

ON A THEOREM OF HEILBRONN CONCERNING THE FRACTIONAL PART OF θn^2

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1. In 1948 Heilbronn [4] proved the following theorem.

THEOREM H. *For every real θ and every positive integer N , there is an integer n satisfying*

$$(1.1) \quad 1 \leq n \leq N, \quad \|\theta n^2\| < C(\epsilon)N^{-1/2+\epsilon},$$

where ϵ is an arbitrarily small number, $C(\epsilon)$ depends only on ϵ , and $\|t\|$ means the distance from t to the nearest integer.

The interest of the result (1.1) is that the inequality is uniform in θ , and is therefore analogous to the classical inequality of Dirichlet for the fractional part of θn . In this paper we shall prove the following theorem.

THEOREM. *For every real θ and every positive integer N , there is an integer n satisfying*

$$(1.2) \quad 1 \leq n \leq N, \quad \|\theta n^2\| < AN^{-1/2+\epsilon(N)},$$

where A is an absolute constant and $\epsilon(N) = 1/\log \log N$. Furthermore, there is a positive integer N_1 such that for each $N \geq N_1$, (1.2) is true for $A = 1$.

2. In what follows, we always assume that N is a sufficiently large positive integer, say $N \geq N_0$, such that all the subsequent asymptotic approximations and inequalities are satisfied. Thus it is difficult to define N_0 at the beginning or at any particular point. We use the following notation: $x \ll y$ means $x < Ay$, where A is a positive absolute constant. $[t]$ is the integral part of t . $\epsilon(N)$ means $1/\log \log N$ and for real α , we write $e(\alpha) = \exp\{2\pi\alpha i\}$.

We need several lemmas.

LEMMA 1. *Let $d(n)$ be the number of divisors of an integer n , including 1 and n . Then there exists some positive integer n_0 such that for all $n \geq n_0$ we have*

$$(2.1) \quad d(n) < n^{(3/4)\epsilon(n)}.$$

Proof. Lemma 1 follows if in [3, p. 262, Theorem 317] we choose $\epsilon > 0$ such that $2^{1+\epsilon} \leq e^{3/4}$.

It is remarked that for $n > e^e$, $n^{(3/4)\epsilon(n)}$ is an increasing sequence tending to infinity and $\log n = o(n^{a\epsilon(n)})$ for any positive constant a .

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LEMMA 2. Suppose that Δ is a number satisfying $0 < \Delta < \frac{1}{2}$ and r is a positive integer. Then there exists a real function $\psi(x)$, periodic with period 1, which satisfies

$$(2.2) \quad \psi(x) = 0 \quad \text{if } \|x\| \geq \Delta,$$

and

$$\psi(x) = \sum_{k=-\infty}^{\infty} c_k e(kx),$$

where c_k are real and

$$(2.3) \quad c_0 = \Delta, \quad |c_k| \ll \min \left(\Delta, \left(\frac{r}{\pi} \right)^r \Delta^{-r} |k|^{-r-1} \right)$$

for $k \neq 0$.

Proof. This is a particular case of [6, p. 32, Lemma 12] with $\beta = -\alpha = \frac{1}{2}\Delta$.

LEMMA 3. Let $S = \sum_{n=1}^N e(\theta n^2)$. Then

$$(2.4) \quad |S|^2 \ll \left(N + N^{(3/4)\epsilon(N)} \sum_{m=1}^{2N} \min \left(N, \frac{1}{\|\theta m\|} \right) \right).$$

Proof. Replacing ϵ in [1, p. 229, Theorem 5.7] by $\frac{3}{4}\epsilon(N)$ and using our Lemma 1 we can prove Lemma 3 in exactly the same way as [1, Theorem 5.7].

LEMMA 4. Let

$$q > 0, \quad \left| \theta - \frac{a}{q} \right| < \frac{1}{q^2}, \quad (a, q) = 1.$$

Then

$$\sum_{j=p+1}^{p+q} \min \left(N, \frac{1}{\|\theta j\|} \right) \ll (N + q \log q),$$

where p is some positive integer.

Proof. Lemma 4 is well known. See, for example, [5, p. 23, Lemma 3.5].

3. The proof of the theorem is essentially a refinement of Davenport's method [2]. We suppose that

$$(3.1) \quad \|\theta n^2\| \geq N^{-1/2+\epsilon(N)}$$

for $n = 1, 2, \dots, N$. Putting $\Delta = N^{-1/2+\epsilon(N)}$ in Lemma 2 we have

$$\sum_{n=1}^N \psi(\theta n^2) = \sum_{n=1}^N \sum_{k=-\infty}^{\infty} c_k e(k\theta n^2) = c_0 N + \sum_{k=1}^{\infty} c_k S_k + \sum_{k=1}^{\infty} c_{-k} S_{-k} = 0,$$

where $S_k = \sum_{n=1}^N e(k\theta n^2)$. Hence

$$(3.2) \quad \Delta N \leq \sum_{k=1}^{\infty} |c_k S_k| + \sum_{k=1}^{\infty} |c_{-k} S_{-k}| = T_1 + T_2, \quad \text{say.}$$

We first estimate the value of T_1 .

$$T_1 = \sum_{k=1}^{\infty} |c_k S_k| = \left(\sum_{k=1}^M + \sum_{k=M+1}^{\infty} \right) |c_k S_k| = T_{11} + T_{12}, \quad \text{say,}$$

where $M = \lfloor N^{1/2-(31/32)\epsilon(N)} \rfloor$. By (2.3) we have

$$\begin{aligned}
 (3.3) \quad T_{12} &= \sum_{k=M+1}^{\infty} |c_k S_k| \\
 &\ll N \left(\frac{r}{\pi}\right)^r \Delta^{-r} \sum_{k=M+1}^{\infty} k^{-r-1} \\
 &\ll N r^{r-1} \Delta^{-r} M^{-r} \\
 &\ll N \Delta (r^r \Delta^{-r-1} M^{-r}).
 \end{aligned}$$

$$\begin{aligned}
 (3.4) \quad T_{11} &= \sum_{k=1}^M |c_k S_k| \\
 &\ll \Delta \sum_{k=1}^M |S_k|.
 \end{aligned}$$

Since $S_{-k} = \bar{S}_k$, we have the same estimate of the value of T_2 . It follows from (3.2), (3.3), and (3.4) that

$$(3.5) \quad N(1 - A r^r \Delta^{-r-1} M^{-r}) \ll \sum_{k=1}^M |S_k|,$$

where A is some absolute constant. Putting $r = \lfloor 32/\epsilon(N) \rfloor = \lfloor 32 \log \log N \rfloor$, we see that

$$\begin{aligned}
 r^r \Delta^{-r-1} M^{-r} &\ll r^r N^{1/2-(1/32)r\epsilon(N)-\epsilon(N)} \\
 &\ll (32 \log \log N)^{(32 \log \log N)} N^{-1/2} \\
 &= o(1),
 \end{aligned}$$

as $N \rightarrow \infty$. It follows from (3.5) that $N \ll \sum_{k=1}^M |S_k|$. Using Hölder's inequality we have

$$M^{-1} N^2 \ll \sum_{k=1}^M |S_k|^2.$$

By Lemma 3 we see that

$$\begin{aligned}
 M^{-1} N^2 &\ll \sum_{k=1}^M \left(N + N^{(3/4)\epsilon(N)} \sum_{m=1}^{2N} \min \left(N, \frac{1}{|\theta km|} \right) \right) \\
 &\ll MN + N^{(3/4)\epsilon(N)} \sum_{k=1}^M \sum_{m=1}^{2N} \min \left(N, \frac{1}{|\theta km|} \right).
 \end{aligned}$$

Since $M^2 N^{-1} \leq N^{-\epsilon(N)} = o(1)$ as $N \rightarrow \infty$, we have

$$(3.6) \quad M^{-1} N^{2-(3/4)\epsilon(N)} \ll \sum_{k=1}^M \sum_{m=1}^{2N} \min \left(N, \frac{1}{|\theta km|} \right).$$

Let $j = km$ ($k = 1, 2, \dots, M; m = 1, 2, \dots, 2N$). Since, by Lemma 1,

$$\begin{aligned}
 d(j) &\ll (2MN)^{(3/4)\epsilon(2MN)} \\
 &\ll N^{(9/8)\epsilon(N)},
 \end{aligned}$$

we have

$$(3.7) \quad M^{-1}N^{2-(15/8)\epsilon(N)} \ll \sum_{j=1}^{2MN} \min \left(N, \frac{1}{\|\theta_j\|} \right).$$

Suppose that a/q is any irreducible fraction such that

$$(3.8) \quad \left| \theta - \frac{a}{q} \right| < 1/q^2.$$

We divide the sum on the right of (3.7) into blocks of q terms. The number of blocks is at most $q^{-1}2MN + 1$. By Lemma 4 we see that

$$M^{-1}N^{2-(15/8)\epsilon(N)} \ll (q^{-1}MN + 1)(N + q \log q).$$

Let

$$(3.9) \quad q \leq M^{-1}N^{2-2\epsilon(N)}.$$

We see that

$$\begin{aligned} N &\ll M^{-1}N^{2-(15/8)\epsilon(N)}N^{-1/2} = o(M^{-1}N^{2-(15/8)\epsilon(N)}); \\ MN \log q &\ll M^{-1}N^{2-(15/8)\epsilon(N)}(N^{-(1/16)\epsilon(N)} \log N) \\ &= o(M^{-1}N^{2-(15/8)\epsilon(N)}); \\ q \log q &\ll M^{-1}N^{2-(15/8)\epsilon(N)}(N^{-(1/8)\epsilon(N)} \log N) \\ &= o(M^{-1}N^{2-(15/8)\epsilon(N)}), \end{aligned}$$

as $N \rightarrow \infty$. Thus

$$M^{-1}N^{2-(15/8)\epsilon(N)} \ll q^{-1}MN^2,$$

or

$$(3.10) \quad \begin{aligned} q &\ll M^2N^{(15/8)\epsilon(N)} \\ &\ll N^{1-(1/16)\epsilon(N)} \\ &\leq N. \end{aligned}$$

Then the consequence of the assumption (3.1) made at the beginning of this section is that if a/q satisfies (3.8) and (3.9), then it necessarily satisfies (3.10). By Dirichlet's theorem, there exists a/q such that $q \leq M^{-1}N^{2-2\epsilon(N)}$ and

$$\left| \theta - \frac{a}{q} \right| < q^{-1}MN^{-2+2\epsilon(N)}.$$

This q must also satisfy (3.10). Hence

$$\begin{aligned} \|\theta q^2\| &< |\theta q^2 - aq| \\ &< qMN^{-2+2\epsilon(N)} \\ &\ll N^{-1/2+(31/32)\epsilon(N)} \\ &< AN^{-(1/32)\epsilon(N)}N^{-1/2+\epsilon(N)}. \end{aligned}$$

Put $q = n$ and define $N_1 > N_0$ such that $AN_1^{-(1/32)\epsilon(N_1)} < 1$. This proves the theorem.

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