \varphi = \sum_{j=1}^n \lambda_j \psi_j; \psi_1, \dots, \psi_n \in \text{CP}(\mathcal{A}, H); |\lambda_1| = \dots = |\lambda_n| = 1 \right\}.$$

It is easily seen that $\|\cdot\|_{\mathcal{V}}$ is indeed a norm on $\mathcal{V}(\mathcal{A}, H)$ that dominates $\|\cdot\|$. Furthermore, it is clear that $\|\varphi\| = \|\varphi\|_{\mathcal{V}}$ for every φ in $\text{CP}(\mathcal{A}, H)$.

It is useful to compare $\|\cdot\|_{\mathcal{V}}$ with some other numerical quantities that arise naturally from the preceding characterizations of the elements of $\mathcal{V}(\mathcal{A}, H)$. Define functions $\alpha, \beta, \gamma : \mathcal{V}(\mathcal{A}, H) \rightarrow [0, \infty)$ by

$$\begin{aligned} \alpha(\varphi) &= \inf \left\{ \sum_{j=1}^4 \|\varphi_j\| : \varphi = (\varphi_1 - \varphi_2) + i(\varphi_3 - \varphi_4); \right. \\ &\qquad \qquad \qquad \left. \varphi_1, \dots, \varphi_4 \in \text{CP}(\mathcal{A}, H) \right\}, \\ \beta(\varphi) &= \inf \{ \|A\| \|B\| : \varphi(\cdot) = A\pi(\cdot)B \text{ for some representation } \pi \text{ of } \mathcal{A} \}, \\ \gamma(\varphi) &= \inf \{ \|S\| \|S^{-1}\| : \varphi(\cdot) = V^*S^{-1}\pi(\cdot)SV \text{ for some isometry } V \\ &\qquad \qquad \qquad \text{and some representation } \pi \text{ of } \mathcal{A}, \text{ and } S \text{ invertible} \}. \end{aligned}$$

The following lemma is based on very crude estimates involving the proofs of Lemma 2 and Theorem 3. The main significance of these estimates is contained in the corollary and in Theorem 11.

LEMMA 9. For each φ in $\mathcal{V}(\mathcal{A}, H)$ we have

- (1) $\|\varphi\|_{\mathcal{V}} \leq \alpha(\varphi) \leq 2\|\varphi\|_{\mathcal{V}}$,
- (2) $\beta(\varphi) \leq \|\varphi\|_{\mathcal{V}} \leq 4\beta(\varphi)$,
- (3) $\beta(\varphi) \leq \gamma(\varphi) \leq 4(1 + \beta(\varphi))^2$.

Proof. (1) is obvious.

A key idea in the proofs of (2) and (3) is that the added restriction $\|A\| = \|B\|$ in the definition of $\beta(\varphi)$ does not alter $\beta(\varphi)$.

(2). The inequality $\beta(\varphi) \leq \|\varphi\|_{\mathcal{V}}$ follows from the proof of (1) \Rightarrow (3) in Theorem 3. The inequality $\|\varphi\|_{\mathcal{V}} \leq 4\beta(\varphi)$ follows from the proof of (2) \Rightarrow (1) in Theorem 3.

(3). The inequality $\beta(\varphi) \leq \gamma(\varphi)$ is obvious. The inequality $\gamma(\varphi) \leq 4(1 + \beta(\varphi))^2$ follows from the proof of Lemma 2.

COROLLARY 10. *Suppose $\{\varphi_n\}$ is a net in $\mathcal{V}(\mathcal{A}, H)$. If one of the nets $\{\|\varphi_n\|_{\mathcal{V}}\}$, $\{\alpha(\varphi_n)\}$, $\{\beta(\varphi_n)\}$, $\{\gamma(\varphi_n)\}$ is bounded, then so are the others.*

In view of Example 8, the following theorem seems a little surprising.

THEOREM 11. *If $\{\varphi_n\}$ is a $\|\cdot\|_{\mathcal{V}}$ -bounded net in $\mathcal{V}(\mathcal{A}, H)$ and $\varphi_n(a) \rightarrow \varphi(a)$ in the weak operator topology for every a in \mathcal{A} , then $\varphi \in \mathcal{V}(\mathcal{A}, H)$.*

Proof. Since $\{\alpha(\varphi_n)\}$ is bounded, we can find $\|\cdot\|$ -bounded nets $\{\varphi_{n1}\}$, $\{\varphi_{n2}\}$, $\{\varphi_{n3}\}$, $\{\varphi_{n4}\}$ in $CP(\mathcal{A}, H)$ such that, for each n , we have

$$\varphi_n = (\varphi_{n1} - \varphi_{n2}) + i(\varphi_{n3} - \varphi_{n4}).$$

By replacing $\{\varphi_n\}$ by an appropriate subnet if necessary, we can assume that there are maps $\psi_1, \psi_2, \psi_3, \psi_4$ in $CP(\mathcal{A}, H)$ such that $\varphi_{nk}(a) \rightarrow \psi_k(a)$ in the weak operator topology for $k = 1, 2, 3, 4$ and every a in \mathcal{A} . Hence $\varphi \in \mathcal{V}(\mathcal{A}, H)$ since $\varphi = (\psi_1 - \psi_2) + i(\psi_3 - \psi_4)$.

COROLLARY 12. *Suppose $\varphi: A \rightarrow B(H)$, \mathcal{D} is a dense subset of \mathcal{A} , and D is a dense subset of H . Then $\varphi \in \mathcal{V}(\mathcal{A}, H)$ if and only if there is a positive number M such that for each $\epsilon > 0$, each finite subset \mathcal{F} of \mathcal{A} , and each finite subset F of H there is a representation $\pi: \mathcal{A} \rightarrow B(H_\pi)$ and operators $A, B: H \rightarrow H_\pi$ such that*

$$\|A\| \|B\| \leq M \quad \text{and} \quad |(A^* \pi(a) B f, g) - (\varphi(a) f, g)| < \epsilon$$

for each a in \mathcal{F} and each f, g in F .

THEOREM 13. *With the norm $\|\cdot\|_{\mathcal{V}}$ the space $\mathcal{V}(\mathcal{A}, H)$ is a Banach space. Furthermore, $\mathcal{V}(B(H), H)$ is a Banach algebra with composition as multiplication.*

Proof. The only part of the proof that is not completely elementary involves completeness. To this end suppose that $\{\varphi_n\}$ is a sequence in $\mathcal{V}(\mathcal{A}, H)$ such that $\sum_n \|\varphi_n\|_{\mathcal{V}} < \infty$. Since $\sum_n \alpha(\varphi_n) < \infty$, we can find sequences $\{\varphi_{n1}\}$, $\{\varphi_{n2}\}$, $\{\varphi_{n3}\}$, $\{\varphi_{n4}\}$ in $CP(\mathcal{A}, H)$ such that

$$\begin{aligned} \varphi_n &= (\varphi_{n1} - \varphi_{n2}) + i(\varphi_{n3} - \varphi_{n4}) \quad \text{for } n = 1, 2, \dots \quad \text{and} \\ \sum_n \|\varphi_{nk}\| &< \infty \quad \text{for } k = 1, 2, 3, 4. \end{aligned}$$

Since $\|\cdot\|$ and $\|\cdot\|_{\mathcal{V}}$ agree on $CP(\mathcal{A}, H)$, it follows that $\sum_n \varphi_{nk}$ is $\|\cdot\|_{\mathcal{V}}$ -convergent for $k = 1, 2, 3, 4$. Thus $\sum_n \varphi_n$ is $\|\cdot\|_{\mathcal{V}}$ -convergent. Hence $\mathcal{V}(\mathcal{A}, H)$ is complete.

The question of R. V. Kadison [10] that asks whether every bounded unital homomorphism from a C^* -algebra \mathcal{A} into $B(H)$ is similar to a $*$ -homomorphism is still unanswered, although significant progress has been made [5], [6], [2], [7], [18]. (Theorem 16 shows that the answer is

affirmative whenever the homomorphism is in $\mathcal{V}(\mathcal{A}, H)$. We first need two lemmas; the first is due to Sarason [15], and the second is probably well known.

LEMMA 14. *Suppose \mathcal{S} is a unital subalgebra of $B(H)$ and P is a projection in $B(H)$ such that the mapping $S \rightarrow PSP$ is a homomorphism on \mathcal{S} . If Q is the smallest \mathcal{S} -invariant projection (i.e., $(1 - Q)\mathcal{S}Q = 0$) whose range contains $\text{ran } P$, then $Q - P$ is an \mathcal{S} -invariant projection.*

LEMMA 15. *Suppose $\pi: \mathcal{A} \rightarrow B(H)$ is a unital representation of the C^* -algebra \mathcal{A} and $\pi = \pi_1 \oplus \pi_2$ relative to $H = H_1 \oplus H_2$. Suppose M is a (closed) subspace of H such that $M \cap H_1 = 0$ and $M + H_1 = H$. Let P be the non-orthogonal projection of H onto M along H_1 , and define $\rho: \mathcal{A} \rightarrow B(M)$ by $\rho(a) = P\pi(a)|M$. Then there is an invertible operator $S: H_2 \rightarrow M$ such that $\rho(a) = S\pi_2(a)S^{-1}$ for every a in \mathcal{A} .*

Proof. Actually, the required operator S is $P|H_2$. Since $\ker P = H_1$, we can write $P = \begin{pmatrix} 0 & A \\ 0 & 1 \end{pmatrix}$ relative to $H = H_1 \oplus H_2$. If $T = \begin{pmatrix} 1 & A \\ 0 & 1 \end{pmatrix}$, then $T^{-1} = \begin{pmatrix} 1 & -A \\ 0 & 1 \end{pmatrix}$. Clearly, $T|H_2 = P|H_2$. Let $S = T|H_2$. Since $T(H_2) = P(H_2) = M$, it follows that $S^{-1} = T^{-1}|M$. A simple matrix calculation shows that

$$T\pi(a)T^{-1}P = P\pi(a)P \quad \text{for every } a \text{ in } \mathcal{A}.$$

Thus

$$\begin{aligned} S\pi_2(a)S^{-1} &= S(\pi(a)|H_2)S^{-1} = T\pi(a)T^{-1}|M \\ &= P\pi(a)|M = \rho(a) \quad \text{for every } a \text{ in } \mathcal{A}. \end{aligned}$$

THEOREM 16. *Suppose $\rho: \mathcal{A} \rightarrow B(H)$ is a bounded unital homomorphism. Then ρ is similar to a $*$ -homomorphism if and only if $\rho \in \mathcal{V}(\mathcal{A}, H)$.*

Proof. First suppose that $\rho \in \mathcal{V}(\mathcal{A}, H)$. It follows from Theorem 4 that there is a Hilbert space $H_\pi \supset H$, an invertible operator S in $B(H_\pi)$, and a $*$ -homomorphism $\pi: \mathcal{A} \rightarrow B(H_\pi)$ such that if P is the projection of H_π onto H , then

$$\rho(a) = PS^{-1}\pi(a)S|H \quad \text{for every } a \text{ in } \mathcal{A}.$$

It follows from Lemma 14 that there is a subspace M of H_π that contains H such that M and $M \ominus H$ are invariant for $S^{-1}\pi(\mathcal{A})S$. There is no harm in assuming that $M = H_\pi$, since the mapping $a \rightarrow S^{-1}\pi(a)S|M$ is similar to the mapping $a \rightarrow \pi(a)|S(M)$, which is a $*$ -homomorphism (because $S(M)$ is $\pi(\mathcal{A})$ -invariant and thus $\pi(\mathcal{A})$ -reducing). Thus we can assume that $H^\perp = H_\pi \ominus H$ is invariant for $S^{-1}\pi(\mathcal{A})S$. Let $H_1 = S(H^\perp)$ and $H_2 = H_1^\perp$, and let $Q = SPS^{-1}$. Since H^\perp is invariant for $S^{-1}\pi(\mathcal{A})S$, we know that H_1 is invariant for (and thus reduces) $\pi(\mathcal{A})$.

Write $\pi = \pi_1 \oplus \pi_2$ relative to $H_\pi = H_1 \oplus H_2$. Clearly Q is the non-orthogonal projection of H_π onto $S(H)$ along H_1 . It follows from Lemma 15 that π_2 is similar to the map $a \rightarrow Q\pi(a)|_{S(H)}$, which is clearly similar to ρ . Thus ρ is similar to a $*$ -homomorphism. The other half of the theorem follows from Theorem 3. This completes the proof.

COROLLARY 17. *Suppose $\rho: \mathcal{A} \rightarrow B(H)$ and $\tau: C^*(\rho(\mathcal{A})) \rightarrow B(H_1)$. If ρ and τ are both similar to $*$ -homomorphisms, then so is $\tau \circ \rho$. If τ is a one to one $*$ -homomorphism and $\tau \circ \rho$ is similar to a $*$ -homomorphism, then so is ρ .*

COROLLARY 18. *Suppose $\rho: \mathcal{A} \rightarrow B(H)$ is a bounded homomorphism, $\{\pi_n\}$ is a net of representations of \mathcal{A} , and $\{A_n\}, \{B_n\}$ are bounded nets of operators such that $A_n\pi_n(a)B_n \rightarrow \rho(a)$ in the weak operator topology for each a in \mathcal{A} . Then ρ is similar to a $*$ -homomorphism.*

Note that the preceding corollary implies Theorem 7 in [10].

Stinespring's theorem [16] can be viewed as an extension of a theorem of Naimark [13] about dilating certain positive operator-valued measures to self-adjoint spectral measures. Accordingly, our results show that certain operator-valued measures can be dilated to non-self-adjoint spectral measures.

Suppose that X is a compact Hausdorff space. A $B(H)$ -valued measure on X is a map E from the Borel sets of X into $B(H)$ that is countably additive with respect to the weak operator topology on $B(H)$. A $B(H)$ -valued measure E is

- (a) *bounded* if $\|E\| = \sup \{\|E(M)\| : M \text{ a Borel set}\} < \infty$,
- (b) *regular* if the complex measure $E_{f,g}$ defined by $E_{f,g}(M) = (E(M)f, g)$ is regular for every f, g in H ,
- (c) *self-adjoint* if $E(M)^* = E(M)$ for every Borel set M ,
- (d) *positive* if $E(M) \geq 0$ for every Borel set M ,
- (e) *spectral* if $E(M \cap N) = E(M)E(N)$ for all Borel sets M and N .

Let $\text{meas}(X, B(H))$ denote the set of all bounded regular $B(H)$ -valued measures on X . If E is a $B(H)$ -valued measure on X , define the measure E^* by $E^*(M) = E(M)^*$. Each measure E in $\text{meas}(X, B(H))$ uniquely determines a bounded linear mapping $\Phi_E: C(X) \rightarrow B(H)$ defined by

$$(\Phi_E(\varphi)f, g) = \int_X \varphi dE_{f,g}$$

for φ in $C(X)$ and f, g in H .

The author was unable to find the following proposition in the literature. The main ideas of the proof (which is omitted) appear in [8, VI.7., XVII.2.5] and [3, Theorem 19].

PROPOSITION 19. *Suppose X is a compact Hausdorff space. The mapping*

$E \rightarrow \Phi_E$ from $\text{meas}(X, B(H))$ to the set of linear operators from $C(X)$ to $B(H)$ is a Banach space isomorphism. In addition

- (1) $\|E\| \leq \|\Phi_E\| \leq 4\|E\|$ for every E in $\text{meas}(X, B(H))$,
- (2) $\Phi_{E^*} = \Phi_E^*$ for every E in $\text{meas}(X, B(H))$,
- (3) Φ_E is (completely) positive if and only if E is positive.

Thus bounded linear mappings from $C(X)$ to $B(H)$ correspond to measures in $\text{meas}(X, B(H))$, and self-adjoint (completely positive) mappings correspond to self-adjoint (positive) measures. Furthermore, bounded homomorphisms from $C(X)$ to $B(H)$ correspond to spectral measures in $\text{meas}(X, B(H))$ [8, XV.6.2]; if the homomorphism is a $*$ -homomorphism, then the measure is self-adjoint. The theorem of Naimark [13] mentioned earlier says that a positive measure E in $\text{meas}(X, B(H))$ with $\|E\| \leq 1$ can be dilated to a self-adjoint spectral measure. (Note that we do not require that $E(X) = 1$.) Naimark's theorem is a special case of Stinespring's theorem [16], which says that completely positive maps can be dilated to $*$ -homomorphisms. In the same circle of ideas, the following theorem is a reformulation of Theorem 3 in the case when $\mathcal{A} = C(X)$.

THEOREM 20. *Suppose X is a compact Hausdorff space and $E \in \text{meas}(X, B(H))$. The following are equivalent.*

- (1) E has a Hahn decomposition $E = (E_1 - E_2) + i(E_3 - E_4)$ where E_1, E_2, E_3, E_4 are positive measures in $\text{meas}(X, B(H))$,
- (2) $\Phi_E \in \mathcal{V}(C(X), H)$,
- (3) there is a Hilbert space H_1 containing H and a spectral measure F in $\text{meas}(X, B(H))$ such that if P is the orthogonal projection of H_1 onto H , then $PF(M)|_H = E(M)$ for every Borel subset M of X .

Note that it follows from Theorem 4 that if $E(X) = 1$ in the preceding theorem, then the measure F can be chosen so that $F(X) = 1$. Note also that the measure F in the preceding theorem is (see Theorem 3) similar to a self-adjoint spectral measure. In fact, every spectral measure in $\text{meas}(X, B(H))$ is similar to a self-adjoint spectral measure [8, XV.6.2]; perhaps Theorem 16 can be used to reprove this result by showing that every spectral measure in $\text{meas}(X, B(H))$ has a Hahn decomposition.

Each measure E in $\text{meas}(X, B(H))$ can be uniquely written as a sum $\text{Re } E + i \text{Im } E$ where $\text{Re } E$ and $\text{Im } E$ are Hermitian-valued measures. The condition that a measure E in $\text{meas}(X, B(H))$ have a Hahn decomposition is a sort of bounded variation condition in that it is clearly equivalent to the condition that there is a positive measure F in $\text{meas}(X, B(H))$ such that $\pm \text{Re } E, \pm \text{Im } E \leq F$. Unfortunately, the existence of such an F does not seem to lead to the existence of some canonically defined total variation measure for E . In [11] R. I. Loeb defines a notion of bounded variation for linear maps; i.e., a linear map $\varphi: \mathcal{A} \rightarrow$

$B(H)$ has finite total variation if

$$\sup \left\{ \left\| \sum_{i=1}^n |\varphi(a_i)| \right\| : n \geq 1, 0 \leq a_1, \dots, a_n \in \mathcal{A}, \sum_i a_i \leq 1 \right\} < \infty.$$

(Here $|A| = (A^*A)^{1/2}$.)

Loebl [11, Theorem 4.4] proved that if $E \in \text{meas}(X, B(H))$, $E = E^*$, and Φ_E has finite total variation, then $\Phi_E \in \mathcal{V}(C(X), H)$. The following example shows that the converse of this result is false.

Example 21. Let $X = \{n/n! : n = 0, 1, \dots\}$, and let $\{e_1, \dots\}$ be an orthonormal basis for H . Let $A_0 = B_0 = 0$, and for $n = 1, 2, \dots$, define operators A_n and B_n on H by

$$\begin{aligned} A_n f &= (1/n)[(f, e_1)e_n + (f, e_n)e_1] \quad \text{and} \\ B_n f &= (1/n^2)(f, e_1)e_1 + (f, e_n)e_n \quad \text{for } f \text{ in } H. \end{aligned}$$

A matrix calculation shows that $E(\{n/n!\}) = A_n$ defines a self-adjoint measure E in $\text{meas}(X, B(H))$. Since $\sum_n |A_n|$ does not converge in the weak operator topology, it is clear that Φ_E does not have finite total variation in the sense of Loebl. However, $F(\{n/n!\}) = B_n$ defines a positive operator-valued measure F in $\text{meas}(X, B(H))$ such that $\pm \text{Re } E, \pm \text{Im } E \leq F$. To see this, note that for $n \geq 0$, we have $B_n \pm A_n$ has rank 1 and positive trace; whence $B_n \pm A_n \geq 0$ for $n \geq 0$. Thus $\Phi_E \in \mathcal{V}(C(X), H)$ (because E has a Hahn decomposition), although Φ_E does not have finite total variation.

Complete boundedness is also related to this discussion. In [11] Loebl proved that every map in $\mathcal{V}(\mathcal{A}, H)$ is completely bounded, and this author knows of no example of a completely bounded linear map from a C^* -algebra \mathcal{A} into $B(H)$ that is not in $\mathcal{V}(\mathcal{A}, H)$. The following example gives an idea of some of the relationships involved.

Example 22. Let X be as in Example 21. Suppose $E(\{n/n!\}) = D_n$ defines a measure in $\text{meas}(X, B(H))$ and that Φ_E is completely bounded. Claim: $\sum_n D_n^* D_n$ converges weakly to a bounded operator. To prove this, let a_n be the (continuous) characteristic function of $\{n/n!\}$ for $n = 1, 2, \dots$. Let $\varphi = \Phi_E$ and let

$$s = \sup \{ \|\varphi^{(n)}\| : n = 1, 2, \dots \}.$$

For each positive integer n , define T_n in $C(X) \otimes \mathfrak{M}_n$ by

$$T_n = \begin{pmatrix} a_1 & 0 & \dots & 0 \\ \vdots & \vdots & & \vdots \\ a_n & 0 & & 0 \end{pmatrix}.$$

Then $\|T_n\| = 1$ implies that

$$\left\| \sum_{k=1}^n D_k^* D_k \right\|^{1/2} = \|\varphi^{(n)}(T_n)\| \leq s \quad \text{for } n \geq 1.$$

Thus $\sum_k D_k^* D_k \leq s^2$. This proves the claim. Note that the claim does not imply that $\Phi_E \in \mathcal{V}(C(X), H)$.

Let $CB(\mathcal{A}, H)$ denote the set of completely bounded linear maps from \mathcal{A} into $B(H)$. The space $CB(\mathcal{A}, H)$ is a Banach space with the norm $||| \cdot |||$ defined by

$$|||\varphi||| = \sup_n \|\varphi^{(n)}\|.$$

Conjecture I. $CB(\mathcal{A}, H) = \mathcal{V}(\mathcal{A}, H)$ and the norms $||| \cdot |||$ and $\|\cdot\|_{\mathcal{V}}$ are equivalent.

To prove the preceding conjecture one needs to consider only certain finite-dimensional cases.

Conjecture II. If $\varphi : \mathcal{A} \rightarrow \mathfrak{M}_n$ is a bounded linear map, then $\beta(\varphi) \leq \|\varphi^{(n)}\|$.

To see that Conjecture II implies Conjecture I, assume that Conjecture II is true and suppose that $\varphi \in CB(\mathcal{A}, H)$. Let $\{P_n\}$ be a net of finite rank projections in $B(H)$ that converges strongly to 1. For each n define $\varphi_n : \mathcal{A} \rightarrow B(H)$ by

$$\varphi_n(a) = P_n \varphi(a) P_n.$$

It follows from Conjecture II that $\sup_n \beta(\varphi_n) \leq |||\varphi|||$. Since $\varphi_n(a) \rightarrow \varphi(a)$ in the weak operator topology for every a in \mathcal{A} , it follows from Theorem 11 that $\varphi \in \mathcal{V}(\mathcal{A}, H)$. It follows from the proof of Theorem 11 that

$$\alpha(\varphi) \leq 4 \limsup_n \alpha(\varphi_n);$$

thus, by Lemma 9, $\|\varphi\|_{\mathcal{V}} \leq 8|||\varphi|||$ since $\|\varphi^{(k)}\| \leq \beta(\varphi^{(k)}) \leq \beta(\varphi)$ for $k = 1, 2, \dots$. Thus the norms $\|\cdot\|_{\mathcal{V}}$ and $||| \cdot |||$ are equivalent.

It is clear from the preceding argument that Conjecture I is equivalent to the statement:

$$\sup \{ \beta(\varphi) : \varphi : A \rightarrow \mathfrak{M}_n \text{ linear, } |||\varphi||| = 1 \} < \infty.$$

We next prove Conjecture II in the case when $n = 1$.

LEMMA 23. *If φ is a continuous linear functional on \mathcal{A} , then there is a representation $\pi : \mathcal{A} \rightarrow B(H_\pi)$ and vectors $f, g \in H_\pi$ such that $\|f\| \|g\| = \|\varphi\|$ and $\varphi(a) = (\pi(a)f, g)$ for every a in \mathcal{A} .*

Proof. It follows from Proposition 1.17.1 in [14] that $\varphi \in \mathcal{V}(\mathcal{A}, H)$. It follows from Theorem 3 that there is a representation $\rho : \mathcal{A} \rightarrow B(H_\rho)$ and vectors $u, v \in H_\rho$ such that $\varphi(a) = (\rho(a)u, v)$ for every a in \mathcal{A} . Clearly we can assume that ρ is unitarily equivalent to $\rho \oplus \rho \oplus \dots$. Thus $\rho(\mathcal{A})$ has ‘‘property C’’ as defined in [9]. Thus $\rho(\mathcal{A})$ has ‘‘property

$D(1)$ ” as defined in [9]. Hence there are sequences $\{u_n\}, \{v_n\}$ in H_ρ such that

$$\|u_n\| = \|v_n\| \leq (\|\varphi\| + 1/n)^{1/2} \quad \text{for } n = 1, 2, \dots$$

and such that $\varphi(a) = (\rho(a)u_n, v_n)$ for all a in \mathcal{A} and for $n = 1, 2, \dots$. We define H_π to be a “Berberian space” [4] obtained from H_ρ by first defining a sesquilinear functional $\langle \cdot, \cdot \rangle$ on the space X of all bounded sequences in H_ρ by

$$\langle f_n, g_n \rangle = \text{glim } (f_n, g_n)$$

where “glim” denotes a Banach limit. If

$$M = \{h \in X : \langle h, h \rangle = 0\},$$

then M is a linear subspace of X and $\langle \cdot, \cdot \rangle$ induces an inner product (\cdot, \cdot) on X/M . We define H_π to be the completion of X/M with respect to this induced inner product. For each a in \mathcal{A} , the mapping on X that sends a sequence $\{h_n\}$ to the sequence $\{\rho(a)h_n\}$ induces an operator $\pi(a)$ on H_π . Clearly $\pi : \mathcal{A} \rightarrow B(H_\pi)$ is a representation. Let f, g be the respective images of $\{u_n\}, \{v_n\}$ in H_π . Then

$$\|f\| = \|g\| = \|\varphi^{1/2}\| \quad \text{and}$$

$$(\pi(a)f, g) = \text{glim } (\rho(a)u_n, v_n) = \varphi(a) \quad \text{for every } a \text{ in } \mathcal{A}.$$

COROLLARY 24. *If $\varphi : \mathcal{A} \rightarrow B(H)$ is a bounded linear map and $\varphi(\mathcal{A})$ is finite-dimensional, then $\varphi \in \mathcal{V}(\mathcal{A}, H)$.*

Proof. If $\{S_1, \dots, S_n\}$ is a linear basis for $\varphi(\mathcal{A})$, then there are continuous linear functionals $\varphi_1, \dots, \varphi_n$ on \mathcal{A} such that

$$\varphi(a) = \varphi_1(a)S_1 + \dots + \varphi_n(a)S_n$$

for each a in \mathcal{A} . The preceding lemma implies that $\varphi_1, \dots, \varphi_n \in \mathcal{V}(\mathcal{A}, H)$. Thus, by Theorem 3, $\varphi \in \mathcal{V}(\mathcal{A}, H)$.

Another consequence of Conjecture II is a Hahn-Banach theorem type theorem whose validity (or lack of it) could be used to test the validity of Conjecture II.

LEMMA 25. *Suppose Conjecture II is true. If \mathcal{A}_1 is a unital C^* -sub-algebra of \mathcal{A} , $\epsilon > 0$, and $\varphi : \mathcal{A}_1 \rightarrow \mathfrak{M}_n$ is a bounded linear map, then φ can be extended to a linear map $\psi : \mathcal{A} \rightarrow \mathfrak{M}_n$ such that $\|\psi\| \leq \|\varphi^{(n)}\| + \epsilon$.*

Proof. It follows from Conjecture II that there is a representation π of \mathcal{A}_1 and operators A, B such that $\|A\| \|B\| \leq \|\varphi^{(n)}\| + \epsilon$ and $\varphi(a) = A\pi(a)B$ for every a in \mathcal{A}_1 . We can extend π to a completely positive map ρ on \mathcal{A} with $\|\rho\| = 1$. Define $\psi : \mathcal{A} \rightarrow \mathfrak{M}_n$ by $\psi(a) = A\rho(a)B$.

Another consequence of Conjecture II is that $\|\|\varphi\|\| = \|\varphi^{(n)}\|$ for every bounded linear map $\varphi: \mathcal{A} \rightarrow \mathfrak{M}_n$ and $n = 1, 2, \dots$, which was conjectured by Loeb [12].

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Addendum. The author has recently learned the Uffe Haagerup has proved that every completely bounded homomorphism from \mathcal{A} into $B(H)$ is similar to a $*$ -homomorphism. His results therefore subsume most of our results on bounded homomorphisms. Also Haagerup has proved that every bounded homomorphism from \mathcal{A} into $B(H)$ that has a cyclic vector is similar to a $*$ -homomorphism.

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