

# ANCESTRAL RINGS

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A ring  $R$  is said to be a  $P$ -ancestral ring if all proper non-zero sub-rings of  $R$  have property  $P$ . If  $P$  is the property that every proper non-zero sub-ring of  $R$  is a (two-sided) ideal then the ring  $Z$  of rational integers furnishes an example of a  $P$ -ancestral ring.

If  $S$  is a sub-ring of  $R$  we define the *left-idealizer* of  $S$ , written  $I(S)$ , by  $I(S) = \{x \in R: xs \in S \text{ for } s \in S\}$ . Clearly  $I(S)$  is the largest sub-ring of  $R$  in which  $S$  is a left ideal and  $I(S) = R$  if and only if  $S$  is a left ideal of  $R$ . With obvious changes we may consider *right-idealizer* and (two-sided) idealizer. We assume  $R$  has a unit denoted by 1.

Our theorems relate conditions of  $P$ -ancestral types to conditions of left-idealizers.

Let  $S$  and  $T$  be sub-rings of a ring  $R$ . Then the following results are immediate:

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|--|---|
| (i) $1 \in I(S)$ ,                           | (ii) $S \subseteq I(S)$ ,                     |
| (iii) $I(S) \subseteq I(I(S))$ ,             | (iv) $I(S) \cap I(T) \subseteq I(S \cap T)$ , |
| (v) $I(T \cup S) \subseteq I(T) \cup I(S)$ , | (vi) $I(S) \subseteq I(S^2)$ .                |

Let  $D$  be the ring of all two by two matrices over  $Z$  and let

$$K = \left\{ \begin{pmatrix} x & 0 \\ 0 & 0 \end{pmatrix} : x \in Z \right\}, \quad S = \left\{ \begin{pmatrix} x & 0 \\ y & 0 \end{pmatrix} : x, y \in Z \right\},$$

$$T = \left\{ \begin{pmatrix} x & 0 \\ 0 & y \end{pmatrix} : x, y \in Z \right\}.$$

Then  $K \subset S$  and  $I(K) \subset I(S)$  properly. Now  $S^2 \subseteq S$  always and by (vi)  $I(S) \subseteq I(S^2)$ . These observations show that knowing the relation between the sub-rings we may still not conclude the direction in which the inclusion relation will go for the left-idealizers. Also in  $D$ ,  $I(T) \cap I(K) \subset I(T \cap K)$  properly and

$$I(T \cup S) \subset I(T) \cup I(S)$$

properly. This shows that (iv) and (v) are the best possible results.

**Lemma.** *Let  $S$  be a non-zero sub-ring of  $R$ . Then  $I(S) = S$  if and only if  $1 \in S$ .*

**Proof.** In general  $1 \in I(S)$  and  $S \subseteq I(S)$ . Thus  $I(S) = S$  implies  $1 \in S$ . Conversely if  $1 \in S$  and if  $x \in I(S)$  then  $x = x1 \in S$  and so  $I(S) \subseteq S$ , thus  $I(S) = S$ .

**Theorem 1.** *The following assertions about a ring  $R$  are equivalent.*

- (1) *For all non-zero sub-rings  $S$  of  $R$ ,  $I(S) = S$ .*
- (2)  *$R$  and all non-zero sub-rings of  $R$  are division rings.*
- (3)  *$R$  and all non-zero sub-rings of  $R$  are division rings and  $R$  has prime characteristic.*
- (4)  *$R$  is a field in which every element has finite order and which is an algebraic extension of the prime field.*

**Proof.** (1) $\Rightarrow$ (2). Let  $S$  be a non-zero sub-ring of  $R$ . Since  $I(S) = S$  it follows from the Lemma that  $1 \in S$ . Let  $L$  be a non-zero left ideal of  $S$ . Then  $L = I(L) \supseteq S$  and hence  $L = S$ . Thus  $S$  has a unit and no proper left ideals. Thus  $S$  is a division ring.

(2) $\Rightarrow$ (3). If  $R$  has characteristic zero then  $R$  has a proper sub-field isomorphic to the rational field  $\mathbb{Q}$  and thus  $R$  has a proper sub-ring isomorphic to  $\mathbb{Z}$ . Since  $\mathbb{Z}$  is not a division ring we obtain a contradiction and so  $R$  has prime characteristic.

(3) $\Rightarrow$ (4). Let  $S$  be a non-zero sub-ring of  $R$ . Since  $S$  is a division sub-ring  $1 \in S$ . In particular if  $S$  is the sub-ring generated by a non-zero element  $a \in R$ ,  $S$  consists of polynomials in  $a$  over the prime field of  $R$ . Since  $a^{-1} \in S$ ,  $a^{-1}$  is a polynomial in  $a$ . Thus  $a$  satisfies an algebraic equation over the prime field of  $R$ . Hence  $S$  is a finite field. Thus  $a^{n(a)} = a$  where  $n(a)$  is the number of elements in  $S$  and thus by Jacobson [(1), theorem 1, p. 217]  $R$  is commutative. Hence  $R$  is a field and, as shown above,  $R$  is an algebraic extension of the prime field.

(4) $\Rightarrow$ (1). Let  $S$  be a non-zero sub-ring of  $R$ . Let  $x \in I(S)$  and let  $s \in S$ ,  $s \neq 0$ . Then  $xs = s' \in S$ . But  $s$  has finite order and so for some integer  $\rho > 0$ ,  $s^\rho = 1$ . Then  $x = x1 = xs^\rho = xss^{\rho-1} = s's^{\rho-1} \in S$ .

Hence  $I(S) \subseteq S$  and thus  $I(S) = S$ .

We should remark that if we omit the assumption that  $R$  has a unit then  $R$  need not be a division ring for (1) to hold. Consider the ring  $A$  where

$$A = \left\{ \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \right\}$$

matrix addition and multiplication being performed modulo 2. Then  $A$  is non-zero and for the only non-zero sub-ring of  $A$ , namely  $A$  itself,  $I(A) = A$  trivially.

We should also observe that even if every proper non-zero sub-ring of a ring  $R$  is a division ring  $R$  need not be a division ring. A counter-example is provided by the ring

$$B = \{(0, 0), (1, 0), (0, 1), (1, 1)\}$$

with componentwise addition and multiplication modulo 2.

Having dealt with the case of  $I(S) = S$  for all  $S$  we now consider the opposite situation.

**Theorem 2.** *The following assertions about a ring  $R$  are equivalent.*

- (1)  $R$  is a homomorphic image of  $Z$ .
- (2) Every sub-ring  $S$  of  $R$  is a left ideal.
- (3) For every proper non-zero sub-ring  $S$  of  $R$ ,  $I(S) \neq S$ .

**Proof.** (1) $\Rightarrow$ (2). Every sub-ring of  $Z$  is a left ideal and this property is preserved under homomorphism.

(2) $\Rightarrow$ (3). This is obvious.

(3) $\Rightarrow$ (1). Let  $S = \{n1 : n \in Z\}$ . Then  $S$  is a non-zero sub-ring of  $R$ . Let  $x \in I(S)$ . Then  $x = x1 \in S$  which implies that  $I(S) \subseteq S$  and hence  $I(S) = S$ . This is only possible if  $S = R$  and then  $R$  is a homomorphic image of  $Z$ .

**Theorem 3.** *Let  $R$  be a ring. Then for every proper non-zero sub-ring  $S$  of  $R$  there exists an integer  $n$ , depending on  $S$ , such that  $I(S^n) = R$  if and only if for every proper non-zero sub-ring  $S$  of  $R$   $I(S) \neq S$ .*

**Proof.** Let  $S$  be a proper non-zero sub-ring of  $R$  such that  $I(S^n) = R$  for some integer  $n$ . If  $I(S) = S$  we should have  $1 \in S$  and thus  $S^n = S$ . Hence  $S = I(S) = I(S^n) = R$  which is false. Thus  $I(S) \neq S$ .

Conversely if  $I(S) \neq S$  for every proper non-zero sub-ring  $S$  of  $R$ , by Theorem 2, every sub-ring is a left ideal and so  $I(S) = R$ .

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#### REFERENCE

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