

RESEARCH ARTICLE

The Disc-structure space

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Abstract

We study the \mathscr{D} isc-structure space $S_{\partial}^{\mathscr{D}$ isc}(M) of a compact smooth manifold M. Informally speaking, this space measures the difference between M, together with its diffeomorphisms, and the diagram of ordered framed configuration spaces of M with point-forgetting and point-splitting maps between them, together with its derived automorphisms. As the main results, we show that in high dimensions, the \mathscr{D} isc-structure space a) only depends on the tangential 2-type of M, b) is an infinite loop space, and c) is nontrivial as long as M is spin. The proofs involve intermediate results that may be of independent interest, including an enhancement of embedding calculus to the level of bordism categories, results on the behaviour of derived mapping spaces between operads under rationalisation, and an answer to a question of Dwyer and Hess in that we show that the map $BTop(d) \rightarrow BAut(E_d)$ is an equivalence if and only if d is at most 2.

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1. Introduction

The classification of closed smooth *d*-manifolds and families thereof – smooth fibre bundles – is one of the guiding problems of geometric topology. From a homotopy-theoretic perspective, it is the study of the ∞ -groupoid¹ \mathcal{M} an $(d)^{\cong}$ of smooth closed *d*-manifolds and spaces of diffeomorphisms between

¹This work is written ∞ -categorically, so we treat homotopy types and ∞ -groupoids as indistinguishable. In this introduction, readers unfamiliar with this principle may substitute topologically enriched categories or groupoids for ∞ -categories or -groupoids; the former being related to homotopy types by taking classifying spaces.

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them. A historically successful approach to relate – and partially reduce – the study of $\mathcal{M}an(d)^{\cong}$ in high dimensions to more homotopy-theoretic and algebraic questions goes by comparison to the ∞ -groupoid \mathcal{S}^{\cong} of spaces via the functor $\mathcal{M}an(d)^{\cong} \to \mathcal{S}^{\cong}$ that assigns a manifold its homotopy type. For a given homotopy type *X*, one studies the fibre

$$S^{\mathcal{S}}(X) \coloneqq \operatorname{fib}_X(\mathcal{M}\operatorname{an}(d)^{\cong} \to \mathcal{S}^{\cong}),$$

which can be thought of as the space of manifold structures on *X*. The path components of this *structure space* are equivalence classes of manifolds with a homotopy equivalence to *X*,

$$\pi_0 S^{\mathscr{S}}(X) = \frac{\begin{cases} \text{pairs } (M, \varphi) \text{ of a closed smooth d-manifold M and} \\ a \text{ homotopy equivalence } \varphi \colon M \to X \end{cases}}{(M, \varphi) \sim (M', \varphi') \Leftrightarrow \text{ there exists a diffeomorphism}} \\ \alpha \colon M \to M' \text{ with } [\varphi' \circ \alpha] = [\varphi] \in \pi_0 \operatorname{Map}_{\mathscr{S}}(M, X), \end{cases}$$

and the path component of $S^{\mathcal{S}}(X)$ corresponding to such a pair (M, φ) agrees with the identity component of the fibre hAut(M)/Diff(M) of the map $BDiff(M) \to BhAut(M)$ induced by considering diffeomorphisms as homotopy equivalences,

$$S^{\delta}(X)_{(M,\phi)} \simeq (\operatorname{hAut}(M)/\operatorname{Diff}(M))_{\operatorname{id}}$$

Surgery theory and pseudoisotopy theory combine to provide an approximation to the structure space $S^{\delta}(X)$ up to extensions in terms of three infinite loop spaces – one in the realm of each, *algebraic K*-theory, *algebraic L*-theory and *stable homotopy theory* (see [WW01] for a survey). The unfortunate defect of this approach is that it really is only an approximation, in the sense that it can only capture a finite Postnikov truncation of $S^{\delta}(X)$ depending on the dimension.

Motivated by Goodwillie–Weiss' embedding calculus and factorisation homology, we pursue a different approach to relate the study of \mathcal{M} an $(d)^{\cong}$ to more homotopy-theoretic and algebraic questions, and we establish three fundamental properties of this alternative. Observing that the homotopy type of a manifold M can be viewed as that of the space of ordered configurations of k points in M for k = 1, this approach is motivated by the idea to remember the homotopy types of the configuration spaces for *all* values of k, together with the natural point-forgetting maps between them. It is, in fact, beneficial to consider configuration spaces of thickened points which admit more natural maps between them, by 'splitting points'. To make this precise, one considers the ∞ -category \mathfrak{D} is c_d of finite disjoint unions of *d*-dimensional Euclidean spaces (i.e., $T \times \mathbf{R}^d$ for finite sets T) and spaces of smooth embeddings between them. A *d*-manifold M gives rise to a presheaf $E_M : \mathfrak{D}$ is $c_d^{\text{op}} \to \mathcal{S}$ on \mathfrak{D} is c_d with values in the ∞ -category \mathcal{S} of spaces via

$$\mathscr{D}\mathrm{isc}_{d}^{\mathrm{op}} \ni T \times \mathbf{R}^{d} \xrightarrow{E_{M}} \mathrm{Emb}(T \times \mathbf{R}^{d}, M) \in \mathscr{S}.$$
 (1)

By taking derivatives at the centres, the space $E_M(T \times \mathbf{R}^d)$ is equivalent to the ordered configuration space of k = |T| points in M together with framings of the tangent space of M at each of these points, and the homotopy type of the ordinary ordered configuration space of k points in M (in particular, that of M itself for k = 1) can be recovered as the quotient by the Diff $(\mathbf{R}^d)^T \simeq O(d)^T$ -action on $E_M(T \times \mathbf{R}^d)$ obtained by functoriality. The assignment $M \mapsto E_M$ as in (1) is natural in embeddings of M, so it, in particular, defines a functor $E : \mathcal{M}an(d)^{\cong} \to PSh(\mathcal{D}isc_d)^{\cong}$ to the ∞ -groupoid of \mathscr{S} -valued presheaves on $\mathcal{D}isc_d$. The fibre of this functor at a presheaf $X : \mathcal{D}isc^{op} \to \mathscr{S}$

$$S^{\mathcal{D}\mathrm{isc}}(X) \coloneqq \mathrm{fib}_X \left(\mathscr{M}\mathrm{an}(d)^{\cong} \xrightarrow{E} \mathrm{PSh}(\mathcal{D}\mathrm{isc}_d)^{\cong} \right)$$

is the eponymous \mathscr{D} isc-*structure space of X*. Analogous to the more traditional structure space $S^{\mathscr{S}}(X)$, the \mathscr{D} isc-structure space $S^{\mathscr{D}$ isc}(X) can be thought as a space of manifold structures, this time on a

presheaf as opposed to just a homotopy type. Similar to before, the path components $\pi_0 S^{\mathcal{D}isc}(X)$ are represented by pairs of a manifold with an equivalence of its presheaf to *X*,

$$\pi_0 S^{\mathcal{D}isc}(X) = \frac{\begin{cases} \text{pairs } (M,\varphi) \text{ of a closed smooth d-manifold M and} \\ \text{an equivalence of presheaves } \varphi \colon E_M \to X \end{cases}}{(M,\varphi) \sim (M',\varphi') \Leftrightarrow \text{ there exists a diffeomorphism}} \\ \alpha \colon M \to M' \text{ with } [\varphi' \circ E_\alpha] = [\varphi] \in \pi_0 \operatorname{Map}_{PSh(\mathcal{D}isc_d)}(E_M,X), \end{cases}$$

and the path component of $S^{\otimes isc}(X)$ corresponding to such a pair (M, φ) agrees with the identity component of the fibre $\operatorname{Aut}(E_M)/\operatorname{Diff}(M)$ of the map $\operatorname{BDiff}(M) \to \operatorname{BAut}(E_M)$ induced by E,

$$S^{\mathcal{D}isc}(X)_{(M,\varphi)} \simeq \left(\operatorname{Aut}(E_M)/\operatorname{Diff}(M)\right)_{id}.$$

In particular, the space $S^{\otimes \text{isc}}(X)$ is nonempty if and only if $X \simeq E_M$ for some closed smooth *d*-manifold *M*. If so, then $S^{\otimes \text{isc}}(X) \simeq S^{\otimes \text{isc}}(E_M)$, so nothing is lost by assuming $X = E_M$, in which case we abbreviate $S^{\otimes \text{isc}}(M) \coloneqq S^{\otimes \text{isc}}(E_M)$. These are the spaces we focus on in this work. Informally speaking, they measure by how many manifolds the presheaf $X = E_M$ is realised, and how much their diffeomorphism groups differ from the automorphism group of *X*.

As the main results of this work, we establish three structural properties of $S^{\mathcal{D}isc}(M)$ that one could summarise by saying that for most choices of M,

- A) $S^{\mathcal{D}isc}(M)$ depends only little on the manifold M,
- B) $S^{\mathcal{D}isc}(M)$ is an infinite loop space, and
- C) $S^{\mathcal{D}isc}(M)$ is nontrivial.

We state these results in terms of a more general version $S_{\partial}^{\otimes \text{isc}}(M)$ for manifolds that may have boundary, which is crucial for our methods. We postpone its definition to Section 1.2.1 below.

A). Tangential 2-type invariance

To make the first property precise, recall that two manifolds M and N, possibly with boundary, have the *same tangential 2-type* if there is a map $B \to BO$ so that the maps $M \to BO$ and $N \to BO$ classifying the stable tangent bundles of M and N admit lifts to maps $M \to B$ and $N \to B$ that are 2-connected.

Example. Choosing $B = BSpin \times K(\pi, 1)$, one sees that two spin manifolds M and N have the same tangential 2-type if and only if their fundamental groupoids are equivalent. In particular, all simply connected spin manifolds have the same tangential 2-type.

Our first main result is that in high dimensions, the \mathcal{D} isc-structure space $S_{\partial}^{\mathcal{D}$ isc}(M) depends only on the dimension *d* and the tangential 2-type of *M*.

Theorem A. For compact d-manifolds M and N with $d \ge 5$ that have the same tangential 2-type, there exists an equivalence $S_{\partial}^{\otimes \text{lisc}}(M) \simeq S_{\partial}^{\otimes \text{lisc}}(N)$.

In particular, the \mathscr{D} isc-structure space of a compact spin *d*-manifold *M* with $d \ge 5$ only depends on the fundamental groupoid, so we in particular have $S_{\partial}^{\mathscr{D}$ isc}(M) \simeq S_{\partial}^{\mathscr{D} isc}(D^d) if *M* is simply connected. Theorem A also implies that $S_{\partial}^{\mathscr{D}$ isc}(M) for a compact *d*-manifold *M* does not depend on the smooth structure of *M*, since homeomorphic manifolds have equivalent tangential 2-types (see Example 5.2).

Remark. One ingredient in the above mentioned approximation to the conventional structure space $S_{\partial}^{\mathcal{S}}(M)$ has a similar invariance property (namely, the *L*-theory part depends only on the fundamental groupoid), but the others depend more substantially on the homotopy type of *M*.

Remark. Reformulated in terms of embedding calculus (see Section 1.1.1 for an outline of this relation), Theorem A is an extension of a result of Knudsen–Kupers [KK24a, 6.23] which applies to certain path components of $S_{\partial}^{\mathcal{D}isc}(M)$ if M is 2-connected, of dimension $d \ge 6$, and $\partial M = S^{d-1}$.

B). Infinite loop space structure

As previously mentioned, the more traditional structure space $S^{\delta}_{\partial}(M)$ is an infinite loop space after a *certain truncation* and up to extensions. The \mathscr{D} isc-structure space $S_{\partial}^{\mathscr{D}$ isc}(M) on the other hand is in high dimensions an actual infinite loop space – no truncations or extensions are necessary. This is our second main result.

Theorem B. For a compact manifold M of dimension $d \ge 8$, the space $S_{\partial}^{\oplus \text{isc}}(M)$ admits the structure of an infinite loop space.

Remark.

- (i) The bound $d \ge 8$ in Theorem B is not optimal. It can, for example, be improved to $d \ge 6$ if M is simply connected and spin (see Theorem 6.1). Further improvements are likely possible.
- (ii) The \mathscr{D} isc-structure space $S_{\partial}^{\mathscr{D}$ isc}(M) extends to a space-valued functor on an ∞ -category of compact d-manifolds and embeddings between them (see Section 4.5.2), but our construction of the infinite loop space structure on $S_{\partial}^{\Im \text{isc}}(M)$ has less functoriality (see Remark 6.8).

C). Nontriviality

At this point, a very optimistic reader may wonder whether the \mathscr{D} isc-structure spaces $S_{\partial}^{\mathscr{D}$ isc}(M) are just contractible, which would in particular say that the diffeomorphism group Diff(M) of a closed manifold M is equivalent to the automorphism group $Aut(E_M)$ of the associated presheaf. As our third main result, we show that this is never the case if one assumes the manifold to be spin and of dimension $d \ge 5$.

Theorem C. For a compact spin d-manifold $M \neq \emptyset$ with $d \ge 5$, the space $S_{\partial}^{\oplus \text{isc}}(M)$ is not contractible.

Remark. There are partial results in low dimensions that complement Theorem C.

- (i) For d ≤ 2, Theorem A of [KK24b] implies S^{Disc}_∂(M) ≈ * (see Remark 1.1 (ii) loc.cit.).
 (ii) For d = 3, we give several examples for which S^{Disc}_∂(M) is nontrivial, including M = D³ and $M = S^3$ (see Remark 8.16).
- (iii) For d = 4, Theorem B of [KK24a] implies that $\pi_0 S_{\partial}^{\mathcal{D}isc}(M)$ surjects onto the set of isotopy classes of smooth structures on M as long as M is 1-connected and closed, so $S_{\partial}^{\mathcal{D}isc}(M)$ is nontrivial for all such *M* that admit more than one smooth structure.

This concludes the summary of our three main results. In the remainder of this introduction, we briefly indicate how $S_{\partial}^{\mathcal{D}isc}(M)$ relates to embedding calculus, the little *d*-discs operad and factorisation homology, and then give a summary of the proofs of the main results, where we also make good for the omitted definition of $S_{\partial}^{\otimes isc}(M)$ for manifolds with boundary.

1.1. Relation to embedding calculus, the E_d -operad and factorisation homology

1.1.1. Embedding calculus

Goodwillie and Weiss' embedding calculus [Wei99, GW99] is a device to study embeddings via their restrictions to submanifolds of the source that are diffeomorphic to $T \times \mathbf{R}^d$ for finite sets T. It has the form of an approximation to the space of embeddings

$$\operatorname{Emb}(W, W') \longrightarrow T_{\infty} \operatorname{Emb}(W, W') \tag{2}$$

whose target is the limit of a tower of maps whose fibres admit a description in terms of the configurations spaces and frame bundles of W and W'. The main result in this context, due to Goodwillie–Klein [GK15], says that (2) is an equivalence if the handle codimension (dimension of W' minus handle dimension of W) is at least three. In general, the map (2) can fail to be an equivalence, and in a sense, the \mathfrak{D} isc-structure spaces may be seen as the 'correction terms' to (2) being an equivalence in codimension zero. Let us make this more precise.

The relation of the map (2) to \mathscr{D} isc-structure spaces is a reformulation of a result of Boavida de Brito–Weiss [BdBW13], at least if M is closed (c.f. Remark 1.1). They show that (2) is equivalent to the map $\text{Emb}(W, W') \rightarrow \text{Map}_{\text{PSh}(\mathscr{D}\text{isc}_d)}(E_W, E_{W'})$ induced by the naturality of E_W in embeddings, which – for closed W and W' and after discarding non-invertible components in source and target – is the map on mapping spaces induced by the functor $E : \mathscr{M}\text{an}(d)^{\cong} \rightarrow \text{PSh}(\mathscr{D}\text{isc}_d)^{\simeq}$ used to define the $\mathscr{D}\text{isc-structure space}$. Since the path space of a ∞ -groupoid between two objects is naturally equivalent to the space of morphisms between the respective objects, this shows that the loop space of $S^{\mathscr{D}\text{isc}}(M)$ at $(M, \text{id}_{E_M}) \in \pi_0 S^{\mathscr{D}\text{isc}}(M)$ is equivalent to the fibre at id of (2) for W = W' = M, so

$$\Omega S^{\mathcal{D}isc}(M) \simeq \operatorname{hofib}_{id}(\operatorname{Emb}(M, M) \to T_{\infty}\operatorname{Emb}(M, M)).$$
(3)

Remark 1.1. A similar discussion applies if M has boundary, but this does not follow directly from [BdBW13] since we deal with boundary conditions differently to loc.cit. (see Section 1.2.1).

Specialising Properties A–C to spin manifolds, they in particular imply the following:

Corollary D. For compact connected spin *d*-manifolds $M \neq \emptyset$ with $d \ge 5$, the fibre

$$\operatorname{hofib}_{\operatorname{id}}(\operatorname{Diff}_{\partial}(M) = \operatorname{Emb}_{\partial}(M, M) \to T_{\infty}\operatorname{Emb}_{\partial}(M, M))$$

is nontrivial and depends only on the fundamental group of M. It is an infinite loop space for $d \geq 8$.

1.1.2. The operad E_d of little *d*-discs

We continue by mentioning two connections between $S_{\partial}^{\mathcal{D}isc}(M)$ and the operad E_d of little *d*-discs. The first is that $\mathcal{D}isc_d$ agrees with the monoidal envelope (also known as the associated PROP) of the framed E_d -operad, so PSh($\mathcal{D}isc_d$) can be identified with the ∞ -category of right-modules over this operad, and hence, the definition of $S_{\partial}^{\mathcal{D}isc}(M)$ for closed manifolds can be rephrased in these terms. There is a similar reformulation if M has boundary.

The second relation is less obvious and once more a result of work of Boavida de Brito and Weiss [BdBW18]. To explain it, observe that the standard action of O(d) on the disc D^d induces an O(d)-action on the operad E_d of little d-discs. This action extends to the topological group Top(d) of homeomorphisms of \mathbf{R}^d , so there is a map

$$\operatorname{BTop}(d) \longrightarrow \operatorname{BAut}(E_d) \tag{4}$$

with $Aut(E_d)$ the automorphism group of the E_d -operad. Reformulated in our setting, their work (or alternatively work of Ducoulombier–Turchin [DT22]) moreover implies that there is an equivalence

$$\Omega^{d+1}(\operatorname{Aut}(E_d)/\operatorname{Top}(d)) \simeq S_{\partial}^{\mathscr{D}\operatorname{isc}}(D^d).$$
(5)

In particular, Theorems B and C for $M = D^d$ (or rather certain refinements of them) imply the following:

Corollary E. The map $BTop(d) \rightarrow BAut(E_d)$ is an equivalence if and only if $d \le 2$. Moreover, its fibre admits for $d \ge 6$ the structure of an infinite loop space after taking (d + 1)-fold loop spaces.

Remark 1.2. A couple of remarks on the equivalence (5) and Corollary E are in order.

 (i) Dwyer and Hess asked whether the map (4) is an equivalence [Dwy14, 58 min]. The first part of Corollary E gives an answer.

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- (ii) The cases $d \le 2$ of the first part of Corollary E are not due to us: Horel [Hor17] proved the case d = 2. The case d = 1 is folklore and can be proved via Horel's approach.
- (iii) The equivalence (5) can strictly speaking only be deduced from [BdBW18] or [DT22] after passing to certain components (see Theorem 8.1), but a different proof that does not require this was given as part of [KK24c] (see Remark 8.2).

1.1.3. Factorisation homology

The final relation of $S_{\partial}^{\otimes \text{isc}}(M)$ we would like to mention is one to *factorisation homology* (or *topological chiral homology*) [Sal01, Fra13, And10, AF15, Lur17]. In its simplest instance, this connection amounts to the (quite tautological) observation that for a framed E_d -algebra A in a suitable ∞ -category \mathcal{C} , there is a commutative diagram

$$\mathcal{M}\mathrm{an}(d)^{\cong} \xrightarrow{E} \mathrm{PSh}(\mathcal{D}\mathrm{isc}_d)$$

$$\downarrow^{(-)\otimes_{\mathcal{D}\mathrm{isc}_d}A}$$

$$\overset{\downarrow^{(-)\otimes_{\mathcal{D}\mathrm{isc}_d}A}}{\overset{\smile}{\mathscr{C}}}$$

of ∞ -categories in which the diagonal arrow is given by factorisation homology with coefficients in Aand the vertical arrow by taking coends, using that A is in particular a functor $A : \mathscr{D}isc_d \to \mathscr{C}$. In fact, the functor E itself is an instance of factorisation homology – namely, with coefficients in the framed E_d -algebra $E_{D^d} \in PSh(\mathscr{D}isc_d) - so E$ may be viewed as the universal factorisation homology invariant on $\mathscr{M}an(d)^{\cong}$, and the study of $\mathscr{D}isc$ -structure spaces as closely related to the question to which extent the theory of manifolds can be captured by factorisation homology.

1.2. Summary of proofs

We conclude with a summary of the proofs of Theorems A-C.

Some steps may be of independent interest. We highlight them with the Roman numerals (I)-(III).

1.2.1. The case with boundary

The more general \mathscr{D} isc-structure spaces $S_{\partial}^{\mathscr{D}isc}(M)$ for manifolds M with boundary play a central role in the proofs of all main results of this work, even when specialised to closed manifolds, so we first make good on omitting its definition earlier.

Fixing a closed (d-1)-manifold Q, one replaces $\mathcal{M}an(d)^{\cong}$ with the ∞ -groupoid $\mathcal{M}an(d)^{\cong}_{Q}$ of compact d-manifolds with an identification of their boundary with Q, and spaces of diffeomorphisms preserving these identifications. The definition (1) of the presheaf E_M still makes sense if M has boundary Q and thus yields a functor $\mathcal{M}an(d)^{\cong}_{Q} \to PSh(\mathcal{D}isc_d)^{\cong}$, but if $Q \neq \emptyset$, then the presheaf E_M carries additional structure. Indeed, stacking cylinders induces an associative algebra structure on the presheaf $E_{Q \times I} \in PSh(\mathcal{D}isc_d)$ with respect to the symmetric monoidal structure on $PSh(\mathcal{D}isc_d)$ given by Day convolution, induced by taking disjoint unions in $\mathcal{D}isc_d$. Similarly, fixing a collar $Q \times I \hookrightarrow M$ of the boundary of M, the presheaf E_M becomes a right- $E_{Q \times I}$ -module. Made precise, this enhances the functor $E: \mathcal{M}an(d)^{\cong}_{Q} \to PSh(\mathcal{D}isc_d)^{\cong}$ to a functor

$$E: \mathscr{M}\mathrm{an}(d)_{O}^{\cong} \longrightarrow \mathscr{M}\mathrm{od}(d)_{E_{O\times I}}^{\cong}$$

$$\tag{6}$$

with target the ∞ -groupoid \mathcal{M} od $(d)_{E_{Q\times I}}^{\approx}$ of right- $E_{Q\times I}$ -modules. The \mathcal{D} isc-structure space of a right- $E_{Q\times I}$ -module X is then defined as the fibre

$$S_Q^{\otimes \operatorname{isc}}(X) \coloneqq \operatorname{fib}_X \left(\mathscr{M}\mathrm{an}(d)_Q^{\cong} \xrightarrow{E} \mathscr{M}\mathrm{od}(d)_{E_{Q \times I}}^{\cong} \right);$$

that this recovers the previous definition in the case $Q = \emptyset$ follows by observing that $E_{\emptyset \times I}$ is the monoidal unit. As in the closed case, we abbreviate $S_{\partial}^{\otimes \text{isc}}(M) \coloneqq S_{Q}^{\otimes \text{isc}}(E_M)$ if the right- $E_{Q \times I}$ -module $X = E_M$ is induced by a manifold M with identified boundary $\partial M \cong Q$. This is the generalisation of $S^{\otimes \text{isc}}(M)$ for manifolds with boundary in terms of which we stated Theorems A–C above.

1.2.2. Extension to the bordism category

For the proofs of these results, we need to generalise the functor (6) further. Given another closed (d-1)-manifold P, we write \mathscr{B} ord $(d)_{P,Q}$ for the ∞ -groupoid of compact bordisms $W: P \rightarrow Q$ and spaces of diffeomorphisms preserving the identifications of the ends. For such a bordism, the associated presheaf E_W becomes a $(E_{P\times I}, E_{Q\times I})$ -bimodule in PSh $(\mathscr{D}$ isc_d), and we have a functor

$$E: \mathscr{B}\mathrm{ord}(d)_{P,Q} \longrightarrow \mathscr{M}\mathrm{od}(d)_{E_{P\times I},E_{Q\times I}}^{\simeq}$$

$$\tag{7}$$

to the ∞ -groupoid \mathcal{M} od $(d)_{E_{P\times I}, E_{Q\times I}}^{\simeq}$ of $(E_{P\times I}, E_{Q\times I})$ -bimodules, generalising the case $P = \emptyset$ discussed in the previous subsection. Given another closed (d - 1)-manifold R, one can show that there is a commutative square of ∞ -groupoids

$$\begin{array}{ccc} \mathscr{B}\mathrm{ord}(d)_{P,Q} \times \mathscr{B}\mathrm{ord}(d)_{Q,R} & \xrightarrow{(-) \cup_Q(-)} & \mathscr{B}\mathrm{ord}(d)_{P,R} \\ & & E \times E \downarrow & & \downarrow E \\ \mathscr{M}\mathrm{od}(d)_{P,Q}^{\approx} \times \mathscr{M}\mathrm{od}(d)_{Q,R}^{\approx} & \xrightarrow{(-) \otimes_{E_{Q \times I}}(-)} & \mathscr{M}\mathrm{od}(d)_{P,R}^{\approx}, \end{array}$$

whose horizontal functors are induced by gluing bordisms and tensoring bimodules, respectively; this is essentially an instance of what is known as \otimes -excision in the theory of factorisation homology. These squares suggest that the functors (7) might, in fact, arise as the maps induced on mapping spaces by a functor of ∞ -categories

$$E: \mathscr{B}\mathrm{ord}(d)^{(\infty,1)} \longrightarrow \mathscr{M}\mathrm{od}(d)^{(\infty,1)}$$
(8)

from the *d*-dimensional bordism category to a Morita category whose objects are associative algebras in $PSh(\mathcal{D}isc_d)$ and whose morphisms are bimodules. This turns out to be the case, but to prove our results, we need even more functoriality. For this, one notes that the presheaf E_M of a manifold makes equal sense if M is noncompact, so (8) ought to extend to a functor

$$E: \operatorname{nc}\mathscr{B}\operatorname{ord}(d)^{(\infty,2)} \longrightarrow \mathscr{M}\operatorname{od}(d)^{(\infty,2)}$$

$$\tag{9}$$

of $(\infty, 2)$ -categories from a larger bordism category of possibly noncompact manifolds that has codimension 0 embeddings as 2-morphisms, not just diffeomorphisms, to a larger Morita category $\mathcal{M}od(d)^{(\infty,2)}$ that has morphisms of bimodules as 2-morphisms, not just invertible ones.

In Section 3, relying on work of Haugseng [Hau17], we carefully construct such a functor (9) of $(\infty, 2)$ -categories and show that it can be enhanced to a functor of *symmetric monoidal* $(\infty, 2)$ -categories. As part of Section 4, we show that for (possibly noncompact) bordisms $W, W' : P \rightarrow Q$, one can identify the map between mapping spaces of 2-morphisms induced by (9)

$$\begin{array}{ccc} \operatorname{Map}_{\operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P,Q}}(W,W') & \stackrel{E}{\longrightarrow} & \operatorname{Map}_{\mathscr{M}\operatorname{od}(d)_{P,Q}}(E_W,E_{W'}) \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & &$$

with Goodwillie–Weiss' embedding calculus approximation, so one might view the functor (9) as an enhancement of embedding calculus to the level of bordism categories. In particular,

(I) the functor (9) of symmetric monoidal (∞ , 2)-categories equips the limit of the embedding calculus tower with homotopy coherent gluing, composition and disjoint union maps.

The functor (9) and its relation to embedding calculus forms the technical backbone of the proofs of Theorems A–C in the later chapters, whose proof strategies we summarise now.

Remark 1.3. As part of [KK24c], the functor (9) was generalised in several directions.

1.2.3. Theorem A: tangential 2-type invariance

The functor (8) in particular extends the \mathscr{D} isc-structure space of a manifold $S_{\partial}^{\mathscr{D}$ isc}(M) to a space-valued functor of ∞ -categories

$$S^{\mathcal{D}\mathrm{isc}}_{\partial}(-) \colon \mathscr{B}\mathrm{ord}(d)^{(\infty,1)}_{\otimes/} \longrightarrow \mathcal{S}$$
 (10)

defined on the ∞ -category of null bordisms (i.e., the undercategory of $\emptyset \in \mathscr{B}$ ord $(d)^{(\infty,1)}$). Relying on the relation to embedding calculus via (9), a version of an isotopy extension theorem for embedding calculus due to Knudsen–Kupers [KK24a], and Goodwillie–Klein's above mentioned convergence theorem, we show that the functor (10) sends a bordism $W: P \rightarrow Q$ to an equivalence if W can be built from a collar on P by attaching handles of index ≥ 3 . This leads to a proof of Theorem A, since it turns out that the value of *any* functor of the form (10) with this property depends up to equivalence only on the tangential 2-type. This is an instance of

(II) a general tangential k-type invariance result for the values of certain functors on the category $\mathscr{B}ord(d)_{\alpha/}^{(\infty,1)}$ of null bordisms.

The proof of (II) amounts to a sequence of surgery arguments that we became aware of through the literature on the space of metrics of positive scalar curvature – in particular, [ERW22, EW24].

1.2.4. Theorem B: infinite loop space

To construct an infinite loop space structure on $S_{\partial}^{\mathcal{D}isc}(M)$, we first use the tangential 2-type invariance to show that it suffices to consider manifolds of the form $M = P \times D^{2n}$ for P a closed manifold and $2n \ge 4$. From the definition

$$S^{\mathcal{D}\text{isc}}_{\partial}(P \times D^{2n}) = \text{fib}_{E_{P \times D^{2n}}} \left(\mathscr{B}\text{ord}(d)_{P \times S^{2n-1}} \xrightarrow{E} \mathscr{M}\text{od}(d)^{\approx}_{E_{P \times S^{2n-1} \times I}} \right), \tag{11}$$

it is clear that it suffices to prove that the right-hand map is a map of infinite loop spaces. After restriction to certain path-components that does not affect the fibre, this is what we do. More precisely, in the target, we restrict to modules equivalent to $E_{P \times W_{g,1}}$ for $g \ge 0$ where $W_{g,1}$ is short for the bordism $(S^n \times S^n)^{\sharp g} \operatorname{int}(D^{2n}): \emptyset \rightsquigarrow S^{2n-1}$. In the source, we restrict to bordisms whose induced presheaf is equivalent to $E_{P \times W_{g_1}}$ for $g \ge 0$ as a bimodule. We then use the full coherence provided by the functor (8) to enhance the restricted map to one of algebras over a certain higher-dimensional version \mathscr{W} of Tillmann's surface operad [Til00], constructed out of bordisms of the form $\sqcup^k S^{2n-1} \rightsquigarrow \sqcup^l S^{2n-1}$ for $k, l \ge 0$ that are obtained from the manifolds $W_{e,1}$ by creating more boundary spheres. A variant of this operad has already appeared in work of Basterra-Bobkova-Ponto-Tillmann-Yaekel [BBP+17] on operads with homological stability. They proved that algebras over this operad are E_1 -spaces (via a 'pair-of-pants' product) which group-complete to infinite loop spaces, the main ingredient being a stable homological stability result of Galatius–Randal-Williams [GRW17]. Translated to our setting, this implies that the fibre of the group completion of the restricted map is an infinite loop space. Using tangential 2-type invariance once more, we then show that in this case, group completion commutes with taking fibres. This only shows that $S_{\partial}^{\mathcal{D}isc}(P \times D^{2n})$ is an infinite loop space after group completion, but we also show that this E_1 -space is already group-complete, using the *s*-cobordism theorem.

1.2.5. Theorem C: nontriviality

To show that $S_{\partial}^{\otimes \text{isc}}(M)$ is nontrivial for all compact spin manifolds M of dimension $d \ge 5$, we first reduce to the case $M = D^d$ using tangential 2-type invariance. The equivalence (5) then further reduces this to showing that the fibre $\text{Aut}(E_d)/\text{Top}(d)$ of (4) has a nontrivial homotopy group in sufficiently high degree, which we do by showing that the individual homotopy groups of $\text{Aut}(E_d)$ and Top(d)are sufficiently different. While quite a bit is known about the homotopy groups of Top(d), especially rationally, so far, almost nothing is known about the homotopy groups of $\text{Aut}(E_d)$ besides for small values of d. This is in stark contrast to the automorphism group $\text{Aut}((E_d)_{\mathbf{Q}})$ of the *rationalised* E_d -operad, whose homotopy groups have a complete description in terms of graph complexes à la Kontsevich due to work of Fresse–Turchin–Willwacher [FTW17]. Thus, to learn something about the homotopy groups of $\text{Aut}(E_d)$, one could try to study the comparison map $\text{Aut}(E_d) \to \text{Aut}((E_d)_{\mathbf{Q}})$ on homotopy groups. This is what we do. More generally,

(III) we study the effect on homotopy groups of the map $Map(\mathcal{O}, \mathcal{P}) \to Map(\mathcal{O}_{\mathbb{Q}}, \mathcal{P}_{\mathbb{Q}})$ for operads \mathcal{O} and \mathcal{P} , induced by rationalisation.

For this, we first use work of Göppl and Weiss [GW24] to decompose the mapping spaces as a limit of a tower of mapping spaces between truncated operads and show that under mild assumptions, the maps analogous to that in (III) between the stages of this tower are componentwise rationalisations. Rationalisation does *not* commute with sequential limits in general, so this does *not* imply that the map in (III) has the same property. However, we then show that this can only fail in an extreme way – namely, when some of the homotopy groups of Map(\mathcal{O}, \mathcal{P}) are uncountable. We also explain similar results for more general localisations and for more general towers of spaces.

Applied to $\mathcal{O} = \mathcal{P} = E_d$, this shows that the homotopy groups of Aut (E_d) either agree rationally with those of Aut $((E_d)_Q)$, as described in Fresse–Turchin–Willwacher's work, or some of them are uncountable. In either case, we can conclude that they are different from that of Top(d): in the former by comparing them with known partial computations of the rational homotopy groups of Top(d), and in the latter by using that Top(d) has countable homotopy groups.

2. ∞-categorical preliminaries

Except for the final two sections (see Convention 7.1), we work in the setting of ∞ -categories. This section – which may be skipped on first reading and referred back to when necessary – serves to establish some notation and to recall definitions and facts used in later sections, as well as to prove a few technical results that we could not find in the literature. The topics are as follows:

- 2.1 Conventions.
- 2.2 The coherent nerve.
- 2.3 Cocartesian fibrations.
- 2.4 The categories Δ , Gap, and Fin_{*}.
- 2.5 Category and monoid objects.

- 2.6 Presheaves and the Yoneda embedding.
- 2.7 ∞ -operads and generalised ∞ -operads.
- 2.8 Associative algebras and bimodules.
- 2.9 Haugseng's Morita category.
- 2.10 Span and cospan categories.

2.1. Conventions

Unless mentioned otherwise, we follow the conventions and notation of [Lur09a, Lur17]. In particular,

- An ∞-category is a quasi-category [Lur09a, 1.1.2.4]. The ∞-category of ∞-categories Cat_∞ is the coherent nerve Cat_∞ := N_{coh}(Cat_∞) of the Kan-enriched category Cat_∞ of small ∞-categories [Lur09a, 3.0.0.1]. We consider 1-categories as ∞-categories via their nerve.
- A *space* is a Kan complex. If topological spaces appear, we implicitly replace them by their singular simplicial sets. The category of simplicial sets is denoted S and the full subcategory of Kan-complexes by Kan \subset S. Both are enriched over themselves. The ∞ -category of spaces \mathcal{S} is the coherent nerve $\mathcal{S} := N_{\text{coh}}(\text{Kan})$ [Lur09a, 1.2.16.1].

We use the following notational conventions:

- The letters A, B, C, ... typically stand for ∞-categories, whereas the letters A, B, C, ... usually stand for S-enriched, Kan-enriched or 1-categories.
- Given an ∞ -category \mathscr{C} and object c of \mathscr{C} , $\widetilde{\mathscr{C}}_{c/}^{op}$ is short for $(\mathscr{C}_{c/})^{op}$ and similarly $\mathscr{C}_{/c}^{op}$ is short for $(\mathscr{C}_{/c})^{op}$. In other words, slices are taken *before* opposite categories.

2.2. The coherent nerve and the homotopy category

The *coherent nerve* N_{coh} : sCat \rightarrow S is a functor from the 1-category sCat of S-enriched categories to the 1-category of simplicial sets [Lur09a, 1.1.5]. Some of its properties are as follows:

- (i) It is the right-adjoint in a Quillen equivalence [Lur09a, 2.2.5.1], where sCat is equipped with the Bergner model structure whose
 - (a) fibrant objects are Kan-enriched categories [Lur09a, A.3.2.24],
 - (b) weak equivalences are *Dwyer–Kan equivalences*, so simplicial functors that induce weak homotopy equivalences on each mapping space and are an equivalence (of 1-categories) on homotopy categories [Lur09a, A.3.2.4],
 - (c) fibrations are simplicial functors that are Kan fibrations on each mapping space and isofibrations on homotopy categories [Lur09a, A.3.2.24, A.3.2.25],

and S is equipped with the Joyal model structure of which we only need to know that its fibrant objects are precisely ∞ -categories [Lur09a, 2.4.6.1]. In particular, the coherent nerve of a Kanenriched category is an ∞ -category.

- (ii) Taking coherent nerves preserves objects and morphisms, in the sense that the 0- and 1-simplices of $N_{\rm coh}(C)$ are the sets of objects and morphisms of C [Lur09a, p. 23].
- (iii) Taking coherent nerves preserves mapping spaces of Kan-enriched categories in that for a Kanenriched category C, we have $\operatorname{Map}_{C}(c, c') \simeq \operatorname{Map}_{N_{\operatorname{coh}}(C)}(c, c')$ [Lur09a, 2.2].
- (iv) There is a natural equivalence $N_{\rm coh}(\mathbb{C}^{\rm op}) \simeq N_{\rm coh}(\mathbb{C})^{\rm op}$. This is a consequence of the natural isomorphisms $\mathfrak{C}([n]^{\rm op}) \cong \mathfrak{C}([n])^{\rm op}$, where $\mathfrak{C}(-)$ is the left adjoint to $N_{\rm coh}(-)$.
- (v) There is a canonical map $N_{\text{coh}}(\text{Fun}(C, D)) \rightarrow \text{Fun}(N_{\text{coh}}(C), N_{\text{coh}}(D))$ obtained by appling N_{coh} to the evaluation $\text{Fun}(C, D) \times C \rightarrow D$, using that as a right adjoint, $N_{\text{coh}}(-)$ preserves products to get $N_{\text{coh}}(\text{Fun}(C, D)) \times N_{\text{coh}}(C) \rightarrow N_{\text{coh}}(D)$, and adjoining over $N_{\text{coh}}(C)$.

Restricting N_{coh} to Cat \subset sCat gives a fully faithful functor of 1-categories from ordinary 1-categories to ∞ -categories. Applying N_{coh} , we obtain a functor Cat $\rightarrow \mathscr{C}at_{\infty}$ of ∞ -categories. This has a leftadjoint $h: \mathscr{C}at_{\infty} \rightarrow$ Cat that assigns an ∞ -category its *homotopy category*. As described in [Lur09a, 1.2.3], $h\mathscr{C}$ has the same objects as \mathscr{C} , morphism sets given by the path components of the respective mapping spaces in \mathscr{C} , and composition is induced by the composition maps of mapping spaces. Some of its further properties are as follows:

- (i) The functor *h* preserves products.
- (ii) The functor h preserves pullbacks if one of the maps is between 1-categories.
- (iii) The functor h preserves cocartesian morphisms when the target is an 1-category.

These follow from the facts that taking mapping spaces in ∞ -categories preserves pullbacks, and that taking components preserves pullbacks in S whose bottom right corner is discrete.

2.3. Cocartesian fibrations

Lurie's straightening equivalence [Lur09a, 3.2]

$$\operatorname{Fun}(\mathscr{C}, \mathscr{C}\operatorname{at}_{\infty}) \simeq \operatorname{Cocart}(\mathscr{C}) \tag{12}$$

identifies the ∞ -category Fun($\mathscr{C}, \mathscr{C}at_{\infty}$) for an ∞ -category \mathscr{C} with the ∞ -category of *cocartesian fibrations*, which is the sub ∞ -category Cocart(\mathscr{C}) $\subset (\mathscr{C}at_{\infty})_{/\mathscr{C}}$ with objects *cocartesian fibrations* with target \mathscr{C} and whose morphisms are *maps of cocartesian fibrations*, in the following sense:

Definition 2.1. Let $\varphi \colon \mathscr{C} \to \mathscr{B}$ be a functor between ∞ -categories.

(i) A morphism $f: e \to e'$ in \mathscr{E} is φ -cocartesian if for every $x \in \mathscr{E}$, the square

$$\begin{array}{c} \operatorname{Map}_{\mathscr{C}}(e', x) \xrightarrow{f^{*}} \operatorname{Map}_{\mathscr{C}}(e, x) \\ \varphi \downarrow & \qquad \qquad \downarrow \varphi \\ \operatorname{Map}_{\mathscr{B}}(\varphi(e'), \varphi(x)) \xrightarrow{\varphi(f)^{*}} \operatorname{Map}_{\mathscr{B}}(\varphi(e), \varphi(x)) \end{array}$$

is homotopy cartesian.

- (ii) The functor φ is a *cocartesian fibration* if for every object $e \in \mathscr{C}$ and morphism $f: \varphi(e) \to b$, there exists a *cocartesian lift* of f (i.e. a φ -cocartesian morphism $\tilde{f}: e \to \tilde{b}$ for some \tilde{b} in \mathscr{C} such that $\varphi(\tilde{f}) = f$).
- (iii) A map of cocartesian fibrations from $\varphi \colon \mathscr{C} \to \mathscr{B}$ to $\varphi' \colon \mathscr{C}' \to \mathscr{B}$ is a functor $\mathscr{C} \to \mathscr{C}'$ over \mathscr{B} that sends φ -cocartesian morphisms to φ' -cocartesian morphisms.

Given a cocartesian fibration $\varphi \colon \mathscr{C} \to \mathscr{B}$ and an object $b \in \mathscr{B}$, one writes $\mathscr{C}_b \in \mathscr{C}$ at_{∞} for the fibre of φ at *b*. Under the straightening equivalence (12), this corresponds to the value at *b* of the associated functor $\mathscr{B} \to \mathscr{C}$ at_{∞}. Moreover, the value of this functor on a morphism $b \to b'$ in \mathscr{B} corresponds to a functor $\mathscr{C}_b \to \mathscr{C}_b'$ induced by choosing cocartesian lifts of $b \to b'$.

Remark 2.2. Definition 2.1 makes equal sense for a functor $\varphi \colon \mathsf{E} \to \mathsf{B}$ of Kan-enriched categories. In view of the natural equivalence $\operatorname{Map}_{\mathsf{C}}(c, c') \simeq \operatorname{Map}_{N_{\operatorname{coh}}(\mathsf{C})}(c, c')$, one sees that a morphism $f \colon e \to e'$ in E is φ -cocartesian if and only if is $N_{\operatorname{coh}}(\varphi)$ -cocartesian.

Given a cocartesian fibration $\varphi \colon \mathscr{C} \to \mathscr{B}$ and an ∞ -category \mathscr{C} , the functor $\varphi_* \colon \operatorname{Fun}(\mathscr{C}, \mathscr{E}) \to \operatorname{Fun}(\mathscr{C}, \mathscr{B})$ is again a cocartesian fibration [Lur09a, 3.1.2.1]. In particular, given a functor $f \colon \mathscr{C} \to \mathscr{E}$ and a natural transformation $\eta \colon (\varphi \circ f) \to *_b$ to the constant functor $*_b \colon \mathscr{C} \to \mathscr{B}$ with value $b \in B$ (equivalently, an extension of $(\varphi \circ f)$ to a functor $\mathscr{C}^{\triangleright} \to \mathscr{B}$ on the right-cone whose value at the cone point is *b*), we can use that φ_* is a cocartesian fibration to obtain a cocartesian lift to a functor $f_1 \colon \mathscr{C} \to \mathscr{E}_b$ into the fibre over *b*. The functor f_1 is called a *cocartesian pushforward* of *f* along η .

2.4. The categories Fin_* , Δ , and Gap

Recall the 1-category Fin_{*} of pointed finite sets and pointed maps in between, with skeleton given by $\langle p \rangle = \{1, ..., p, *\}$ for $p \ge 0$. We write $\langle p \rangle := \langle p \rangle \setminus \{*\}$ for the *interior* of $\langle p \rangle$. Three special types of morphisms are relevant for us: a morphism $\alpha : \langle p \rangle \rightarrow \langle q \rangle$ is

- (i) *active* if it satisfies $\alpha^{-1}(*) = \{*\},\$
- (ii) *inert* if $\alpha^{-1}(i)$ consists of a single element for all $i \in \langle \dot{q} \rangle$,
- (iii) Segal if it agrees with $\rho_i \colon \langle p \rangle \to \langle 1 \rangle$ for some $1 \le i \le p$ where $\rho_i(i) = 1$ and $\rho(j) = *$ otherwise. Note this is equivalent to being inert with target $\langle 1 \rangle$.

A closely related 1-category is the *simplex category* Δ of nonempty finite totally ordered sets and weakly order-preserving maps between them. We mostly work with its skeleton given by [p] = (0 < 1 < ... < p) for $p \ge 0$. The wide subcategory of injective maps is denoted $\Delta_{inj} \subset \Delta$. Four special types of morphisms are relevant for us: a morphism $\alpha : [p] \rightarrow [q]$ is

- (i) *active* if it satisfies $\alpha(0) = 0$ and $\alpha(p) = q$,
- (ii) *cellular* if $\alpha(i+1) \leq \alpha(i) + 1$ for all *i*,
- (iii) *inert* if it is the inclusion of a subinterval, i.e. $\alpha(i) = \alpha(0) + i$ for all *i*, and



Figure 1. The isomorphism (13) between Δ^{op} (on the left) and Gap (on the right, but we omitted the elements that map to L or R). The morphism indicated is not active, cellular, inert or Segal.

(iv) Segal if it agrees with $\rho_i: [1] \rightarrow [q]$ for some $1 \le i \le q$ where $\rho_i(0) = i - 1$ and $\rho(1) = i$. Note this is equivalent to being inert with domain [1].

Occasionally, we work with a different model for Δ^{op} , given as follows. For $p \ge 0$, we write (|p|) for the totally ordered set (L < 1 < ... < p < R) and call *L* and *R* the *left end* and *right end* of (|p|), respectively. The sets (|p|) for $p \ge 0$ form the objects of the category Gap whose morphisms are weakly order-preserving maps that are the identity on ends. There is an isomorphism

$$c: \Delta^{\mathrm{op}} \xrightarrow{\cong} \mathsf{Gap} \tag{13}$$

that sends [p] to (p) and a morphism $\alpha \colon [p] \to [q]$ to the morphism $c(\alpha) \colon (q) \to (p)$ given by

$$i \longmapsto \begin{cases} L & i \le \alpha(0), \\ j & \exists j : i \in [\alpha(j-1)+1, \alpha(j)], \\ R & i > \alpha(p). \end{cases}$$

This isomorphism maps $\Delta_{inj}^{op} \subset \Delta^{op}$ isomorphically onto the wide subcategory $\operatorname{Gap}_{sur} \subset \operatorname{Gap}$ of surjective maps. Introducing the notation $(|p|) := (|p|) \setminus \{L, R\}$ for the *interior* of (|p|), a morphism $\alpha : (|q|) \to (|p|)$, when considered as a morphism $c^{-1}(\alpha) : [p] \to [q]$ in Δ , is

- (i) active if $\alpha^{-1}(|p|) = (|q|)$ (we omit the parentheses in $\alpha^{-1}(||p|)$) for legibility),
- (ii) *cellular* if the restriction $\alpha : \alpha^{-1}(p) \to (p)$ is injective,
- (iii) *inert* if the restriction $\alpha : \alpha^{-1}(|p|) \to (|p|)$ is bijective,
- (iv) Segal if it agrees with $\rho'_i : (q) \to (1)$ for some $1 \le i \le q$ where $\rho'_i(j) = L$ if $j < i, \rho'_i(j) = 1$ if j = i, and $\rho'_i(j) = R$ if j > i.

Remark 2.3.

- (i) We think of $i \in (p)$ as the 'gap' between i 1 and i in [p], and observe that $\alpha \colon [p] \to [q]$ induces a map the other way between these gaps; see Figure 1 for an example.
- (ii) The functor $c: \Delta^{\text{op}} \to \text{Gap}$ is related to the functor $\text{Cut}: \Delta^{\text{op}} \to \mathscr{A}\text{ssoc}^{\otimes}$ of [Lur17, 4.1.2.9]: the pointed set $\text{Cut}([n]) \cong \langle n \rangle$ can be obtained from the set c([n]) by identifying *L* and *R*.

The three 1-categories Fin_* , Δ and Gap are related by a sequence of functors

$$\Delta^{\rm op} \longrightarrow {\rm Gap} \longrightarrow {\rm Fin}_*, \tag{14}$$

where the first arrow is the isomorphism (13), and the second arrow is obtained by identifying the left and right ends *L* and *R* of objects in Gap and forgetting that morphisms are order-preserving.

2.5. Category and monoid objects

Fix an ∞ -category \mathscr{C} with finite limits.

2.5.1. Category objects and monoid objects

A *category object* in \mathscr{C} is a simplicial object $X \in Fun(\Delta^{op}, \mathscr{C})$ satisfying the *Segal condition*, i.e., the map

$$X_{[p]} \longrightarrow X_{[1]} \times_{X_{[0]}} \cdots \times_{X_{[0]}} X_{[1]}$$

$$\tag{15}$$

induced by the Segal maps ρ_i : $[1] \rightarrow [p]$ for $1 \le i \le p$ is an equivalence for all $p \ge 0$. We call $X_{[1]}$ the *underlying object* of *X*. A *monoid object* is a category object *X* for which the map $X_{[0]} \rightarrow *$ to the terminal object is an equivalence; equivalently, it is a simplicial object for which the analogues of the maps (15) with pullbacks replaced by products are equivalences. We write

$$\operatorname{Cat}(\mathscr{C}) \subset \operatorname{Fun}(\Delta^{\operatorname{op}}, \mathscr{C}) \quad \text{and} \quad \operatorname{Mon}(\mathscr{C}) \subset \operatorname{Fun}(\Delta^{\operatorname{op}}, \mathscr{C})$$

for the full subcategories of category objects and monoid objects. Replacing simplicial by semisimplicial objects in this definition yields the categories

$$\operatorname{Cat}_{\operatorname{nu}}(\mathscr{C}) \subset \operatorname{Fun}(\Delta_{\operatorname{inj}}^{\operatorname{op}}, \mathscr{C}) \quad \text{and} \quad \operatorname{Mon}_{\operatorname{nu}}(\mathscr{C}) \subset \operatorname{Fun}(\Delta_{\operatorname{inj}}^{\operatorname{op}}, \mathscr{C})$$

of non-unital category objects and non-unital monoid objects.

2.5.2. Commutative monoid objects

We may replace the role of the category Δ^{op} in the definition of a monoid object with Fin_{*} to arrive at the notion of a *commutative monoid object*: a functor $X \in \text{Fun}(\text{Fin}_*, \mathscr{C})$ for which the maps $X_{\langle p \rangle} \rightarrow X_{\langle 1 \rangle} \times \ldots \times X_{\langle 1 \rangle}$ induced by the Segal maps $\rho_i \colon \langle p \rangle \rightarrow \langle 1 \rangle$ for $1 \le i \le p$ are equivalences for all $p \ge 0$. These span the full subcategory

$$\operatorname{CMon}(\mathscr{C}) \subset \operatorname{Fun}(\operatorname{Fin}_*, \mathscr{C})$$

of commutative monoid objects. Precomposition with the composition $\Delta^{op} \to Fin_*$ of (14) induces a functor $CMon(\mathscr{C}) \to Mon(\mathscr{C})$ that 'forgets commutativity'.

Remark 2.4. There is a different perspective on commutative monoid objects in the form of an equivalence of ∞ -categories $Mon_{\infty}(\mathscr{C}) \simeq CMon(\mathscr{C})$ where $Mon_{\infty}(\mathscr{C})$ is the limit in $\mathscr{C}at_{\infty}$

$$\operatorname{Mon}_{\infty}(\mathscr{C}) \simeq \lim \left(\cdots \to \operatorname{Mon}(\operatorname{Mon}(\operatorname{Mon}(\mathscr{C}))) \to \operatorname{Mon}(\operatorname{Mon}(\mathscr{C})) \to \operatorname{Mon}(\mathscr{C}) \to \mathscr{C} \right)$$

over the maps induced $ev_{[1]}$: Mon(\mathscr{C}) $\rightarrow \mathscr{C}$ (combine [Hau18, Proposition 10.11] with [Lur17, 5.1.1.5, 2.4.2.5]). In particular, there is an equivalence CMon(Mon(\mathscr{C})) \simeq CMon(\mathscr{C}).

2.5.3. Monoidal categories and double categories

For $\mathscr{C} = \mathscr{C}at_{\infty}$, (non-unital) monoid objects in \mathscr{C} are also called (*non-unital*) monoidal ∞ -categories, (non-unital) category objects in \mathscr{C} are called (*non-unital*) double ∞ -categories, and (commutative) monoid objects in $\mathscr{C}at_{\infty}$ or Cat($\mathscr{C}at_{\infty}$) are (symmetric) monoidal ∞ - or double ∞ -categories. Via the straightening equivalence of Section 2.3, these can also be described as cocartesian fibrations $\mathscr{M} \to \Delta^{\mathrm{op}}$ (or $\mathscr{M} \to \Delta^{\mathrm{op}}_{\mathrm{inj}}$ in the non-unital case, or $\mathscr{M} \to \mathrm{Fin}_*$ in the commutative case) such that the functors

$$\mathcal{M}_{[p]} \longrightarrow \mathcal{M}_{[1]} \times \ldots \times \mathcal{M}_{[1]}$$
 respectively $\mathcal{M}_{[p]} \longrightarrow \mathcal{M}_{[1]} \times_{\mathcal{M}_{[0]}} \ldots \times_{\mathcal{M}_{[0]}} \mathcal{M}_{[1]}$

induced by the cocartesian lifts of the Segal maps ρ_i are equivalences.

Example 2.5. For an ∞ -category \mathscr{C} with finite products, taking products induces a symmetric monoidal structure $\mathscr{C}^{\times} \to \operatorname{Fin}_*$ on \mathscr{C} , the *cartesian structure* [Lur17, 2.4.1]. Dually, if \mathscr{C} has finite coproducts, it carries a *cocartesian* symmetric monoidal structure $\mathscr{C}^{\sqcup} \to \operatorname{Fin}_*$ [Lur17, 2.4.3].

Remark 2.6. The definition of a monoidal ∞ -category given in [Lur17, 4.1.1.10] is different from the one given above, but the resulting ∞ -categories turn out to be equivalent [Lur17, 4.1.3].

2.5.4. Mapping ∞-categories

Given a double ∞ -category $\mathscr{C} \in \operatorname{Cat}(\mathscr{C}\operatorname{at}_{\infty})$ and objects $A, B \in \mathscr{C}_{[0]}$, we define the *mapping* ∞ -category from A to B to be the ∞ -category given as the fibre in $\mathscr{C}\operatorname{at}_{\infty}$

$$\mathscr{C}_{A,B} := \operatorname{fib}_{(A,B)} \big((d_0, d_1) \colon \mathscr{C}_{[1]} \to \mathscr{C}_{[0]} \times \mathscr{C}_{[0]} \big).$$

These mapping ∞ -categories come with composition functors $\mathscr{C}_{A,B} \times \mathscr{C}_{B,C} \to \mathscr{C}_{A,C}$ defined by taking vertical fibres in the commutative diagram in \mathscr{C} at $_{\infty}$

$$\begin{array}{c} \mathscr{C}_{[1]} \times_{\mathscr{C}[0]} \mathscr{C}_{[1]} & \xleftarrow{\simeq} & \mathscr{C}_{[2]} & \longrightarrow & \mathscr{C}_{[1]} \\ \downarrow & \downarrow & \downarrow & \downarrow \\ \mathscr{C}_{[0]} \times \mathscr{C}_{[0]} \times \mathscr{C}_{[0]} & = & \mathscr{C}_{[0]} \times \mathscr{C}_{[0]} \times \mathscr{C}_{[0]} & \xrightarrow{\operatorname{pr}_{1,3}} & \mathscr{C}_{[0]} \times \mathscr{C}_{[0]} \end{array}$$

with top-left horizontal map induced by the Segal morphisms, top-right horizontal map by the unique active morphism $[2] \rightarrow [1]$, and vertical map by the face maps.

2.5.5. Quasi-unital monoid and category objects

A non-unital category object $X \in \text{Cat}_{nu}(\mathscr{C})$ is *quasi-unital* if it admits a *quasi-unit*, which is by definition a morphism $u: X_{[0]} \to X_{[1]}$ together with a commutative diagram in \mathscr{C}

$$X_{[0]} \xrightarrow{u} X_{[1]} X_{[1]} \xrightarrow{X_{[1]}} X_{[0]} \times X_{[0]}$$

such that the following two compositions are equivalent to the identity:

$$X_{[1]} \simeq X_{[0]} \times_{X_{[0]}} X_{[1]} \xrightarrow{(u, \mathrm{id})} X_{[1]} \times_{X_{[0]}} X_{[1]} \simeq X_{[2]} \xrightarrow{d_1} X_{[1]},$$

$$X_{[1]} \simeq X_{[1]} \times_{X_{[0]}} X_{[0]} \xrightarrow{(\mathrm{id}, u)} X_{[1]} \times_{X_{[0]}} X_{[1]} \simeq X_{[2]} \xrightarrow{d_1} X_{[1]}.$$
(16)

Quasi-units are unique up to equivalence [Hau21, Remark 4.8]. A morphism $\phi: X \to Y$ of non-unital category objects is *quasi-unital* if there exists a commutative diagram in \mathscr{C} of the form



such that the outer triangles are quasi-units for X and Y. As a result of the uniqueness of quasi-units, the composition of two quasi-unital morphisms is quasi-unital. We write $\operatorname{Cat}_{qu}(\mathscr{C}) \subset \operatorname{Cat}_{nu}(\mathscr{C})$ for the subcategory of *quasi-unital category objects* in \mathscr{C} , generated by quasi-unital objects and morphisms. Every category object is quasi-unital ($s_0: X_{[0]} \to X_{[1]}$ is a quasi-unit), and by [Hau21, Theorem 4.14], the forgetful functor $\operatorname{Cat}(\mathscr{C}) \to \operatorname{Cat}_{nu}(\mathscr{C})$ induces an equivalence

$$\operatorname{Cat}(\mathscr{C}) \xrightarrow{\simeq} \operatorname{Cat}_{\operatorname{qu}}(\mathscr{C}).$$
 (18)

Remark 2.7. Note that if *X* a quasi-unital category object in \mathcal{C} , *Y* a simplicial object in \mathcal{C} (not necessarily a category object), and $f: X \to Y$ a morphism of semisimplicial objects in \mathcal{C} , then

- (i) it makes sense to ask for f to be quasi-unital (replace u_Y in (17) by the 0th degeneracy map). This property is preserved by postcomposition with maps of simplicial objects,
- (ii) if $\mathscr{C} = \mathscr{C}at_{\infty}$ and $Y' \subset Y$ is a levelwise full subcategory that is a quasi-unital category object, then a functor $X \to Y'$ of non-unital category objects is quasi-unital if and only if the composition $X \to Y' \subset Y$ is quasi-unital in the sense of (i).

2.5.6. Double ∞ -, $(\infty, 2)$ - and $(\infty, 1)$ -categories

A double ∞ -category has an underlying (∞ , 2)-category (in fact, two, but we will not need this) which in turn has an underlying ∞ -category. More precisely, there are functors of ∞ -categories

$$\operatorname{Cat}(\mathscr{C}\operatorname{at}_{\infty}) \xrightarrow{(-)^{(\infty,2)}} \mathscr{C}\operatorname{at}_{(\infty,2)} \xrightarrow{(-)^{\simeq_2}} \mathscr{C}\operatorname{at}_{\infty},$$

where $\mathscr{C}at_{(\infty,2)}$ is the ∞ -category of $(\infty, 2)$ -categories. We denote the composition by

$$(-)^{(\infty,1)}$$
: Cat($\mathscr{C}at_{\infty}$) $\longrightarrow \mathscr{C}at_{\infty}$,

These functors have the following properties:

- (i) The functors (-)^(∞,2) and (-)^{≈2} preserve finite products and hence (symmetric) monoidal structures, and so does their composition (-)^(∞,1).
- (ii) For $\mathscr{C} \in \text{Cat}(\mathscr{C}at_{\infty})$, the objects of $\mathscr{C}^{(\infty,2)}$ can be identified with those of \mathscr{C} . The analogous property holds for the functor $(-)^{\approx_2}$ and thus also for their composition $(-)^{(\infty,1)}$.
- (iii) For $\mathscr{C} \in \operatorname{Cat}(\mathscr{C}at_{\infty})$, the mapping ∞ -category $\mathscr{C}_{A,B}$ between two objects A and B in \mathscr{C} can be identified with the corresponding mapping ∞ -category in $\mathscr{C}^{(\infty,2)}$. The functor $(-)^{\approx_2}$ is on mapping ∞ -categories given by taking cores (hence the notation), and thus, the same holds for $(-)^{(\infty,1)}$, so we have $\mathscr{C}^{\approx}_{A,B} \simeq \operatorname{Map}_{\mathscr{C}^{(\infty,1)}}(A, B)$ for objects A and B in \mathscr{C} .

One way to implement these ∞ -categories and functors between them is to use the equivalence $\operatorname{Cat}_{\infty} \simeq \mathscr{C}SS(\mathscr{S})$ to Rezk's *complete Segal spaces* (a certain full subcategory of $\operatorname{Cat}(\mathscr{S})$ [Hau18, Section 3]) and model $\mathscr{C}at_{(\infty,2)}$ as the ∞ -category of 2-*fold complete Segal spaces* $\mathscr{C}SS_2(\mathscr{S})$ in the sense of Barwick (a certain full subcategory of $\operatorname{Cat}(\operatorname{Cat}(\mathscr{S}))$ [Hau18, Section 4]). In these models, the functor $(-)^{(\infty,2)}$: $\operatorname{Cat}(\mathscr{C}at_{\infty}) \to \mathscr{C}at_{(\infty,2)}$ is explained in [Hau17, Remark 3.15], and the functor $(-)^{(\infty,1)}$: $\operatorname{Cat}(\mathscr{C}at_{\infty}) \to \mathscr{C}at_{\infty}$ can be constructed via the inductive description as 2-fold Segal spaces as $\mathscr{C}SS_2(\mathscr{S}) = \mathscr{C}SS_{\mathscr{C}SS}(\mathscr{S})(\mathscr{C}SS(\mathscr{S}))$ [Hau18, Section 7] by defining $(-)^{\simeq_2}$ as the right-adjoint $\mathscr{C}SS_{\mathscr{C}SS}(\mathscr{S}) \to \mathscr{C}SS(\mathscr{S}) \to \mathscr{C}SS(\mathscr{S}) \to \mathscr{C}SS(\mathscr{S}) \to \mathscr{C}SS(\mathscr{S}) \to \mathscr{C}SS(\mathscr{S})$ as constant simplicial spaces, using [Hau18, Proposition 7.17].

It remains to justify properties (i)–(iii). That (i) holds for $(-)^{(\infty,2)}$ is justified in [Hau17, Remark 3.15] and for $(-)^{\approx_2}$, it holds since it is a right adjoint. For (ii) and (iii), one uses [Hau17, Lemma 5.50/5.51] and that $ev_{[0]}$ corresponds to taking cores under the equivalence $\mathscr{C}at_{\infty} \simeq \mathscr{C}SS(\mathscr{S})$.

2.6. Presheaves and the Yoneda embedding

Given an ∞ -category \mathscr{C} , we write PSh(\mathscr{C}) := Fun(\mathscr{C}^{op} , \mathscr{S}) for the ∞ -category of \mathscr{S} -valued presheaves. This admits all small limits and colimits [Lur09a, 5.1.2.4], and there is a natural fully faithful *Yoneda embedding* $y: \mathscr{C} \longrightarrow PSh(\mathscr{C})$ [Lur09a, 5.1.3.1]. If \mathscr{C} is (symmetric) monoidal, then its opposite \mathscr{C}^{op} is (symmetric) monoidal [Lur17, 2.4.2.7], and PSh(\mathscr{C}) carries a (symmetric) monoidal structure by Day convolution [Lur17, 2.2.6.17] which, firstly, preserves small colimits in each variable, and, secondly, allows for an enhancement of the Yoneda embedding to a (symmetric) monoidal functor [Lur17, 4.8.1.12, 4.8.1.13]. Explicitly, a formula for Day convolution is given by ($F \otimes G$)(c'') = $\operatorname{colim}_{c'' \to c \otimes c'}(F(c) \times G(c'))$ where the colimit is over the category of triples (c, c', u) with $c, c' \in \mathcal{C}$ and $u: c'' \to c \otimes c'$ [Lur17, 2.2.6]. Moreover, from the construction, one sees that a lax (symmetric) monoidal functor $\mathscr{C} \to \mathscr{D}$ (see Example 2.10) induces a lax (symmetric) monoidal functor $PSh(\mathscr{D}) \to PSh(\mathscr{C})$ by precomposition.

Remark 2.8. Given a Kan-enriched category C, there is a similar Yoneda embedding in Kanenriched categories $y_s : C \to Fun(C^{op}, Kan)$. Taking coherent nerves and postcomposing with the map $N_{\rm coh}({\rm Fun}({\rm C}^{\rm op},{\rm Kan})) \rightarrow {\rm Fun}(N_{\rm coh}({\rm C})^{\rm op},N_{\rm coh}({\rm Kan})) = {\rm PSh}(N_{\rm coh}({\rm C}))$ of Section 2.2 (v) yields a functor $N_{\rm coh}(C) \rightarrow {\rm PSh}(N_{\rm coh}(C))$ which turns out to agree with y up to equivalence, by the construction of y for $\mathscr{C} = N_{\text{coh}}(\mathsf{C})$ in [Lur09a, 5.1.3.1].

2.7. ∞ -operads

Recall the following definition from [Lur17, 2.1.1.10].

Definition 2.9. An ∞ -operad \mathcal{O} is a functor $p: \mathcal{O}^{\otimes} \to \operatorname{Fin}_*$ with the following properties:

- (i) \mathcal{O}^{\otimes} has cocartesian lifts for inert morphisms in Fin_{*},
- (ii) the map $\sqcap(\rho_i)_! : \mathcal{O}_{\langle n \rangle}^{\otimes} \to \sqcap_{i=1}^n \mathcal{O}_{\langle 1 \rangle}^{\otimes}$ induced by the Segal morphisms is an equivalence, (iii) given an object $x \in \mathcal{O}_{\langle n \rangle}^{\otimes}$ and cocartesian lifts $x \to x_i$ of the Segal morphisms $\rho_i : \langle n \rangle \to \langle 1 \rangle$, the following commutative diagram in S is cartesian:

$$\begin{array}{ccc} \operatorname{Map}_{\mathscr{O}^{\otimes}}(y,x) & \longrightarrow & \sqcap_{i=1}^{n} \operatorname{Map}_{\mathscr{O}^{\otimes}}(y,x_{i}) \\ & \downarrow & & \downarrow \\ \operatorname{Map}_{\mathsf{Fin}_{*}}(\langle m \rangle, \langle n \rangle) & \longrightarrow & \sqcap_{i=1}^{n} \operatorname{Map}_{\mathsf{Fin}_{*}}(\langle m \rangle, \langle 1 \rangle). \end{array}$$

A map of ∞ -operads is a functor over Fin_{*} that preserves cocartesian lifts over inert morphisms. Such a map $\mathcal{O}^{\otimes} \to \mathcal{P}^{\otimes}$ is also called an \mathcal{O} -algebra in \mathcal{P} , and we write

$$\operatorname{Alg}_{\mathfrak{O}}(\mathscr{P}) \subset \operatorname{Fun}_{\operatorname{Fin}_*}(\mathfrak{O}^{\otimes}, \mathscr{P}^{\otimes})$$

for the full subcategory of such maps. Given an ∞ -operad \mathcal{O} , we call the objects of $\mathcal{O}_{\langle 1 \rangle}^{\otimes}$ the colours of \mathcal{O} . Given colours $x = (x_1, \ldots, x_n) \in \bigcap^n \mathcal{O}_{\langle 1 \rangle}^{\otimes} \simeq \mathcal{O}_{\langle n \rangle}^{\otimes}$ and $y \in \mathcal{O}_{\langle 1 \rangle}^{\otimes}$, the space of multi-operations is the subspace $\operatorname{Mul}_{\mathcal{O}}(x; y) \subset \operatorname{Map}_{\mathcal{O}^{\otimes}}(x, y)$ covering the unique active morphism $\langle n \rangle \to \langle 1 \rangle$ [Lur17, 2.1.1.16]. If \mathcal{O}^{\otimes} has a single colour $x \in \mathcal{O}_{\langle 1 \rangle}^{\otimes}$, we abbreviate $\mathcal{O}(k) \coloneqq \operatorname{Mul}_{\mathcal{O}}(x, \ldots, x; x)$ where x appears in the domain k times. These spaces of multi-operations can be composed using *operadic composition* maps, denoted $\circ_{\mathcal{O}}$, that satisfy the axioms of a coloured operad in the classical sense up to coherent homotopies [Lur17, 2.1.1.17]. In particular, the homotopy operad $hO^{\otimes} \rightarrow Fin_*$ (which is an operad as a result of the properties of h discussed in Section 2.2 and satisfies $Mul_{h\mathcal{O}}(x, y) = \pi_0 Mul_{\mathcal{O}}(x, y)$ gives a coloured operad in the classical sense. By construction, there is a map of ∞ -operads $\mathcal{O}^{\otimes} \to h\mathcal{O}^{\otimes}$.

Example 2.10. When viewed as a cocartesian fibration $\mathscr{C}^{\otimes} \to \operatorname{Fin}_*$ (see Section 2.5.3), every symmetric monoidal category \mathscr{C} is an ∞ -operad. A map of ∞ -operads between symmetric monoidal categories is called a lax symmetric monoidal functor.

Example 2.11. Every coloured operad in the category of Kan-complexes in the classical sense gives rise to an ∞-operad via the operadic nerve [Lur09a, 2.1.1.27]. For example, the associative ∞-operad A ssoc [Lur17, 4.1.1.1, 4.1.1.3] is the operadic nerve of the ordinary operad with a single colour *, whose k-ary multi-operations \mathscr{A} ssoc(k) = Mul $_{\mathscr{A}$ ssoc $(*, \ldots, *; *)$ is the set of linear orders of $k = \{1, 2, \ldots, k\}$, and where operadic composition is concatenation of linear orders. An ∞ -operad \mathcal{O} is equivalent to \mathscr{A} ssoc if and only if there is an isomorphism $h\mathcal{O} \cong h\mathcal{A}$ ssoc of operads in the 1-category of sets and all spaces of operations in \mathcal{O} are homotopy discrete.

2.7.1. Suboperads, endomorphism operads, and algebras over them

Let \mathcal{O} be an ∞ -operad and $O_0 \subseteq h\mathcal{O}$ be a suboperad of the ordinary operad $h\mathcal{O}$ in sets. The corresponding suboperad $\mathscr{O} \times_{h\mathscr{O}} \mathsf{O}_0$ of \mathscr{O} is defined as the pullback $\mathscr{O}^{\otimes} \times_{h\mathscr{O}^{\otimes}} \mathsf{O}_0^{\otimes} \to \mathsf{Fin}_*$ in the ∞ -category $\mathscr{O}\mathsf{pd}_{\infty}$ of ∞ -operads, which has limits by [Lur17, 2.1.4]. In particular, we may restrict \mathcal{O} to a fixed collection of colours closed under equivalences to obtain a new ∞ -operad. We call this a *full suboperad*.

Remark 2.12. The forgetful functor $\mathscr{O}pd_{\infty} \to (\mathscr{C}at_{\infty})_{/\mathsf{Fin}_*}$ creates limits by [AFT17, Lemma 1.13], so the pullback $\mathscr{O} \times_{h\mathscr{O}} \mathsf{O}_0$ can be computed in $\mathscr{C} at_{\infty}$.

Example 2.13. For a symmetric ∞ -monoidal category \mathscr{C} viewed as an ∞ -operad, its homotopy operad $h\mathcal{C}$ is a symmetric monoidal 1-category in the classical sense. Given a sub symmetric monoidal category of $C_0 \subset h\mathscr{C}$ in the 1-categorical sense, the associated sub ∞ -operad $\mathscr{C} \times_{h\mathscr{C}} C_0$ is again a symmetric ∞ -monoidal category. Informally, this is given by restricting the objects and the components of the mapping spaces according to C_0 .

Fix \mathscr{C} a symmetric monoidal ∞ -category \mathscr{C} , viewed as an ∞ -operad. The *endomorphism operad* of an object x in \mathscr{C} is the full sub ∞ -operad End $\mathscr{C}(x)$ obtained by restricting to the colours equivalent to x. Writing 1 for the unit in \mathscr{C} , we can form the composition of maps of ∞ -operads

$$\operatorname{End}_{\mathscr{C}}(x)^{\otimes} \xrightarrow{\subset} \mathscr{C}^{\otimes} \xrightarrow{y} \operatorname{PSh}(\mathscr{C})^{\otimes} \xrightarrow{\operatorname{ev}_{1}} \mathscr{S}^{\times}$$

$$\tag{19}$$

to S equipped with the cartesian symmetric monoidal structure (see Example 2.5). The first map is induced by the inclusion, the second map the symmetric monoidal Yoneda embedding (see Section 2.6), and the third map the evaluation at the unit which is a map of ∞ -operads by naturality of the Day convolution in lax symmetric monoidal functors (see Section 2.6). The composition (19) enhances the mapping space $\operatorname{Map}_{\mathscr{C}}(1, x)$ to an $\operatorname{End}_{\mathscr{C}}(x)$ -algebra in \mathscr{S} .

2.7.2. Generalised ∞ -operads

The condition (ii) in the definition of an ∞ -operad \mathcal{O} in particular implies that $\mathcal{O}_{(0)}^{\otimes}$ is trivial. Sometimes it it useful to relax the notion of an ∞ -operad to that of a generalised ∞ -operad which need no longer satisfy $\mathcal{O}_{(0)}^{\otimes} \simeq *$. The precise definition of a generalised ∞ -operad is not important for us, but it suffices to know that it is a functor $\mathcal{O}^{\otimes} \to \operatorname{Fin}_*$ satisfying some weaker axioms than those for ∞ -operads, but that the existence of cocartesian lifts for inert morphisms is still required. Maps of generalised operads $\mathcal{O} \to \mathcal{P}$ are defined in the same way as for ∞ -operads. Generalising the case of ∞ -operads, we denote the resulting subcategory by $\operatorname{Alg}_{\mathscr{O}}(\mathscr{P}) \subset \operatorname{Fun}_{\operatorname{Fin}_*}(\mathscr{O}^{\otimes}, \mathscr{P}^{\otimes})$ and still call its objects \mathscr{O} -algebras in \mathscr{P} .

2.7.3. (Generalised) nonsymmetric ∞-operads

Replacing the category Fin_{*} by Δ^{op} defines *nonsymmetric* variants of all of the above definitions and constructions (e.g. (generalised) nonsymmetric operads, maps between them, algebras in them, etc). We use the same notation for the symmetric and nonsymmetric constructions (e.g., for (generalised) nonsymmetric ∞ -operads \mathcal{O} and \mathcal{P} , we write $\operatorname{Alg}_{\mathcal{O}}(\mathcal{P}) \subset \operatorname{Fun}_{\Delta^{\operatorname{op}}}(\mathcal{O}^{\otimes}, \mathcal{P}^{\otimes})$ for the ∞ -category of maps of (generalised) nonsymmetric ∞ -operads aka \mathcal{O} -algebras in \mathcal{P}).

Example 2.14. The following examples of generalised nonsymmetric ∞ -operads will be important:

- (i) Cocartesian fibrations obtained by unstraightening double ∞ -categories.
- (ii) The projection Δ^{op}_{/[p]} → Δ^{op} for all p ≥ 0; see [Hau17, Lemma 4.10].
 (iii) The restriction Λ^{op}_{/[p]} → Δ^{op} of the projection Δ^{op}_{/[p]} → Δ^{op} to the full subcategory Λ_{/[p]} ⊂ Δ_{/[p]} spanned by the cellular maps in Δ; see [Hau17, Lemma 4.14].

Examples of maps between generalised nonsymmetric ∞ -operads that will be important are

- (i) The map $\Delta^{\text{op}}_{/[p]} \to \Delta^{\text{op}}_{/[q]}$ over Δ^{op} induced by a morphism $[p] \to [q]$ of Δ . (ii) The inclusion $\Lambda^{\text{op}}_{/[p]} \to \Delta^{\text{op}}_{[p]}$ over Δ^{op} [Hau17, Lemma 4.14].

2.8. Associative algebras and bimodules in the nonsymmetric setting

Given a monoidal ∞ -category viewed as a cocartesian fibration $\mathscr{C}^{\otimes} \to \Delta^{\mathrm{op}}$ with underlying category $\mathscr{C} := \mathscr{C}^{\otimes}_{[1]}$, the ∞ -categories Ass(\mathscr{C}) and BMod(\mathscr{C}) of *associative algebras in* \mathscr{C} and *bimodules in* \mathscr{C} are defined as

$$\mathrm{Ass}(\mathscr{C})\coloneqq\mathrm{Alg}_{\Delta^{\mathrm{op}}}(\mathscr{C}^{\otimes})\quad\text{and}\quad\mathrm{BMod}(\mathscr{C})\coloneqq\mathrm{Alg}_{\Delta^{\mathrm{op}}_{/[1]}}(\mathscr{C}^{\otimes}).$$

These are the ∞ -categories of Δ^{op} - and $\Delta^{\text{op}}_{/[1]}$ -algebras in \mathscr{C} as in Section 2.7.3. There is a functor

$$BMod(\mathscr{C}) \longrightarrow Ass(\mathscr{C}) \times \mathscr{C} \times Ass(\mathscr{C})$$
⁽²⁰⁾

consisting of the projections to Ass(\mathscr{C}) induced by precomposition with the functors $\Delta = \Delta_{/[0]} \rightarrow \Delta_{/[1]}$ induced by the 0th and 1st face map $[0] \rightarrow [1]$, and the functor to $\mathscr{C}^{\otimes}_{[1]} = \mathscr{C}$ given by evaluation at $id_{[1]} \in \Delta_{/[1]}$. The fibre in $\mathscr{C}at_{\infty}$

$$\mathrm{BMod}_{A,B}(\mathscr{C}) \coloneqq \mathrm{fib}_{(A,B)}(\mathrm{BMod}(\mathscr{C}) \to \mathrm{Ass}(\mathscr{C}) \times \mathrm{Ass}(\mathscr{C}))$$

at (A, B) for associative algebras $A, B \in Ass(\mathcal{C})$ of the postcomposition $BMod(\mathcal{C}) \to Ass(\mathcal{C}) \times Ass(\mathcal{C})$ of (20) with the projection is the ∞ -category of (A, B)-bimodules.

Remark 2.15. Associative algebras are closely related to monoid objects in the sense of Section 2.5: for a category \mathscr{C} with finite products, equipped with the cartesian monoidal structure (see Example 2.5), we have an equivalence of ∞ -categories Ass $(\mathscr{C}^{\times}) \simeq Mon(\mathscr{C})$ [Lur17, 2.4.2.5].

Remark 2.16. Lurie uses different models for the ∞ -categories of associative algebras and bimodules in a monoidal ∞ -category \mathscr{C} (using the equivalent point of view on monoidal structures mentioned in Remark 2.6), but these turn out to be equivalent to Ass(\mathscr{C}) and BMod(\mathscr{C}) as defined above. For Ass(\mathscr{C}), this is proved as [Lur17, 4.1.3.19], and for BMod(\mathscr{C}), it follows from an extension of that argument, or from Remark 2.18 below.

The following lemma on free (A, B)-bimodules will be important later:

Lemma 2.17. For a monoidal ∞ -category \mathscr{C} and associative algebras $A, B \in \operatorname{Ass}(\mathscr{C})$, the forgetful functor $U_{A,B}$: $\operatorname{BMod}_{A,B}(\mathscr{C}) \to \mathscr{C}$ given as the composition of the inclusion into $\operatorname{BMod}(\mathscr{C})$ followed by (20) and the projection to \mathscr{C} has the following properties:

- (i) For a fixed ∞ -category I such that C admits all I-indexed colimits, the functor $U_{A,B}$ preserves and detects I-indexed colimits. The same holds for limits instead of colimits.
- (ii) The functor $U_{A,B}$ reflects equivalences.
- (iii) The functor $U_{A,B}$ has a left-adjoint $F_{A,B}: \mathscr{C} \to BMod_{A,B}(\mathscr{C})$ whose unit $M \to U_{A,B}F_{A,B}(M)$ for $M \in \mathscr{C}$ agrees with the map $M \to A \otimes M \otimes B$ given by tensoring with the units of A and B.
- (iv) For a functor $\varphi \colon \mathscr{C} \to \mathscr{D}$ of monoidal ∞ -categories and $M \in \mathscr{C}$, the canonical morphism $F_{\varphi(A),\varphi(B)}(\varphi(M)) \to \varphi(F_{A,B}(M))$ is an equivalence.

Proof. Using 2.16, the first part follows from [Lur17, 4.3.3.3, 4.3.3.9]. The remaining items follow from [Hau17, Corollary 4.49]: The final part of this corollary in particular shows (ii) since right adjoints in monadic adjunctions reflect equivalences [Lur17, 4.7.3.5] and the first part shows (iii). This leaves (iv). As a result of (ii), it suffices to show that

$$U_{\varphi(A),\varphi(B)}F_{\varphi(A),\varphi(B)}(\varphi(M)) \longrightarrow U_{\varphi(A),\varphi(B)}(\varphi(F_{A,B}(M))) \simeq \varphi(U_{A,B}F_{A,B}(M))$$

is an equivalence. Using the second part of (iii) this follows from the monoidality of φ .

2.9. Haugseng's Morita category

In analogy with the classical Morita category of a ring, for a sufficiently nice monoidal ∞ -category \mathscr{C} , one would expect a double ∞ -category $ALG(\mathscr{C})$ – the *Morita category* of \mathscr{C} – whose ∞ -category of objects $ALG(\mathscr{C})_{[0]}$ is the ∞ -category of associative algebras $Ass(\mathscr{C})$, whose ∞ -category of morphisms $ALG(\mathscr{C})_{[1]}$ is the category of bimodules $BMod(\mathscr{C})$, and whose composition is given by 'tensoring bimodules'. Haugseng constructed such a Morita category in [Hau17] (denoted $ALG_1(\mathscr{C})$ therein) under mild assumptions on \mathscr{C} . In what follows, we recall his construction and establish some properties not explicitly stated.

2.9.1. The pre-Morita category

For a monoidal ∞ -category $\mathscr{C}^{\otimes} \to \Delta^{\operatorname{op}}$, the *pre-Morita simplicial* ∞ -category of \mathscr{C} is the simplicial ∞ -category $\overline{\operatorname{ALG}}(\mathscr{C}) \in \operatorname{Fun}(\Delta^{\operatorname{op}}, \mathscr{C}at_{\infty})$ with

$$\overline{\mathrm{ALG}}(\mathscr{C})_{[p]} \coloneqq \mathrm{Alg}_{\Delta^{\mathrm{op}}_{/[p]}}(\mathscr{C}^{\otimes}) \subset \mathrm{Fun}_{\Delta^{\mathrm{op}}}(\Delta^{\mathrm{op}}_{/[p]}, \mathscr{C}^{\otimes}).$$

The simplicial structure is given by precomposition with the functors $\Delta_{/[p]} \rightarrow \Delta_{/[q]}$ induced by postcomposition with morphisms $[p] \rightarrow [q]$ in Δ ; this uses Example 2.14. By construction, $\overline{ALG}(-)$ is natural in lax monoidal functors by postcomposition.

This definition extends the ∞ -categories Ass $(\mathscr{C}) = \overline{ALG}(\mathscr{C})_{[0]}$ and BMod $(\mathscr{C}) = \overline{ALG}(\mathscr{C})_{[1]}$ to a simplicial ∞ -category $\overline{ALG}(\mathscr{C})$, but the result is not yet a double ∞ -category: an object in $\overline{ALG}(\mathscr{C})_{[p]}$ gives associative algebras M(i) for $0 \le i \le p$ and (M(i), M(j))-bimodules M(i, j) for $0 \le i < j \le p$, and, informally speaking, we need to enforce that M(i, j) is equivalent to the iterated tensor product $M(i, i+1) \otimes_{M(i+1)} M(i+1, i+2) \otimes_{M(i+2)} \cdots \otimes_{M(j-1)} M(j-1, j)$.

2.9.2. Composite algebras and the Morita category

The condition on the M(i, j) just mentioned can be made precise through the notion of a *composite* algebra from [Hau17, Section 4.2]. It requires an assumption on \mathscr{C} that Haugseng calls having good relative tensor products [Hau17, Definition 4.18], which is in particular satisfied if the underlying category \mathscr{C} admits all geometric realisations (colimits indexed over Δ^{op}) and if they are preserved by tensoring (on either side) with fixed objects of \mathscr{C} ; this follows from [Hau17, Lemma 4.19]. If \mathscr{C} has good relative tensor products, then the functor

$$\tau_p^* \colon \overline{\mathrm{ALG}}(\mathscr{C})_{[p]} = \mathrm{Alg}_{\Delta^{\mathrm{op}}_{/[p]}}(\mathscr{C}^{\otimes}) \longrightarrow \mathrm{Alg}_{\Lambda^{\mathrm{op}}_{/[p]}}(\mathscr{C}^{\otimes})$$

induced by the inclusion $\tau_p \colon \Lambda^{\text{op}}_{/[p]} \hookrightarrow \Delta^{\text{op}}_{/[p]}$ (see Example 2.14) admits a fully faithful left adjoint

$$\operatorname{Alg}_{\Lambda^{\operatorname{op}}_{/[p]}}(\mathscr{C}^{\otimes}) \xrightarrow{\tau_{p,!}} \operatorname{Alg}_{\Lambda^{\operatorname{op}}_{/[p]}}(\mathscr{C}^{\otimes}) = \overline{\operatorname{ALG}}(\mathscr{C})_p$$

by [Hau17, Corollary 4.20], and $M \in \overline{ALG}(\mathscr{C})_{[p]}$ is called *composite* if M is in the essential image of $\tau_{p,!}$, or equivalently if the counit $\tau_{p,!}\tau_p^*M \to M$ is an equivalence [Hau17, Definition 4.21]. By [Hau17, Corollary 4.38], the simplicial structure on the pre-Morita category restricts to a simplicial structure on the full subcategories $ALG(\mathscr{C})_{[p]} \subset \overline{ALG}(\mathscr{C})_{[p]}$ of composite objects, and by [Hau17, Theorem 4.39], the result is a double ∞ -category – the *Morita double* ∞ -category of \mathscr{C}

$$ALG(\mathscr{C}) \in Cat(\mathscr{C}at_{\infty}) \subseteq Fun(\Delta^{op}, \mathscr{C}at_{\infty}).$$

Note that $\Lambda_{[p]} = \Delta_{[p]}$ for p = 0, 1 so $ALG(\mathscr{C})_{[p]} \subseteq \overline{ALG}(\mathscr{C})_{[p]}$ is an equality for p = 0, 1; that is,

$$\overline{\text{ALG}}(\mathscr{C})_{[0]} = \text{ALG}(\mathscr{C})_{[0]} = \text{Ass}(\mathscr{C}) \quad \text{and} \quad \overline{\text{ALG}}(\mathscr{C})_{[1]} = \text{ALG}(\mathscr{C})_{[1]} = \text{BMod}(\mathscr{C}).$$
(21)

In particular, the mapping ∞ -categories between $A, B \in ALG(\mathscr{C})_{[0]}$ in the notation of Section 2.5.4 are given as $ALG(\mathscr{C})_{A,B} = BMod_{A,B}(\mathscr{C})$.

Remark 2.18. In [Lur17, 4.4.3.10, 4.4.3.11], Lurie describes a Morita double ∞ -category BMod(\mathscr{C})[®] for monoidal ∞ -categories \mathscr{C} that admit geometric realisations which are compatible with tensoring with a fixed object on either side (so they in particular admit good relative tensor products). One advantage of Lurie's model is that it is functorial in all lax monoidal functors, whereas Haugseng's is a priori only functorial in (strong) monoidal functors that are compatible with good relative tensor products (see Section 2.9.4 below). However, it turns out that Haugseng's Morita double ∞ -category ALG(\mathscr{C}) is equivalent to Lurie's BMod(\mathscr{C})[®]; see [Hau23, Corollary 5.14]. In particular, on 0- and 1-simplices, this comparison shows that Lurie's and Haugseng's models for the category of associative algebras and bimodules in a monoidal ∞ -category \mathscr{C} are equivalent (cf. Remark 2.16), and on composition functors, it shows that Lurie's and Haugseng's models for relative tensor products of bimodules are equivalent.

2.9.3. Composite algebras in terms of semisimplicial objects

We will now reformulate the condition on an object $M \in \overline{ALG}(\mathscr{C})_{[p]}$ to be composite in a form closer to the informal description mentioned at the end of Section 2.9.1, resulting in a convenient criterion for an object $M \in \overline{ALG}(\mathscr{C})_{[p]}$ to be composite. Before turning to the technical details, we describe this criterion informally. As mentioned before, the object M gives associative algebras M(i) for $0 \le i \le p$ and (M(i), M(j))-bimodules M(i, j) for $0 \le i < j \le p$. For each such bimodule, there is an 'iterated bar-construction' semisimplicial object $M(i, j)_{\bullet}$ in \mathscr{C} augmented over M(i, j), with k-simplices

$$M(i,j)_{[k]} \simeq M(i,i+1) \otimes M(i+1)^{\otimes k} \otimes M(i+1,i+2) \otimes M(i+2)^{\otimes k} \otimes \cdots \otimes M(j-1)^{\otimes k} \otimes M(j-1,j).$$

The criterion is then equivalent to requiring that the augmentation geometrically realises to an equivalence for all $0 \le i < j \le p$. In fact, for bookkeeping reasons, it is convenient to rephrase this criterion slightly: For each $q \ge 0$ and each sequence $\alpha = (0 \le i_0 \le \ldots \le i_q \le p)$ of integers, we have an augmented semisimplicial object over $M(i_0, i_1) \otimes M(i_1, i_2) \otimes \ldots \otimes M(i_{q-1}, i_q)$ given by the diagonal of the *q*-fold semisimplicial object $M(i_0, i_1)_{\bullet} \otimes M(i_1, i_2)_{\bullet} \otimes \ldots \otimes M(i_{q-1}, i_q)_{\bullet}$. The criterion is that its augmentation has to realise to an equivalence (see Corollary 2.25).

To make this precise, we denote by Δ^{act} the wide subcategory of Δ given by the active maps and by $\mathscr{C}^{\otimes,act}$ the pullback of $\mathscr{C}^{\otimes} \to \Delta^{op}$ along the inclusion $\Delta^{act,op} \to \Delta^{op}$. The unique active maps $[1] \to [p]$ define a natural transformation from the inclusion $\Delta^{act,op} \to \Delta^{op}$ to the constant functor at $[1] \in \Delta^{op}$, which we can precompose with the projection $\mathscr{C}^{\otimes,act} \to \Delta^{act,op}$. Taking a cocartesian pushforward (see Section 2.3) of the canonical map $\mathscr{C}^{\otimes,act} \to \mathscr{C}^{\otimes}$ along this natural transformation gives a functor

$$(-)_{!}: \mathscr{C}^{\otimes, \operatorname{act}} \longrightarrow \mathscr{C}^{\otimes}_{[1]} = \mathscr{C}.$$

$$(22)$$

Now write $\Delta_{/[p]}^{\text{act}}$ for the wide subcategory of $\Delta_{/[p]}$ of those morphisms that map to Δ^{act} under the projection and $\Lambda_{/[p]}^{\text{act,op}} \subset \Delta_{/[p]}^{\text{act,op}}$ for the full subcategory of cellular maps. For $M \in \overline{\text{ALG}}(\mathscr{C})_{[p]} \subset \text{Fun}_{\Delta^{\text{op}}}(\Delta_{/[p]}^{\text{op}}, \mathscr{C}^{\otimes})$ and an object $\alpha \colon [q] \to [p]$ of $\Delta_{/[p]}^{\text{act,op}}$, we consider the composition

$$((\Lambda_{/[p]}^{\operatorname{act,op}})_{/\alpha})^{\triangleright} \xrightarrow{\operatorname{can}} (\Delta_{/[p]}^{\operatorname{act,op}})_{/\alpha} \xrightarrow{\operatorname{pr}} \Delta_{/[p]}^{\operatorname{act,op}} \xrightarrow{M} \mathscr{C}^{\operatorname{act,\otimes}} \xrightarrow{(-)_!} \mathscr{C},$$
(23)

where pr is the projection, $(-)^{\triangleright}$ is the right-cone (this freely adds a terminal object and can be modelled by the join $(-) * \Delta^0$), and can is the extension of the inclusion $(\Lambda_{/[p]}^{\text{act,op}})_{/\alpha} \subset (\Delta_{/[p]}^{\text{act,op}})_{/\alpha}$ to the cone $((\Lambda_{/[p]}^{\text{act,op}})_{/\alpha})^{\triangleright}$ by sending the terminal object to id_{α} .

Lemma 2.19. For a monoidal ∞ -category \mathscr{C} with good relative tensor products, an object $M \in \overline{ALG}(\mathscr{C})_{[p]}$ is composite if and only if the composition (23) is a colimit diagram for all α .

Proof. By definition, *M* is composite if the counit $\tau_{p,!}\tau_p^*M \to M$ is an equivalence. Using Proposition 4.16 and Corollary A.60 of [Hau17], this is equivalent to asking whether the identity $\tau_p^*M \to \tau_p^*M$ exhibits *M* as the operadic left Kan extension of τ_p^*M along τ_p in the sense of Definition A.56 loc.cit. By Lemma A.53 loc.cit., this is in turn equivalent to the condition that the functor $((\Lambda_{/[p]}^{\text{act,op}}) \times {0} \cup (\Lambda_{/[p]}^{\text{act,op}}) \times {0} \to \mathscr{C}$ induced by the restriction of

$$(\Delta^{\mathrm{act,op}}_{/[p]}) \times \Delta^1 \xrightarrow{\mathrm{pr}_1} \Delta^{\mathrm{act,op}}_{/[p]} \xrightarrow{M} \mathscr{C}^{\mathrm{act,}\otimes} \xrightarrow{(-)_!} \mathscr{C}$$

to $\Lambda_{/[p]}^{\text{act,op}} \times \Delta^1$ is a left Kan extension in the sense of [Lur09a, 4.3.3.2]. As $\Lambda_{/[p]}^{\text{act,op}} \subset \Delta_{/[p]}^{\text{act,op}}$ is a full subcategory inclusion, we can use the simpler characterisation of left Kan extensions from [Lur09a, 4.3.2.2], which is exactly the condition of the statement.

Unravelling the definitions, the category $(\Lambda_{/[p]}^{\text{act,op}})_{/\alpha}$ for $\alpha \colon [q] \to [p]$ is the 1-category whose objects are factorisations of α into an active map followed by a cellular map,

$$[q] \xrightarrow{\text{active}} [r] \xrightarrow{\text{cellular}} [p].$$

Note that if α is active, then so must be $[r] \rightarrow [p]$. Given another such factorisation, with middle object [r'], a morphism from the factorisation involving [r] to that involving [r'] is an active map $[r'] \rightarrow [r]$ that makes everything commute:



Colimits over this category – as appearing in Lemma 2.19 – can be rephrased in terms of semisimplicial objects that are easier to handle. Making this precise involves the following construction:

Construction 2.20. Let $\alpha: [q] \to [p]$ be an object of $\Delta_{/[p]}^{\text{op}}$ considered as a sequence $(i_0 \leq \ldots \leq i_q) \subseteq [p]$. Let $J \subseteq [q-1]$ be the set of indices *j* for which $i_j < i_{j+1}$ and set $k_\alpha := \sum_{j \in J} (i_{j+1} - i_j - 1)$. Enumerating the indices in the interval $[i_0, i_q]$ that do *not* lie in (i_0, \ldots, i_q) in order as $m_1, \ldots, m_{k_\alpha}$, there is a functor

$$\rho_{\alpha} \colon (\Delta^{\mathrm{op}})^{k_{\alpha}} \longrightarrow (\Lambda^{\mathrm{act,op}}_{/[p]})_{/\alpha}$$

given as follows: writing $k_{\alpha}^{\vec{a}} \coloneqq q + \sum_{i=1}^{k_{\alpha}} (a_i + 1)$, it sends an object $([a_1], \dots, [a_q]) \in (\Delta^{\text{op}})^{k_{\alpha}}$ to

$$[q] \xrightarrow{\alpha_0^{\vec{a}}} [k_\alpha^{\vec{a}}] \xrightarrow{\alpha_1^{\vec{a}}} [p],$$

where $\alpha_1^{\vec{a}}$ is given by the weakly increasing sequence that contains $(i_0 \leq \ldots \leq i_q)$ as well as each m_j repeated $a_j + 1$ times. The map $\alpha_0^{\vec{a}}$ is the unique injective map such that $\alpha_1^{\vec{a}} \circ \alpha_0^{\vec{a}} = \alpha$.

Example 2.21. If $\alpha: [2] \to [p]$ is given by the sequence $(i \le i+2 \le i+4)$, then $k_{\alpha} = 2$, the map $\alpha_1^{\vec{a}}$ is given by the sequence $(i \le i+1 \le \ldots \le i+1 \le i+2 \le i+3 \le \ldots \le i+3 \le i+4)$ where i+1 appears a_1+1 times and i+3 appears a_2+1 times, and the map $\alpha_0^{\vec{a}}$ is given by the inclusion of $(i \le i+2 \le i+4)$ into this sequence.

For later reference, we spell out how ρ_{α} translates under the isomorphism $\Delta \cong$ Gap.

Construction 2.22. Let $\alpha : (p) \to (q)$ be an object of $\text{Gap}_{(p)}$ considered as a sequence $(i_1 \leq \ldots \leq i_p)$ with $i_j \in (\mathring{q})$. The quantity k_α is then given by $k_\alpha := \#\{j \in (p - 1) \mid i_j \in (\mathring{q}) \text{ and } i_j = i_{j+1}\}$. We enumerate this set in order by $n_1 < \ldots < n_{k_\alpha}$. The functor ρ_α takes the form

$$\rho_{\alpha} \colon \operatorname{Gap}^{k_{\alpha}} \longrightarrow (\operatorname{Gap}^{\operatorname{act,op}}_{(p))/\alpha})_{\alpha}$$

and can be described as follows: abbreviating $k_{\alpha}^{\vec{a}} \coloneqq q + \sum_{i=1}^{k_{\alpha}} (a_i + 1)$ as in the Δ^{op} -case, for an object $\|\vec{a}\| = (\|a_1\|, \dots, \|a_q\|) \in \text{Gap}^{k_{\alpha}}$, it sends $\|\vec{a}\|$ to the factorisation

$$(p) \xrightarrow{\alpha_1^{\vec{a}}} (k_{\alpha}^{\vec{a}}) \xrightarrow{\alpha_0^{\vec{a}}} (q)$$

where $\alpha_1^{\vec{a}} \colon (p) \to (k_{\alpha}^{\vec{a}})$ is given by the sequence obtained from the sequence $(i_1 \leq \ldots \leq i_p)$ by inserting a gap of length a_i between i_{n_j} and i_{n_j+1} for $j = 1, \ldots, k_{\alpha}$, and $\alpha_0^{\vec{a}} \colon (k_{\alpha}^{\vec{a}}) \to (q)$ is the unique surjective map such that $\alpha_0^{\vec{a}} \circ \alpha_1^{\vec{a}} = \alpha$.

The following lemma is a generalisation of [Hau17, Lemma 4.17].

Lemma 2.23. The functor ρ_{α} from Construction 2.20 is cofinal.

Proof. By [Lur09a, Theorem 4.1.3.1], it suffices to prove that $((\Delta^{op})^{k_{\alpha}})_{X/}$ has an initial object for all objects X of $(\Lambda^{act,op}_{/[p]})_{/\alpha}$, i.e., for all factorisations X

$$[q] \xrightarrow{\beta} [r] \xrightarrow{\alpha'} [p]$$

of α into an active β followed by a cellular α' . The category $((\Delta^{\text{op}})^{k_{\alpha}})_{X/}$ then has objects given by active maps $\delta \colon [k_{\alpha}^{\vec{a}}] \to [r]$ such that in

$$[q] \xrightarrow{\alpha_0^{\vec{a}}} [k_\alpha^{\vec{a}}] \xrightarrow{\delta} [r] \xrightarrow{\alpha'} [p], \tag{24}$$

the full composition agrees with α , the composition of the first two arrows with β , and the composition of the final two arrows with $\alpha_1^{\vec{a}}$. The morphisms are induced by those of $(\Delta^{\text{op}})^{k_{\alpha}}$ via $[k_{\alpha}^{\vec{a}}]$. We now describe an object of this category: define the number b_j by letting $b_j + 1$ be the number of times m_j in Construction 2.20 appears in α' ($b_j \ge 1$ since α' is cellular and β is active). Then there is a unique map $[k_{\alpha}^{\vec{b}}] \to [r]$ that fits in a factorisation as above, and this map is active because $[q] \to [r]$ is active. For another factorisation (24), one checks there is unique morphism $([a_1], \ldots, [a_{k_{\alpha}}]) \to ([b_1], \ldots, [b_{k_{\alpha}}])$ in $\Delta^{k_{\alpha}}$ that induces a morphism of factorisations, so the factorisation we described provides an initial object in $((\Delta^{\text{op}})^{k_{\alpha}})_{X/}$ as wished.

Example 2.24. In the case of Example 2.21 and X given by $[2] \rightarrow [6] \rightarrow [p]$ with $[6] \rightarrow [p]$ given by $(i \le i \le i + 1 \le i + 1 \le i + 2 \le i + 3 \le i + 4)$ (which determines the active morphism $[2] \rightarrow [6]$ uniquely), the initial object in $((\Delta^{\text{op}})^{k_{\alpha}})_{X/}$ is given as follows: we get $k_{\alpha} = 2$, $([b_1], [b_2]) = ([1], [0])$, $k_{\alpha}^{\bar{b}} = 5$, and δ : $[5] \rightarrow [6]$ is $(0 \le 2 \le 3 \le 4 \le 5 \le 6)$. To see it is initial, note that equivalently it is terminal among pairs $([a_1], [a_2])$ with factorisations $[2] \rightarrow [k_{\alpha}^{\bar{a}}] \rightarrow [6] \rightarrow [p]$, which is true since δ : $[5] \rightarrow [6]$ is bijective onto those elements in [6] which do not get mapped to the image of α .

We now consider the composition

$$(\Delta_{\mathrm{inj}}^{\mathrm{op}})^{\triangleright} \xrightarrow{\mathrm{inc}} (\Delta^{\mathrm{op}})^{\triangleright} \xrightarrow{\mathrm{diag}} ((\Delta^{\mathrm{op}})^{k_{\alpha}})^{\triangleright} \xrightarrow{\rho_{\alpha}} ((\Lambda_{/[p]}^{\mathrm{act,op}})_{/\alpha})^{\triangleright} \xrightarrow{\mathrm{can}} (\Delta_{/[p]}^{\mathrm{act,op}})_{/\alpha} \xrightarrow{\mathrm{pr}} \Delta_{/[p]}^{\mathrm{act,op}},$$
$$\eta^{\alpha}$$

which we abbreviate as η^{α} ; similar for Gap instead of Δ^{op} . Unravelling the definitions, one checks that η^{α} maps an object $[a] \in \Delta^{\text{op}}_{\text{inj}}$ to $\alpha_1^{\vec{a}} : [k_{\alpha}^{\vec{a}}] \to [p]$ with $\vec{a} = (a, \ldots, a)$ and the cone point to $\alpha : [q] \to [p]$. The unique map from [a] to the cone point is mapped to $\alpha_0^{\vec{a}} : [q] \to [k_{\alpha}^{\vec{a}}]$. Since the inclusion $\Delta^{\text{op}}_{\text{inj}} \subset \Delta^{\text{op}}$ is cofinal [Lur09a, 4.1.1.8], the category Δ^{op} is sifted, and hence, the diagonal $\Delta^{\text{op}} \to (\Delta^{\text{op}})^2$ is cofinal [Lur09a, 5.5.8.1, 5.5.8.4], the functor ρ_{α} is cofinal by the previous lemma, and cofinal functors are closed under composition [Lur09a, 4.1.1.3 (2)], the composition $\Delta^{\text{op}}_{\text{inj}} \to (\Lambda^{\text{act,op}}_{/[p]})_{/\alpha}$ is cofinal. As colimits in ∞ -categories are unaffected by precomposition with cofinal functors [Lur09a, 4.1.1.8], we can simplify the condition in Lemma 2.19 further to:

Corollary 2.25. For a monoidal ∞ -category \mathscr{C} with good relative tensor products, an object $M \in \overline{ALG}(\mathscr{C})_{[p]}$ is composite if and only if for all $\alpha \in \Delta_{/[p]}^{\operatorname{op}}$, the following is a colimit diagram:

$$(\Delta_{\operatorname{inj}}^{\operatorname{op}})^{\triangleright} \xrightarrow{\eta^{\alpha}} \Delta_{/[p]}^{\operatorname{act,op}} \xrightarrow{M} \mathscr{C}^{\otimes,\operatorname{act}} \xrightarrow{(-)_!} \mathscr{C}.$$

Example 2.26. We spell out two exemplary cases of Corollary 2.25 and relate them to the informal description of Corollary 2.25 from the beginning of this subsection, involving the augmented semisimplicial objects $M(i, j)_{\bullet}$. First, for $\alpha = (0 \le 2)$: $[1] \rightarrow [2]$, we have $k_{\alpha} = 1$, and the functor $(\Delta_{inj}^{op})^{\triangleright} \rightarrow \Delta_{/[2]}^{act,op}$ sends [a] to the sequence $(0 \le 1 \le ... \le 1 \le 2)$ where 1 appears a + 1 times, and it sends the cone point to $(0 \le 2)$. Applying M and $(-)_i$, we obtain the augmented semisimplicial object corresponding to $M(0, 2)_{\bullet}$. For α : $[q] \rightarrow [p]$ given by a sequence $(i_0 \le ... \le i_q) \subseteq [p]$ so that $i_{j+1} = i_j + 1$, we have $k_{\alpha} = 0$, so the composition in the statement is a constant augmented semisimplicial object; this fits with the informal description since $M(i, j)_{\bullet}$ is constant if j = i + 1.

2.9.4. Functoriality and monoidality

Postcomposition induces a functor

$$\overline{\mathrm{ALG}}(-)\colon \mathrm{Mon}(\mathscr{C}\mathrm{at}_{\infty}) \longrightarrow \mathrm{Fun}(\Delta^{\mathrm{op}}, \mathscr{C}\mathrm{at}_{\infty}), \tag{25}$$

which is on *p*-simplices given by $Alg_{\Delta^{op}/[p]}(-)$. The latter preserves limits [Hau17, p. 1701], so (25) does as well and in particular induces a functor between commutative monoid objects

$$\overline{\text{ALG}}(-): \text{CMon}(\text{Mon}(\mathscr{C}at_{\infty})) \longrightarrow \text{CMon}(\text{Fun}(\Delta^{\text{op}}, \mathscr{C}at_{\infty})).$$

The situation for ALG(–) is only slightly more complicated. Let $Mon(\mathscr{C}at_{\infty})^{grtp} \subset Mon(\mathscr{C}at_{\infty})$ the (non-full) subcategory of monoidal categories that admit good relative tensor products and functors that are compatible with them. The latter is made precise in [Hau17, Definition 4.18], but all we need is that (i) monoidal categories admit good relative tensor products if their underlying categories admit all geometric realisations and these are compatible with tensoring with a fixed object on either side, and that (ii) functors of monoidal categories that preserve geometric realisations are compatible with good relative tensor products. Then ALG(–) gives rise to a functor ALG(–): $Mon(\mathscr{C}at_{\infty})^{grtp} \longrightarrow Cat(\mathscr{C}at_{\infty})$ (see [Hau17, Corollary 5.41]). By [Hau17, Lemma 5.38 (iii)], the category $Mon(\mathscr{C}at_{\infty})^{grtp}$ admits products, and these are preserved by the forgetful functor $Mon(\mathscr{C}at_{\infty})^{grtp} \longrightarrow Mon(\mathscr{C}at_{\infty})$. Moreover, ALG(-) is product-preserving: we may test this on *p*-simplices for $p \ge 0$, and since it has values in double ∞ -categories, it suffices to check this for p = 0, 1 where it follows from (21) and the corresponding fact for $\overline{ALG}(-)$. ALG(-) thus induces a functor on commutative monoid objects:

$$ALG(-): CMon(Mon(\mathscr{C}at_{\infty})^{grtp}) \longrightarrow CMon(Cat(\mathscr{C}at_{\infty})).$$

2.10. Span and cospan categories

We summarise the construction of a double ∞ -category of cospans from [Hau18, Section 5].

2.10.1. Categories of (co)spans

For an ∞ -category \mathscr{C} , the *pre-span simplicial* ∞ -category of \mathscr{C} is

$$\overline{\text{SPAN}}^{\mathsf{T}}(\mathscr{C}) \coloneqq \operatorname{Fun}(\Sigma^{\bullet}, \mathscr{C}) \in \operatorname{Fun}(\Delta^{\operatorname{op}}, \mathscr{C}at_{\infty}),$$

where $\Sigma^{\bullet}: \Delta \to \text{Cat}$ is defined as follows: on objects, it sends $[n] \in \Delta$ the poset Σ^n of pairs (i, j)with $0 \le i \le j \le n$, and $(i, j) \le (i', j')$ if and only if $i \le i'$ and $j' \le j$, and on morphisms sends $\phi: [n] \to [m]$ to the functor $\Sigma^n \to \Sigma^m$ given by $(i, j) \mapsto (\phi(i), \phi(j))$.

If \mathscr{C} has finite limits, then the *span double* ∞ -*category of* \mathscr{C}

$$SPAN^{+}(\mathscr{C}) \in Cat(\mathscr{C}at_{\infty})$$
(26)

is the levelwise full subcategory SPAN⁺(\mathscr{C}) \subset SPAN⁺(\mathscr{C}) of *cartesian functors*, where ($\Sigma^p \to \mathscr{C}$) \in SPAN⁺(\mathscr{C})_[p] is *cartesian* if the natural map from it to the right Kan extension of its restriction to the full subcategory $\Lambda^p \subset \Sigma^p$ on (i, j) with $j - i \leq 1$ is an equivalence. By [Hau18, Proposition 5.14], SPAN⁺(\mathscr{C}) is indeed a double ∞ -category. We have SPAN⁺(\mathscr{C})_[0] = \mathscr{C} , and arguing as in [Hau18, Proposition 8.3], one sees that the mapping ∞ -categories are SPAN⁺(\mathscr{C})_{A,B} $\simeq \mathscr{C}_{/A \times B}$.

Dually, one defines the *cospan double* ∞ -*category* of \mathscr{C} and its pre-version as

 $\overline{\text{COSPAN}}^+(\mathscr{C}^{\text{op}})\coloneqq\overline{\text{SPAN}}^+(\mathscr{C}^{\text{op}})^{\text{op}}\quad\text{and}\quad\text{COSPAN}^+(\mathscr{C})\coloneqq\text{SPAN}^+(\mathscr{C}^{\text{op}})^{\text{op}},$

where the outer $(-)^{\text{op}}$ denotes taking levelwise opposites, and the second definition requires \mathscr{C} to have finite colimits. The mapping ∞ -categories are then given by $\text{COSPAN}^+(\mathscr{C})_{A,B} \simeq \mathscr{C}_{A \sqcup B/}$.

2.10.2. Relation to Morita categories

Cospan categories and Morita categories are not unrelated: if \mathscr{C} has finite colimits, then it has good relative tensor products as in Section 2.9.2 when equipped with the cocartesian symmetric monoidal structure \mathscr{C}^{\sqcup} [HMS20, Remark 2.5.14]. By Corollaries 2.6.8 and 2.6.10 loc.cit., there is an equivalence of double ∞ -categories

$$\operatorname{COSPAN}^{+}(\mathscr{C}) \simeq \operatorname{ALG}(\mathscr{C}^{\sqcup}).$$
(27)

Moreover, functors that preserve finite colimits are compatible with good relative tensor products, so $COSPAN^+(\mathscr{C})$ inherits the functoriality and monoidality properties from $ALG(\mathscr{C}^{\sqcup})$ as discussed in Section 2.9.4 for monoidal categories with finite colimits and functors that preserve those (there is also an a priori description, but we will not need it). Tracing through the proof, one sees that under the equivalence (27), an object $M \in ALG(\mathscr{C})_{[p]}$ is sent to the sequence of cospans

$$_{M(0)} \xrightarrow{M(0,1)} \xleftarrow{M(1)} \xrightarrow{\cdots} \xleftarrow{M(p-1)} \xrightarrow{M(p-1,p)} \xleftarrow{M(p)}_{M(p),}$$

where the map $M(i) \to M(i, i+1)$ is given by $M(i) \xrightarrow{\text{inc}} M(i) \sqcup M(i, i+1) \xrightarrow{\text{act}} M(i, i+1)$, and similarly for $M(i+1) \to M(i, i+1)$. Here we used the notation from Section 2.9.1.

3. From the bordism to the Morita category

As part of the introduction, we announced in Section 1.2.2 the construction of a functor

$$E: \operatorname{nc}\mathscr{B}\operatorname{ord}(d) \longrightarrow \mathscr{M}\operatorname{od}(d) \tag{28}$$

of symmetric monoidal $(\infty, 2)$ -categories where the domain is an $(\infty, 2)$ -category of possibly noncompact (d-1)-dimensional manifolds with bordisms as 1-morphisms and embeddings as 2-morphisms, and the target is a Morita $(\infty, 2)$ -category of the symmetric monoidal ∞ -category of presheaves on an ∞ -category of disjoint unions of *d*-dimensional open discs with embeddings as morphisms and disjoint union as monoidal structure. What we will actually do is to construct (28) as a functor between symmetric monoidal double ∞ -categories, which is more general by the discussion in Section 2.5.6.

For most of the arguments in the proofs of Theorems A–C, the precise construction of (28) does not play a role. We summarise the key features in Section 4, so readers who mainly care about Theorems A–C may skip this technical section on a first reading.

The steps we take in this section to construct the functor (28) are as follows:

- **Step** ① Construct a non-unital double ∞ -category $\operatorname{nc}\mathscr{B}\operatorname{ord}(d)^{\operatorname{nu}} \in \operatorname{Cat}_{\operatorname{nu}}(\mathscr{C}\operatorname{at}_{\infty})$ of possibly non-compact (d-1)-manifolds with embeddings and bordisms between them.
- **Step** (2) Construct a monoidal ∞ -category $\mathcal{M}an_d \in Mon(\mathscr{C}at_{\infty})$ of possibly non-compact *d*-manifolds and embeddings between them, monoidal via disjoint union.
- **Step** ③ Construct a morphism E^{geo} : nc \mathscr{B} ord $(d)^{\text{nu}} \to \overline{\text{ALG}}(\mathscr{M}\text{an}_d)$ of semisimplicial ∞ -categories to the pre-Morita category of $\mathscr{M}\text{an}_d$ from Section 2.9, viewed as a semisimplicial object.
- **Step** ④ Show that the composition

$$\overline{E} : \operatorname{nc}\mathscr{B}\operatorname{ord}(d)^{\operatorname{nu}} \to \overline{\operatorname{ALG}}(\mathscr{M}\operatorname{an}_d) \to \overline{\operatorname{ALG}}(\operatorname{PSh}(\mathscr{M}\operatorname{an}_d)) \to \overline{\operatorname{ALG}}(\operatorname{PSh}(\mathscr{D}\operatorname{isc}_d))$$

lands in the Morita category $\mathcal{M}od(d) := ALG(PSh(\mathcal{D}isc_d)) \subset \overline{ALG}(PSh(\mathcal{D}isc_d))$. The second map is induced by the Yoneda embedding and the third map by the full subcategory $\mathcal{D}isc_d \subset \mathcal{M}an_d$ on manifolds diffeomorphic to $T \times \mathbf{R}^d$ for finite sets *T*.

- **Step** (5) Argue that $\operatorname{nc}\mathscr{B}\operatorname{ord}(d)^{\operatorname{nu}}$ can be enhanced to a (unital) double ∞ -category $\operatorname{nc}\mathscr{B}\operatorname{ord}(d) \in \operatorname{Cat}(\mathscr{C}\operatorname{at}_{\infty})$, and that \overline{E} can be enhanced to a functor of double ∞ -categories as in (28).
- **Step** (6) Argue that the resulting functor $E: \operatorname{nc}\mathscr{B}\operatorname{ord}(d) \to \mathscr{M}\operatorname{od}(d)$ can be enhanced to a functor of *symmetric monoidal* double ∞ -categories.

We will conclude the section with some enhancements of the bordism category $nc\mathscr{B}ord(d)$:

- **Step** $\[This]$ Construct variants $\mathscr{B}ord(d)$, nc $\mathscr{B}ord(d)^{\partial}$ and nc $\mathscr{B}ord^{\theta}(d)$ of nc $\mathscr{B}ord(d)$ by restricting to compact manifolds and diffeomorphisms instead of embeddings, allowing manifolds with boundary, and adding tangential structures.
- **Step** (a) Construct for a closed *p*-manifold *P* a map of symmetric monoidal double ∞ -categories $P \times (-)$: nc \mathscr{B} ord $(d) \rightarrow$ nc \mathscr{B} ord(d + p) induced by taking cartesian product with *P*, and extend this construction to the variants from **Step** (7).

Remark 3.1. Some remarks on the construction of the functor (28):

- (i) One may ask whether this construction can be 'fully extended'; that is, whether one can upgrade ncℬord(d) to a symmetric monoidal (d + 1)-fold ∞-category and the functor E to a map of such objects with target the symmetric monoidal (d + 1)-fold Morita ∞-category of PSh(ℬisc_d) from [Hau17, Section 5], which would in particular give a functor of symmetric monoidal (∞, d + 1)-categories. There are no conceptual issues in doing so, but it would involve additional bookkeeping and make our construction less transparent. Since we do not need it to prove the main results, we did not include it.
- (ii) We construct (28) as a functor of symmetric monoidal double ∞ -categories, but all later arguments only use the underlying functor of symmetric monoidal (∞ , 2)-categories.
- (iii) There are at least three constructions of a Morita (∞ , 2)-category of a sufficiently nice monoidal ∞ -category \mathscr{C} that for $\mathscr{C} = PSh(\mathscr{D}isc_d)$ might serve as potential targets for (28):

- (a) Lurie's model $BMod(\mathscr{C})$ from [Lur17, 4.4.3.11],
- (b) Haugseng's model $ALG_1(\mathscr{C})$ from [Hau17, Section 4], denoted $ALG(\mathscr{C})$ in Section 2.9,
- (c) Scheimbauer's model $Alg_1(\mathscr{C})$ from [Sch14, Section 3].

Haugseng's and Lurie's model are known to be equivalent (see Remark 2.18). For our purposes, Haugseng's model turned out to be the most convenient choice.

(iv) For some of our later arguments, it is crucial that E is defined on the bordism category $nc\mathscr{B}ord(d)$ that involves noncompact manifolds; the restriction to the typically considered subcategory \mathscr{B} ord(d) that only involves compact manifolds is not sufficient. If one is mainly interested in a functor from the compact variant \mathscr{B} ord(d) to a Morita category of PSh(\mathscr{D} isc_d), then there are other potential routes to a construction (e.g., by modifying a construction of Scheimbauer [Sch14] or relying on the cobordism hypothesis [Lur09b]).

Throughout the following subsections corresponding to the steps above, we generically refer to Section 2 for a recollection of the ∞ -categorical concepts and facts involved.

Step 1. The bordism category via manifolds with walls

We will construct the non-unital double ∞ -category $nc\mathscr{B}ord(d)^{nu} \in Cat_{nu}(\mathscr{C}at_{\infty})$ as the levelwise coherent nerve of a semisimplicial object in Kan-enriched categories

$$ncBord(d)^{nu} \in Fun(\Delta_{ini}^{op}, sCat).$$
 (29)

Convention 3.2. Throughout this section, we fix a constant $0 < \epsilon < \frac{1}{2}$. We write $tr_{\lambda} : \mathbf{R} \to \mathbf{R}$ for the translation by $\lambda \in \mathbf{R}$. For a subset $W \subset \mathbf{R} \times \mathbf{R}^{\infty}$, we write

$$W|_A \coloneqq W \cap (A \times \mathbf{R}^\infty) \subset \mathbf{R} \times \mathbf{R}^\infty$$

for subsets $A \subset \mathbf{R}$. If $A = \{a\}$ is a singleton, we abbreviate $W|_a \coloneqq W|_{\{a\}}$.

We first set up some language. A [p]-walled d-manifold for $[p] \in \Delta$ is a pair (W, μ) of a ddimensional smooth submanifold $W \subset \mathbf{R} \times \mathbf{R}^{\infty}$ without boundary and an order-preserving map $\mu: [p] \to$ **R** such that the following is satisfied

- (i) $\mu(i) + \epsilon < \mu(i+1) \epsilon$ for all *i*,
- (ii) the projection pr: $W \to \mathbf{R}$ to the first coordinate is transverse to $\mu: [p] \to \mathbf{R}$,
- (iii) $W|_{[\mu(i)-\epsilon,\mu(i)+\epsilon]} = \operatorname{tr}_{\mu(i)}[-\epsilon,+\epsilon] \times W|_{\mu(i)}$ for all *i*;

see Figure 2 for an example. The space

$$\operatorname{Emb}((W,\mu),(W',\mu')) \subset \operatorname{Emb}(W|_{[\mu(0)-\epsilon,\mu(p)+\epsilon]},W'|_{[\mu'(0)-\epsilon,\mu'(p)+\epsilon]})$$

of embeddings between [p]-walled d-manifolds (W, μ) and (W, μ') is the subspace of those embeddings φ that satisfy the following properties for all *i*:

- (i) they satisfy the equality $\varphi^{-1}(W'|_{[\mu'(i)+\epsilon,\mu'(i+1)-\epsilon]}) = W|_{[\mu(i)+\epsilon,\mu(i+1)-\epsilon]}$ as well as the equality $\varphi^{-1}(W'|_{[\mu'(i)-\epsilon,\mu'(i)+\epsilon]}) = W|_{[\mu(i)-\epsilon,\mu(i)+\epsilon]}, \text{ and}$ (ii) they restrict on $W|_{[\mu(i)-\epsilon,\mu(i)+\epsilon]}$ to an embedding of the form

$$(\mathrm{tr}_{\mu'(i)-\mu(i)} \times \varphi_i) \colon \mathrm{tr}_{\mu(i)}[-\epsilon, +\epsilon] \times W|_{\mu(i)} \hookrightarrow \mathrm{tr}_{\mu'(i)}[-\epsilon, +\epsilon] \times W'|_{\mu'(i)}$$

for some embedding $\varphi_i \in \text{Emb}(W|_{\mu(i)}, W'|_{\mu'(i)})$.

Using this terminology, $ncBord(d)^{nu}$ is defined as the semisimplicial Kan-enriched category whose Kan-enriched category ncBord $(d)_{[p]}^{nu}$ of p-simplices has possibly non-compact [p]-walled d-manifolds



Figure 2. A [3]-walled 1-manifold. The vertical projection is μ and the intervals in **R** are the $[\mu(i) - \epsilon, \mu(i) + \epsilon]$'s, which are disjoint in accordance with (i). The dashed lines indicate the hyperplanes $\{\mu(i)\} \times \mathbf{R}^{\infty}$. Note that W is transverse to these and a product near them, as imposed in (ii) and (iii).

 (W, μ) as its objects, spaces of embeddings between [p]-walled *d*-manifolds as morphisms, and composition given by composition of embeddings. The semisimplicial structure is given by 'forgetting walls' (i.e., by precomposition of $\mu: [p] \to \mathbf{R}$ with morphisms in Δ_{inj}).

The non-unital double ∞-category

$$\operatorname{nc}\mathscr{B}\operatorname{ord}(d)^{\operatorname{nu}} \in \operatorname{Cat}(\mathscr{C}\operatorname{at}_{\infty}) \subset \operatorname{Fun}(\Delta_{\operatorname{ini}}^{\operatorname{op}}, \mathscr{C}\operatorname{at}_{\infty})$$

is now defined as the levelwise coherent nerve of $ncBord(d)^{nu}$ (i.e., we have $(nc\mathscr{B}ord(d)^{nu})_{[p]} := N_{coh}((ncBord(d)^{nu})_{[p]}))$. This implicitly claims that the semisimplicial object $nc\mathscr{B}ord(d)^{nu} \in Fun(\Delta_{inj}^{op}, \mathscr{C}at_{\infty})$ is indeed a double ∞ -category (i.e., that it satisfies the Segal condition).

Lemma 3.3. nc \mathscr{B} ord $(d)^{nu}$ is a non-unital double ∞ -category.

Proof. This is straightforward, so we will only sketch the proof. One first observes that the Segal maps ncBord(d)^{nu}_[p] → ncBord(d)^{nu}_[1] ×_{ncBord(d)^{nu}_[0] ...×_{ncBord(d)^{nu}_[0] ncBord(d)^{nu}_[1] before taking coherent nerves are Dwyer–Kan equivalences, so weak equivalences in the Bergner model structure from Section 2.2 (i). Since the ncBord(d)^{nu}_[p] are Kan-enriched, they are fibrant in this model structure. Next, one shows that source and target maps ncBord(d)^{nu}_[1] → ncBord(d)^{nu}_[0] are Kan fibrations on morphism spaces and isofibrations on homotopy categories, so they are fibrations in the model structure and the pullbacks appearing in the above maps are homotopy pullbacks. Using that the coherent nerve is the right Quillen functor in the Quillen equivalence between the Joyal and the Bergner model structure (see Section 2.2 (i)) and therefore preserves homotopy pullbacks and weak equivalences between fibrant objects, it follows that the Segal maps nc \mathscr{B} ord(d)^{nu}_[p] → nc \mathscr{B} ord(d)^{nu}_[1] ×_{nc \mathscr{B} ord(d)^{nu}_[0] ...×_{nc \mathscr{B} ord(d)^{nu}_[0] nc \mathscr{B} ord(d)^{nu}_[1] in Cat_∞ are equivalences.}}}}

Step 2. The monoidal category of manifolds and embeddings

We construct the monoidal ∞ -category of (possibly noncompact) *d*-manifolds and embeddings between them as a cocartesian fibration $\mathcal{M}an_d^{\otimes} \to \Delta^{op} \cong$ Gap obtained as the coherent nerve of a functor

$$\operatorname{Man}_d^{\otimes} \longrightarrow \operatorname{Gap}$$
 (30)

of Kan-enriched categories. Objects of $\operatorname{Man}_d^{\otimes}$ are pairs $(\langle p \rangle, W)$ of $\langle p \rangle \in \operatorname{Gap}$ and a smooth submanifold $W \subset \langle p \rangle \times \mathbf{R} \times \mathbf{R}^{\infty}$ without boundary; the distinguished **R**-coordinate is not necessary but comes in

handy later. To define the space of morphisms, given $A \subset (p)$, we write

$$W|^A \coloneqq W \cap (A \times \mathbf{R} \times \mathbf{R}^{\infty})$$

to distinguish it from the notation $W|_A$ for $A \subset \mathbf{R}$ from Convention 3.2. Using this, we set

$$\operatorname{Map}_{\operatorname{Man}_{d}^{\otimes}}((\langle p \rangle, W), (\langle p' \rangle, W')) \coloneqq \bigsqcup_{\varphi \in \operatorname{Map}_{\operatorname{Gap}}(\langle p \rangle, \langle p' \rangle)} \operatorname{Emb}(W|^{\varphi^{-1}\langle p' \rangle}, W')_{\varphi},$$
(31)

where the subscript $(-)_{\varphi}$ indicates that we restrict to embeddings that cover φ (i.e., that make

commute). The composition in $\operatorname{Man}_d^{\otimes}$ is induced by the composition in Gap and composition of embeddings. The functor (30) sends (p), W to p. Taking coherent nerves defines $\operatorname{Man}_d^{\otimes} \to \operatorname{Gap} \cong \Delta^{\operatorname{op}}$, which one easily checks to be a monoidal ∞ -category using the description in terms of cocartesian fibrations from Section 2.5.3.

Remark 3.4. By construction, the underlying category $\mathcal{M}an_d$ of the monoidal ∞ -category $\mathcal{M}an_d^{\otimes} \rightarrow \Delta^{\mathrm{op}}$ (the fibre over $[1] \in \Delta^{\mathrm{op}}$) agrees with the coherent nerve of the Kan-enriched category whose objects are smooth submanifolds $W \subset \mathbf{R}^{\infty}$ without boundary and spaces of embeddings between them. Informally speaking, the monoidal structure is given by taking disjoint unions. Note that this monoidal structure is *not* cocartesian, since typically $\mathrm{Emb}(M \sqcup N, W) \neq \mathrm{Emb}(M, W) \times \mathrm{Emb}(N, W)$.

Step 2.1. Cocartesian pushforward along active maps

For later reference, we spell out a model of the cocartesian pushforward

$$(-)_! \colon \mathscr{M}\mathrm{an}_d^{\otimes,\mathrm{act}} \longrightarrow (\mathscr{M}\mathrm{an}_d^{\otimes})_{[1]} \eqqcolon \mathscr{M}\mathrm{an}_d$$

from Section 2.9.3 in the case $\mathscr{C}^{\otimes} = \mathscr{M}an_{d}^{\otimes}$. It is the coherent nerve of a simplicially enriched functor

$$\operatorname{Man}_{d}^{\otimes,\operatorname{act}} \longrightarrow (\operatorname{Man}_{d}^{\otimes})_{[1]} \eqqcolon \operatorname{Man}_{d}, \tag{32}$$

defined on the pullback of $\operatorname{Man}_d^{\otimes}$ along the inclusion $\operatorname{Gap}^{\operatorname{act}} \to \operatorname{Gap}$ of the wide subcategory of active maps as in Section 2.4. The functor (32) is given by taking 'taking disjoint unions', using that the restriction to active maps in $\operatorname{Man}_d^{\otimes,\operatorname{act}}$ means precisely that the embeddings appearing in (31) are defined on the whole manifold W, not just on a subset depending on the maps φ . As a point-set implementation, one can model this 'disjoint unions'-functor induced by viewing a submanifold $W \subset (|p|) \times \mathbb{R} \times \mathbb{R}^{\infty}$ as a submanifold of $\mathbb{R} \times \mathbb{R} \times \mathbb{R}^{\infty}$ using the inclusion $(|p|) = \{1, \ldots, p\} \subset \mathbb{R}$ and sending a submanifold $W \subset (|p|) \times \mathbb{R} \times \mathbb{R}^{\infty} \subset \mathbb{R} \times \mathbb{R} \times \mathbb{R}^{\infty}$ to its image under the diffeomorphism

$$s: \mathbf{R} \times \mathbf{R} \times \mathbf{R}^{\infty} \xrightarrow{\text{flip} \times \text{id}_{\mathbf{R}^{\infty}}} \mathbf{R} \times \mathbf{R} \times \mathbf{R}^{\infty} \xrightarrow{\text{id}_{\mathbf{R}} \times \text{shift}} \mathbf{R} \times \mathbf{R}^{\infty}$$
(33)

with flip(x, z) := (z, x) and shift $(z, (z_1, z_2, ...)) := (z, z_1, z_2, ...)$. Said differently, the functor (32) is a composition of functors of Kan-enriched categories

$$\operatorname{Man}_{d}^{\otimes,\operatorname{act}}\longrightarrow \widetilde{\operatorname{Man}}_{d}^{\otimes}\longrightarrow (\operatorname{Man}_{d}^{\otimes})_{[1]},$$

where Man_d^{\otimes} has submanifolds $W \subset \mathbf{R} \times \mathbf{R} \times \mathbf{R}^{\infty}$ without boundary as objects and all embeddings between them as morphisms. The second functor sends W to s(W) on objects and is on morphisms

induced by conjugating embeddings $W \hookrightarrow W'$ with the diffeomorphisms $W \cong s(W)$ and $W' \cong s(W')$ induced by *s*. The first functor sends an object ((p), W) to $W \subset (p) \times \mathbf{R} \times \mathbf{R}^{\infty} \subset \mathbf{R} \times \mathbf{R} \times \mathbf{R}^{\infty}$ and is on morphism spaces (31) induced by the inclusion $\operatorname{Emb}(W, W')_{\varphi} \subset \operatorname{Emb}(W, W')$ of components.

Step 3. From $nc\mathscr{B}ord(d)^{nu}$ to the pre-Morita category of manifolds

The construction of the morphism E^{geo} : nc \mathscr{B} ord $(d)^{\text{nu}} \to \overline{\text{ALG}}(\mathscr{M}\text{an}_d)$ goes via the following substeps:

- (a) Set up preparatory language.
- (b) Replace the undercategories $\operatorname{Gap}_{(\bullet)/} \to \operatorname{Gap}$ by a simplicial thickening $\operatorname{Gap}_{(\bullet)/} \to \operatorname{Gap}$.
- (c) Construct a functor of semisimplicial objects in Kan-enriched categories

$$ncBord(d)^{nu}_{[\bullet]} \longrightarrow Fun_{Gap}(\underline{Gap}_{(\bullet)}), Man_d^{\otimes}).$$
(34)

(d) Argue that the resulting functor of semisimplicial ∞ -categories

$$\operatorname{nc}\mathscr{B}\operatorname{ord}(d)^{\operatorname{nu}} \longrightarrow \operatorname{Fun}_{\operatorname{Gap}}(\operatorname{Gap}_{(\bullet)}, \mathscr{M}\operatorname{an}_{d}^{\otimes})$$
(35)

lands in the levelwise full subcategory $\overline{\text{ALG}}(\mathscr{M}\text{an}_d) \subset \text{Fun}_{\text{Gap}}(\text{Gap}_{(\bullet)}, \mathscr{M}\text{an}_d^{\otimes})$.

Substep (a) I: walls and chambers

For a [p]-walled *d*-manifold (W, μ) as in Step 1, we define

wall
$$(W, \mu) \subset [p] \times \mathbf{R}^{\infty}$$
, $\operatorname{ch}(W, \mu) \subset (p) \times \mathbf{R} \times \mathbf{R}^{\infty}$, and $\operatorname{tch}(W, \mu) \subset (p) \times \mathbf{R} \times \mathbf{R}^{\infty}$,

the submanifolds of *walls*, *chambers* and *thickened chambers* of (W, μ) , as

$$\begin{split} \text{wall}(W,\mu) &\coloneqq \bigcup_{i \in [p]} \left(\{i\} \times W|_{\mu(i)}\right), \\ \text{ch}(W,\mu) &\coloneqq \bigcup_{i \in \{|\hat{p}|\}} \left(\{i\} \times W|_{[\mu(i-1)+\epsilon,\mu(i)-\epsilon]}\right), \\ \text{tch}(W,\mu) &\coloneqq \bigcup_{i \in \{|\hat{p}|\}} \left(\{i\} \times W|_{(\mu(i-1)+\frac{\epsilon}{2},\mu(i)-\frac{\epsilon}{2})}\right). \end{split}$$

There is an inclusion $ch(W, \mu) \subset tch(W, \mu)$ whose complement of the interior we abbreviate as

$$\operatorname{coll}(W,\mu) \coloneqq \operatorname{tch}(W,\mu) \setminus \operatorname{int}(\operatorname{ch}(W,\mu)) \subset (\not{p}) \times \mathbf{R} \times \mathbf{R}^{\infty}.$$

We call this the *collars* of (W, μ) . Informally, μ prescribes hyperplanes $\{\mu(i)\} \times \mathbf{R}^{\infty}$ intersecting W in the walls, the (thickened) chambers are (thickened) regions between the walls, and the collars are collar neighbourhoods in the thickened chambers; see Figure 3 for an example.

Given in addition a morphism $\alpha \in \text{Map}_{\text{Gap}}((p), (q))$, we define the submanifold

$$\operatorname{lab}_{\alpha}(W,\mu) \subset (\!\!| \mathring{q} \!\!|) \times \mathbf{R} \times \mathbf{R}^{\infty}$$

of *pieces labelled by* α as the union $\operatorname{lab}_{\alpha}(W, \mu) \coloneqq \bigcup_{i \in ([\mathring{q}])} \{i\} \times W|_{(\mu(t_{i-1}^{\alpha}) - \epsilon, \mu(t_{i}^{\alpha}) + \epsilon)}$, where we set $t_{i}^{\alpha} \coloneqq c^{-1}(\alpha)(i)$ using the isomorphism (13) and thinking of $([\mathring{q}]) = \{1 < \ldots < q\}$ as a subset of $[q] = \{0 < \ldots < q\}$. Informally, $\operatorname{lab}_{\alpha}(W, \mu)$ is the set $([\mathring{q}])$ labelled by chambers and thickened walls of W as prescribed by α ; see Figure 4 for an example.

Constructing the functor (34) will require us to describe embeddings out of $lab_{\alpha}(W, \mu)$, for which it is helpful to decompose this manifold into two parts as follows: the map $(\alpha \times id_{\mathbf{R} \times \mathbf{R}^{\infty}})$: $(p) \times \mathbf{R} \times \mathbf{R}^{\infty} \rightarrow (q) \times \mathbf{R} \times \mathbf{R}^{\infty}$ restricts to an embedding

$$\operatorname{tch}(W,\mu)|^{\alpha^{-1}(\mathring{q})} \longrightarrow \operatorname{lab}_{\alpha}(W,\mu)$$
(36)



Figure 3. A [1]-walled 1-manifold. Its walls wall(W, μ) are the 0-manifold indicated by the squares, its chambers ch(W, μ) are the thick region, its collars coll(W, μ) are the dotted regions, and its thickened chambers tch(W, μ) are the union of the chambers and the collars.



Figure 4. Given the [3]-walled 1-manifold (W, μ) of Figure 2 and the indicated morphism $\alpha : (3) \rightarrow (5)$, this shows the resulting $lab_{\alpha}(W, \mu)$. The following informal description may help: α tells which 'parts' of (3) to put in which 'box' of (5), and if a box is not hit by α , it contains a 'connecting part'. https://doi.org/10.1017/fmp.2024.25 Published online by Cambridge University Press



Figure 5. The submanifold wlab_{α}(W, μ) for lab_{α}(W, μ) as in Figure 4.

using which we define a submanifold

wlab_{$$\alpha$$}(W, μ) := lab _{α} (W, μ)\int(ch(W)| ^{$\alpha^{-1}(\hat{q})$}) $\subset (\hat{q}) \times \mathbf{R} \times \mathbf{R}^{\infty}$,

of *thickened walls labelled by* α ; see Figure 5 for an example. We have a preferred decomposition

$$\operatorname{lab}_{\alpha}(W,\mu) \cong \operatorname{ch}(W)|^{\alpha^{-1}(\mathring{q})} \cup_{\partial} \operatorname{wlab}_{\alpha}(W,\mu), \tag{37}$$

where the gluing uses the identification $\partial(\operatorname{ch}(W,\mu)|^{\alpha^{-1}(\mathring{q})}) \cong \partial(\operatorname{wlab}_{\alpha}(W,\mu))$ induced by the restriction of (36) to the boundary $\partial(\operatorname{ch}(W,\mu)|^{\alpha^{-1}(\mathring{q})})$. The restriction

$$c^{\alpha}_{(W,\mu)} \colon \operatorname{coll}(W,\mu)|^{\alpha^{-1}(\mathring{q})} \hookrightarrow \operatorname{wlab}_{\alpha}(W,\mu)$$
(38)

of (36) to $\operatorname{coll}(W, \mu)|^{\alpha^{-1}(\hat{q})}$ provides a collar of this boundary.

Substep (a) II: wlab $_{\alpha}(-)$ as a pullback

Unwrapping the definitions, one sees that wlab_{α}(W, μ) \subset $(|\dot{q}|) \times \mathbf{R} \times \mathbf{R}^{\infty}$ is a disjoint union of products of $W|_{\mu(i)}$ for some *i* with a (open, half-open or closed) interval of length 2 · ϵ . More precisely, for $i \in (|\dot{q}|)$, the components wlab_{α}(W, μ)|^{i} of wlab_{α}(W, μ) lying over *i* are tr_{$\mu(t_i^{\alpha})$}($-\epsilon$, $+\epsilon$) × $W|_{\mu(t_i^{\alpha})}$ for $i \notin im(\alpha)$, and they are

$$\left(\mathrm{tr}_{\mu(t_{i-1}^{\alpha})}(-\epsilon,+\epsilon] \times W|_{\mu(t_{i-1}^{\alpha})}\right) \cup \left(\bigcup_{t_{i-1}^{\alpha} < j < t_{i}^{\alpha}}(\mathrm{tr}_{\mu(j)}[-\epsilon,\epsilon] \times W|_{\mu(j)})\right) \cup \left(\mathrm{tr}_{\mu(t_{i}^{\alpha})}[-\epsilon,+\epsilon) \times W|_{\mu(t_{i}^{\alpha})}\right)$$



Figure 6. The pullback decomposition (39) for one of the part of wlab_{α}(W, μ) from Figure 5. Note that wall(W, μ) and [3] are larger than pictured; we only included the parts relevant for this pullback.

for $i \in im(\alpha)$. From this description, we see in particular that there are preferred maps

$$\operatorname{wlab}_{\alpha}(W,\mu) \to [p], \quad \operatorname{wlab}_{\alpha}(W,\mu) \to \operatorname{wall}(W,\mu), \quad \text{and} \quad \operatorname{wlab}_{\alpha}(W,\mu) \to \operatorname{wlab}_{\alpha}(\mathbf{R},\mu),$$

where we view (\mathbf{R}, μ) as a [p]-walled 1-manifold. Indeed, the first map is given by sending the components of wlab_{α} (W, μ) whose first factor is an interval around $\mu(t_i^{\alpha}) \in \mathbf{R}$ to $t_i^{\alpha} \in [p]$, the second map is induced by the first map and the projection to \mathbf{R}^{∞} , and the final map is given by the projection to $(\mathring{q}) \times \mathbf{R}$. In particular, this exhibits wlab_{$\alpha}(W, \mu)$ as the pullback</sub>

$$wlab_{\alpha}(W,\mu) = wlab_{\alpha}(\mathbf{R},\mu) \times_{[p]} wall(W,\mu),$$
(39)

which will be useful to construct embeddings out of wlab_{α}(W, μ); see Figure 6 for an example.

It will also be useful to observe that wlab_{α}(**R**, μ) is related to wlab_{α}(**R**, μ') for possibly different μ' : $[p] \rightarrow \mathbf{R}$ by a preferred diffeomorphism

$$\operatorname{wlab}_{\alpha}(\mathbf{R},\mu) \cong \operatorname{wlab}_{\alpha}(\mathbf{R},\mu'),$$
(40)

uniquely characterised by requiring it to (i) preserve the order induced by the lexicographical order on $(|\dot{q}|) \times \mathbf{R}$ and (ii) agree with translation on each component. For convenience, we fix a particular choice of μ – namely, the inclusion $[p] = \{0, 1, ..., p\} \subset \mathbf{R}$ in which case we omit μ from the notation, so for instance, we abbreviate wlab_{α}(\mathbf{R}) = wlab_{α}(\mathbf{R} , inc).

Substep (b): Thickening

As a next step, we replace the undercategory functor

$$\operatorname{Gap}_{(\bullet)}: \operatorname{Gap}^{\operatorname{op}} \longrightarrow \operatorname{sCat}_{/\operatorname{Gap}}$$
(41)

by a simplicial thickening after precomposition with the inclusion $\text{Gap}_{sur}^{op} \rightarrow \text{Gap}^{op}$ of (the opposite of) the wide subcategory of surjective morphisms. By 'simplicial thickening', we mean a functor whose

values need no longer be discrete categories and which comes with a natural transformation to $\operatorname{Gap}_{(\bullet)/}$ that is a levelwise Dwyer–Kan equivalence.

We first define a Kan-enriched category $\underline{\text{Gap}}_{(p)/}$ that is Dwyer-Kan equivalent to $\underline{\text{Gap}}_{(p)/}$. Its objects are the same as those of $\underline{\text{Gap}}_{(p)/}$ – that is, morphisms $\alpha : (p) \to (q)$ in Gap. The space of morphisms from the object $\alpha : (p) \to (q)$ to the object $\alpha' : (p) \to (q')$ is

$$\operatorname{Map}_{\underline{\operatorname{Gap}}_{\left(p\,\right)/}}(\alpha,\alpha') \coloneqq \bigsqcup_{\gamma \in \operatorname{Map}_{\operatorname{Gap}_{\left(p\,\right)/}}(\alpha,\alpha')} \operatorname{Emb}\left(\operatorname{wlab}_{\alpha}(\mathbf{R})|^{\gamma^{-1}\left(\tilde{q'}\right)}, \operatorname{wlab}_{\alpha'}(\mathbf{R})\right)_{\gamma},$$

where the subscript γ indicates that we restrict to embeddings $\overline{\gamma}$ that

(i) make the diagrams

$$\begin{aligned} \operatorname{wlab}_{\alpha}(\mathbf{R})|^{\gamma^{-1}(\langle q' \rangle)} & \xrightarrow{\overline{\gamma}} \operatorname{wlab}_{\alpha'}(\mathbf{R}) & \operatorname{wlab}_{\alpha}(\mathbf{R})|^{\gamma^{-1}(\langle q' \rangle)} & \xrightarrow{\overline{\gamma}} \operatorname{wlab}_{\alpha'}(\mathbf{R}) \\ & \downarrow & \downarrow & & \\ \gamma^{-1}(\langle q' \rangle) & \xrightarrow{\gamma} \langle q' \rangle & & \operatorname{coll}(\mathbf{R})|^{\alpha'^{-1}(\langle q \rangle)} \end{aligned}$$

commute (i.e., they cover γ and preserve the collars (38)), and

(ii) are order-preserving with respect to lexicographical order on $(|\dot{q}|) \times \mathbf{R}$ and $(|\dot{q'}|) \times \mathbf{R}$.

The composition in $\underline{\text{Gap}}_{(p)/}$ is induced by the composition in $\underline{\text{Gap}}_{(p)/}$, forgetting components, and composition of embeddings. By construction, there is a forgetful functor $\underline{\text{Gap}}_{(p)/} \to \underline{\text{Gap}}_{(p)/}$ which is a Dwyer–Kan equivalence as a result of the contractibility of the space of monotonous embeddings between connected intervals. Postcomposing this functor with the projection $\underline{\text{Gap}}_{(p)/} \to \underline{\text{Gap}}_{(p)/} \to \underline{\text{Gap}}_{(p)/}$ and varying *p*, we obtain a functor

$$\underline{\operatorname{Gap}}_{(\bullet)/}:\operatorname{Gap}_{\operatorname{sur}}^{\operatorname{op}}\longrightarrow\operatorname{sCat}_{/\operatorname{Gap}}$$

with a natural transformation to (41) that consists of the Dwyer-Kan equivalences just discussed.

Substep (c): E^{geo} on the level of Kan-enriched categories

We now turn towards the construction of a functor of semisimplicial Kan-enriched categories

$$E_{[\bullet]}^{\text{geo}}: \operatorname{ncBord}(d)_{[\bullet]}^{\operatorname{nu}} \longrightarrow \operatorname{Fun}_{\operatorname{Gap}}(\underline{\operatorname{Gap}}_{(\bullet)/}, \operatorname{Man}_{d}^{\otimes}).$$
(42)

The value of $E_{[p]}^{\text{geo}}$ at $(W, \mu) \in (\text{ncBord}(d)^{\text{nu}})_{[p]}$ is the functor

$$E_{[p]}^{\text{geo}}(W,\mu): \underline{\operatorname{Gap}}_{(p)/} \longrightarrow \operatorname{Man}_{d}^{\otimes}$$

$$\tag{43}$$

over Gap defined as follows: on objects, it maps $(\alpha : (p) \to (q))$ to $((q), lab_{\alpha}(W, \mu))$. On a morphism given by a pair $(\gamma, \overline{\gamma})$ of a morphism $\gamma : (q) \to (q')$ under (p) in Gap and an embedding $\overline{\gamma} \in \text{Emb}(\text{wlab}_{\alpha}(\mathbf{R})|^{\gamma^{-1}}(\hat{q'})$, wlab $_{\alpha'}(\mathbf{R}))_{\gamma}$, it is given by the embedding

$$E_{[p]}^{\text{geo}}(W,\mu)(\overline{\gamma}) \colon \text{lab}_{\alpha}(W,\mu)|^{\gamma^{-1}(\mathring{q}')} \hookrightarrow \text{lab}_{\alpha'}(W,\mu)$$

over γ constructed via the following recipe: using the decomposition (37) and $\alpha^{-1}(\gamma^{-1}(\hat{q'})) = \alpha'^{-1}(\hat{q'})$, the embedding $E_{[p]}^{\text{geo}}(W,\mu)(\overline{\gamma})$ is of the form

$$\mathrm{ch}(W,\mu)|^{\alpha'^{-1}(\mathring{q'})} \cup_{\partial} \mathrm{wlab}_{\alpha}(W,\mu)|^{\gamma^{-1}(\mathring{q'})} \hookrightarrow \mathrm{ch}(W,\mu)|^{\alpha'^{-1}(\mathring{q'})} \cup_{\partial} \mathrm{wlab}_{\alpha'}(W,\mu)$$

On ch $(W, \mu)|^{\alpha'^{-1}(\hat{q}')}$, we declare it to be the identity, and on the complement, we use the pullback description (39) and the translations (40) to define it via the commutative diagram

This finishes the construction of the functor $E_{[p]}^{\text{geo}}(W,\mu): \underline{\operatorname{Gap}}_{(p)/} \to \operatorname{Man}_{d}^{\otimes}$. Note that it commutes with the functors to Gap by construction.

Having defined $E_{[p]}^{\text{geo}}$ on objects, defining it on morphisms amounts to specifying maps

$$\operatorname{Emb}((W,\mu),(W',\mu')) \downarrow$$

$$\operatorname{Nat}_{\operatorname{Gap}}(E_{[p]}^{\operatorname{geo}}(W,\mu),E_{[p]}^{\operatorname{geo}}(W',\mu')) \subset \bigsqcup_{q,\alpha \in \operatorname{Map}_{\operatorname{Gap}}(\P_p), \P_q)} \operatorname{Emb}(\operatorname{lab}_{\alpha}(W,\mu),\operatorname{lab}_{\alpha}(W',\mu')),$$

where $\operatorname{Nat}_{\operatorname{Gap}}(-, -)$ is the hom-functor in the Kan-enriched category $\operatorname{Fun}_{\operatorname{Gap}}(\operatorname{Gap}_{(p)/}, \operatorname{Man}_d^{\otimes})$ (i.e., the space of natural transformations covering the identity on Gap). These maps are induced by the evident naturality of the lab_{α}(-)-construction in embeddings of [*p*]-walled *d*-manifolds.

To finish the construction of (42), we have to argue that the $E_{[p]}^{\text{geo}}$'s assemble to a morphism of semisimplicial objects in Kan-enriched categories as in (42). But this is merely a case of going through the definitions; ultimately, it amounts to the identity $lab_{\beta \circ c(\delta)}(W, \mu) = lab_{\beta}(W, \mu \circ \delta)$.

Substep (d): E^{geo} on the level of ∞ -categories

Taking coherent nerves, we obtain

$$\mathcal{G}ap_{(p)/} \coloneqq N_{\operatorname{coh}}(\underline{\operatorname{Gap}}_{(\bullet)/}) \in \operatorname{Fun}(\Delta_{\operatorname{inj}}^{\operatorname{op}}, \mathscr{C}at_{\infty}),$$

which comes with an equivalence to $\operatorname{Gap}_{(\bullet)/} \cong \Delta^{\operatorname{op}}_{/[\bullet]}$ induced by the equivalence $\operatorname{Gap}_{(\bullet)/} \cong \operatorname{Gap}_{(\bullet)/}$ from Substep (b). From $E^{\operatorname{geo}}_{[\bullet]}$, we obtain a morphism of semisimplicial objects in $\mathscr{C}\operatorname{at}_{\infty}$

$$\operatorname{nc}\mathscr{B}\operatorname{ord}(d)^{\operatorname{nu}} \longrightarrow \operatorname{Fun}_{\operatorname{Gap}}(\underline{\mathscr{G}ap}_{(\bullet)/}, \mathscr{M}\operatorname{an}_{d}^{\otimes}) \simeq \operatorname{Fun}_{\Delta^{\operatorname{op}}}(\Delta^{\operatorname{op}}_{/[\bullet]}, \mathscr{M}\operatorname{an}_{d}^{\otimes})$$
(44)

given by postcomposing the coherent nerve applied to (42) with the canonical map

$$N_{\rm coh}\big({\rm Fun}_{\rm Gap}(\underline{{\rm Gap}}_{(\bullet)/},{\rm Man}_d^{\otimes})\big)\longrightarrow {\rm Fun}_{\rm Gap}(\underline{\mathscr{G}ap}_{(\bullet)/},\mathscr{M}an_d^{\otimes})\simeq {\rm Fun}_{\Delta^{\rm op}}(\Delta_{/[\bullet]}^{\rm op},\mathscr{M}an_d^{\otimes}),$$

from Property (v) of Section 2.2.

Lemma 3.5. The image of the functor (44) lies in the levelwise full subcategory $\overline{\text{ALG}}(\mathcal{M}an_d) \subset \operatorname{Fun}_{\Delta^{\operatorname{op}}}(\Delta^{\operatorname{op}}_{/1\bullet 1}, \mathcal{M}an_d^{\otimes})$ from Section 2.9.1.

Proof. In view of Remark 2.2, it suffices to show that for a [p]-walled manifold (W, μ) , and objects $\alpha : (p) \to (q)$ and $\alpha' : (p) \to (q')$, the functor $E_{[p]}^{\text{geo}}(W, \mu) : \underline{\text{Gap}}_{(p)/} \to \text{Man}_d^{\otimes}$ of Kan-enriched categories sends embeddings $\overline{\gamma} \in \text{Map}_{\underline{\text{Gap}}_{(p)/}}(\alpha, \alpha')$ whose underlying map $\gamma : (q) \to (q')$ is inert to cocartesian morphisms in Man_d^{\otimes} with respect to the projection $\text{Man}_d^{\otimes} \to \text{Gap}$. In other words, for objects $(Z, (q'')) \in \text{Man}_d^{\otimes}$, we need to check that the square of Kan-complexes

$$\begin{split} \operatorname{Map}_{\operatorname{Man}_{d}^{\otimes}} & \left((\left\{q'\right\}, \operatorname{lab}_{\alpha'}(W, \mu)), (\left\{q''\right\}, Z) \right) \xrightarrow{E_{\left[p\right]}^{\operatorname{gco}}(W, \mu)(\overline{\gamma})^{*}} \operatorname{Map}_{\operatorname{Man}_{d}^{\otimes}} \left((\left\{q\right\}, \operatorname{lab}_{\alpha}(W, \mu)), (\left\{q''\right\}, Z) \right) \\ & \sqcup \\ & \sqcup \\ \varphi \in \operatorname{Map}_{\operatorname{Gap}}(\left\{q'\right\}, \left\{q''\right\}) \xrightarrow{\operatorname{Emb}} \left(\operatorname{lab}_{\alpha'}(W, \mu) \right|^{\varphi^{-1}\left(\left\{q''\right\}}, Z)_{\varphi} & \sqcup \\ & \psi \in \operatorname{Map}_{\operatorname{Gap}}(\left\{q\right\}, \left\{q''\right\}) \xrightarrow{\operatorname{Emb}} \left(\operatorname{lab}_{\alpha}(W, \mu) \right|^{\psi^{-1}\left(\left\{q''\right\}}, Z)_{\psi} \\ & \downarrow \\ & \downarrow \\ & \operatorname{Map}_{\operatorname{Gap}}(\left\{q'\right\}, \left\{q''\right\}) \xrightarrow{\gamma^{*}} \operatorname{Map}_{\operatorname{Gap}}(\left\{q\right\}, \left\{q''\right\}) \xrightarrow{\gamma^{*}} \operatorname{Map}_{\operatorname{Gap}}(\left\{q\right\}, \left\{q''\right\}) \end{split}$$

is homotopy cartesian. Taking vertical homotopy fibres, it suffices to show that the maps

$$E_p^{\text{geo}}(W,\mu)(\overline{\gamma})^* \colon \text{Emb}\big(\text{lab}_{\alpha'}(W,\mu)|^{\varphi^{-1}(\!\!|\hspace{0.1em}\mathring{q}''|\!\!|},Z)_{\varphi} \longrightarrow \text{Emb}\big(\text{lab}_{\alpha}(W,\mu)|^{(\varphi\circ\gamma)^{-1}(\!\!|\hspace{0.1em}\mathring{q}''|\!\!|},Z)_{\varphi\circ\gamma}$$

are weak equivalences. Since γ is inert, the restricted map $\gamma^{-1}([\mathring{q}']) \to ([\mathring{q}'])$ is bijective, so it suffices to show that the embedding $E_{[p]}^{\text{geo}}(W,\mu)(\overline{\gamma})^*$: $|ab_{\alpha}(W,\mu)|^{\gamma^{-1}}([\mathring{q}']) \hookrightarrow |ab_{\alpha'}(W,\mu)|$ is an isotopy equivalence over γ . To see this, note that since $\gamma^{-1}([\mathring{q}']) \to ([\mathring{q}'])$ is bijective, the embedding $\overline{\gamma}$: wlab_{α}(**R**) $|^{\gamma^{-1}}([\mathring{q}']) \hookrightarrow$ wlab_{$\alpha'}($ **R** $) is an isotopy equivalence over <math>\gamma$ and under coll(**R**) $|^{\alpha'^{-1}}([\mathring{q}'])$, from which it follows that $E_{[p]}^{\text{geo}}(W,\mu)(\overline{\gamma})$ is an isotopy equivalence over γ as claimed.</sub>

By the previous lemma, (44) restricts to a morphism E^{geo} : nc \mathscr{B} ord $(d)^{\text{nu}} \to \overline{\text{ALG}}(\mathscr{M}an_d)$ of semisimplicial ∞ -categories. This completes Step ③.

Step ④. Composite algebras

We now consider the composition

$$\overline{E}: \operatorname{nc}\mathscr{B}\operatorname{ord}(d)^{\operatorname{nu}} \xrightarrow{E^{\operatorname{geo}}} \overline{\operatorname{ALG}}(\mathscr{M}\operatorname{an}_d) \xrightarrow{y_*} \overline{\operatorname{ALG}}(\operatorname{PSh}(\mathscr{M}\operatorname{an}_d)) \xrightarrow{\iota^*} \overline{\operatorname{ALG}}(\operatorname{PSh}(\mathscr{D}\operatorname{isc}_d)).$$
(45)

Here, E^{geo} is the functor from the previous step, y_* is induced by the (monoidal) Yoneda embedding $y: \mathcal{M}an_d \to PSh(\mathcal{M}an_d)$ (see Section 2.6), and ι^* is the functor induced by the lax monoidal functor $PSh(\mathcal{M}an_d) \to PSh(\mathfrak{D}isc_d)$ which is itself induced by the inclusion $\iota: \mathfrak{D}isc_d \hookrightarrow \mathcal{M}an_d$ of the full subcategory spanned by manifolds diffeomorphic to $T \times \mathbf{R}^d$ for finite sets T with monoidal structure inherited from $\mathcal{M}an$. By the properties of presheaf categories discussed in Section 2.6, the monoidal category $PSh(\mathfrak{D}isc_d)$ has good relative tensor products in the sense of Section 2.9.2, so it makes sense to ask whether (45) lands in the levelwise full subcategory $ALG(PSh(\mathfrak{D}isc_d)) \subset \overline{ALG}(PSh(\mathfrak{D}isc_d))$ of Section 2.9.2. This section serves to prove this:

Proposition 3.6. The functor \overline{E} from (45) factors through $ALG(PSh(\mathscr{D}isc_d)) \subset \overline{ALG}(PSh(\mathscr{D}isc_d))$.

We will first explain how Proposition 3.6 follows from a seemingly different result and then prove that other result. The argument involves a simplicial thickening

$$\underline{\operatorname{Gap}}_{\operatorname{sur}}^{\triangleright} \xrightarrow{\simeq} \operatorname{Gap}_{\operatorname{sur}}^{\triangleright}$$

of the right-cone $\text{Gap}_{sur}^{\triangleright}$ of the category Gap_{sur} (the category obtained by freely adding a terminal object $\infty \in \text{Gap}_{sur}^{\triangleright}$) in terms of the manifolds

$$\|a\|^* \coloneqq L \times [-\epsilon, \epsilon) \cup \|a\| \times (-\epsilon, \epsilon) \cup R \times (-\epsilon, \epsilon] \subset \|a\| \times \mathbf{R} \quad \text{and} \quad \|\infty\|^* \coloneqq [-\epsilon, \epsilon] \subset \mathbf{R},$$

where $a \ge 0$. The objects of $\operatorname{Gap}_{\operatorname{sur}}^{\triangleright}$ are the same as those of $\operatorname{Gap}_{\operatorname{sur}}^{\triangleright}$. The space of morphisms $(a) \to (b)$ between objects of $\operatorname{Gap}_{\operatorname{sur}}^{\triangleright} \subset \operatorname{Gap}_{\operatorname{sur}}^{\triangleright}$ is defined as

$$\operatorname{Map}_{\operatorname{Gap}_{\operatorname{Sur}}^{\triangleright}}((a), (b)) \coloneqq \bigsqcup_{\gamma \in \operatorname{Map}_{\operatorname{Gap}_{\operatorname{sur}}}((a), (b))} \operatorname{Emb}((a)^{*}, (b)^{*})_{\gamma},$$

where the subscript $(-)_{\gamma}$ indicates we restrict to embeddings $\overline{\gamma}$ that cover γ , are the identity on $L \times [-\epsilon, -\frac{\epsilon}{2}) \cup R \times (\frac{\epsilon}{2}, \epsilon]$ and preserve the lexicographic order inherited from $(|a|) \times \mathbf{R}$ and $(|b|) \times \mathbf{R}$. Finally, the space of morphisms $(|a|) \to (\infty)$ is defined as

$$\operatorname{Map}_{\operatorname{\mathsf{Gap}}_{\operatorname{Sur}}^{\triangleright}}((a), (\infty)) \coloneqq \operatorname{Emb}((a)^{*}, (\infty)^{*})_{\infty},$$

where the subscript $(-)_{\infty}$ indicates that we restrict to embeddings $\overline{\gamma}$ that agree on $L \times [-\epsilon, -\frac{\epsilon}{2}) \cup R \times (\frac{\epsilon}{2}, \epsilon]$ with the projection to the second coordinate and preserve the lexicographical order inherited from $(a) \times \mathbf{R}$ and **R**. The space of morphisms $(\infty) \to (\infty)$ is the space of self-embeddings of $(\infty)^* = [-\epsilon, \epsilon]$ that agree with the identity on the complement of $[-\frac{\epsilon}{2}, \frac{\epsilon}{2}]$. This category admits an evident functor to $\operatorname{Gap}_{\operatorname{sur}}^{\triangleright}$ which is an equivalence as a result of the contractibility of the space of order-preserving embeddings between intervals.

Convention. In what follows, we occasionally omit the choices of embeddings of manifolds into Euclidean spaces for brevity. For instance, we treat $Man_d = (Man_d^{\otimes})_{[1]}$ from Section Step 2 as the Kan-enriched category of abstract smooth *d*-manifolds and codimension 0 embeddings.

Given a (possibly noncompact) *d*-manifold without boundary *V* equipped with *k* disjoint codimension 1 submanifolds $V_i \subset V$ that are topologically closed in *V* as a subspace, equipped with disjoint bicollars $[-\epsilon, \epsilon] \times V_i \subset V$, we construct a simplicially enriched functor

 $V(-): \underline{\operatorname{Gap}}_{\operatorname{sur}}^{\triangleright} \longrightarrow \operatorname{Man}_{d},$

which on objects, sends (∞) to $V(\infty) := V$ and $(a) \in \text{Gap}_{sur}$ to

$$V(\!\!|a|\!\!) \coloneqq V^* \sqcup \big(\bigsqcup_{i=1}^k (\!\!|a|\!\!) \times (-\epsilon, \epsilon) \times V_i\big),$$

where V^* is the manifold obtained from V by cutting out $\bigcup_{i=1}^k \left[-\frac{\epsilon}{2}, \frac{\epsilon}{2}\right] \times V_i$ and extending the resulting collars $\left[-\epsilon, -\frac{\epsilon}{2}\right) \times V_i \sqcup \left(\frac{\epsilon}{2}, \epsilon\right] \times V_i$ to collars $\left[-\epsilon, \epsilon\right) \times V_i \sqcup \left(-\epsilon, \epsilon\right] \times V_i$. Given a morphism $\overline{\gamma} \colon (a) \to (b)$, there is an embedding $V(a) \hookrightarrow V(b)$ that is the identity of V^* outside the extended collars and agrees on the remaining part with $\overline{\gamma} \times \operatorname{id}_{V_i}$. Finally, for $(a) \to (\infty)$ or $(\infty) \to (\infty)$, one defines embeddings $V(a) \hookrightarrow V(\infty)$ or $V(\infty) \hookrightarrow V(\infty)$ in the same manner.

Writing $\operatorname{Gap}_{\operatorname{sur}} \subset \operatorname{Gap}_{\operatorname{sur}}^{\triangleright}$ for the full subcategory covering the inclusion $\operatorname{Gap}_{\operatorname{sur}} \subset \operatorname{Gap}_{\operatorname{sur}}^{\triangleright}$, Proposition 3.6 will be a consequence of the following proposition involving homotopy colimits in the Kan–Quillen model structure on S.

Proposition 3.7. For a manifold D diffeomorphic to $T \times \mathbf{R}^d$ for a finite set T, the map

 $\operatorname{hocolim}_{\operatorname{\mathsf{Gap}}_{\operatorname{sur}}}\operatorname{Emb}(D,V(\![-]\!]) \longrightarrow \operatorname{hocolim}_{\operatorname{\mathsf{Gap}}_{\operatorname{sur}}^{\succ}}\operatorname{Emb}(D,V(\![-]\!]) \simeq \operatorname{Emb}(D,V(\![\infty]\!])$

induced by the inclusion $\operatorname{Gap}_{\operatorname{sur}}^{\triangleright} \subset \operatorname{Gap}_{\operatorname{sur}}^{\triangleright}$ is an equivalence.

We postpone the proof to the next subsection and first explain how it implies Proposition 3.6.
Proof of Proposition 3.6. Consulting the definition of the Morita category, we have to show that the image of any object $(W, \mu) \in \operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{[p]}^{\operatorname{nu}}$ in $\overline{\operatorname{ALG}}(\operatorname{PSh}(\mathscr{D}\operatorname{isc}_d))_{[p]}$ is composite in the sense of Section 2.9.2. By Corollary 2.25, this is equivalent to proving that for each $\alpha \in \Delta_{/[p]}^{\operatorname{op}}$,

$$(\Delta_{\text{inj}}^{\text{op}})^{\triangleright} \xrightarrow{\eta^{\alpha}} \Delta_{/[p]}^{\text{act,op}} \xrightarrow{E_{[p]}^{\text{geo}}(W,\mu)} \mathscr{M}\text{an}_{d}^{\otimes,\text{act}} \xrightarrow{(-)!} \mathscr{M}\text{an}_{d}$$
(46)

becomes a colimit diagram when postcomposed with $(\iota^* \circ y) : \mathscr{M}an_d \to PSh(\mathscr{D}isc_d)$. We first make the composition (46) more explicit. Recall from Step 3 (c) that

$$E_{[p]}^{\text{geo}}(W,\mu) \in \overline{\text{ALG}}(\mathscr{M}\text{an}_d)_{[p]} \subset \text{Fun}_{\Delta^{\text{op}}}(\Delta_{/[p]}^{\text{op}}, \mathscr{M}\text{an}_d^{\otimes})$$

was obtained from a functor between simplicially enriched categories

$$E_{[p]}^{\text{geo}}(W,\mu): \underline{\operatorname{Gap}}_{(p)/} \longrightarrow \operatorname{Man}_{d}^{\otimes}$$

$$\tag{47}$$

by taking coherent nerves and using the equivalence $\underline{\text{Gap}}_{(p)/} \simeq \text{Gap}_{(p)/} \cong \Delta_{/[p]}^{\text{op}}$ from Step (3) (b). We now give a similar description of the composition (46) as a simplicially enriched functor using a simplicial functor to the full subcategory $\underline{\text{Gap}}_{(p)/}^{\text{act}} \subset \underline{\text{Gap}}_{(p)/}$ covering $\text{Gap}_{(p)/}^{\text{act}} \subset \text{Gap}_{(p)/}$

$$\underline{\eta^{\alpha}}: \underline{\operatorname{Gap}}_{\operatorname{sur}}^{\triangleright} \longrightarrow \underline{\operatorname{Gap}}_{(p)}^{\operatorname{act}}$$

to the pullback $\underline{\operatorname{Gap}}_{(p)/}^{\operatorname{act}}$ of $\underline{\operatorname{Gap}}_{(p)/}^{\operatorname{act}}$ along $\operatorname{Gap}_{(p)/}^{\operatorname{act}} \subset \operatorname{Gap}_{(p)/}^{\operatorname{act}}$. The functor $\underline{\eta}^{\alpha}$ will make

commutative where η^{α} is the functor from Section 2.9.3. The construction involves the notation of Construction 2.22 $(k_{\alpha}, \alpha_1^{\vec{a}}, n_i, \text{ etc.})$ and the discussion preceding Corollary 2.25. On objects, η^{α} is determined by η^{α} . On morphisms, it sends $\overline{\gamma} : (a)^* \hookrightarrow (b)^*$ to the right-hand embedding in a commutative square of embeddings (here $\vec{a} = (a, ..., a)$ and $\vec{b} = (b, ..., b)$)

The *i*th component of the upper horizontal map is the embedding

$$(\![a]\!] \times \mathbf{R} \supset (\![a]\!]^* \hookrightarrow \operatorname{wlab}_{\alpha_1^{\vec{a}}}(\mathbf{R}) \subset (\![k_{\alpha}^{\vec{a}}]\!] \times \mathbf{R}$$

that is the unique inclusion of components that preserves the lexicographic order inherited from $(a) \times \mathbf{R}$ and $(k_{\alpha}^{\vec{a}}) \times \mathbf{R}$ and covers the map $(a) \to (k_{\alpha}^{\vec{a}})$ given by the sequence $\alpha_1^{\vec{a}}(n_i) < \alpha_1^{\vec{a}}(n_i) + 1 < \ldots < \alpha_1^{\vec{a}}(n_i) + a < \alpha_1^{\vec{a}}(n_i + 1)$ (note that this is *not* a morphism in Gap as it does not preserve the endpoints). The bottom horizontal embedding is defined in the same way, and the right-hand embedding is defined to agree with $\overline{\gamma}$ on the components hit by the horizontal embedding and on the complement as the unique inclusion of components that covers the map $\eta^{\alpha}(\gamma) : (|k_{\alpha}^{\vec{a}}|) \to (|k_{\alpha}^{\vec{b}}|)$ and preserves the lexicographic order. Similarly, $\underline{\eta}^{\alpha}$ sends a morphism in $\underline{\text{Gap}}_{\text{sur}}^{\triangleright}$ given by an embedding $\overline{\gamma} : (|a|)^* \hookrightarrow (|\infty|)^*$ to the right-hand embedding in the square

where the top horizontal embedding is the same as before, and the bottom embedding includes the *i*th copy of $(\infty)^* = [-\epsilon, \epsilon]$ as the unique $[-\epsilon, \epsilon]$ -component in wlab_{α}(**R**) that maps to $\alpha(n_i) \in (q)$ under the projection (using the notation from Construction 2.22) and to $n_i \in (p \stackrel{\circ}{-} 1) = \{1, \ldots, p - 1\} \subset [p]$ under the map wlab_{α}(**R**) $\rightarrow [p]$ from **Step** ③ (a) II. The right vertical embedding is defined via the left vertical one on the components hit by the horizontal map and as the unique inclusion of components that cover the map $\gamma_{\vec{a}} : (k_{\alpha}^{\vec{a}}) \rightarrow (q)$ and preserve the lexicographic order on $(k_{\alpha}^{\vec{a}}) \times \mathbf{R}$ and $(q) \times \mathbf{R}$.

By construction, the composition (46) is equivalent to the coherent nerve of the composition

$$\underline{\operatorname{Gap}}_{\operatorname{sur}}^{\triangleright} \xrightarrow{\underline{\eta}^{\alpha}} \underline{\operatorname{Gap}}_{(p)/}^{\operatorname{act}} \xrightarrow{E_{p}^{\operatorname{geo}}(W,\mu)} \operatorname{Man}_{d}^{\otimes,\operatorname{act}} \xrightarrow{(-)!} \operatorname{Man}_{d}, \tag{48}$$

where $(-)_{!}$ is the simplicial 'disjoint unions'-functor of (32). Tracing through the definitions, one checks that this functor agrees up to equivalence with the functor V([-) for the manifold $V = lab_{\alpha}(W, \mu)_{!}$ with the k_{α} different bicollared submanifolds $[-\epsilon, \epsilon] \times W_{\mu(j)} \cong W_{[\mu(j)-\epsilon,\mu(j)+\epsilon]} \subset lab_{\alpha}(W,\mu)_{!}$ for $j \in (p - 1)$ with $\alpha(j) \in (|\dot{q}|)$ and $\alpha(j) = \alpha(j + 1)$. Using that a diagram $A : K^{\rhd} \to \mathcal{C}$ is a colimit diagram if and only if the natural map $colim_{K}A \to colim_{K^{\rhd}}A$ is an equivalence, this implies that it suffices to show that the colimit

$$\operatorname{colim}_{N_{\operatorname{coh}}(\underline{\operatorname{Gap}}_{\operatorname{sur}}^{\triangleright})}\left(N_{\operatorname{coh}}(\underline{\operatorname{Gap}}_{\operatorname{sur}}^{\triangleright})) \xrightarrow{N_{\operatorname{coh}}(V([-]))} N_{\operatorname{coh}}(\operatorname{Man}_{d}) \xrightarrow{y} \operatorname{PSh}(\mathscr{M}\operatorname{an}_{d}) \xrightarrow{\iota^{*}} \operatorname{PSh}(\mathscr{D}\operatorname{isc}_{d})\right)$$

is unaffected by precomposing the diagram with the functor $N_{coh}(\underline{Gap}_{sur}) \rightarrow N_{coh}(\underline{Gap}_{sur}^{\triangleright})$ induced by inclusion. Using that (i) equivalences in functor categories are detected objectwise, (ii) colimits in functor categories commute with evaluation at a fixed object $D \in \mathscr{D}$ isc_d [Lur09a, 5.1.2.3], and (iii) the compatibility of the simplicial and ∞ -categorical Yoneda embedding (see Remark 2.8), we see that it is enough to show that the colimit

$$\operatorname{colim}_{N_{\operatorname{coh}}(\underline{\operatorname{Gap}}_{\operatorname{sur}}^{\triangleright})}\left(N_{\operatorname{coh}}(\underline{\operatorname{Gap}}_{\operatorname{sur}}^{\triangleright})\xrightarrow{N_{\operatorname{coh}}(\operatorname{ev}_{D}\circ y_{s}\circ V(-))}N_{\operatorname{coh}}(\operatorname{Kan})\right)$$

is unaffected by precomposing the diagram with $N_{\text{coh}}(\underline{\text{Gap}_{\text{sur}}}) \rightarrow N_{\text{coh}}(\underline{\text{Gap}_{\text{sur}}})$ for each object $D \in \mathscr{D}\text{isc}_d$ where $y_s \colon \text{Man}_d \rightarrow \text{Fun}(\text{Man}_d, \text{Kan})$ is the simplicial Yoneda embedding of the Kanenriched category Man_d. Using that model category-theoretic homotopy colimits are compatible with ∞ -categorical colimits [Lur09a, 4.2.4.1], the claim reduces to showing that the natural map between homotopy colimits in the Kan–Quillen model structure

$$\operatorname{hocolim}_{\underline{\operatorname{Gap}}_{\operatorname{sur}}}(\underline{\operatorname{Gap}}_{\operatorname{sur}} \xrightarrow{\operatorname{ev}_{D} \circ y_{s} \circ V((-))} S) \longrightarrow \operatorname{hocolim}_{\underline{\operatorname{Gap}}_{\operatorname{sur}}^{\triangleright}}(\underline{\operatorname{Gap}}_{\operatorname{sur}}^{\triangleright} \xrightarrow{\operatorname{ev}_{D} \circ y_{s} \circ V((-))} S)$$

is an equivalence. This is Proposition 3.7.

Proof of Proposition 3.7. This proof will eventually rely on a microfibration argument, which is why we phrase the argument in the category of topological spaces Top as opposed to simplicial sets S. Relying on the usual Quillen equivalence between the category of simplicial sets S and that of topological spaces Top, the claim has an evident reformulation in terms of homotopy colimits of Top-enriched Top-valued functors, and it is this reformulation that we shall prove.

To begin with, we note that it suffices to show the claim for $D = \underline{n} \times \mathbf{R}^d$ for $n \ge 0$. Next, we simplify the functor $\text{Emb}(\underline{n} \times \mathbf{R}^d, -)$: $\text{Man}_d \to \text{Top}$ in terms of the functor C_n^{fr} : $\text{Man}_d \to \text{Top}$ given by taking framed configurations (i.e., the pullback of functors

$$C_n^{\rm fr}(-) \longrightarrow {\rm Map}(\underline{n}, {\rm Fr}(-))$$

$$\downarrow \qquad \qquad \downarrow$$

$${\rm Emb}(\underline{n}, -) \xrightarrow{\ \subset \ } {\rm Map}(\underline{n}, -)$$

whose right vertical map is induced by the projection $Fr(W) \to W$ of the frame bundle of manifolds $W \in Man_d$). Taking derivatives at the centres $\underline{n} \times \{0\} \subset \underline{n} \times \mathbb{R}^d$ gives a natural transformation $Emb(\underline{n} \times \mathbb{R}, -) \to C_n^{fr}(-)$ which is a componentwise weak equivalence, so we conclude that in order to prove Proposition 3.7, it suffices to show that the map

$$\operatorname{hocolim}_{\underline{\operatorname{Gap}}_{\operatorname{sur}}}\left(C_n^{\operatorname{fr}}(V(\!\!(\!\!\mid\!\!\!-))) \longrightarrow \operatorname{hocolim}_{\underline{\operatorname{Gap}}_{\operatorname{sur}}^{\triangleright}}\left(C_n^{\operatorname{fr}}(V(\!\!(\!\!\mid\!\!-)))\right)\right)$$

is a weak equivalence. This is a map between homotopy colimits in spaces, which we model by a bar construction. In general, given a Top-enriched category C and Top-enriched functors $F: C \to \text{Top}$ and $G: C^{\text{op}} \to \text{Top}$, the *bar-construction* $B_{\bullet}(F, C, G): \Delta^{\text{op}} \to \text{Top}$ is the simplicial space $[r] \mapsto \bigsqcup_{(c_0, \dots, c_r)} F(c_0) \times C(c_0, c_1) \times \cdots \times C(c_{r-1}, c_r) \times G(c_r)$ where (c_0, \dots, c_r) runs through ordered sequences of (r + 1) objects in C. If G has weakly contractible values, the thick geometric realisation $B(F, C, G) := ||B_{\bullet}(F, C, G)||$ is a model for hocolim_CF (see, for example, [Rie14, Corollary 9.2.7]; since we take thick geometric realisations, we do not need to worry about cofibrancy issues). Choosing $C = \text{Gap}_{sur}^{\triangleright}$ and $G = \text{Map}_{\text{Gap}_{sur}^{\triangleright}}(-, (\infty))$, it therefore suffices to show that

$$B_{\bullet}\left(C_{n}^{\mathrm{fr}}(V(\!\!\left|-\right|\!)), \underline{\mathrm{Gap}}_{\mathrm{sur}}^{\succ}, \mathrm{Map}_{\underline{\mathrm{Gap}}_{\mathrm{sur}}^{\succ}}(-, (\!\!\left|\infty\right|\!))\right) \longrightarrow B_{\bullet}\left(C_{n}^{\mathrm{fr}}(V(\!\!\left|-\right|\!)), \underline{\mathrm{Gap}}_{\mathrm{sur}}^{\succ}, \mathrm{Map}_{\underline{\mathrm{Gap}}_{\mathrm{sur}}^{\succ}}(-, (\!\!\left|\infty\right|\!))\right)$$
(49)

induced by $\mathsf{Gap}_{sur}^{\triangleright} \subset \mathsf{Gap}_{sur}^{\triangleright}$ is a weak equivalence on thick realisations. There is an augmentation

$$B_{\bullet}(C_n^{\mathrm{fr}}(V(\!\!|\!\!| - \!\!|\!\!|), \underline{\mathsf{Gap}}_{\mathrm{sur}}^{\triangleright}, \mathrm{Map}_{\underline{\mathsf{Gap}}_{\mathrm{sur}}^{\triangleright}}(-, (\!\!|\!\!| \infty \!\!|\!\!|))) \longrightarrow C_n^{\mathrm{fr}}(V)$$

$$(50)$$

induced by composition of embeddings and evaluation of $C_n^{\text{fr}}(-)$. This admits an *extra degeneracy*, so it induces an equivalence on (thick) realisation (see, for example, [Rie14, Example 4.5.7]). This leaves us with showing that the composition of (49) and (50)

$$B_{\bullet}(C_n^{\mathrm{fr}}(V(\!\!|\!\!| - \!\!|\!)), \underline{\operatorname{Gap}}_{\mathrm{sur}}, \operatorname{Map}_{\operatorname{Gap}_{\mathrm{sur}}^{\succ}}(-, (\!\!| \infty |\!\!|))) \longrightarrow C_n^{\mathrm{fr}}(V)$$

$$(51)$$

is an equivalence on thick realisations. To prove this, we consider a semisimplicial space wall whose space of *p*-simplices is the space of order-preserving functions $\tau: [p] \to (-\epsilon, \epsilon)$ with simplicial structure by precomposition, and we define an augmented semisimplicial space

$$\operatorname{Map}_{\underline{\operatorname{Gap}}_{\operatorname{sur}}^{\triangleright}}(\{a\},\{\infty\})_{\bullet} \longrightarrow \operatorname{Map}_{\underline{\operatorname{Gap}}_{\operatorname{sur}}^{\triangleright}}(\{a\},\{\infty\})$$
(52)

for $a \ge 0$ whose space of *p*-simplices

$$\operatorname{Map}_{\operatorname{\mathsf{Gap}}_{\operatorname{Sur}}^{\triangleright}}(\{a\}, \{\infty\})_{p} \subset \operatorname{Map}_{\operatorname{\mathsf{Gap}}_{\operatorname{Sur}}^{\triangleright}}(\{a\}, \{\infty\}) \times \operatorname{wall}_{p}$$
(53)



Figure 7. An example of an element of wall $_2^V$. We suppressed the framings at the points in the configuration indicated by the black points.

is the subspace of pairs of a function $\tau : [p] \to (\epsilon, \epsilon)$ and an embedding $(a)^* \hookrightarrow (\infty)^*$ that is disjoint from the image of τ . Varying *a*, this defines a functor $(\underline{\mathsf{Gap}_{sur}})^{\mathrm{op}} \times \Delta_{\mathrm{inj}}^{\mathrm{op}} \longrightarrow$ Top that is compatible with (52), so we obtain an augmentation of semisimplicial spaces

$$B(C_n^{\mathrm{fr}}(V(-)), \underline{\mathrm{Gap}}_{\mathrm{sur}}, \mathrm{Map}_{\underline{\mathrm{Gap}}_{\mathrm{sur}}^{\mathrm{br}}}(-, (\infty))) \rightarrow B(C_n^{\mathrm{fr}}, \underline{\mathrm{Gap}}_{\mathrm{sur}}, \mathrm{Map}_{\underline{\mathrm{Gap}}_{\mathrm{sur}}^{\mathrm{br}}}(-, (\infty))),$$
(54)

where we have geometrically realised the semisimplicial direction of the bar-construction. In Lemma 3.8 below, we will show that (52) realises to a weak equivalence. Together with the fact that, up to weak equivalence, it does not matter in which direction one realises a bisemisimplicial space first (so we may realise the **-**direction before the bar-direction) and that the geometric realisation of a levelwise weak equivalence is a weak equivalence, this implies that the map in (54) realises to a weak equivalence. It thus remains to show that the augmented semisimplicial space

$$B(C_n^{\mathrm{fr}}(V(\!\!(-)\!\!)), \underline{\operatorname{Gap}}_{\mathrm{sur}}, \operatorname{Map}_{\operatorname{Gap}_{\mathrm{sur}}^{\triangleright}}(-, (\!\!(\infty)\!\!)))) \longrightarrow C_n^{\mathrm{fr}}(V)$$

obtained by combining (54) and (51) realises to a weak equivalence. To prove this remaining claim, we consider the sub-simplicial space wall $\subseteq C$ wall $\cong \times C_n^{\text{fr}}(V)$ consisting of pairs of an order-preserving function $\tau: [p] \to (-\epsilon, \epsilon)$ and a framed configurations $\vec{x} \in C_n(V)$ that is disjoint from the submanifolds $\{\tau(j)\} \times V_i \subset V$ for all $j = 0, \ldots, p$ and $i = 1, \ldots, k$ (here, we used the collars $[-\epsilon, \epsilon] \times V_i \subset V$; see Figure 7 for an example). The projection to wall p in (53) and the augmentation to $C_n^{\text{fr}}(V)$ assemble to a semisimplicial map over $C_n^{\text{fr}}(V)$

$$B(C_n^{\mathrm{fr}}(V(-)), \underline{\operatorname{Gap}}_{\mathrm{sur}}, \operatorname{Map}_{\underline{\operatorname{Gap}}_{\mathrm{sur}}^{\rhd}}(-, (\infty))_{\bullet}) \longrightarrow \operatorname{wall}_{\bullet}^V,$$
(55)

which we show to be a levelwise weak equivalence in Lemma 3.8 3.8 (levelwise with respect to the \bullet -direction, in which we did not realise yet). This leaves us with showing that the augmentation wall $^V_{\bullet} \rightarrow C_n^{\text{fr}}(V)$ realises to a weak equivalence. This is Lemma 3.8 3.8.

We now supply the postponed ingredients to the proof of Proposition 3.7. This finishes the proof of that proposition and thus also that of Proposition 3.6.

Lemma 3.8.

- (i) The thick realisation of the map (52) is a weak equivalence.
- (ii) The map (55) is a levelwise weak equivalence.
- (iii) The augmentation ε : wall $\overset{V}{\bullet} \to C_n^{\text{fr}}(V)$ realises to a weak equivalence.

Proof. We begin with a general observation. Let *X* be a nonempty totally ordered topological poset (by which we mean topological space *X* with a total order on its underlying set). If the function $\max(x_0, -): X \to X$ is continuous for some $x_0 \in X$, then the nerve of *X* is weakly contractible, since the sequence of inequalities $x \le \max(x_0, x) \ge x_0$ induces a zig-zag of natural transformations from the identity on *X* to the constant functor with values x_0 , so we obtain a homotopy between the identity map of the nerve of *X* and the constant map.

Replacing the (half-)open intervals in the definition of $(a)^*$ with closed intervals, we get a weakly equivalent semisimplicial space. Doing so, it follows from a version of the parametrised isotopy extension theorem on restricting embeddings to compact submanifolds (c.f. [Pal60]) that the augmentation (52) is a levelwise fibration. Hence, to prove 3.8, it suffices to show that the semisimplicial space given by the fibres over an embedding $e: (a)^* \hookrightarrow (\infty)^* = [-\epsilon, \epsilon]$ realises to a weakly contractible space. This agrees with the nerve of the nonempty totally ordered poset of real numbers $t \in (-\epsilon, \epsilon)$ disjoint from the image of e, so the claim follows from the observation.

To show part 3.8, we choose for all $p \ge 0$ an order-preserving function $\tau: [p] \to (\epsilon, \epsilon)$ and an embedding $e \in \operatorname{Map}_{\operatorname{Gap}_{\operatorname{sur}}^{\triangleright}}(\{p\}, \{\infty\})$ such that τ hits every component of the complement of e. This induces an equivalence

$$(e \circ (-), \tau) \colon \operatorname{Map}_{\underline{\operatorname{Gap}}_{\operatorname{sur}}}(-, (p)) \xrightarrow{\simeq} \operatorname{Map}_{\underline{\operatorname{Gap}}_{\operatorname{sur}}}(-, (\infty))_{p}$$

which in turn induces the left vertical equivalence in the commutative diagram

whose top horizontal map is induced by composition and evaluation. The latter is a weak equivalence for the same reason as (50). The right vertical map is induced by the function $\tau: [p] \to (-\epsilon, \epsilon)$ and the embedding *e*, and is easily seen to be an equivalence as well: use that it lands in the deformation retract of wall $_p^V \subset$ wall $_p \times C_n^{\text{fr}}(V)$ given by those pairs whose first coordinate agrees with τ (i.e., the space of framed configurations in the complement $V \setminus \bigcup_{j,i} \tau(j) \times V_i$) and that the vertical map is induced by the embedding $V([p]) \hookrightarrow V \setminus \bigcup_{j,i} \tau(j) \times V_i$ obtained from *e* which is an isotopy equivalence, so induces an equivalence on framed configuration spaces. It follows that the bottom horizontal map is an equivalence.

To show that $||\varepsilon||$ is a weak equivalence, note that its fibre at a framed configuration $\vec{x} \in C_n^{\text{fr}}(X)$ is the realisation of the nerve of the nonempty totally ordered topological poset of real numbers $t \in (\epsilon, \epsilon)$ such that $\{t\} \times V_i \subset V$ is disjoint from \vec{x} for all i = 1, ..., k, so it is weakly contractible by the above observation. We now show that $||\varepsilon||$ is a microfibration, which will finish the proof because any microfibration with weakly contractible fibres is a weak equivalence by [Wei05, Lemma 2]. The remaining task is thus to show that given commutative solid arrows as in

$$\begin{array}{cccc} D^{i} \times \{0\} & \stackrel{f}{\longrightarrow} \| \mathrm{wall}_{\bullet}^{V} \| \ \subset \ \| \mathrm{wall}_{\bullet} \| \times C_{n}^{\mathrm{fr}}(V) \\ & & \downarrow & & \downarrow \\ & & \downarrow & & \downarrow \\ D^{i} \times [0, \delta] & \subset \ D^{i} \times [0, 1] \xrightarrow{\psi} C_{n}^{\mathrm{fr}}(V), \end{array}$$

there is an $0 < \delta \le 1$ for which a dashed lift as indicated exists. For this, we note that the necessary data to lift a framed configuration $\vec{x} \in C_n^{\text{fr}}(V)$ to $\|\text{wall}^V\| \subset \|\text{wall}_{\bullet}\| \times C_n^{\text{fr}}(V)$ is a point $z \in \text{int}(\Delta^p)$ for some number $p \ge 0$, a function $\tau: [p] \to (-\epsilon, \epsilon)$ such that \vec{x} is disjoint from $\{\tau(i)\} \times V_j \subset V$ for all i and j. For any \vec{x}' close enough to \vec{x} , the same data works, so for each $x \in D^i$, we get lifts $\psi(x, t)$ for

 $t \in [0, \delta_x]$ for some $0 < \delta_x \le 1$, uses that the subspaces $V_i \subset V$ are closed. By compactness, we can find a uniform choice of δ_x for $x \in D^i$. This gives the lift.

Step 5. Unitality

The goal of this step is to prove the following proposition, which uses the terminology of Section 2.5.5 and its variation from Remark 2.7 (i).

Proposition 3.9. The non-unital bordism category $nc\mathscr{B}ord(d)^{nu} \in Cat_{nu}(\mathscr{C}at_{\infty})$ is quasi-unital and the following morphism of semisimplicial objects in $\mathscr{C}at_{\infty}$ is quasi-unital

$$E^{\text{geo}}: \operatorname{nc}\mathscr{B}\operatorname{ord}(d)^{\operatorname{nu}} \longrightarrow \operatorname{Fun}_{\Delta^{\operatorname{op}}}(\Delta^{\operatorname{op}}_{/[\bullet]}, \mathscr{M}\operatorname{an}_d^{\otimes}).$$

By the equivalence (18), the non-unital double ∞ -category nc \mathscr{B} ord $(d)^{nu}$ thus extends to a (unital) double ∞ -category nc \mathscr{B} ord $(d) \in Cat(\mathscr{C}at_{\infty})$. The second part of the proposition together with Remark 2.7 (ii) and Lemma 3.5 implies that the composition (45) is quasi-unital in the sense of Remark 2.7 (i), so using the second part of this remark once more, together with Proposition 3.6, we conclude that the functor of double ∞ -categories nc \mathscr{B} ord $(d)^{nu} \rightarrow ALG(PSh(\mathscr{D}isc_d))$ is quasi-unital and thus extends by the equivalence (18) essentially uniquely to a functor of double ∞ -categories

$$E: \operatorname{nc}\mathscr{B}\operatorname{ord}(d) \longrightarrow \operatorname{ALG}(\operatorname{PSh}(\mathscr{D}\operatorname{isc}_d)).$$

Proof of Proposition 3.9. This is tedious but straightforward, so do not spell out all details. Recalling that $\operatorname{nc}\mathscr{B}\operatorname{ord}(d)^{\operatorname{nu}}$ is the levelwise coherent nerve of a semisimplicial Kan-enriched category $\operatorname{ncBord}(d)^{\operatorname{nu}}$, the quasi-unit is given by the coherent nerve of the simplicial functor $u: \operatorname{ncBord}(d)^{\operatorname{nu}} \to \operatorname{ncBord}(d)^{\operatorname{nu}})_{[1]}$ which sends a [0]-walled *d*-manifold (W, μ) to $(\mathbb{R} \times W|_{\mu(0)}, \mu')$ with $\mu'(0) = \mu(0)$ and $\mu'(1) = \mu(0)+1$. On morphisms, it is induced by sending $\varphi: W|_{[\mu(0)-\epsilon,\mu(0)+\epsilon]} \to W'|_{[\mu'(0)-\epsilon,\mu'(0)+\epsilon]}$ to $\operatorname{id}_{\mathbb{R}} \times \varphi_0$.

On morphisms, it is induced by sending $\varphi \colon W|_{[\mu(0)-\epsilon,\mu(0)+\epsilon]} \to W'|_{[\mu'(0)-\epsilon,\mu'(0)+\epsilon]}$ to $\mathrm{id}_{\mathbf{R}} \times \varphi_0$. To prove that the functor $E^{\mathrm{geo}} \colon \mathrm{nc}\mathscr{B}\mathrm{ord}(d)^{\mathrm{nu}} \to \mathrm{Fun}_{\Delta^{\mathrm{op}}}(\Delta^{\mathrm{op}}/[\bullet], \mathscr{M}\mathrm{an}_d^{\otimes})$ is quasi-unital, recall that it was constructed as the coherent nerve of the zig-zag

$$\mathsf{ncBord}(d)^{\mathsf{nu}}_{[\bullet]} \xrightarrow{E^{\mathsf{sco}}_{[\bullet]}} \mathsf{Fun}_{\mathsf{Gap}}(\underline{\mathsf{Gap}}_{(\bullet)/}, \mathsf{Man}^{\otimes}_{d}) \xleftarrow{\simeq} \mathsf{Fun}_{\mathsf{Gap}}(\mathsf{Gap}_{(\bullet)/}, \mathsf{Man}^{\otimes}_{d}) \cong \mathsf{Fun}_{\Delta^{\mathrm{op}}}(\Delta^{\mathrm{op}}_{/[\bullet]}, \mathsf{Man}^{\otimes}_{d})$$

of semisimplicial objects in Kan-enriched categories. We first construct the top horizontal functor in a commutative diagram of Kan-enriched categories

$$\frac{\operatorname{Gap}_{(1)}}{\simeq \downarrow} \xrightarrow{\iota^{*}} \operatorname{Gap}_{(0)}, \qquad (56)$$

$$\operatorname{Gap}_{(1)} \xrightarrow{\iota^{*}} \operatorname{Gap}_{(0)}, \qquad (56)$$

where $\iota: (0) \to (1)$ is the unique morphism. On objects, the top arrow agrees with the bottom one. On morphisms, the top arrow is given by sending an embedding $\overline{\gamma}: \operatorname{wlab}_{\alpha}(\mathbf{R})|_{\gamma^{-1}(q')} \hookrightarrow \operatorname{wlab}_{\alpha'}(\mathbf{R})$ to the unique dashed embedding that makes the diagram

______eo

commute where the bottom surjection is the identity if $\alpha'(1) \in \{L, R\}$ and otherwise the union of the identity over $(|\hat{q'}|) \setminus \alpha'(1)$ with the map

$$\operatorname{wlab}_{\alpha'}(\mathbf{R})|^{\alpha'(1)} = (-\epsilon, \epsilon] \sqcup [1 - \epsilon, 1 + \epsilon) \xrightarrow{\operatorname{tr}_{-\epsilon} \sqcup \operatorname{tr}_{-(1 - \epsilon)}} (-2\epsilon, 2\epsilon) \xrightarrow{1/4} (-\epsilon, \epsilon) = \operatorname{wlab}_{\alpha' \circ \iota}(\mathbf{R})|^{\alpha'(1)}$$

over $\alpha'(1)$; the top arrow is defined in the same way by replacing α' by α . Applying $\operatorname{Fun}_{\operatorname{Gap}}(-, \operatorname{Man}_d^{\otimes})$ to (56) results in a commutative diagram of Kan-enriched categories

so $N_{\rm coh}(-)$ applied to the top arrow models the 0th degeneracy map of $\operatorname{Fun}_{\Delta^{\rm op}}(\Delta^{\rm op}_{/[\bullet]}, \mathcal{M}\mathrm{an}_d^{\cup})$. Using this model for the degeneracy and the above quasi-unit for $\operatorname{nc}\mathscr{B}\operatorname{ord}(d)^{\operatorname{nu}} = N_{\rm coh}(\operatorname{nc}\mathsf{B}\operatorname{ord}(d)^{\operatorname{nu}})$, it is tedious but straightforward to check that $N_{\rm coh}(E_{\bullet}^{\operatorname{geo}})$, and thus, E^{geo} is quasi-unital.

Step 6. Symmetric monoidal structure

In this step, we promote the functor of double ∞ -categories $E : \operatorname{nc}\mathscr{B}\operatorname{ord}(d) \to \operatorname{ALG}(\operatorname{PSh}(\mathscr{D}\operatorname{isc}_d))$ to a functor of symmetric monoidal double ∞ -categories (modelled as commutative monoid objects in $\operatorname{Cat}(\mathscr{C}\operatorname{at}_{\infty})$; see Section 2.5.3). This is not difficult and essentially amounts to adding an index by a finite pointed set $\langle s \rangle \in \operatorname{Fin}_*$ to the previous steps. To avoid being too repetitive, we will not spell out all details.

Convention. Given a space X, a map $\lambda: X \to \langle s \rangle$ to $\langle s \rangle$, and a subset $A \subset \langle s \rangle$, we denote the preimage of A by ${}^{A}|X := \lambda^{-1}(A)$ in order to distinguish it from the notation $X|_{A}$ and $X|^{A}$ introduced in Convention 3.2 and Step 2.

Step 1: the bordism category

We start by extending $nc\mathscr{B}ord(d) \in Cat(\mathscr{C}at_{\infty})$ to a symmetric monoidal non-unital double ∞ -category $nc\mathscr{B}ord(d)^{nu} \in CMon(Cat_{nu}(\mathscr{C}at_{\infty}))$ as follows: first, we extend the semisimplicial object $ncBord(d)^{nu} \in Fun(\Delta_{inj}^{op}, sCat)$ in Kan-enriched categories to an object $ncBord(d)^{nu} \in Fun(\Gamma_{inj}, sCat)$ = Fun(Fin_{*} × $\Delta_{inj}^{op}, sCat$); evaluation at $\langle 1 \rangle \in Fin_*$ recovers the previous construction. The value of $ncBord(d)^{nu}$ at $([p], \langle s \rangle)$ for $\langle s \rangle \in Fin_*$ is the Kan-enriched category $ncBord(d)^{nu}_{[p],\langle s \rangle}$ whose objects are [p]-walled *d*-manifolds (W, μ) together with a map $\lambda \colon W \to \langle \hat{s} \rangle$, which we think of as a way to decompose *W* into disjoint summands indexed by $\langle \hat{s} \rangle$. Morphisms from (W, μ, λ) to (W', μ', λ') are embeddings of [p]-walled manifolds that are additionally assumed to commute with the maps to $\langle \hat{s} \rangle$. The functoriality of $ncBord(d)^{nu}_{[p],\langle s \rangle}$ in *p* is defined as for $ncBord(d)^{nu}_{[p]}$, and that in $\langle s \rangle$ is for $\varphi \in Fin_*(\langle s \rangle, \langle s' \rangle)$ on objects given by $(W, \mu, \lambda) \mapsto (\varphi^{-1}\langle \hat{s} \rangle | W, \mu, \varphi \circ \lambda)$ and on morphisms by restricting embeddings. A mild extension of the proof of Lemma 3.3 then shows that taking coherent nerves yields a commutative monoid object in double ∞ -categories, as wished.

Step 2': the manifold category

Next, we extend the monoidal ∞ -category \mathcal{M} an_d (thought of as a cocartesian fibration \mathcal{M} an_d^{\otimes} \rightarrow Gap) to an *symmetric* monoidal ∞ -category. It will be convenient to view it as a commutative monoid object in monoidal ∞ -categories \mathcal{M} an_d \in CMon(Mon(\mathscr{C} at_{∞})) \subset Fun(Fin_{*}, Fun(Gap, \mathscr{C} at_{∞})). To this end, we extend the construction of the functor Man_d^{\otimes} \rightarrow Gap of Kan-enriched categories to yield Kan-enriched functors Man_d^{$\sqcup, \langle s \rangle$} \rightarrow Gap, one for each pointed set $\langle s \rangle \in$ Fin_{*}. Objects of Man_d^{$\otimes, \langle s \rangle$} are now triples $(W, (p), \lambda)$ of $(p) \in$ Gap, a smooth submanifold $W \subset (p) \times \mathbf{R} \times \mathbf{R}^{\infty}$ and a map $\lambda \colon W \to \langle s \rangle$. The space of morphisms is defined as before, with the additional requirement that the embeddings have to commute with the reference maps to $\langle s \rangle$. Given a map $\varphi \colon \langle s \rangle \to \langle s' \rangle$ in Fin_{*}, there is a functor

 $\operatorname{Man}_{d}^{\sqcup,\langle s \rangle} \to \operatorname{Man}_{d}^{\sqcup,\langle s' \rangle}$ over Gap which on objects is given by $(W, \{p\}, \lambda) \mapsto (\varphi^{-1} \langle \mathring{s'} \rangle | W, \{p\}, \varphi \circ \lambda)$ and on morphisms is induced by restriction. This yields a functor from Fin_{*} to cocartesian fibrations over Gap. Using straightening and taking coherent nerves then gives the desired commutative monoid object in monoidal ∞ -categories.

Step 3': from the bordism category to the pre-Morita category of manifolds

By the discussion in Section 2.9.4, taking pre-Morita categories of $\mathcal{M}an_d \in \mathrm{CMon}(\mathrm{Mon}(\mathscr{C}at_{\infty}))$ yields a commutative monoid object $\overline{\mathrm{ALG}}(\mathcal{M}an_d) \in \mathrm{CMon}(\mathrm{Fun}(\Delta^{\mathrm{op}}, \mathscr{C}at_{\infty}))$, and our next task is to upgrade the morphism E^{geo} : $\mathrm{nc}\mathcal{B}\mathrm{ord}(d)^{\mathrm{nu}} \to \overline{\mathrm{ALG}}(\mathcal{M}an_d)$ in $\mathrm{Fun}(\Delta^{\mathrm{op}}_{\mathrm{inj}}, \mathscr{C}at_{\infty})$ from Step \circledast to a morphism in $\mathrm{CMon}(\mathrm{Fun}(\Delta^{\mathrm{op}}_{\mathrm{inj}}, \mathscr{C}at_{\infty}))$. To do this, we first define for each $\langle s \rangle \in \mathrm{Fin}_*$ a variant $E^{\mathrm{geo}}_{[\bullet], \langle s \rangle}$: $(\mathrm{ncBord}(d)^{\mathrm{nu}})_{[\bullet], \langle s \rangle} \to \mathrm{Fun}_{\mathrm{Gap}}(\underline{\mathrm{Gap}}_{(\bullet))}, \operatorname{Man}_d^{\otimes, \langle s \rangle})$ in $\mathrm{Fun}(\Delta^{\mathrm{op}}_{\mathrm{inj}}, \mathrm{sCat})$ of (42). For this, note that in the notation of Substep (a) I, projection on $\mathbf{R} \times \mathbf{R}^{\infty}$ gives a map lab $_{\alpha}(W, \mu) \to W$ for any [p]walled manifold, so if W comes with a map to $\langle \hat{s} \rangle$, then so does $\mathrm{lab}_{\alpha}(W, \mu)$. Based on this observation, the construction of $E^{\mathrm{geo}}_{[\bullet]}$ from (42) directly generalises to a functor $E^{\mathrm{geo}}_{[\bullet], \langle s \rangle}$ as desired by incorporating the maps to $\langle s \rangle$. Varying s, the maps $E^{\mathrm{geo}}_{[\bullet], \langle s \rangle}$ define a morphism in $\mathrm{Fun}(\mathrm{Fin}_*, \mathrm{Fun}(\Delta^{\mathrm{op}}_{\mathrm{inj}}, \mathrm{sCat}))$. Taking coherent nerves gives desired extension of E^{geo} to a morphism in the full subcategory $\mathrm{CMon}(\mathrm{Fun}(\Delta^{\mathrm{op}}_{\mathrm{ini}}, \mathscr{C}at_{\infty})) \subset \mathrm{Fun}(\mathrm{Fin}_*, \mathrm{Fun}(\Delta^{\mathrm{op}}_{\mathrm{ini}}, \mathscr{C}at_{\infty})),$

$$E^{\text{geo}}$$
: nc \mathscr{B} ord $(d)^{\text{nu}} \longrightarrow \overline{\text{ALG}}(\mathscr{M}\text{an}_d).$ (57)

Step @': composite algebras

We claim that the two functors

$$\mathcal{M}an_d \longrightarrow PSh(\mathcal{M}an_d) \longrightarrow PSh(\mathcal{D}isc_d)$$
 (58)

extend to morphisms in $CMon(\mathscr{C}at_{\infty}) \simeq CMon(Mon(\mathscr{C}at_{\infty}))$ (see Remark 2.4). For the first map, we discussed this in Section 2.6. A restriction map on presheaves such as the second map in (58) is only lax symmetric monoidal in general, but turns out to be actually monoidal in our case:

Lemma 3.10. The lax symmetric monoidal functor $PSh(\mathcal{M}an_d) \rightarrow PSh(\mathcal{D}isc_d)$ induced by restriction along the inclusion ι^* : $\mathcal{D}isc_d \hookrightarrow \mathcal{M}an_d$ is strong monoidal.

Proof. By the formula for Day convolution, it suffices to verify that for finite sets *S*, the inclusion $(\mathscr{D}isc_d \times \mathscr{D}isc_d)_{S \times \mathbb{R}^d/}^{op} \subset (\mathscr{M}an_d \times \mathscr{M}an_d)_{S \times \mathbb{R}^d/}^{op}$ is cofinal (recall the convention to take slices before opposition). By [Lur09a, 4.1.3.1], it suffices to prove that $((\mathscr{D}isc_d \times \mathscr{D}isc_d)_{S \times \mathbb{R}^d/}^{op})/u$ has a terminal object for all triples (M, M', u) of $M, M' \in \mathscr{M}an_d$ and $u: S \times \mathbb{R}^d \hookrightarrow M \sqcup M'$. Such a terminal object is given by the factorisation $S \times \mathbb{R}^d = T \times \mathbb{R}^d \sqcup T' \times \mathbb{R}^d \xrightarrow{u} M$ where the decomposition $S = T \sqcup T'$ is so that $T \times \mathbb{R}^d = u^{-1}(M)$ and $T' \times \mathbb{R}^d = u^{-1}(M')$.

After applying $\overline{\text{ALG}}(-)$ to (58), this gives a composition of morphisms in $\text{CMon}(\text{Fun}(\Delta_{\text{inj}}^{\text{op}}, \mathscr{C}at_{\infty}))$ (see Section 2.9.4) which we may precompose with (57) to arrive at an enhancement of (45) to

$$\overline{E}: \operatorname{nc}\mathscr{B}\operatorname{ord}(d)^{\operatorname{nu}} \xrightarrow{E^{\operatorname{geo}}} \overline{\operatorname{ALG}}(\mathscr{M}\operatorname{an}_d) \xrightarrow{y_*} \overline{\operatorname{ALG}}(\operatorname{PSh}(\mathscr{M}\operatorname{an}_d)) \xrightarrow{\iota^*} \overline{\operatorname{ALG}}(\operatorname{PSh}(\mathscr{D}\operatorname{isc}_d))$$
(59)

in CMon(Fun($\Delta_{inj}^{op}, \mathscr{C}at_{\infty}$)) \subset Fun(Fin_{*} × $\Delta_{inj}^{op}, \mathscr{C}at_{\infty}$)). To show that this composition lands in the levelwise full subcategory ALG(PSh($\mathscr{D}isc_d$)) \subset ALG(PSh($\mathscr{D}isc_d$)) (which lies in the full subcategory CMon(Cat($\mathscr{C}at_{\infty}$)) \subset CMon(Fun($\Delta_{inj}^{op}, \mathscr{C}at_{\infty}$)); see Section 2.9.4), by the Segal property it suffices to show this after evaluation at $\langle 1 \rangle \in$ Fin_{*} where it agrees with the previously variant without symmetric monoidal structures for which we have already checked this property in Step ④, so we obtain a map nc $\mathscr{B}ord(d)^{nu} \rightarrow$ ALG(PSh($\mathscr{D}isc_d$)) in CMon(Cat_{nu}($\mathscr{C}at_{\infty}$)). Finally, a minor extension of the arguments of Step ⑤ to incorporate indexing maps to finite sets enhances this to a functor of symmetric monoidal double ∞ -categories E : nc $\mathscr{B}ord(d) \rightarrow$ ALG(PSh($\mathscr{D}isc_d$)).

Step 7. Variants

We now define several variants of $nc\mathscr{B}ord(d)$, related by a diagram

of symmetric monoidal double ∞ -categories. Informally speaking, \mathscr{B} ord(*d*) is obtained from nc \mathscr{B} ord(*d*) by restricting to compact bordisms between closed manifolds and diffeomorphisms between them; the versions with a $(-)^{\partial}$ -subscript allow manifolds to have boundary, all vertical maps and the left horizontal maps are induced by inclusion, and the right horizontal maps are induced by taking boundaries.

Step 7.1. Compact variant

To define the compact variant \mathscr{B} ord(*d*), we say that a [p]-walled *d*-manifold (W, μ) is of *of compact type* if the subspace $W|_{[\mu(0)-\epsilon,\mu(p)+\epsilon]} \subseteq W$ is compact. Restricting to [p]-walled *d*-manifolds of compact type in the construction of nc \mathscr{B} ord(*d*) and to spaces of diffeomorphisms instead of embeddings defines the symmetric monoidal double ∞ -category \mathscr{B} ord(*d*). By construction, it comes with a levelwise subcategory inclusion into nc \mathscr{B} ord(*d*). This is the leftmost vertical map in (60).

Step 7.2. Variants with boundary

To define the variant nc \mathscr{B} ord $(d)^{\partial}$ of nc \mathscr{B} ord(d) involving manifolds with boundary, we replace [p]-walled *d*-manifolds (W, μ) , where $W \subset \mathbf{R} \times \mathbf{R}^{\infty}$ is required to have no boundary, by [p]-walled *d*-manifolds with boundary: these are pairs (W, μ) of a smooth submanifold $W \subset \mathbf{R} \times [0, \infty) \times \mathbf{R}^{\infty}$, possibly with boundary, together with an order-perserving function $\mu: [p] \to \mathbf{R}$ such that

- (i) (W, μ) satisfies the conditions in the definition of [p]-walled *d*-manifolds (see Step 1),
- (ii) $\partial W = W \cap (\mathbf{R} \times \{0\} \times \mathbf{R}^{\infty})$ such that $W \cap (\mathbf{R} \times [0, \epsilon] \times \mathbf{R}^{\infty}) = \partial W \times [0, \epsilon]$ under the appropriate identifications.

The space $\operatorname{Emb}((W, \mu), (W', \mu'))$ of embeddings of [p]-walled *d*-manifolds with boundary is defined in the same way as in the case without boundary, except that we demand in addition that the embedding $\varphi \colon W|_{[\mu(0)-\epsilon,\mu(p)+\epsilon]} \hookrightarrow W'|_{[\mu'(0)-\epsilon,\mu'(p)+\epsilon]}$ also satisfies

- (i) $\varphi^{-1}(\mathbf{R} \times [0, \epsilon] \times \mathbf{R}^{\infty}) = (W|_{[\mu(0)-\epsilon, \mu(p)+\epsilon]}) \cap (\mathbf{R} \times [0, \epsilon] \times \mathbf{R}^{\infty}),$
- (ii) under the appropriate identifications, φ restricts to an embedding of the form

$$(\partial \phi \times \mathrm{id}_{[0,\epsilon]}) \colon \partial W|_{[\mu(0)-\epsilon,\mu(p)+\epsilon]} \times [0,\epsilon] \longleftrightarrow \partial W'|_{[\mu'(0)-\epsilon,\mu'(p)+\epsilon]} \times [0,\epsilon]$$

for some embedding $\partial \varphi \colon \partial W|_{[\mu(0)-\epsilon,\mu(p)+\epsilon]} \hookrightarrow \partial W'|_{[\mu(0)-\epsilon,\mu(p)+\epsilon]}$.

Replacing the [p]-walled *d*-manifolds in the construction of nc \mathscr{B} ord(*d*) by [p]-walled *d*-manifolds with boundaries in the sense just described gives rise to a symmetric monoidal double ∞ -category nc \mathscr{B} ord(*d*)^{∂} which receives a levelwise full subcategory inclusion from nc \mathscr{B} ord(*d*), induced by the inclusion $\mathbf{R} \times \mathbf{R}^{\infty} \cong \mathbf{R} \times \{1\} \times \mathbf{R}^{\infty} \subset \mathbf{R} \times [0, \infty) \times \mathbf{R}^{\infty}$. This inclusion restricts to a functor \mathscr{B} ord(*d*) \rightarrow \mathscr{B} ord(*d*)^{∂} where \mathscr{B} ord(*d*)^{∂} is the symmetric monoidal double ∞ -category given as the levelwise subcategory of nc \mathscr{B} ord(*d*)^{∂} obtained by restricting to [p]-walled *d*-manifolds with boundary of compact type, defined by the same condition as for the variant without boundary, and to diffeomorphisms between them instead of embeddings. This explains (60), except for the horizontal functor of the right square which is induced by sending a [p]-walled *d*-manifold with boundary $W \subset \mathbf{R} \times [0, \infty) \times \mathbf{R}^{\infty}$ to its boundary $\partial W = W \cap (\mathbf{R} \times \{0\} \times \mathbf{R}^{\infty})$, with the same walls, and restricting embeddings to the boundary.

Step 7.3. Tangential structures without boundary

Associating to a smooth manifold *M* its frame bundle Fr(M) with its canonical right $GL_d(\mathbf{R})$ -action induces a functor of Kan-enriched categories

$$(\operatorname{Man}_{d}^{\otimes})_{[1]} \longrightarrow \operatorname{Fun}(\operatorname{GL}_{d}^{\operatorname{op}}, \mathsf{S})^{\circ}, \tag{61}$$

where $\operatorname{Ma}_d^{\otimes}$ is the symmetric monoidal ∞ -category from Step ((3) and Step ((6), and GL_d is the (singular simplicial set of) the topological group GL_d((\mathbf{R})) viewed as a Kan-enriched groupoid with one object. The superscript $(-)^{\circ}$ indicates that we pass to the full subcategory on the fibrant-cofibrant objects in the projective model structure on Fun(GL_d^{op}, S), as in [Lur17, A.3.3.2]. Let us explain why functor Fr(-) takes values in this subcategory. First, Fr(M) is fibrant: in the projective model structure, an object is fibrant if its underlying simplicial set is a Kan complex, and this is the case for Fr(M) as a singular simplicial set of a topological space. Second, Fr(M) is cofibrant: because the map Fun(GL_d^{op}, S) \rightarrow S that forgets the action is the right adjoint in a Quillen adjunction, each map GL_d($(\mathbf{R}) \times S \rightarrow$ GL_d($(\mathbf{R}) \times S'$ with canonical right GL_d((\mathbf{R}) -action and $S \rightarrow S'$ a monomorphism is a cofibration, and Fr(M) – being locally trivial – is isomorphic to a (possibly transfinite) composition of pushouts against such maps.

Applying coherent nerves to the map (61) and viewing GL_d as an ∞ -category via the coherent nerve gives a functor of ∞ -categories

$$(\mathscr{M}\mathrm{an}_{d}^{\otimes})_{[1]} \simeq N_{\mathrm{coh}}((\mathrm{Man}_{d}^{\otimes})_{[1]}) \longrightarrow N_{\mathrm{coh}}(\mathrm{Fun}(\mathrm{GL}_{d}^{\mathrm{op}}, \mathsf{S})^{\circ}) \simeq \mathrm{Fun}(N_{\mathrm{coh}}(\mathrm{GL}_{d}^{\mathrm{op}}), \mathscr{S}) = \mathrm{PSh}(\mathrm{GL}_{d}),$$

where the second equivalence is an instance of [Lur09a, 4.2.4.4]. Since the unit $\emptyset \in (\mathscr{M}an_d^{\otimes})_{[1]}$ is initial and so $\mathscr{M}an_d^{\sqcup}$ is unital as an ∞ -operad [Lur17, 2.3.1.1], this functor extends uniquely to a lax symmetric monoidal functor $Fr(-): \mathscr{M}an^{\otimes} \to PSh(GL_d)^{\sqcup}$, where $PSh(GL_d)$ carries the cocartesian symmetric monoidal structure [Lur17, 2.4.3.9]. Note that $Fr(\mathcal{M}) \sqcup Fr(\mathcal{N}) \to Fr(\mathcal{M} \sqcup \mathcal{N})$ is an equivalence for manifolds \mathcal{M} and \mathcal{N} , so this is actually (strong) symmetric monoidal.

By an easier version of the argument in Step 4, the composition

$$\operatorname{nc}\mathscr{B}\operatorname{ord}(d) \xrightarrow{E^{\operatorname{geo}}} \overline{\operatorname{ALG}}(\mathscr{M}\operatorname{an}_d) \xrightarrow{\overline{\operatorname{ALG}}(\operatorname{Fr}(-))} \overline{\operatorname{ALG}}(\operatorname{PSh}(\operatorname{GL}_d))$$

lands in the Morita double ∞ -category ALG(PSh(GL_d)) \subset ALG(PSh(GL_d)), which is equivalent to COSPAN⁺(PSh(GL_d)) (see Section 2.10.2). We thus arrive at a functor of symmetric monoidal double ∞ -categories Fr(-): nc \mathscr{B} ord(d) \rightarrow COSPAN⁺(PSh(GL_d)). Informally, this is given by sending a bordism $W: P \rightsquigarrow Q$ to the cospan Fr(c(P)) \rightarrow Fr(W) \leftarrow Fr(c(Q)), where $c(P), c(Q) \subset W$ are collar neighbourhoods of the boundary components.

Definition 3.11. Given a *tangential structure* $\theta \in PSh(GL_d)$, we define nc $\mathscr{B}ord^{\theta}(d)$ and $\mathscr{B}ord^{\theta}(d)$ by the following pullbacks in symmetric monoidal double ∞ -categories:

$$\begin{array}{ccc} \mathscr{B}\mathrm{ord}^{\theta}(d) & \longrightarrow \mathrm{nc}\mathscr{B}\mathrm{ord}^{\theta}(d) & \longrightarrow \mathrm{COSPAN}^{+}(\mathrm{PSh}(\mathrm{GL}_{d})_{/\theta}) \\ & & \downarrow & & \downarrow \\ & & & \downarrow & & \downarrow \\ \mathscr{B}\mathrm{ord}(d) & & \longrightarrow \mathrm{nc}\mathscr{B}\mathrm{ord}(d) & \xrightarrow{\mathrm{Fr}(-)} \mathrm{COSPAN}^{+}(\mathrm{PSh}(\mathrm{GL}_{d})); \end{array}$$

here, the rightmost vertical map is induced by the forgetful functor $PSh(GL_d)_{/\theta} \rightarrow PSh(GL_d)$ which preserves colimits [Lur17, 1.2.13.8] and thus induces a functor on cospan categories.

Varying θ induces functors nc \mathscr{B} ord⁽⁻⁾(d), \mathscr{B} ord⁽⁻⁾(d): PSh(GL_d) \rightarrow CMon(Cat(\mathscr{C} at_{∞})). In particular, for a map $\theta \rightarrow \theta'$ in PSh(GL_d), we have functors

$$\mathscr{B}\mathrm{ord}^{\theta}(d) \longrightarrow \mathscr{B}\mathrm{ord}^{\theta'}(d) \quad \text{and} \quad \mathrm{nc}\mathscr{B}\mathrm{ord}^{\theta}(d) \longrightarrow \mathrm{nc}\mathscr{B}\mathrm{ord}^{\theta'}(d).$$
 (62)

Step 7.4. Tangential structures with boundary

To define the version nc \mathscr{B} ord $(d)^{\partial}$ that includes tangential structure, one uses a variant

$$E^{\text{geo}}: \operatorname{nc}\mathscr{B}\operatorname{ord}(d)^{\partial} \longrightarrow \overline{\operatorname{ALG}}(\mathscr{M}\operatorname{an}_{d}^{\partial})$$
(63)

of the map E^{geo} : nc \mathscr{B} ord $(d) \to \operatorname{ALG}(\mathscr{M}$ an $_d)$ between commutative monoid objects in simplicial ∞ categories. The symmetric monoidal ∞ -category \mathscr{M} an $^{\partial}_d$ is defined in the same way as \mathscr{M} an $_d$ except that we use submanifolds $W \subset (|\mathring{p}|) \times \mathbb{R} \times [0, \infty) \times \mathbb{R}^{\infty}$ that may have boundary, but have to satisfy the evident analogue of (ii) in the definition of a [p]-walled *d*-manifold with boundary. With this modification, the construction in **Step** (3) and its extensions in **Step** (5) and **Step** (6) extend almost verbatim to give the map (63) in CMon(Fun($\Delta^{\operatorname{op}}, \mathscr{C}$ at $_{\infty}$)).

Assigning to a manifold $W \in (\mathcal{M}an_d^{\partial,\otimes})_{[1]}$ the map $Fr(\partial W \times [0,\epsilon]) \to Fr(W)$ induced by the inclusion, induces an extension of the functor $(\mathcal{M}an_d^{\sqcup})_{[1]} \to PSh(GL_d)$ to a functor of ∞ -categories

$$(\mathscr{M}\mathrm{an}_{d}^{\partial,\otimes})_{[1]} \longrightarrow \operatorname{Fun}([1] \times N_{\operatorname{coh}}(\operatorname{GL}_{d}^{\operatorname{op}}), \mathscr{S}) \eqqcolon \operatorname{PSh}([1] \times \operatorname{GL}_{d})$$

which, by the same argument as in the case without boundary, extends to a symmetric monoidal functor $Fr(-): \mathcal{M}an_d^{\partial,\otimes} \to PSh([1] \times GL_d)^{\sqcup}$, where the target is equipped with the cocartesian symmetric monoidal structure. This functor allows us to extend Definition 3.11 to define symmetric monoidal double ∞ -categories

$$\operatorname{nc}\operatorname{\mathscr{B}ord}^{\theta}(d)^{\partial}$$
 and $\operatorname{nc}\operatorname{\mathscr{B}ord}^{\theta}(d)^{\partial}$

for any tangential structure θ with boundary by which mean a map $\theta = (\theta^{\partial} \rightarrow \theta^{\circ}) \in PSh([1] \times GL_d)$.

Step 7.5. Taking boundaries with tangential structures

Next, we extend the 'taking-boundaries functors' $\operatorname{nc}\mathscr{B}\operatorname{ord}(d)^{\partial} \to \operatorname{nc}\mathscr{B}\operatorname{ord}(d-1)$ and $\mathscr{B}\operatorname{ord}(d)^{\partial} \to \mathscr{B}\operatorname{ord}(d-1)$ from (60) to include tangential structures. This involves the commutative diagram

of ∞ -categories where the leftmost vertical map is induced by sending a submanifold $W \subset (p^{a}) \times \mathbf{R} \times [0, \infty) \times \mathbf{R}^{\infty}$ to its boundary (i.e., the intersection with $(p^{a}) \times \mathbf{R} \times \{0\} \times \mathbf{R}^{\infty}$). The arrow labelled res is induced by precomposition with the inclusion $\{1\} \times \mathrm{GL}_{d} \subset [1] \times \mathrm{GL}_{d}$, and the arrow labelled ind_{d-1}^{d} is the left adjoint to the functor res_{d-1}^{d} : $\mathrm{PSh}(\mathrm{GL}_{d}) \to \mathrm{PSh}(\mathrm{GL}_{d-1})$ induced by precomposition with the inclusion $\mathrm{GL}_{d-1}(\mathbf{R}) \subset \mathrm{GL}_{d}(\mathbf{R})$ using the first (d-1)-coordinates. One way to provide the commutativity of (64) is to recognise this diagram as the coherent nerve of a diagram of Kan-enriched categories (using [Lur09a, 5.2.4.6] for ind_{d-1}^{d}) and then use the fact that the extension $\mathrm{ind}_{d-1}^{d}(\mathrm{Fr}(\partial W)) \to \mathrm{Fr}(\partial W \times [0, \epsilon])$ of the $\mathrm{GL}_{d-1}(\mathbf{R})$ -equivariant map $\mathrm{Fr}(\partial W) \to \mathrm{Fr}(\partial W \times [0, \epsilon])$ induced by the inclusion $\partial W \times \{0\} \subset \partial W \times [0, \epsilon]$ and the canonical non-zero vector field on $[0, \epsilon]$ is a natural equivalence of $\mathrm{GL}_d(\mathbf{R})$ -spaces.

Equipping all categories of presheaves with the cocartesian symmetric monoidal structure and using the universality property as in Step @.3, we can extend (64) to a commutative diagram of symmetric monoidal ∞ -categories. Applying $\overline{\text{ALG}}(-)$, using the E^{geo} -functors, and the equivalence $\text{ALG}(\mathscr{C}) \simeq \text{COSPAN}^+(\mathscr{C})$ for cocartesian \mathscr{C} , this leads to a commutative diagram of symmetric monoidal double ∞ -categories

$$\begin{array}{ccc} \mathscr{B}\mathrm{ord}(d)^{\partial} & \longrightarrow \mathrm{nc}\mathscr{B}\mathrm{ord}(d)^{\partial} \to \mathrm{COSPAN}^{+}(\mathrm{PSh}([1] \times \mathrm{GL}_{d})) = \mathrm{COSPAN}^{+}(\mathrm{PSh}([1] \times \mathrm{GL}_{d})) \\ & \downarrow & \downarrow & \downarrow^{(\mathrm{res})_{*}} \\ \mathscr{B}\mathrm{ord}(d-1)^{\partial} & \hookrightarrow \mathrm{nc}\mathscr{B}\mathrm{ord}(d-1) \longrightarrow \mathrm{COSPAN}^{+}(\mathrm{PSh}(\mathrm{GL}_{d-1})) \xrightarrow{(\mathrm{ind}_{d-1}^{d})_{*}} \mathrm{COSPAN}^{+}(\mathrm{PSh}(\mathrm{GL}_{d})) \end{array}$$

For a tangential structure with boundary $\theta = (\theta^{\partial} \rightarrow \theta^{\circ}) \in PSh([1] \times GL_d)$, this induces extensions

$$\mathscr{B}\mathrm{ord}^{\theta}(d)^{\partial} \longrightarrow \mathscr{B}\mathrm{ord}^{\mathrm{res}_{d-1}^{d}(\theta^{\partial})}(d-1) \quad \text{and} \quad \mathrm{nc}\mathscr{B}\mathrm{ord}^{\theta}(d)^{\partial} \longrightarrow \mathrm{nc}\mathscr{B}\mathrm{ord}^{\mathrm{res}_{d-1}^{d}(\theta^{\partial})}(d-1)$$

of the 'taking boundaries' functors from (60).

Example 3.12. The tangential structure with boundary encoding framings is $fr := (id: GL_d(\mathbf{R}) \to GL_d(\mathbf{R}))$, so the above in particular gives a functor of symmetric monoidal double ∞ -categories $\mathscr{B}ord^{fr}(d)^{\partial} \to \mathscr{B}ord^{1-fr}(d-1)^{\partial}$ from the compact framed *d*-dimensional bordism category with boundary to the *d*-dimensional bordism category with boundary, and the tangential structure $1-fr := res_{d-1}^{d}(GL_d(\mathbf{R}))$ encodes framings of the once-stablised tangent bundle.

Step [®]. Product functors

Given a smooth *p*-manifold *P*, possibly with boundary, we now explain the construction of a 'taking products' functor of symmetric monoidal double ∞ -categories

$$(P \times -): \operatorname{nc}\mathscr{B}\operatorname{ord}(d)^{\partial} \longrightarrow \operatorname{nc}\mathscr{B}\operatorname{ord}(d+p)^{\partial}, \tag{65}$$

which restricts to product functors of the form

$$\operatorname{nc}\mathscr{B}\operatorname{ord}(d) \to \operatorname{nc}\mathscr{B}\operatorname{ord}(d+p), \quad \mathscr{B}\operatorname{ord}(d)^{\partial} \to \mathscr{B}\operatorname{ord}(d+p)^{\partial}, \quad \text{and} \quad \mathscr{B}\operatorname{ord}(d) \to \mathscr{B}\operatorname{ord}(d+p)$$

if P has no boundary, is compact or is closed, respectively. This will involve smoothing corners.

We fix an embedding $P \subset [0, \infty) \times \mathbf{R}^N$ for some $N \ge 0$ which satisfies the condition (ii) in the definition of a [p]-walled *d*-manifolds with boundary (ignoring the first **R**-factor). Furthermore, we fix once and for all a homeomorphism $\psi : [0, \infty) \times [0, \infty) \to [0, \infty) \times \mathbf{R}$ such that

- (i) ψ agrees with the identity on [0,∞) × {0} and with the clockwise rotation by π/2 on {0} × [0,∞). In particular, it fixes the origin.
- (ii) ψ is a diffeomorphism away from the origin.
- (iii) $\psi^{-1}([0,\epsilon] \times \mathbf{R}) \subset ([0,\epsilon] \times [0,\infty) \cup [0,\infty) \times [0,\epsilon]),$
- (iv) $\psi([0, \delta] \times [0, \infty) \cup [0, \infty) \times [0, \delta]) \subset [0, \epsilon] \times \mathbf{R}$ for some fixed $0 < \delta \le \epsilon$
- (v) ψ fixes the point (1, 1).

Using ψ and its properties (i)–(iii), given a [*p*]-walled *d*-manifold with boundary (*W*, μ), we obtain a [*p*]-walled (*d* + *p*)-manifold with boundary ($\Psi(P \times W), \mu$) with $\Psi(P \times W)$ the image of $P \times W$ under

$$[0,\infty) \times \mathbf{R}^N \times \mathbf{R} \times [0,\infty) \times \mathbf{R}^\infty \xrightarrow{\text{swap}} \mathbf{R} \times [0,\infty) \times [0,\infty) \times \mathbf{R}^N \times \mathbf{R}^\infty$$
$$\xrightarrow{\text{id}_{\mathbf{R}} \times \psi \times \text{id}_{\mathbf{R}^N \times \mathbf{R}^\infty}} \mathbf{R} \times [0,\infty) \times \mathbf{R} \times \mathbf{R}^N \times \mathbf{R}^\infty \xrightarrow{\text{id}_{\mathbf{R} \times [0,\infty)} \times \text{shift}} \mathbf{R} \times [0,\infty) \times \mathbf{R}^\infty,$$

where the first map swaps the right $[0, \infty) \times \mathbf{R}^N$ -factor with the middle $\mathbf{R} \times [0, \infty)$ -factor. For instance, condition (iii) is used to ensure the condition $\Psi(P \times W) \cap (\mathbf{R} \times [0, \epsilon] \times \mathbf{R}^\infty) = \partial(\Psi(P \times W)) \times [0, \epsilon]$ in the definition of a [p]-walled manifold with boundary. Note that $\Psi(P \times W)$ comes with a preferred homeomorphism $P \times W \cong \Psi(P \times W)$ which is a diffeomorphism away from $\partial P \times \partial W$ as a consequence of condition (ii). Taking products with *P* and conjugating with ψ induces a map

$$\operatorname{Emb}((W,\mu),(W',\mu')) \longrightarrow \operatorname{Emb}((\Psi(P \times W),\mu),(\Psi(P \times W'),\mu')),$$

which is well-defined due to the collaring condition (ii) on embeddings between [p]-walled *d*-manifolds with boundaries. Going through the construction of nc \mathscr{B} ord $(d)^{\partial}$, one checks that the assignment $(W, \mu) \mapsto (\Psi(P \times W), \mu)$ together with the maps between embedding spaces just discussed leads to functors as desired.

These product functors can be extended to include tangential structures. To this end, one notes that there is a functor of symmetric monoidal categories $(P \times -)$: $\mathcal{M}an_d^\partial \to \mathcal{M}an_{p+d}^\partial$ defined as for (65). On underlying ∞ -categories, this participates in a diagram of ∞ -categories

where the upper right vertical arrow is the functor that sends a map $X \to Y$ of $GL_d(\mathbf{R})$ -spaces to

$$(\operatorname{Fr}(P) \times X) \cup_{\operatorname{Fr}(\partial P \times [0, \epsilon]) \times X} (\operatorname{Fr}(\partial P \times [0, \epsilon]) \times Y) \to \operatorname{Fr}(P) \times Y$$

viewed as a map of $(GL_p(\mathbf{R}) \times GL_d(\mathbf{R}))$ -spaces, and the functor $\operatorname{ind}_{p,d}^{p+d}$ is the left adjoint to the restriction along the inclusion $GL_p(\mathbf{R}) \times GL_d(\mathbf{R}) \subset GL_{p+d}(\mathbf{R})$. (66) can be extended to a *commutative* square of ∞ -categories in a way similar to what we did for (64): recognise it as the coherent nerve of a diagram of Kan-enriched categories and then use that the two compositions are related by a zig-zag of natural equivalences. In this case, the zig-zag is provided by the commutative diagram

of $(\operatorname{GL}_{p}(\mathbf{R}) \times \operatorname{GL}_{d}(\mathbf{R}))$ -spaces which is natural in W and consists of vertical equivalences when taking adjoints with respect to the $(\operatorname{ind}_{p,d}^{p+d}, \operatorname{res}_{p,d}^{p+d})$ -adjunction. Here, $c(P) := \partial P \times (0, \delta) \subset \operatorname{int}(P)$ and $c(W) := \partial W \times (0, \delta) \subset \operatorname{int}(P)$, the lower vertical arrows are induced by the inclusions $\operatorname{int}(P) \subset P$ and $\operatorname{int}(W) \subset W$, and the upper vertical arrows by the preferred embedding $\operatorname{int}(P) \times \operatorname{int}(W) \hookrightarrow \Psi(P \times W)$ induced by ψ ; this uses property (iv) of ψ . Similarly to the final paragraph of Step $(\overline{o}.5, (66))$ yields a commutative diagram of symmetric monoidal double ∞ -categories

Now given a tangential structure with boundary $\lambda = (\lambda^{\partial} \to \lambda^{\circ}) \in PSh([1] \times GL_p)$, and a λ -structure on P in the form of a map $\ell_P : (Fr(P), Fr(\partial P \times [0, \epsilon])) \to (\lambda^{\circ}, \lambda^{\partial})$ in $PSh([1] \times GL_p)$, then (67) induces a functor of symmetric monoidal double ∞ -categories

$$((P, \ell_P) \times (-)): \operatorname{nc} \mathscr{B} \operatorname{ord}^{\theta}(d)^{\partial} \longrightarrow \operatorname{nc} \mathscr{B} \operatorname{ord}^{\operatorname{glue}(\theta, \lambda)}(p+d)^{\partial},$$

where $\text{glue}(\theta, \lambda) := \text{ind}_{p,d}^{p+d} (\lambda^{\circ} \times \theta^{\partial} \cup_{\lambda^{\partial} \times \theta^{\partial}} \lambda^{\partial} \times \theta^{\circ} \to \lambda^{\circ} \times \theta^{\circ}) \in \text{PSh}([1] \times \text{GL}_{p+d})$. This also extends the variants of the product functors mentioned below (65), where property (v) of ψ is used for the variants without boundary.

Example 3.13. In the case of framings $fr_p = \lambda = (id: GL_p(\mathbf{R}) \to GL_p(\mathbf{R}))$ and $fr_d = \theta = (id: GL_d(\mathbf{R}) \to GL_d(\mathbf{R}))$, we have glue $(fr_p, fr_d) \simeq fr_{d+p}$, so omitting the subscripts, we have a product functor of symmetric monoidal double ∞ -categories $((P, \ell_P) \times (-))$: nc \mathscr{B} ord^{fr} $(d)^{\partial} \to$ nc \mathscr{B} ord^{fr} $(p+d)^{\partial}$ for framed *p*-manifolds *P*, and similarly for the compact variants.

4. Properties of E, embedding calculus and Disc-structure spaces

The main outcome of the previous section is the construction of a functor

$$E: \operatorname{nc}\mathscr{B}\operatorname{ord}(d) \longrightarrow \mathscr{M}\operatorname{od}(d) \coloneqq \operatorname{ALG}(\operatorname{PSh}(\mathscr{D}\operatorname{isc}_d))$$

of symmetric monoidal double ∞ -categories, in the sense of Section 2.5.3, from a bordism category of (possible noncompact) (d-1)-manifolds to a Morita category on the category PSh(\Im isc_d) of presheaves on a category \Im isc_d of finite disjoint unions of d-dimensional Euclidean spaces and codimension 0 embeddings between them. We also constructed variants \Re ord(d), \Re ord(d)^{∂} and nc \Re ord(d)^{∂} of nc \Re ord(d), related by a diagram of symmetric monoidal double ∞ -categories (60), as well as enhancements with tangential structures of all of these bordism categories.

This section has several purposes: first, in Section 4.1, we give more practical descriptions of these double ∞ -categories by describing their objects and mapping ∞ -categories in a model-independent and more intuitive manner, and we explain the functor *E* in these terms. For most of the arguments in the later sections, this discussion is sufficient, and there is no need to know the specifics of the construction in Section 3. Second, we establish three properties of the functor *E*:

- a descent property in Section 4.2,
- a close relationship to Goodwillie-Weiss' embedding calculus in Section 4.3, and
- an isotopy extension property in Section 4.4.

Finally, in Section 4.5, we give the precise definition of the \mathcal{D} isc-structure spaces.

4.1. Mapping ∞-categories

Recall from Section 2.5.4 that a double ∞ -category \mathscr{C} has mapping ∞ -categories $\mathscr{C}_{A,B}$ for objects $A, B \in \mathscr{C}_{[0]}$, and these feature in composition functors $\mathscr{C}_{A,B} \times \mathscr{C}_{B,C} \to \mathscr{C}_{A,C}$. We now spell these out for some of the double ∞ -categories of the previous section.

4.1.1. nc*B*ord(*d*)

In short: objects of nc \mathscr{B} ord(d) are (possibly noncompact) (d-1)-manifolds P without boundary, and given two such manifolds P and Q, the objects of the mapping ∞ -category nc \mathscr{B} ord $(d)_{P,Q}$ are bordisms $W: P \rightarrow Q$ and the mapping spaces in nc \mathscr{B} ord $(d)_{P,Q}$ are given by embedding spaces relative to the boundary. The composition in these mapping ∞ -categories is by composing embeddings, the composition functor nc \mathscr{B} ord $(d)_{P,Q} \times nc\mathscr{B}$ ord $(d)_{Q,R} \rightarrow nc\mathscr{B}$ ord $(d)_{P,R}$ by gluing bordisms, and the symmetric monoidal structure by disjoint union.

More precisely, given a (d - 1)-manifold P, we may use the weak Whitney embedding theorem to choose an embedding $P \subset \mathbb{R}^{\infty}$ and can thus view P as a [0]-walled manifold $(\mathbb{R} \times P, \mu)$ in the sense of **Step** ① of Section 3 (and hence as an object in $\operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{[0]}$) by setting $\mu(0) = 0$. Moreover, it is easy to see that each object in $\operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{[0]}$ is equivalent to one of this form, so we will no longer distinguish between abstract (d - 1)-manifolds and objects in $\operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{[0]}$. Similarly, given a bordism $W := P \rightsquigarrow Q$ between (d - 1)-manifolds, we may embed it suitably collared in $[0, 1] \times \mathbb{R}^{\infty}$ so that $((\infty, 0] \times P \cup W \cup [1, \infty) \times Q, \mu)$ with $\mu(i) = i$ for i = 0, 1 is a [1]-walled manifold and thus an object

in the mapping ∞ -category nc \mathscr{B} ord $(d)_{P,Q}$. Again, any object is equivalent to one of this form, so we will also no longer distinguish between abstract bordisms $P \rightsquigarrow Q$ and objects in nc \mathscr{B} ord $(d)_{P,Q}$. The identification of the mapping spaces in nc \mathscr{B} ord $(d)_{P,Q}$ is justified by the following:

Lemma 4.1. Given possibly noncompact bordisms $W, W': P \rightarrow Q$ between (d - 1)-manifolds P, Q without boundary, there is a natural equivalence $\operatorname{Map}_{\operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P,Q}}(W, W') \simeq \operatorname{Emb}_{\partial}(W, W')$ in \mathscr{S} .

Proof. Using that mapping spaces in a pullback of ∞ -categories are pullbacks of the mapping spaces, and that coherent nerves of Kan-enriched categories preserve mapping spaces, we see $\operatorname{Map}_{\operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P,Q}}(W,W')$ is the fibre (i.e., pullback along the indicated inclusion of a point) in \mathscr{S}

$$\operatorname{Map}_{\operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P,Q}}(W,W') = \operatorname{fib}_{\operatorname{id}}(\operatorname{Emb}(W,W')_{W} \xrightarrow{\operatorname{res}} \operatorname{Emb}(P,P) \times \operatorname{Emb}(Q,Q)),$$

where the subscript $(-)_w$ indicates that we restrict to the subspace of embeddings e that in some fixed collars, $P \times [0, 1] \hookrightarrow W$ and $Q \times [-1, 0] \hookrightarrow W$ have the form $id \times e_P$ and $id \times e_Q$ for self-embeddings e_P and e_Q of P and Q, respectively. The map res is induced by restriction to e_P and e_Q . It is not hard to see that this is a Kan fibration, so the fibre in S agrees with the point-set fibre over (id, id). The latter is $\text{Emb}_{\partial}(W, W')$, so we obtain an equivalence as claimed.

4.1.2. *B*ord(*d*)

Under the identification of the objects in $nc\mathscr{B}ord(d)_{[0]}$ as (d-1)-manifolds P without boundary, those in the subcategory $\mathscr{B}ord(d)_{[0]}$ correspond to (d-1)-manifolds P without boundary that are also compact. Similarly, the objects in the mapping ∞ -categories of the levelwise subcategory $\mathscr{B}ord(d) \subset nc\mathscr{B}ord(d)$ correspond to *compact* bordisms between closed manifolds. The morphism spaces in the mapping ∞ categories are given by spaces of diffeomorphisms fixing the boundary. Hence, unlike for $nc\mathscr{B}ord(d)$, all mapping ∞ -categories of $\mathscr{B}ord(d)$ are ∞ -groupoids and can be regarded as spaces. Thus, by the discussion of Section 2.5.6, not much is lost by applying $(-)^{(\infty,1)}$ and considering the symmetric monoidal ∞ -category $\mathscr{B}ord(d)^{(\infty,1)}$ with objects closed (d-1)-manifolds and mapping spaces

$$\mathscr{B}$$
ord $(d)_{P,Q} \simeq \operatorname{Map}_{\mathscr{B}$ ord $(\infty,1)}(d)(P,Q) \simeq \bigsqcup_{[W]} \operatorname{BDiff}_{\partial}(W),$

where [W] ranges over compact bordisms $W: P \rightarrow Q$ up to diffeomorphism relative to the ends. Composition is by gluing bordisms and the symmetric monoidal structure by disjoint union.

4.1.3. nc \mathscr{B} ord $^{\theta}(d)$

In short: given a tangential structure θ in the form of a $\operatorname{GL}_d(\mathbf{R})$ -space θ , the objects of $\operatorname{nc}\mathscr{B}\operatorname{ord}^{\theta}(d)$ are (possibly noncompact) (d-1)-manifolds P with a θ -structure on their once-stabilised tangent bundle (i.e., a $\operatorname{GL}_d(\mathbf{R})$ -equivariant map $\theta_P \colon \operatorname{Fr}(I \times N) \to \theta$ where $\operatorname{Fr}(-)$ denotes the frame bundle and I = [0, 1]). The objects of the mapping category $\operatorname{nc}\mathscr{B}\operatorname{ord}^{\theta}(d)_{(P,\theta_P),(Q,\theta_Q)}$ are bordisms with θ -structures and the morphisms are θ -embeddings, fixed on the boundary. The composition and monoidal structure is as in $\operatorname{nc}\mathscr{B}\operatorname{ord}(d)$, but with the addition of θ -structures.

To justify this, recall from Section Step O.3 that the noncompact bordism category with θ -structures is defined as the pullback of symmetric monoidal double ∞ -categories

$$\operatorname{nc}\mathscr{B}\operatorname{ord}^{\theta}(d) = \operatorname{nc}\mathscr{B}\operatorname{ord}(d) \times_{\operatorname{COSPAN}^{+}(\operatorname{PSh}(\operatorname{GL}_{d}))} \operatorname{COSPAN}^{+}(\operatorname{PSh}(\operatorname{GL}_{d})_{/\theta}),$$

so the claimed description of the objects follows by using that forgetting symmetric monoidal structures preserves pullbacks and that pullbacks of double ∞ -categories are computed levelwise. This also shows

that the mapping ∞ -categories are given by pullbacks of ∞ -categories

which justifies the description of the objects in $nc\mathscr{B}ord^{\theta}(d)_{(P,\theta_P),(Q,\theta_Q)}$ when combined with the equivalence $\text{COSPAN}^+(\mathscr{C})_{A,B} \simeq \mathscr{C}_{A\sqcup B/}$ mentioned in Section 2.10. Combining this discussion with the fact that mapping spaces in a pullback of ∞ -categories agree with the pullback of the mapping spaces, we arrive at the following precise version of the description of the mapping spaces in the mapping ∞ -category $nc\mathscr{B}ord^{\theta}(d)_{(P,\theta_P),(Q,\theta_Q)}$.

Lemma 4.2. Given (d-1)-manifolds (P, θ_P) , (Q, θ_Q) without boundary together with θ -structures on their once-stabilised tangent bundle, and θ -bordisms (W, θ_W) , $(W', \theta_{W'})$: $(P, \theta_P) \rightarrow (Q, \theta_Q)$, there is a natural pullback diagram in S.

4.1.4. $\mathscr{B}ord^{\theta}(d)$

The previous discussion of $\operatorname{nc}\mathscr{B}\operatorname{ord}^{\theta}(d)$ applies also to the levelwise subcategory $\mathscr{B}\operatorname{ord}^{\theta}(d)$ when restricting to compact manifolds throughout. By a minor enhancement of the argument in Section 4.1.2, the mapping ∞ -categories $\mathscr{B}\operatorname{ord}^{\theta}(d)$ are ∞ -groupoids, so as for $\mathscr{B}\operatorname{ord}(d)$, not much is lost by applying $(-)^{(\infty,1)}$ and considering the symmetric monoidal ∞ -category $\mathscr{B}\operatorname{ord}^{\theta}(d)^{(\infty,1)}$ with closed (d-1)-manifolds with θ -structure on their once-stabilised tangent bundle as objects and as mapping spaces

$$\mathscr{B}\mathrm{ord}^{\theta}(d)_{(P,\theta_P),(Q,\theta_Q)} \simeq \mathrm{Map}_{\mathscr{B}\mathrm{ord}^{\theta}(d)^{(\infty,1)}}((P,\theta_P),(Q,\theta_Q)) \simeq \bigsqcup_{[W]} \mathrm{BDiff}_{\partial}^{\theta}(W,\theta_P \sqcup \theta_Q), \quad (68)$$

where [W] ranges over compact bordisms $W: P \rightsquigarrow Q$ up to diffeomorphism relative to the ends and $\text{BDiff}_{\partial}^{\theta}(W, \theta_P \sqcup \theta_Q)$ is the quotient $\text{Map}_{PSh(GL_d)_{Fr(I \times P) \sqcup Fr(I \sqcup Q)/}}(Fr(W), \theta)/\text{Diff}_{\partial}(W)$ where the action is induced by precomposition (by standard bundle theory, this agrees with other definitions of $\text{BDiff}_{\partial}^{\theta}(-)$ in the literature such as that in [GRW14, Definition 1.5]). Composition is given by gluing θ -bordisms and the symmetric monoidal structure by disjoint union.

4.1.5. Variants with boundary

The discussion for the variants $\operatorname{nc}\mathscr{B}\operatorname{ord}(d)^{\partial}$ and $\mathscr{B}\operatorname{ord}(d)^{\partial}$ with boundary and their enhancements with tangential structures $\operatorname{nc}\mathscr{B}\operatorname{ord}^{\theta}(d)^{\partial}$ and $\mathscr{B}\operatorname{ord}^{\theta}(d)^{\partial}$ is the same as that for the version without boundary, except that we allow the (d-1)-manifolds that appear as objects to have boundary and the bordisms $W: P \to Q$ to be bordisms of manifolds with boundary. The bordisms thus come with a decomposition $\partial W = \partial_0 W \cup \partial^h W \cup \partial_1 W$ into codimension 0 submanifolds where the *ends* $\partial_i Ws$ are disjoint and come with identifications $P \cong \partial_0 W$ and $Q \cong \partial_1 W$, and the *horizontal boundary* $\partial^h W$ meets the ends in a corner. Embeddings between such manifolds are required to preserve this decomposition, map the interior to the interior, and be the identity near the ends, but they are allowed to move the horizontal boundary. The discussion for the variants $\operatorname{nc}\mathscr{B}\operatorname{ord}^{\theta}(d)^{\partial}$ and $\mathscr{B}\operatorname{ord}^{\theta}(d)^{\partial}$ with tangential structures is similar; on the ends, the tangential structures are fixed, but not on the horizontal boundary.

4.1.6. \mathscr{D} isc_d and \mathscr{M} od(d)

The objects of the symmetric monoidal ∞ -category \mathscr{D} isc_d can be identified with *d*-manifolds without boundary that are diffeomorphic to a finite disjoint union of \mathbb{R}^d 's. The mapping spaces are given by codimension 0 embeddings and the symmetric monoidal structure by disjoint union. Day convolution equips the ∞ -category PSh(\mathscr{D} isc_d) of \mathscr{S} -valued presheaves with a symmetric monoidal structure, and the objects of \mathscr{M} od(d) = ALG(PSh(\mathscr{D} isc_d)) are associative algebras in PSh(\mathscr{D} isc_d) (see Section 2.9). The mapping ∞ -category between two associative algebras $A, B \in \mathscr{M}$ od(d) is the ∞ -category \mathscr{M} od(d)_{*A*,*B*} of (*A*, *B*)-bimodules and bimodule maps between these (see Section 2.8 where this category is denoted BMod_{*A*,*B*}(PSh(\mathscr{D} isc_d))). The composition functors \mathscr{M} od(d)_{*A*,*B*} $\times \mathscr{M}$ od(d)_{*B*,*C*} $\rightarrow \mathscr{M}$ od(d)_{*A*,*C*} are given taking tensor products over *B*, which we denote by $(-) \cup_B (-)$ to emphasise the similarity with the bordism category. The symmetric monoidal structure is given by external tensor product.

4.1.7. The functor *E*

In terms of the identifications of the objects and mapping categories of source and target explained in Sections 4.1.1 and 4.1.6, the functor $E: nc\mathscr{B}ord(d) \to \mathscr{M}od(d)$ of symmetric monoidal double ∞ categories is on objects given by sending a (d-1)-manifold P to the presheaf $E_{P\times I} = \operatorname{Emb}(-, P \times I)$ where I = [0, 1] is equipped with the algebra structure induced by 'stacking'. On mapping ∞ -categories, it is given by the functor $nc\mathscr{B}ord(d)_{P,Q} \to \mathscr{M}od(d)_{E_{P\times I},E_{Q\times I}}$ which sends a bordism $W: M \to N$ to the presheaf $E_W = \operatorname{Emb}(-, W)$ with its $(E_{P\times I}, E_{Q\times I})$ -bimodule structure by 'stacking', using fixed collars $P \times I \hookrightarrow W$ and $Q \times I \hookrightarrow W$ of both ends, where the convention is that the canonical vector field on $P \times I$ is inwards pointing and that of $Q \times I$ is outwards pointing. On morphisms, it sends an embedding $W \hookrightarrow W'$ that is fixed on the boundary to the map $E_W \to E_{W'}$ induced by postcomposition. That E is a functor of double ∞ -categories in particular says that, given bordisms $W: P \to Q$ and $W': Q \to R$, we have a preferred equivalence $E_{W \cup QW'} \simeq E_W \cup_{E_{Q\times I}} E_{W'}$ of $(E_{P\times I}, E_{R\times I})$ -bimodules.

We will often restrict the functor *E* to the levelwise subcategory \mathscr{B} ord(*d*) of nc \mathscr{B} ord(*d*) and pass to underlying symmetric monoidal ∞ -categories (i.e., apply the functor $(-)^{(\infty,1)}$ from Section 2.5.6, which has little effect on \mathscr{B} ord(*d*); see Section 4.1.2) to obtain a functor of symmetric monoidal ∞ categories $E: \mathscr{B}$ ord(*d*)^($\infty,1$) $\rightarrow \mathscr{M}$ od(*d*)^($\infty,1$). Recall from Section 2.5.6 that the mapping spaces of \mathscr{M} od(*d*)^($\infty,1$) are given as Map_{\mathscr{M} od(*d*)^($\infty,1$) (*A*, *B*) $\simeq \mathscr{M}$ od(*d*)^{\widetilde{A}_{AB} .}}

4.2. Descent with respect to Weiss ∞-covers

We now prove a descent property for the mapping spaces in \mathcal{M} od $(d)_{E_{P\times I}, E_{Q\times I}}$ for (possibly noncompact) (d-1)-manifolds P and Q without boundary. To state it, given a bordism $W: P \to Q$, we write $\mathcal{O}(W)$ for the poset of open subsets of W containing a neighbourhood of the boundary, ordered by inclusion. A subposet $\mathcal{U} \subset \mathcal{O}(M)$ is a *Weiss* ∞ -cover of M if any finite subset of M is contained in some $O \in \mathcal{U}$. Such a cover is *complete* if it contains a Weiss ∞ -cover for $\bigcap_{O \in \mathcal{U}'} O$ for any finite subset $\mathcal{U}' \subset \mathcal{U}$. A functor $F: \mathcal{O}(W)^{\text{op}} \to \mathcal{C}$ to an ∞ -category \mathcal{C} satisfies *descent for Weiss* ∞ -covers if for every nonempty $O \in \mathcal{O}(W)$ and every complete Weiss ∞ -cover $\mathcal{U} \subset \mathcal{O}(O)$, the diagram $F(O) \to \{F(U)\}_{U \in \mathcal{U}}$ is a limit.

Proposition 4.3. For a nonempty bordism $W \in \operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P,Q}$ and a bimodule $X \in \mathscr{M}\operatorname{od}(d)_{E_{P\times I}, E_{Q\times I}}$, the functor $\operatorname{Map}_{\mathscr{M}\operatorname{od}(d)_{E_{P\times I}, E_{Q\times I}}}(E_{(-)}, X) \colon \mathscr{O}(W)^{\operatorname{op}} \to \mathscr{S}$ satisfies descent for Weiss ∞ -covers.

Proof. It suffices to show that for a given complete Weiss ∞-cover $\mathcal{U} \subset \mathcal{O}(O)$ of nonempty open subset $O \in \mathcal{O}(W)$, the diagram $\{E_U\}_{U \in \mathcal{U}} \to E_O$ is a colimit diagram in $\mathcal{M}od(d)_{E_{P \times I}, E_{Q \times I}} =$ $BMod_{E_{P \times I}, E_{Q \times I}}(PSh(\mathcal{D}isc_d))$. Since \mathcal{U} is cofiltered, its nerve is weakly contractible, so by Lemma 2.17 (i), it suffices to show that the diagram is a colimit diagram after applying the forgetful functor to $PSh(\mathcal{D}isc_d)$. The result is is the diagram $\{Emb(-, U)\}_{U \in \mathcal{U}} \to Emb(-, O)$ in $PSh(\mathcal{D}isc_d)$, so as colimits in functor categories are computed objectwise [Lur09a, 5.1.2.3], it suffices to show that $\{Emb(T \times \mathbf{R}^d, U)\}_{U \in \mathcal{U}} \to Emb(T \times \mathbf{R}^d, O)$ is a colimit diagram in \mathcal{S} for all finite sets T, or equivalently, that it is a homotopy colimit diagram in the Kan–Quillen model structure on simplicial sets. This holds by a well-known argument; see the proof of [KK24a, Lemma 6.4]. □ **Remark 4.4.** The assumption that W is nonempty is necessary: for $W = \emptyset$, the empty cover $\mathcal{U} = \emptyset$ is a cover of W, but E_W is not the colimit of the empty diagram as $E_W(\emptyset) \simeq *$.

4.3. Relationship to embedding calculus

Using Proposition 4.3, we now relate the functor $\operatorname{nc}\mathscr{B}\operatorname{ord}_{P,Q} \to \mathscr{M}\operatorname{od}(d)_{P \times I,Q \times I}$ induced by E on mapping ∞ -categories to the map $\operatorname{Emb}_{\partial}(W, W') \to T_{\infty}\operatorname{Emb}_{\partial}(W, W')$ provided by *embedding calculus* as introduced in [Wei99, Wei11].

Theorem 4.5. Given bordisms $W, W' \in \operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P,Q}$, the map

$$\operatorname{Map}_{\operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P,Q}}(W,W') \longrightarrow \operatorname{Map}_{\mathscr{M}\operatorname{od}(d)_{E_{P\times I},E_{O\times I}}}(E_W,E_{W'})$$
(69)

agrees up to equivalence with the map $\operatorname{Emb}_{\partial}(W, W') \to T_{\infty} \operatorname{Emb}_{\partial}(W, W')$ from [Wei99].

Proof. We consider the poset \mathcal{U} of open subsets $U \subset W$ that are unions $U = c(P) \cup D \cup c(Q)$ of three disjoint open subsets of W where c(P) and c(Q) are open collars of the boundary components P and Q and D is diffeomorphic to $T \times \mathbf{R}^d$ for some finite set T, ordered by inclusion. Considering $U \in \mathcal{U}$ as an object in nc \mathscr{B} ord $(d)_{P,Q}$, we obtain a commutative square in \mathcal{S}

whose vertical arrows are induced by restriction. By Lemma 4.1, the map (1) agrees with the restriction map $\operatorname{Emb}_{\partial}(W, W') \to \lim_{U \in \mathscr{U}} \operatorname{Emb}_{\partial}(U, W')$ which in turn agrees with the map $\operatorname{Emb}_{\partial}(W, W') \to T_{\infty}\operatorname{Emb}_{\partial}(W, W')$ by the discussion in [Wei99, Sections 5, 10], so the claim follows once we show that (2) and (3) are equivalences. As $\mathscr{U} \subset \mathscr{O}(W)$ is a complete Weiss ∞ -cover, the map (3) is an equivalence by Proposition 4.3. To prove that the map (2) is an equivalence, we show that for all $U \in \mathscr{U}$, the individual maps

$$E: \operatorname{Emb}_{\partial}(U, W') \simeq \operatorname{Map}_{\operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P,Q}}(U, W') \longrightarrow \operatorname{Map}_{\mathscr{M}\operatorname{od}(d)_{E_{P\times I}, E_{Q\times I}}}(E_U, E_{W'})$$
(70)

before taking limits are equivalences. To give a convincing proof of this, we rely on the specific construction of *E* from Section 3 and refer to that section for the notation. Recall that the functor *E* arose from restricting the codomain of the composition of simplicial objects in ∞ -categories

$$\operatorname{nc}\mathscr{B}\operatorname{ord}(d) \xrightarrow{E^{\operatorname{geo}}} \overline{\operatorname{ALG}}(\mathscr{M}\operatorname{an}_d) \xrightarrow{(\iota^* \circ y)_*} \overline{\operatorname{ALG}}(\operatorname{PSh}(\mathscr{D}\operatorname{isc}_d)), \tag{71}$$

where $(\iota^* \circ y)$: $\mathcal{M}an_d \to PSh(\mathcal{D}isc_d)$ is the Yoneda embedding followed by restriction along the inclusion ι : $\mathcal{D}isc_d \hookrightarrow \mathcal{M}an_d$. This factorisation induces a commutative diagram

where the top composition is obtained from (71) by evaluation at [1] and taking fibres of the face maps (d_0, d_1) , the middle and rightmost vertical map are the forgetful maps from Lemma 2.17 and the bottom left horizontal map is the coherent nerve of the functor ncBord $(d)_{[1]} \rightarrow \text{Man}_d$ of Kanenriched categories that sends a [1]-walled manifold (W, μ) to $W|_{(\mu(0)-\epsilon,\mu(1)+\epsilon)}$. In particular, for $U = c(P) \cup D \cup c(Q) \in \mathcal{U}$ considered as an object in $\operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P,Q}$, the inclusion $D \subset U$ viewed as a morphism in $\mathscr{M}\operatorname{an}_d$ gives a morphism $D \to U_{E^{geo}(P), E^{geo}(Q)}(E^{geo}(U))$ in $\mathscr{M}\operatorname{an}_d$, so by adjunction, a morphism $F_{E^{geo}(P), E^{geo}(Q)}(D) \to E^{geo}(U)$ in $\operatorname{BMod}_{E^{geo}(P), E^{geo}(Q)}(\mathscr{M}\operatorname{an}_d)$ which we claim to be an equivalence. By Lemma 2.17 (ii), it suffices to show that the image

$$U_{E^{geo}(P),E^{geo}(Q)}(F_{E^{geo}(P),E^{geo}(Q)}(D)) \longrightarrow U_{E^{geo}(P),E^{geo}(Q)}(E^{geo}(U)) = U = c(P) \cup D \cup c(Q)$$

under $U_{E^{geo}(M),E^{geo}(N)}$ is an equivalence. This is a consequence of the second part of Lemma 2.17 (iii). Applying $(\iota^* \circ y)$ and using Lemma 2.17 (iv), it follows that the natural map $F_{E_{P\times I},E_{Q\times I}}(E_D) \to E_U$ in $\mathcal{M}od(d)_{E_{P\times I},E_{Q\times I}}$ is an equivalence. As $F_{E_{P\times I},E_{Q\times I}}$ is left-adjoint to the forgetful functor $U_{E_{P\times I},E_{Q\times I}}$, the map (70) thus has the form

$$\operatorname{Emb}_{\partial}(c(M) \cup D \cup c(N), W') = \operatorname{Emb}_{\partial}(U, W') \longrightarrow \operatorname{Map}_{\operatorname{PSh}(\mathcal{D}isc_d)}(E_D, E_{W'})$$

and is given by the restriction map $\operatorname{Emb}_{\partial}(c(M) \cup D \cup c(N), W') \to \operatorname{Emb}_{\partial}(D, W')$ followed by the map induced by the Yoneda embedding. The former is an equivalence by the contractibility of the space of collars, and the latter is an equivalence by the Yoneda lemma since *D* lies in the full subcategory \mathscr{D} isc_d $\subset \mathscr{M}$ an_d, so the composition is an equivalence.

Remark 4.6. The first part of the previous proof in particular shows that for bordisms $W \in \operatorname{nc}\mathscr{B}\operatorname{ord}_{P,Q}$ that are diffeomorphic, relative to the ends, to $[0, 1) \times P \sqcup T \times \mathbb{R}^d \sqcup (-1, 0] \times Q$ for some finite set *T*, the map (69) is an equivalence for all bordisms $W' \in \operatorname{nc}\mathscr{B}\operatorname{ord}_{P,Q}$. In particular, for $T = \emptyset$, we see from the contractibility of the space of collars that both the source and target of this map are both contractible.

Combining Theorem 4.5 with the convergence of embedding calculus in handle codimension ≥ 3 due to Goodwillie, Klein and Weiss (see [GW99, Fact 5.1] and [GK15]), we conclude the following:

Corollary 4.7. Fix bordisms $W, W' \in \operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P,Q}$. If W can be obtained from a closed collar of $P \sqcup Q \cong \partial W$ by attaching handles of index $\leq d - 3$, then the map

$$\operatorname{Emb}_{\partial}(W, W') \simeq \operatorname{Map}_{\operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P,Q}}(W, W') \longrightarrow \operatorname{Map}_{\mathscr{M}\operatorname{od}(d)_{E_{P \times I}, E_{Q \times I}}}(E_W, E_{W'}) \simeq T_{\infty}\operatorname{Emb}_{\partial}(W, W')$$

induced by E is an equivalence.

4.3.1. Comparison with the model of Boavida de Brito-Weiss

Theorem 4.5 shows that the map (69) is a model for embedding calculus, so agrees up to weak equivalence with any other model. Among the previously established models, that of Boavida de Brito–Weiss [BdBW13] is closest to ours. Like ours, their model enhances the embedding calculus approximation $\text{Emb}_{\partial}(W, W') \rightarrow T_{\infty}\text{Emb}_{\partial}(W, W')$ to a functor on $\text{nc}\mathscr{B}\text{ord}(d)_{P,Q}$. This section serves to extend Theorem 4.5 to a comparison of the *functors* as opposed to just the individual maps on mapping spaces. This will in particular show that the monoid structures on $T_{\infty}\text{Emb}_{\partial}(W, W)$ induced by composition in our and their model agree, which we will use in Section 8.1.

For this, we write $(\mathcal{D}isc_d)_{P,Q} \subset nc\mathscr{B}ord(d)_{P,Q}$ for the full subcategory of those bordisms that are diffeomorphic relative to the boundary to $P \times [0, 1) \sqcup T \times \mathbf{R}^d \sqcup (-1, 0] \times Q$ for some finite set *T*. When translated from Kan-enriched categories to ∞ -categories, Boavida de Brito–Weiss's model for the embedding calculus approximation $\text{Emb}_{\partial}(W, W') \to T_{\infty}\text{Emb}_{\partial}(W, W')$ is the map on mapping spaces between *W* and *W'* induced by the composition

$$\operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P,Q} \xrightarrow{y} \operatorname{PSh}(\operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P,Q}) \xrightarrow{\iota^*} \operatorname{PSh}((\mathscr{D}\operatorname{isc}_d)_{P,Q})$$

of the Yoneda embedding with the inclusion $\iota: (\mathscr{D}isc_d)_{P,Q} \hookrightarrow nc\mathscr{B}ord(d)_{P,Q}$ (cf. Section 9 loc.cit.).

Proposition 4.8. *There is an equivalence of* ∞ *-categories*

$$\varphi \colon \mathscr{M}\mathrm{od}(d)_{E_{P \times I}, E_{Q \times I}} \xrightarrow{\simeq} \mathrm{PSh}((\mathscr{D}\mathrm{isc}_d)_{P,Q})$$

which fits into a commutative diagram of ∞ -categories

$$\mathscr{M}\mathrm{od}(d)_{E_{P\times I}, E_{Q\times I}} \xrightarrow{\underset{\varphi}{\overset{E}{\underbrace{\qquad}}}} \overset{\mathrm{nc}\mathscr{B}\mathrm{ord}(d)_{P,Q}}{\underbrace{\qquad} \overset{\iota^* \circ y}{\underbrace{\qquad} }} \mathsf{PSh}((\mathscr{D}\mathrm{isc}_d)_{P,Q})$$

Proof. The functor φ is defined as the composition

$$\mathcal{M}\mathrm{od}(d)_{E_{P\times I}, E_{Q\times I}} \xrightarrow{y} \mathrm{PSh}(\mathcal{M}\mathrm{od}(d)_{E_{P\times I}, E_{Q\times I}}) \xrightarrow{E^*} \mathrm{PSh}(\mathrm{nc}\mathscr{B}\mathrm{ord}(d)_{P,Q}) \xrightarrow{\iota^*} \mathrm{PSh}((\mathscr{D}\mathrm{isc}_d)_{P,Q}).$$

With this choice, the canonical natural transformation $y \to E^* \circ y \circ E$ induces a natural transformation from the right-hand diagonal functor $(\iota^* \circ y)$ in the claimed triangle to $(\varphi \circ E)$, and we will first show that this is an equivalence to obtain commutativity of the triangle. On a bordism $W \in \operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P,Q}$, this natural transformation is the map in $\operatorname{PSh}((\mathscr{D}\operatorname{isc}_d)_{P,Q})$ induced by E

$$\operatorname{Emb}_{\partial}(-, W) \simeq \operatorname{Map}_{\operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P,Q}}(-, W) \longrightarrow \operatorname{Map}_{\mathscr{M}\operatorname{od}(d)_{E_{P\times I}, E_{Q\times I}}}(E_{(-)}, E_{W}).$$

which is an equivalence by Remark 4.6. Note that this in particular shows that $E \circ \iota$ is fully faithful.

It remains to show that φ is an equivalence. We will use that for $U \in (\mathscr{D}isc_d)_{P,Q}$, we have $E_U \simeq F_{E_{P\times I}, E_{Q\times I}}(E_{T_U \times \mathbb{R}^d}) \in \mathscr{M}od(d)_{E_{P\times I}}$ as a result of the final part of the proof of Theorem 4.5; here, T_U is the finite set such that $U \cong P \times [0,1) \sqcup T_U \times \mathbb{R}^d \sqcup (-1,0] \times Q$. This property in particular implies that φ is conservative, using that $U_{E_{P\times I}, E_{Q\times I}}$ is conservative by Lemma 2.17 (ii), and that a map of presheaves is an equivalence if it is one objectwise. To show that φ is an equivalence, it thus suffices to prove that it has a fully faithful left adjoint. This left adjoint is given by the colimit preserving extension $\overline{E \circ \iota}$: PSh($\mathscr{D}isc_d$)_{P,Q}) $\rightarrow \mathscr{M}od(d)_{E_{P\times I}, E_{Q\times I}} \cong$ BMod_{$E_{P\times I, E_{Q\times I}$} (PSh($\mathscr{D}isc_d$)) has colimits as a result of Lemma 2.17. By [Lur09a, 5.1.6.10], this left adjoint $\overline{E \circ \iota}$ is fully faithful if $E_U \in \mathscr{M}od(d)_{E_{P\times I}, E_{Q\times I}}$ is completely compact for all $U \in (\mathscr{D}isc_d)_{P,Q}$, i.e. if Map_{$\mathscr{M}od(d)_{E_{P\times I}, E_{Q\times I}}(E_U, -)$: $\mathscr{M}od(d)_{E_{P\times I}, E_{Q\times I}} \rightarrow \mathscr{S}$ preserves small colimits. Since $E_U \simeq F_{E_{P\times I}, E_{Q\times I}}(E_{T_U \times \mathbb{R}^d})$, this condition is by adjunction equivalent to Map_{PSh($\mathscr{D}isc_d$)}($E_{T_U \times \mathbb{R}^d, U_{E_{P\times I}, E_{Q\times I}}(-)$) preserving small colimits which indeed holds, by the Yoneda lemma and the fact that $U_{E_{P\times I}, E_{Q\times I}}$ preserves colimits by Lemma 2.17 (i).}}

Remark 4.9. Considering bordisms $W: P \to Q$ as bordisms $\emptyset \to P \sqcup Q$ or $P \sqcup Q \to \emptyset$ leads to equivalences between $\operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P,Q}$, $\operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{\emptyset,P\sqcup Q}$, and $\operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P\sqcup Q,\emptyset}$, and similarly for $(\mathscr{D}\operatorname{isc}_d)_{P\sqcup Q}$ – compatible with the functor $(\iota^* \circ y)$. It thus follows from Proposition 4.8 that $E: \operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P,Q} \to \mathscr{M}\operatorname{od}(d)_{E_{P\times I},E_{Q\times I}}$ agrees up to equivalences with the analogous functors involving $\mathscr{M}\operatorname{od}(d)_{E_{\emptyset},E_{P\times I\sqcup Q\times I}}$ or $\mathscr{M}\operatorname{od}(d)_{E_{P\times I\cup Q\times I,E_{\emptyset}}}$. That the latter two categories are equivalent can also be deduced from Remark 2.16 and [Lur17, 4.6.3.11] (no $(-)^{\operatorname{rev}}$ appears since we implicitly used the anti-homomorphism of $E_{P\times I}$ or $E_{Q\times I}$ by reflection in I).

4.4. Isotopy extension for E

A key input in the proof of Theorem A in Section 5.3 will be a version of the isotopy extension theorem for the mapping spaces in $\mathcal{M}od(d)_{P,Q}$. In view of Theorem 4.5, this amounts to an isotopy extension theorem for embedding calculus. Such a theorem has been proved by Knudsen–Kupers

[KK24a, Theorem 6.1], but instead of reducing the version we need from theirs, it is more convenient to give a direct proof based on their strategy.

The setting is as follows. We fix two compact bordisms $W: P \rightarrow Q, W': R \rightarrow S$, two possibly noncompact bordisms $M, N: Q \rightarrow R$, and an open collar neighbourhood $c(M) \subset M$ viewed as a bordism $Q \rightarrow R$. Writing *c* for the inclusion $c(M) \subset M$ viewed as a morphism in nc \mathscr{B} ord $(d)_{Q,R}$, we have a commutative diagram

which maps via the functor $E: \operatorname{nc}\mathscr{B}\operatorname{ord}(d) \to \mathscr{M}\operatorname{od}(d)$ to the corresponding square for $\mathscr{M}\operatorname{od}(d)$

Note that the bottom left corners in both squares are contractible by Remark 4.6. Moreover, in view of Lemma 4.1, the square ① has up to equivalence the form

$$\operatorname{Emb}_{\partial}(M,N) \xrightarrow{W \cup_{Q}(-) \cup_{R}W'} \operatorname{Emb}_{\partial}(W \cup_{Q} M \cup_{R} W', W \cup_{Q} N \cup_{R} W') \downarrow^{(-)\circ c} \qquad \qquad \downarrow^{(-)\circ(W \cup_{Q}c \cup_{R}W')} \\ \operatorname{Emb}_{\partial}(c(M),N) \xrightarrow[W]{} \xrightarrow{W \cup_{Q}(-) \cup_{R}W'} \operatorname{Emb}_{\partial}(W \cup_{Q} c(M) \cup_{R} W', W \cup_{Q} N \cup_{R} W').$$

As the restriction map $\operatorname{Emb}_{\partial}(W \cup_Q c(M) \cup_R W', W \cup_Q N \cup_R W') \to \operatorname{Emb}_{P \sqcup S}(W \sqcup W', W \cup_Q N \cup_R W')$ is an equivalence and $W \sqcup W'$ is compact, it follows from the parametrised isotopy extension theorem that this square is cartesian, so the same holds for the square ①.

The isotopy extension result we will prove says that the same holds for (2) under a certain condition on the convergence of embedding calculus – namely, that the map from the bottom right of (1) to the bottom right corner of (2) is an equivalence if M is replaced by $C_k := c(M) \sqcup \underline{k} \times \mathbf{R}^d \in \operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{Q,R}$ for $\underline{k} = \{1, 2, \ldots, k\}$ and all $k \ge 0$. We denote by $(2)^{\approx}$ the square obtained from (2) by replacing the categories $\mathscr{M}\operatorname{od}(d)_{E_{Q\times I}, E_{R\times I}}$ and $\mathscr{M}\operatorname{od}(d)_{E_{P\times I}, E_{S\times I}}$ in the top row by their cores.

Theorem 4.10. If the map induced by E

$$\begin{split} \operatorname{Map}_{\operatorname{nc}\mathscr{B}\operatorname{ord}(d)_{P,S}} & \left(W \cup_Q C_k \cup_R W', W \cup_Q N \cup_R W' \right) \\ \to \operatorname{Map}_{\mathscr{M}\operatorname{od}(d)_{E_{P \times I}, E_{S \times I}}} \left(E_{W \cup_Q C_k \cup_R W'}, E_{W \cup_Q N \cup_R W'} \right) \end{split}$$

is an equivalence for all $k \ge 0$, then the square 2 is cartesian. If this assumption in addition holds for *M* in place of *N*, then the square 2^{\sim} is also cartesian.

Proof. We first show the claim for (2). We write \mathcal{U} for the poset of open subsets of M that are unions $U = D \cup c'(M)$ such that $c(M) \subset M$ is an open collar of the boundary that contains the chosen collar $c(M) \subset M$ and $D \subset M$ is diffeomorphic to $T \times \mathbf{R}^d$ for some finite set T. Considering U as an object in

nc \mathscr{B} ord $(d)_{Q,R}$, we have a functor $\mathscr{U} \to \operatorname{nc}\mathscr{B}$ ord $(d)_{Q,R}$. Since the square (2) is natural in M, it maps to the limit of the same squares for M replaced by $U \in \mathscr{U}$

We claim that it suffices to show this square of limits is cartesian. To justify this, we show that the maps from (2) to the square of limits are all equivalences. For the maps between the bottom left corners and between the bottom right corners, this follows from the fact that the diagram is constant and the category \mathcal{U} is weakly contractible since it is cofiltered. For the top-right corner and top-left corner, it follows from Proposition 4.3 since the posets \mathcal{U} and $\{W \cup_Q U \cup_R W' | U \in \mathcal{U}\}$ are complete Weiss ∞ -covers of M and $W \cup_Q M \cup_R W'$.

To show that the previous square of limits is cartesian, note that it receives a map from the analogous square using $nc\mathscr{B}ord(d)$ instead of $\mathscr{M}od(d)$, and this map of squares consists of equivalences: for the top right and bottom right corner, it holds by assumption, and for the top left and bottom left corner, it holds by Remark 4.6. The square using $nc\mathscr{B}ord(d)$ is a limit of squares of the form (1), with \mathcal{M} replaced by $U \in \mathscr{U}$, so it is cartesian since we have already explained that (1) is cartesian and limits of cartesian squares remain cartesian.

To show the claim for $\widehat{2}^{\approx}$, we first assume M = N, in which case, the claim follows from the following fact: given a monoid A in S acting on a space X and $x \in X$, consider the fibre sequence

$$\operatorname{hofib}_{X}(A \xrightarrow{(-) \cdot x} X) \longrightarrow A \xrightarrow{(-) \cdot x} X$$

whose fibre inherits the structure of a monoid in S from that of A and the A-action on X. Then one checks that the sequence obtained by passing to group-like components in fibre and total space remains a fibre sequence.

To deduce the general case of \bigcirc^{\simeq} from that of \bigcirc , it suffices to prove that if $\varphi : E_M \to E_N$ has the property that $\varphi' := \operatorname{id}_{E_W} \cup_{E_{Q\times I}} \varphi \cup_{E_{R\times I}} \operatorname{id}_{E_{W'}}$ is an equivalence, then φ is also an equivalence. To prove this, we pick an inverse $\psi' : E_{W \cup_Q N \cup_R W'} \to E_{W \cup_Q M \cup_R W'}$ to φ' and claim that the image of ψ' under the right-vertical map in the square \bigcirc with the role of M and N reversed lies in the component of the bottom horizontal map. To see this, we extend this square to the bottom by

$$\begin{split} \operatorname{Map}_{\mathcal{M}\mathrm{od}(d)_{E_{Q\times I}, E_{R\times I}}} \left(E_{c(M)}, E_{N} \right) & \longrightarrow \operatorname{Map}_{\mathcal{M}\mathrm{od}(d)_{E_{P\times I}, E_{S\times I}}} \left(E_{W\cup_{Q}c(M)\cup_{R}W'}, E_{W\cup_{Q}N\cup_{R}W'} \right) \\ & \simeq \downarrow \varphi \circ (-) & \simeq \downarrow \varphi' \circ - \\ \operatorname{Map}_{\mathcal{M}\mathrm{od}(d)_{E_{Q\times I}, E_{R\times I}}} \left(E_{c(M)}, E_{M} \right) & \longrightarrow \operatorname{Map}_{\mathcal{M}\mathrm{od}(d)_{E_{P\times I}, E_{S\times I}}} \left(E_{W\cup_{Q}c(M)\cup_{R}W'}, E_{W\cup_{Q}M\cup_{R}W'} \right), \end{split}$$

where the left vertical map is an equivalence as both source and target are contractible, and the right vertical map is an equivalence because φ' is one by assumption. To see whether the image of ψ' in the upper right corner is in the component hit by the upper horizontal map, it thus suffices to show that the image of ψ' in the bottom horizontal corner is in the component hit by the bottom horizontal map. But this follows from the relation $[\varphi' \circ \psi'] = [\text{id}]$ in the set of components, which holds by the choice of ψ' . Using that the square (2) with the role of M and N reverse is a pullback (this is where we use the additional hypothesis for M), we conclude that there exists $\psi : E_N \to E_M$ such that $[\psi'] = [W \cup_Q \psi \cup_R W']$. To finish the proof, it suffices to show that $\varphi \circ \psi$ and $\psi \circ \varphi$ are both equivalences, since then φ has to be an equivalence. But this follows from the case M = N treated above, using that both compositions become equivalences after applying $W \cup_Q (-) \cup_R W'$ since this even holds for ψ and φ individually.

Remark 4.11. The proof of Theorem 4.10 in particular shows that if the assumption in the statement holds for *M* and *N*, then the following map detects equivalences:

$$\operatorname{Map}_{\mathcal{M}\mathrm{od}(d)_{E_{Q\times I},E_{R\times I}}}(E_M,E_N) \xrightarrow{E_W \cup_{E_{Q\times I}}(-) \cup_{E_{R\times I}}E_{W'}} \operatorname{Map}_{\mathcal{M}\mathrm{od}(d)_{E_{P\times I},E_{S\times I}}}(E_W \cup_{Q} M \cup_{R} W',E_W \cup_{Q} N \cup_{R} W'}).$$

4.5. Disc-structure spaces

We conclude this section with the definition of the \mathcal{D} isc-structure spaces and a discussion some of their functoriality. Given objects $P \in \mathcal{B}$ ord(*d*) and $A \in \mathcal{M}$ od(*d*) (i.e., a closed (d - 1)-manifold *P* and an associative algebra *A* in PSh(\mathcal{D} isc_{*d*})), we abbreviate the ∞ -category of nullbordisms of *P* and the analogue for right *A*-modules by

$$\mathscr{B}\operatorname{ord}(d)_P \coloneqq \mathscr{B}\operatorname{ord}(d)_{\varnothing,P}$$
 and $\mathscr{M}\operatorname{od}(d)_A \coloneqq \mathscr{M}\operatorname{od}(d)_{E_{\varnothing,A}}.$ (72)

Remark 4.12. Note that E_{\emptyset} is the monoidal unit in PSh(\Im isc_{*d*}), so \mathscr{M} od(d)_{E_{\emptyset},A} may be viewed as an ∞ -category of right-*A*-modules. Using Remark 2.16 and [Lur17, 4.3.2.8], one sees that this agrees with Lurie's model of the ∞ -category of right-*A*-modules, but we will not use this.

4.5.1. Øisc-structure spaces of modules

For $A = E_{P \times I}$ for a closed (d-1)-manifold P, the functor E induces a functor $\mathscr{B}\operatorname{ord}(d)_P \to \mathscr{M}\operatorname{od}(d)_{P \times I}$. As the source is an ∞ -groupoid by the discussion Section 4.1.2, it lands in the core $\mathscr{M}\operatorname{od}(d)_{P \times I} \subset \mathscr{M}\operatorname{od}(d)_{P \times I}$. The $\mathscr{D}\operatorname{isc-structure}$ spaces are the fibres of this functor of ∞ -groupoids:

Definition 4.13. The \mathscr{D} isc-structure space of a right- $E_{P \times I}$ -module $X \in \mathscr{M}$ od $(d)_{E_{P \times I}}$ is the fibre

$$S_P^{\otimes \operatorname{isc}}(X) \coloneqq \operatorname{fib}_X(\mathscr{B}\operatorname{ord}(d)_P \to \mathscr{M}\operatorname{od}(d)_{E_{P \times I}}^{\simeq}) \in \mathcal{S}.$$

From the description of the object and mapping spaces of \mathscr{B} ord(d) and \mathscr{M} od(d) in Section 2.5.4, we see that the path components of $S_P^{\mathscr{D}$ isc(X) are given by

$$\pi_0 S_P^{\mathcal{D}\text{isc}}(X) = \frac{\begin{cases} \text{pairs } (M,\varphi) \text{ of a compact smooth } d\text{-manifold } M \text{ with identified boundary } \partial M \cong P \\ \\ \text{and an equivalence of right } E_{P \times I}\text{-modules } \varphi \colon E_M \to X \end{cases}}{(M,\varphi) \sim (M',\varphi') \Leftrightarrow \text{ there exists a diffeomorphism } \alpha \colon M \to M' \\ \\ \text{relative to } P \text{ with } [\varphi' \circ E_\alpha] = [\varphi] \in \pi_0 \operatorname{Map}_{\mathcal{M}\text{od}(d)_{E_{P \times I}}^{\mathbb{Z}}}(E_M, X) \end{cases}$$

and that the component of a pair (M, φ) agrees with the identity component

$$S_P^{\mathcal{D}\mathrm{isc}}(X)_{(M,\varphi)} \simeq \left(\operatorname{Aut}_{\mathcal{M}\mathrm{od}(d)_{P\times I}}(E_M)/\operatorname{Diff}_{\partial}(M)\right)_{\mathrm{id}}$$

of the fibre $\operatorname{Aut}_{\operatorname{Mod}(d)_P}(E_M)/\operatorname{Diff}_{\partial}(M)$ of the map $\operatorname{BDiff}_{\partial}(M) \to \operatorname{BAut}_{\operatorname{Mod}(d)_P}(E_M)$ induced by *E*. This can also be rephrased in the form of an equivalence

$$S_P^{\mathcal{D}\text{isc}}(X) \simeq \bigsqcup_{[M]} \operatorname{Aut}_{\mathcal{M}\text{od}(d)_{P \times I}}(E_M) / \operatorname{Diff}_{\partial}(M),$$
(73)

where [M] runs through diffeomorphism classes of compact manifolds M with identified boundary $\partial M \cong P$ for which there exists an equivalence $E_M \to X$ of right $E_{P \times I}$ -modules.

4.5.2. Øisc-structure spaces of manifolds

Given a compact *d*-manifold *W* with identified boundary $\partial W \cong P$, considered as an object in \mathscr{B} ord $(d)_P$, we abbreviate

$$S_{\partial}^{\mathscr{D}\mathrm{isc}}(W) \coloneqq S_P^{\mathscr{D}\mathrm{isc}}(E_W).$$

This is natural in $W \in \mathscr{B}\operatorname{ord}(d)_{\varnothing/}^{(\infty,1)}$ in that it gives a functor $S_{\partial}^{\mathfrak{D}\operatorname{isc}}(-)$: $\mathscr{B}\operatorname{ord}(d)_{\varnothing/}^{(\infty,1)} \to \mathscr{S}$ from the ∞ -category of nullbordisms to the ∞ -category of spaces. In particular, for bordisms $W : \varnothing \to P$ and $W' \in \mathscr{B}\operatorname{ord}(d)_{P,Q}$, we have a *gluing map* $(-\cup_P W) : S_{\partial}^{\mathfrak{D}\operatorname{isc}}(W) \to S_{\partial}^{\mathfrak{D}\operatorname{isc}}(W \cup_P W')$.

5. Theorem A: 2-type invariance

The goal of this section is to prove Theorem A, which says that the \mathscr{D} isc-structure space of a compact *d*-manifold depends for $d \ge 5$ only on the tangential 2-type, a notion that we recall in Section 5.1. As outlined in Section 1.2.3, this will be an application of a general tangential *k*-type invariance result, proved in Section 5.2, about the values of certain functors on a category of compact null bordisms. That $S_{\partial}^{\mathscr{D}$ isc}(-) satisfies its hypotheses is verified in Section 5.3.

Convention.

- (i) In contrast to the previous sections, all manifolds which were already assumed to be smooth are now also assumed to be compact. Nonempty boundaries are allowed.
- (ii) In this section, we adopt the point of view on θ -structures in terms of bundle maps (always required to be fibrewise injective), which is different but by basic bundle theory equivalent to that in terms of $GL_d(\mathbf{R})$ -spaces from Section 4.1.3. For the convenience of the reader, we recall the necessary definitions from scratch in Section 5.1.2.

5.1. Tangential k-types

We start with some manifold-theoretic preliminaries.

5.1.1. θ -manifolds and tangential k-types

Given a map $\theta: B \to BO$, a θ -manifold M is a manifold with a θ -structure on its stable tangent bundle, by which we mean in this section a stable bundle map $\ell_M: \tau_M^s \to \theta^* \gamma$ from the stable tangent bundle of M to the pullback of the universal stable vector bundle γ over BO along θ . A tangential structure is *k*-connected if the underlying map $\bar{\ell}_M: M \to B$ is *k*-connected in the usual sense.

Given a codimension 0 embedding $e: M \hookrightarrow N$ and a θ -structure ℓ_N on N, we obtain a θ -structure $e^*\ell_N$ on M by precomposition with the stable derivative of e. Two θ -manifolds M and N are θ -diffeomorphic if there exists a diffeomorphism $\phi: M \to N$ of the underlying manifolds such that $\phi^*\ell_N$ and ℓ_M are homotopic as bundle maps. A codimension 0 embedding $e: M \hookrightarrow N$ is an equivalence on tangential k-types if N admits a k-connected θ -structure ℓ_N for some θ such that $e^*\ell_N$ is again k-connected. Two manifolds M and N have the same tangential k-type if there is a $\theta: B \to BO$ such that M and N admit k-connected θ -structures ℓ_M and ℓ_N (for the same θ).

Example 5.1. Any codimension 0 embedding $M \hookrightarrow N$ that is *k*-connected is an equivalence on tangential *k*-types. This is clear from the definition as long as *N* admits a *k*-connected θ -structure with respect to *some* θ , and there is indeed always such a choice: pick a Moore-Postnikov factorisation $N \to B \to BO$ of a classifying map for the stable tangent bundle of *N* into a *k*-connected map followed by a *k*-coconnected map $\theta: B \to BO$.

Example 5.2. The case of most interest to us is k = 2, where there is a simple recipe to decide whether two *d*-manifolds M_0 and M_1 have the same tangential *k*-types. If the M_i are disconnected, then they have the same tangential 2-type if and only if there exists a bijection between their components such that the corresponding components have the same tangential 2-type. For connected manifolds M_0 and M_1 , one can decide whether they have the same tangential 2-type as follows (cf. [Kre99, p. 712–713]; Kreck deals with *normal k*-types as opposed to *tangential k*-types and has a different indexing convention, but neither of this makes a difference):

(i) The functionals $w_2(M_i): \pi_2(M_i) \to \mathbb{Z}/2$ for i = 0, 1 induced by the second Stiefel–Whitney classes need to be both trivial or nontrivial.

- (ii) If they are both nontrivial, then M_0 and M_1 have the same tangential 2-type if and only if there exists an abstract isomorphism $\varphi \colon \pi_1(M_0) \to \pi_1(M_1)$ such that $\varphi^* w_1(M_1) = w_1(M_0)$, where $w_1(M_i) \in \mathrm{H}^1(M_i; \mathbb{Z}/2) \cong \mathrm{H}^1(K(\pi_1M_i, 1); \mathbb{Z}/2)$ is the first Stiefel–Whitney class.
- (iii) If they are both trivial, then there are unique classes $w_2(M_i) \in H^2(K(\pi_1(M_i), 1); \mathbb{Z}/2)$ that pull back to the second Stiefel–Whitney classes along the canonical maps $M_i \to K(\pi_1(M_i), 1)$. Then M_0 and M_1 have the same tangential 2-type if and only if there exists an abstract isomorphism $\varphi: \pi_1(M_0) \to \pi_1(M_1)$ with $\varphi^* w_j(M_1) = w_j(M_0)$ for j = 1, 2.

In particular, if M_0 and M_1 are spin, $w_i(M)$ and $w_i(N)$ vanish for $i \le 2$, so the recipe shows that they have the same tangential 2-types if and only if their fundamental groupoids are equivalent. It also implies that the tangential 2-type of a smooth manifold does not depend on the smooth structure, since Stiefel–Whitney classes are defined for topological manifolds.

Lemma 5.3. Let *M* be an *m*-manifold and $k \ge 0$ a number. For any $d \ge 4$ with $k \le \lfloor \frac{d}{2} \rfloor$, there exists a closed *d*-manifold *P* with the same tangential *k*-type as *M*.

Proof. We may assume $k \ge 1$ and that M is connected; apply the claim to each connected component otherwise. Choose a Moore–Postnikov k-factorisation $M \to B \to BO$ of the stable tangent bundle into a k-connected map followed by a k-coconnected map $\theta: B \to BO$. The condition $k \le \lfloor \frac{d}{2} \rfloor$ in particular implies that $d \ge k + 1$, so the d-sphere S^d admits a θ -structure by obstruction theory. Doing surgeries compatible with the θ -structure (see [Kre99, Proposition 4]), we obtain a closed d-manifold P with a k-connected θ -structure.

5.1.2. θ -bordism

Given a θ -manifold M, a choice of inwards pointing vector field induces a θ -structure on the boundary ∂M . Using the canonical vector field $\frac{\partial}{\partial x}$ on [0, 1], we moreover obtain a θ -structure on $M \times [0, 1]$, which restricts to a θ -structure on the *double* $M \cup_{\partial M} \overline{M} \cong \partial(M \times [0, 1])$ of M. Here, \overline{M} is the θ -manifold whose underlying manifold is M but which is equipped with the *opposite* θ -structure obtained by restricting the induced θ -structure on $M \times [0, 1]$ to $M \times \{1\} \subset \partial(M \times [0, 1])$. A θ -bordism from a d-dimensional θ -manifold P to another d-dimensional θ -manifold Q is a (d+1)-dimensional θ -manifold W together with a θ -diffeomorphism $\partial W \cong P \sqcup \overline{Q}$; we denote this $W : P \rightsquigarrow Q$. A θ -manifold P is θ -null bordant if there is a θ -bordism $P \rightsquigarrow \emptyset$. By construction, the double $M \cup_{\partial M} \overline{M}$ of any θ -manifold M is θ -nullbordant.

5.1.3. Handle decompositions

Given a compact *d*-dimensional bordism $W: P \rightsquigarrow Q$ between closed (d - 1)-manifolds, a *handle decomposition of the bordism W* is a decomposition

$$P = W_{-1} \stackrel{W(-1,0]}{\leadsto} W_0 \stackrel{W(0,1]}{\leadsto} \cdots \stackrel{W(d-2,d-1]}{\leadsto} W_{d-1} \stackrel{W(d-1,d]}{\leadsto} W_d = Q$$

of W as a union of bordisms between closed (d - 1)-manifolds W_i such that W(k - 1, k] is obtained from a collar on W_{k-1} by attaching finitely many handles of index k. Such a decomposition always exists – for instance, by choosing a self-indexing Morse function. By construction, W_{k+1} is obtained from W_k by finitely many k-surgeries. We abbreviate

$$W(m,k] := \bigcup_{m \le i \le k-1} W(i,i+1]$$
 and $W[m,k] := \bigcup_{m-1 \le i \le k-1} W(i,i+1]$

and consider these as bordisms from W_m to W_k and from W_{m-1} to W_k , respectively. The idea behind the notation is that the half-open or closed interval indicates which handles the submanifold contains. Given $m \le k$, we say that W has handle type [m, k] if there is a handle decomposition with W = W[m, k]. A *d*-manifold M has handle type [m, k] if it has that property when viewed as a bordism $M : \emptyset \to \partial M$. It is said to have handle dimension $\le k$ if it has handle type [0, k]. A codimension 0 submanifold inclusion $N \subset int(M)$ has relative handle type [m, k] if the bordism $M \setminus int(N) : \partial N \to \partial M$ has handle type [m, k], and $N \subset int(M)$ has relative handle dimension $\le k$ if this bordism has handle type [0, k].

5.1.4. Handle trading and connectivity

The following two lemmas are certainly standard, but we could not find references for them in the generality we needed.

Lemma 5.4. Let $W: P \rightsquigarrow Q$ be a bordism between closed d-manifolds P and Q with $d \ge 4$. If both boundary inclusions $P \subset W \supset Q$ are k-connected for some $k \ge 0$, then the following holds:

(i) If 2k < d - 1, then $W: P \rightsquigarrow Q$ has handle type [k + 1, d - k] and (ii) If 2k = d - 1, then $W \# (S^{k+1} \times S^{k+1})^{\#}: P \rightsquigarrow Q$ has type [k + 1, d - k] for some $r \ge 0$.

Proof. We begin with the first case. Starting from a handle decomposition of the bordism $W: P \rightarrow Q$, we obtain a potentially different handle decomposition of W of type [k + 1, d + 1] by handle trading, without changing the number of *i*-handles for $i \ge k + 3$ (see, for example, the proof of [Wal71, Theorem 3]). Now we apply the same procedure to the dual of this new handle decomposition to obtain yet another handle decomposition, this time of type [0, d - k] and with the same number of *i*-handles for $i \le d - k - 2$. Since $k \le d - k - 2$ and we previously arranged that there are no *i*-handles for $i \le k$, the resulting decomposition has type [k + 1, d - k].

In the case 2k = d - 1, we may reindex so that the claim reads as follows (set n = k + 1): given a 2*n*-dimensional bordism $W: P \rightsquigarrow Q$ with $2n \ge 6$ such that the inclusions $P \subset W \supset Q$ are (n - 1)-connected, there exists an $r \ge 0$ such that the bordism $(W \sharp (S^n \times S^n)^{\sharp r}): P \rightsquigarrow Q$ admits a handle decomposition with only *n*-handles. We are not aware of a classical reference for this fact; we learned it from the proof of [GRW14, Lemma 6.21].

Lemma 5.5. Let θ : $B \to BO$ be a map and (P, ℓ_P) and (Q, ℓ_Q) two closed d-dimensional θ -manifolds that are θ -bordant. Assume $d \ge 4$ and fix $k \ge 0$ with 2k < d.

- (i) If ℓ_P is k-connected, then there is a θ -bordism $W \colon P \rightsquigarrow Q$ such that $P \subset W$ is k-connected.
- (ii) If also ℓ_Q is k-connected, then we may assume that $W \supset Q$ is k-connected as well.

Proof. For part (i), we refer to the proof of the correction [HJ20, Proposition p. 48] to a part of [Kre99, Proposition 4]. The proof of part (ii) is a minor extension of their argument which we spell out for the convenience of the reader in the case $k \ge 1$, leaving k = 0 as an easy exercise.

Starting from a θ -bordism (W, ℓ_W) : $(P, \ell_P) \rightsquigarrow (Q, \ell_Q)$, we can assume that ℓ_W is *k*-connected by performing surgery in the interior of *W*. As ℓ_P and ℓ_Q are *k*-connected, this ensures that the inclusions $P \subset W \supset Q$ induce an isomorphism on homotopy groups at all basepoints in degrees $\leq k - 1$. By considering each component in $\pi_0(P) \cong \pi_0(W) \cong \pi_0(Q) \cong \pi_0(B)$ separately, we may assume that each of *P*, *W*, *Q*, *B* is connected. We now consider the long exact sequences

$$\dots \longrightarrow \begin{cases} \pi_k(P) \\ \pi_k(Q) \\ \searrow \\ \pi_k(B) \end{cases} \xrightarrow{} \pi_k(W, P) \\ \pi_k(W, Q) \\ \longrightarrow \\ \pi_k(W, Q) \\ \longrightarrow \\ \pi_{k-1}(Q) \\ \swarrow \\ \pi_{k-1}(Q) \\ \swarrow \\ \pi_{k-1}(B) \\ \longrightarrow \\ \pi_{k-1}(B) \end{cases} \xrightarrow{\cong} \pi_{k-1}(W) \\ \longrightarrow \\ \pi_{k-1}(B) \\ \longrightarrow \\ \pi_{$$

of the pairs (W, P) and (W, Q). We first assume $k \ge 2$. By the relative Hurewicz theorem, we have $\pi_k(W, P) \cong H_k(\widetilde{W}, \widetilde{P})$ and similarly for $\pi_k(W, Q)$, where (-) denotes the universal covers, so these groups are in particular finitely generated as $\pi_1(W)$ -modules. Contemplating the diagram shows that there are finite sets of elements $\{p_i\}$ and $\{q_i\}$ of $\pi_k(W)$ that (i) map trivially to $\pi_k(B)$ (and thus trivially to $\pi_k(BO)$) and (ii) map to sets of generators of $\pi_k(W, P)$ and $\pi_k(W, Q)$ as $\pi_1(W)$ -modules, respectively. As 2k < d, we may represent these elements by two disjoint embeddings $\overline{p} : \sqcup^i S^k \times D^{d+1-k} \hookrightarrow \operatorname{int}(W)$ and $\overline{q} : \sqcup^i S^k \times D^{d+1-k} \hookrightarrow \operatorname{int}(W)$. Doing θ -surgery on these embeddings (see [Kre99, Lemma 2]) yields a θ -bordism $W' : P \rightsquigarrow Q$ which we claim to satisfy the requirements of the statement; that is, $\pi_i(W', P) = 0$ and $\pi_i(W', Q) = 0$ for $i \le k$ – the reason being that (i) $\pi_i(W', P)$ vanishes for $i \le k - 1$ since it is isomorphic to $\pi_i(W, P) = 0$, and (ii) $\pi_k(W', Q)$ vanishes since it is a quotient of $\pi_k(W, Q)$ by a subgroup that contains the $\pi_1(W)$ -orbit of the images of $\{p_i\}$ and $\{q_i\}$, and we chose the $\{p_i\}$

so that their images generate $\pi_k(W', P)$ as $\pi_1(W)$ -modules. The same argument applies to the groups $\pi_i(W', Q)$, so the claim in the case $k \ge 2$ follows. For k = 1, the same argument applies even though $\pi_1(W, P)$ and $\pi_1(W, Q)$ need no longer be groups: instead of the relative Hurewicz theorem, one uses that $\pi_1(W)$ is finitely generated, being the fundamental group of a compact manifold.

Combining the previous two lemmas we get the following:

Corollary 5.6. Let $\theta: B \to BO$ be a map and (P, ℓ_P) and (Q, ℓ_Q) two closed θ -manifolds of dimension $d \ge 4$ that are θ -bordant. If ℓ_P and ℓ_Q are k-connected for some $k \ge 0$ with 2k < d, then Q can be obtained from P by a finite sequence of p-surgeries with $k \le p \le d - k - 1$.

Proof. Lemmas 5.4 and 5.5 ensure that there is a bordism $W: P \rightarrow Q$ of handle type [k + 1, d - k], which implies the statement.

5.2. k-type invariance

To state the announced tangential *k*-type result, we denote by $hMan_d^c$ the 1-category whose objects are smooth compact *d*-manifolds (potentially with boundary) and whose morphisms are isotopy classes of codimension 0 embeddings. Fixing another 1-category C, we prove the following result for functors of the form $F: hMan_d^c \to C$.

Theorem 5.7. Let $d \ge 4$ and F: $hMan_d^c \rightarrow C$ be a functor such that F maps codimension 0 submanifold inclusions of relative handle type [k + 1, d] to isomorphisms for some fixed $0 \le k < d/2$. Then for any compact d-manifolds M and N of the same tangential k-type, the following holds:

- (i) There exists an isomorphism $F(M) \cong F(N)$.
- (ii) For any codimension 0 embedding $e: L \hookrightarrow M$ where L has handle dimension $\leq k$, there is an embedding $e': L \hookrightarrow N$ for which the isomorphism (i) can be chosen so that the diagram



is commutative.

(iii) Any embedding $e: M \hookrightarrow N$ that is an equivalence on tangential k-types induces an isomorphism $F(M) \cong F(N)$ as in (i).

Remark 5.8. Theorem 5.7 is based on arguments we learned from the literature on the space of positive scalar curvature metrics on a manifold M – in particular, [ERW22, EW24]. This space shares strong formal properties with the \mathcal{D} isc-structure space: it is often an infinite loop space [ERW22, Theorems A-B], depends conjecturally only on the tangential 2-type (see [EW24, Conjecture C] and [ERW22, Section 9]), and is often nontrivial (see, for example, [ERW22, Remark 1.1.1]).

Remark 5.9. Taking complements, $h \text{Man}_d^c$ can be viewed equivalently as the 'homotopy category of null bordisms', by which we mean the undercategory $h \mathscr{B} \text{ord}(d)_{\varnothing/}^{(\infty,1)}$ of the empty manifold \emptyset viewed as an object in the homotopy category $h \mathscr{B} \text{ord}(d)^{(\infty,1)}$, whose objects are closed (d-1)-manifolds and whose morphisms are diffeomorphism classes of compact bordisms.

As preparation to the proof of Theorem 5.7, we show that the values of the functor are invariant under certain surgeries.

Lemma 5.10. Let F be as in Theorem 5.7. If two compact d-manifolds M and N differ by p-surgeries in the interior with $k \le p \le d - k - 1$, then there exists an isomorphism $F(M) \cong F(N)$.

Proof. It suffices to show the claim in the case where N is obtained from M by a single p-surgery along an embedding $S^p \times D^{d-p} \hookrightarrow int(M)$. We consider the zig-zag

$$F(M) \longleftarrow F(M \setminus \operatorname{int}(S^p \times D^{d-p})) \longrightarrow F(N)$$

induced by the inclusions $M \setminus \operatorname{int}(S^p \times D^{d-p}) \subset M$ and $M \setminus \operatorname{int}(S^p \times D^{d-p}) \subset N$. The former has relative handle type [d - p, d], and the latter has relative handle type [p + 1, d]. As $d - p \ge k + 1$ and $p + 1 \ge k + 1$, and *F* sends inclusions of submanifolds of relative handle type [k + 1, d] to isomorphisms by assumption, we conclude the claim.

Proof of Theorem 5.7. Recall that two *d*-manifolds *M* and *N* have the same tangential *k*-type if there exists a map $\theta: B \to BO$ and *k*-connected θ -structures ℓ_M and ℓ_N on *M* and *N*.

Part (i) of the claim asserts an isomorphism $F(M) \cong F(N)$. In the case that (M, ℓ_M) and (N, ℓ_N) are closed manifolds that are θ -bordant, this follows directly from Corollary 5.6 and Lemma 5.10. To show the general case, we pick a handle decomposition of M viewed as a bordism $M : \emptyset \longrightarrow \partial M$ and consider the zig-zag (using the notation from Section 5.1.3)

$$F(M) \longleftarrow F(M[0,k]) \longrightarrow F(M[0,k] \cup_{M_k} \overline{M[0,k]})$$
(74)

whose arrows are induced by $M[k+1, d]: M_k \to \partial M$ and $\overline{M[0, k]}: M_k \to \emptyset$. The former is of handle type [k+1, d] and the latter of handle type [d-k, d], so using that d-k > k, the two submanifold inclusions inducing the maps in the zig-zag have relative handle type [k+1, d], so the zig-zag consists of isomorphisms. Applying the same reasoning for N, we see that the claim follows once we provide an isomorphism between the values of F at the two doubles $M[0, k] \cup_{M_k} \overline{M[0, k]}$ and $N[0, k] \cup_{N_k} \overline{N[0, k]}$. Both of these doubles are closed manifolds that are θ -nullbordant (see Section 5.1.2), so they are in particular θ -bordant to each other. This implies the claim by the first part as long as we make sure that the induced θ -structures on these doubles are k-connected. But this is the case, since it holds for Mand N by assumptions, and the above handle considerations in particular imply that all inclusions in $M \supset M[0, k] \subset M[0, k] \cup_{M_k} \overline{M[0, k]}$ and $N \supset N[0, k] \subset N[0, k] \cup_{N_k} \overline{N[0, k]}$ are k-connected.

To prove part (ii), we fix an embedding $L \hookrightarrow M$ as in the claim which we may assume by transversality to be contained in $M[0, k] \subset M$, as the complement $M[k + 1, d] \supset \partial M$ has relative handle dimension $\leq d - (k + 1)$ and L has handle dimension $\leq k$ by assumption. The zig-zag (74) is then compatible with the maps from F(L) induced by inclusion. Now $M[0, k] \cup_{M_k} \overline{M[0, k]}$ differs from $N[0, k] \cup_{N_k} \overline{N[0, k]}$ by surgeries of index $k \leq p \leq d - k - 1$, which we may assume (again by transversality) to be done away from L, so there is an embedding $L \hookrightarrow N[0, k] \cup_{N_k} \overline{N[0, k]}$, such that the induced isomorphism $F(M[0, k] \cup_{M_k} \overline{M[0, k]}) \cong F(N[0, k] \cup_{N_k} \overline{N[0, k]})$ is compatible with the maps from F(L). Using transversality one last time, we see that we may isotope the embedding $L \hookrightarrow N[0, k] \cup_{N_k} \overline{N[0, k]}$ to land in N[0, k] since $\overline{N[0, k]} \subset$ has handle dimension $\leq k$ and 2k < d. With respect to the isotoped embedding, the zig-zag (74) is compatible with the maps from F(N), and this concludes the proof.

For part (iii), we may assume without loss of generality that the embedding is a submanifold inclusion of the form $M \subset M \cup_{\partial M} W$ for $W : \partial M \rightsquigarrow \partial N$ a bordism. We now consider the commutative square of codimension 0 submanifold inclusions

where $c(M_k) \subset M$ is a closed bicollar of $M_k \subset M$. The vertical inclusions are of relative handle type [k+1, d] (this uses $d-k \ge k+1$), so we conclude that they map to isomorphisms under F. It thus suffices to show that F maps the top horizontal inclusion to an isomorphism. Since the vertical inclusions and the θ -structures ℓ_M and ℓ_N are k-connected, it follows that the top horizontal inclusion is an equivalence on tangential k-type equivalence. Since $d \ge 4$, we have $d/2 \le d-2$, so $k < \min(d/2, d-2)$. Abbreviating $V = M[k, d] \cup_{\partial M} W$, an application of [KK24c, Lemma 6.10] shows that we can factor the top horizontal inclusion is obtained by attaching trivial k-handles and the second by attaching $\ge k + 1$ -handles. By

assumption, *F* sends the second inclusion to an isomorphism, so it suffices to show that the same holds for the first inclusion. By attaching cancelling (k + 1)-handles, the first inclusion fits into a sequence of inclusions $c(M_k) \subset c(M_k) \cup_{M_k} V[k, k] \subset c'(M_k)$ whose composition is given by attaching a collar (so is an isotopy equivalence), and the second inclusion is obtained by attaching (k + 1)-handles. Now *F* sends the second inclusion and the composition to isomorphisms, and so also the first. \Box

5.3. 2-type invariance of the Disc-structure space

By Section 4.5.2, the \mathscr{D} isc-structure spaces of compact manifolds form the values of a functor $S_{\partial}^{\mathscr{D}$ isc}(-): \mathscr{B} ord $(d)_{\varnothing/}^{(\infty,1)} \to \mathscr{S}$ of ∞ -categories, which induces on homotopy categories in view of Remark 5.9 a functor

$$S_{\partial}^{\otimes \operatorname{isc}}(-) \colon h\operatorname{Man}_{d}^{\operatorname{c}} \simeq h\mathscr{B}\operatorname{ord}(d)_{\otimes/}^{(\infty,1)} \longrightarrow h\mathscr{S}$$

The goal of this section is to show that this functor satisfies the assumptions of Theorem 5.7 for k = 2. This can be rephrased as follows:

Proposition 5.11. Let $M := \emptyset \rightsquigarrow P$ and $W : P \rightsquigarrow Q$ be d-dimensional bordisms. If W is of handle type [3, d], then the gluing map $(- \cup_P W) : S_{\partial}^{\mathcal{D}isc}(M) \rightarrow S_{\partial}^{\mathcal{D}isc}(M \cup_P W)$ is an equivalence.

Once this is proved, Theorem 5.7 implies the following refined version of Theorem A.

Theorem 5.12. Let $d \ge 5$, and M, N be two compact d-manifolds of the same tangential 2-type.

- (i) There exists an equivalence $S_{\partial}^{\mathcal{D}isc}(M) \simeq S_{\partial}^{\mathcal{D}isc}(N)$.
- (ii) For any embedding $e: L \hookrightarrow M$ of a d-manifold L with handle dimension ≤ 2 , there is an embedding $e': L \hookrightarrow N$ so that the equivalence of 5.12 can be chosen to be compatible with

$$e_* \colon S^{\mathcal{D}\mathrm{isc}}_{\partial}(L) \to S^{\mathcal{D}\mathrm{isc}}_{\partial}(M) \quad and \quad e'_* \colon S^{\mathcal{D}\mathrm{isc}}_{\partial}(L) \to S^{\mathcal{D}\mathrm{isc}}_{\partial}(N).$$

(iii) Any embedding $e: M \hookrightarrow N$ that induces an equivalence on tangential 2-types induces an equivalence $S_{\partial}^{\mathcal{D}isc}(M) \simeq S_{\partial}^{\mathcal{D}isc}(N)$ as in 5.12.

Proof of Proposition 5.11. Unravelling the statement using Definition 4.13, the task is to show that

$$\begin{array}{ccc} \mathscr{B}\mathrm{ord}(d)_{P} & \xrightarrow{(-)\cup_{P}W} & \mathscr{B}\mathrm{ord}(d)_{Q} \\ E \downarrow & & \downarrow_{E} \\ \mathscr{M}\mathrm{od}(d)_{E_{P\times I}}^{\mathrm{rep},\simeq} & \xrightarrow{(-)\cup_{E_{P\times I}}E_{W}} & \mathscr{M}\mathrm{od}(d)_{E_{Q\times I}}^{\mathrm{rep},\simeq} \end{array}$$

is a pullback in \mathcal{S} , where $\mathcal{M}od(d)_{E_{P\times I}}^{\operatorname{rep},\approx} \subset \mathcal{M}od(d)_{E_{P\times I}}^{\approx}$ and $\mathcal{M}od(d)_{E_{Q\times I}}^{\operatorname{rep},\approx} \subset \mathcal{M}od(d)_{E_{Q\times I}}^{\approx}$ are the ∞ -groupoids given as the full subcategories of those objects in the image of the functor $E: \mathscr{B}ord(d)_P \to \mathcal{M}od(d)_{E_{P\times I}}^{\approx}$ and in the image of its analogue for P replaced by Q, respectively. We prove that it is a pullback by showing that the map on horizontal fibres are equivalences, for which we use that for any map $f: E \to B$ in \mathcal{S} (thought of as a full subcategory of $\mathscr{C}at_{\infty}$) and a point $b \in B$, the fibre of f over b agrees with the colimit colim_E Map_B(f(-), b). This follows from [Lur09a, 3.3.4.6] combined with the fact that the fibre over b is the total space of the unstraightening of the functor Map_B(f(-), b): $E \to \mathcal{S}$, which in turn follows from [Lur09a, 3.3.2.8].

Applying this to the situation at hand and using the description of *E* on mapping spaces from Section 4.1.7, the claim follows once we show that for each nullbordism $N \in \mathscr{B}ord(d)_Q$, the map

$$\operatorname{colim}_{(\mathscr{B}\mathrm{ord}(d)_{P})^{\mathrm{op}}}\left[\operatorname{Map}_{\mathscr{B}\mathrm{ord}(d)_{Q}}\left((-)\cup_{P}W,N\right)\right] \xrightarrow{E} \operatorname{colim}_{(\mathscr{M}\mathrm{od}(d)^{\mathrm{rep,}_{z}}_{E_{P\times I}})^{\mathrm{op}}}\left[\operatorname{Map}_{\mathscr{M}\mathrm{od}(d)^{z}_{E_{Q\times I}}}\left((-)\cup_{E_{P\times I}}E_{W},E_{N}\right)\right]$$

is an equivalence. Using the factorisation $E: \mathscr{B}ord(d) \to \mathscr{M}od(d)$ through the noncompact version of the bordism double ∞ -category nc $\mathscr{B}ord(d)$, this map fits into a commutative diagram

where the bottom vertical equivalences result from the fact that the bordism $(P \times (-1, 0]): \emptyset \rightsquigarrow P$ is initial in nc \mathscr{B} ord $(d)_P$ and its image under *E* is initial in \mathscr{M} od $(d)_{E_{P\times I}}^{\text{rep}}$, by Remark 4.6. By Corollary 4.7, the bottom map is an equivalence as the handle dimension of $P \times (-1, 0] \cup_P W$ relative to *Q* is $\leq d - 3$ by assumption. It thus suffices to show that (1) and (2) are equivalences.

We begin with (1). Since the mapping spaces in nc \mathscr{B} ord $(d)_P$ are given by spaces of embeddings fixing the boundary and composition is given by composition of embeddings (see Section 4.1.1) and the same holds for \mathscr{B} ord $(d)_P$ with embeddings replaced by diffeomorphisms (see Section 4.1.2), the map (1) is the map induced by restriction

$$\operatorname{colim}_{(\mathscr{B}\mathrm{ord}(d)_P)^{\mathrm{op}}}\mathrm{Diff}_{\partial}((-)\cup_P W,N)\longrightarrow \operatorname{Emb}_Q(W,N)$$

Using the decomposition \mathscr{B} ord $(d)_P = \bigsqcup_{M \in \pi_0 \mathscr{B}$ ord $(d)_P}$ BDiff $\partial(M)$ into path components (see Section 4.1.2), this can further be simplified as

$$\bigsqcup_{M \in \pi_0 \, \mathscr{B}\mathrm{ord}(d)_P} \mathrm{Diff}_{\partial}(M \cup_P W, N) / \mathrm{Diff}_{\partial}(M) \longrightarrow \mathrm{Emb}_Q(W, N).$$
(76)

To show that the map (76) is an equivalence, we show separately that it induces a bijection on components and that it is an equivalence on each component. To see that it is surjective on components, pick an embedding $e \in \text{Emb}_Q(W, N)$. Up to changing e within its isotopy class, we can assume that $P \subset W$ is mapped to the interior of N and that the complement of $e(W \setminus P) \subset N$ defines a bordism $(N \setminus e(W \setminus P)): P \rightsquigarrow Q$. In this case, the class in $\pi_0 \text{Diff}_\partial(M \cup_P W, N)/\pi_0 \text{Diff}_\partial(M) = \pi_0(\text{Diff}_\partial(M \cup_P W, N)/Diff_\partial(M))$ of the diffeomorphism $(N \setminus e(W \setminus P)) \cup_P W \cong N$ obtained by extending e by the identity provides a preimage of $[e] \in \pi_0 \text{Emb}_Q(W, N)$. Injectivity of (76) on π_0 follows from the isotopy extension theorem in the form of the homotopy fibre sequence

$$\operatorname{Diff}_{\partial}(M) \xrightarrow{\phi \circ ((-) \cup_{P} \operatorname{id}_{W})} \operatorname{Diff}_{\partial}(M \cup_{P} W, N) \xrightarrow{\operatorname{res}} \operatorname{Emb}_{Q}(W, N)$$

with fibre taken over the image of a diffeomorphism $\phi : M \cup_P W \cong N$. This sequence also implies that (76) is an equivalence on components, which finishes the proof for ①.

The argument for (2) is similar. Using Sections 4.1.6 and 4.1.7, the reduction to showing that (76) is an equivalence applies also to the map (2) and shows that it agrees with the map

induced by the inclusion $P \times (-1, 0] \cup_P W \subset M \cup_P W$. From the commutativity of the big diagram above and the fact that ① and the bottom horizontal map are equivalences, we see that ② is surjective on $\pi_0(-)$, so we must show it is injective on $\pi_0(-)$ and induces an equivalence on components. This follows as for ① once we show that for $E_M \in \mathcal{M}od(d)_{E_{P\times I}}^{\operatorname{rep},\simeq}$ and $\phi \in \operatorname{Map}_{\mathcal{M}od(d)_{E_{Q\times I}}^{\simeq}}(E_M \cup_{E_P} E_W, E_N)$, the sequence

 $\operatorname{Aut}_{\mathscr{M}\operatorname{od}(d)_{E_{P\times I}}^{\approx}}(E_{M}) \longrightarrow \operatorname{Map}_{\mathscr{M}\operatorname{od}(d)_{E_{O\times I}}^{\approx}}(E_{M\cup_{P}W}, E_{N}) \xrightarrow{\operatorname{res}} \operatorname{Map}_{\mathscr{M}\operatorname{od}(d)_{E_{Q\times I}}}(E_{P\times (-1,0]\cup_{P}W}, E_{N}),$

whose left map is given by $\phi \circ ((-) \cup_{E_{P\times I}} \operatorname{id}_{E_W})$, is a homotopy fibre sequence when taking homotopy fibres over the image of ϕ . By postcomposition with an inverse of ϕ , it suffices to show this in the case $\phi = \operatorname{id}$. This follows from the second part of Theorem 4.10 (set $P = \emptyset$, Q = P, R = Q, $W = \emptyset$, W' = W, M = M, and N = M). The hypothesis to apply this result holds by Corollary 4.7, since it follows from the assumption that $\underline{k} \times \mathbf{R}^d \sqcup P \times (-1, 0] \cup_P W$ is the interior of a manifold obtained from a closed collar on Q by attaching ($\leq d - 3$)-handles for all k.

We conclude this section with a first application of the tangential 2-type invariance. We will later use it to reduce the proof of the nontriviality result for $S_{\partial}^{\otimes \text{isc}}(M)$ to the case of $M = D^d$.

Corollary 5.13. For a compact spin d-manifold $M \neq \emptyset$ with $d \ge 5$, the space $S_{\partial}^{\mathcal{D}isc}(M)$ contains $S_{\partial}^{\mathcal{D}isc}(D^d)$ as a homotopy retract.

Proof. This essentially follows from the fact that any finitely presented group arises as the fundamental group of a compact connected codimension 0-submanifold $N \subset D^k$ as long as $k \ge 5$ (in fact, $k \ge 4$ is known to suffice, but we will not need this harder result). Indeed, apply this to k = d and the fundamental group of each path component of M to obtain a compact d-manifold $N \subset D^d$ whose fundamental groupoid is equivalent to that of M. Since N admits an embedding into D^d , it is in particular spin, so the final discussion in Example 5.2 shows that M and N have the same tangential 2-type. Using the tangential 2-type invariance of $S_{\partial}^{\otimes \text{lisc}}(-)$ from Theorem 5.12, it thus suffices to show the claim for N. The latter follows by choosing an embedded disc $D^d \subset N$ so that the composition $D^d \subset N \subset D^d$ is isotopic to the identity and applying $S_{\partial}^{\otimes \text{lisc}}(-)$.

6. Theorem B: infinite loop space

The goal of this section is the proof of Theorem B, or rather the following strengthening of it:

Theorem 6.1. For a compact manifold M of dimension $d \ge 8$, $S_{\partial}^{\mathcal{D}isc}(M)$ admits the structure of an infinite loop space. If M is 1-connected spin, then the bound $d \ge 8$ can be improved to $d \ge 6$.

In Section 1.2.4, we already gave an informal overview of the proof. We now make it precise.

6.1. Operads with homological stability

The proof of Theorem 6.1 relies on work of Basterra–Bobkova–Ponto–Tillmann–Yaekel [BBP⁺17] on *operads with homological stability* which generalises earlier work of Tillmann [Til00]. We summarise their main result in this subsection.

Remark 6.2. [BBP⁺17] is written in the setting of classical operads in topological spaces and algebras over them. To make it fit in our framework, we will rephrase their result in terms of (symmetric) ∞ -operads and algebras over them (see Section 2.7). This translation is justified by the fact that there is an equivalence of ∞ -categories between the ∞ -category $\mathcal{O}pd_{\infty}$ of ∞ -operads, and the ∞ -category underlying the model category $s\mathcal{O}p$ of classical coloured operads in simplicial sets (see [CHH18, p. 858]), which is in turn Quillen equivalent to that of classical coloured operads in topological spaces; these equivalences do not affect the induced operad in the homotopy category. These equivalences

extend to corresponding equivalences between categories of algebras over operads: [PS18, Theorem 7.11] shows that (under mild conditions) the comparison functor from the ∞ -category underlying the model category of algebras over a simplicial operad to the ∞ -category of algebras over the associated ∞ -operad is an equivalence, and applying Sing(–), induces (under mild conditions) a Quillen equivalence from the model category of algebras over a topological operad to the model category of algebras over the corresponding simplicial operad. The 'mild conditions' in both steps are satisfied for all operads appearing in this section.

Let \mathbf{N}_0 denote the set of nonnegative integers. To state the main result of [BBP⁺17], we consider \mathbf{N}_0 -graded ∞ -operads, by which we mean (symmetric) ∞ -operads \mathcal{P} , together with a map of ∞ -operads $\mathcal{P}^{\otimes} \to \operatorname{Fin}^{\mathbf{N}_0}_*$ to the ∞ -operad $\operatorname{Fin}^{\mathbf{N}_0}_*$ that is induced (via the operadic nerve [Lur17, 2.1.1.27]) by \mathbf{N}_0 under addition, considered as a symmetric monoidal category with a single object. Unpacking the definition, this amounts to an \mathbf{N}_0 -indexed disjoint union decomposition $\operatorname{Mul}_{\mathcal{P}}(x_1, \ldots, x_n; y) = \bigcup_{g \ge 0} \operatorname{Mul}_{\mathcal{P}}(x_1, \ldots, x_n; y)_g$ of all spaces of multi-operations that is additive under operadic composition. Every ∞ -operad \mathcal{O} can be viewed as an \mathbf{N}_0 -graded operad in grading 0; formally, this amounts to considering the composition $\mathcal{O}^{\otimes} \to \operatorname{Fin}^{\mathbf{N}_0}_* \to \operatorname{Fin}^{\mathbf{N}_0}_*$, where the second arrow is induced by $\{0\} \subset \mathbf{N}_0$.

Definition 6.3. An *operad with homological stability* is an N₀-graded ∞ -operad \mathscr{P} with a single colour (whose space of *k*-ary operations we write as Mul $\mathscr{P}(*, \ldots, *; *) = \mathscr{P}(k) = \bigsqcup_{g \ge 0} \mathscr{P}_g(k)$), together with

- (i) a map of N_0 -graded ∞ -operads \mathscr{A} ssoc $\rightarrow \mathscr{P}$ from the associative operad \mathscr{A} ssoc (see Example 2.11) concentrated in degree 0, and
- (ii) a distinguished element $s \in \mathcal{P}_1(1)$, called the *stabilising element*,

such that

- (a) the map on 2-ary operations \mathscr{A} ssoc $(2) \to \mathscr{P}_0(2)$ lands in a single path component, and
- (b) the map $\mathscr{P}_{\infty}(k) \coloneqq \operatorname{colim}_{g} \mathscr{P}_{g}(k) \to \operatorname{colim}_{g} \mathscr{P}_{g}(0) \eqqcolon \mathscr{P}_{\infty}(0)$ induced by taking horizontal colimits in the commutative diagram in \mathscr{S}

$$\cdots \longrightarrow \mathscr{P}_{g-1}(k) \xrightarrow{\circ_P(s;-)} \mathscr{P}_g(k) \xrightarrow{\circ_P(s;-)} \mathscr{P}_{g+1}(k) \longrightarrow \cdots$$

$$\downarrow^{\circ_P(-;*,\ldots,*)} \qquad \downarrow^{\circ_P(-;*,\ldots,*)} \qquad \downarrow^{\circ_P(-;*,\ldots,*)}$$

$$\cdots \longrightarrow \mathscr{P}_{g-1}(0) \xrightarrow{\circ_P(s;-)} \mathscr{P}_g(0) \xrightarrow{\circ_P(s;-)} \mathscr{P}_{g+1}(0) \longrightarrow \cdots$$

is an integral homology isomorphism for all $k \ge 0$; here, $\circ_P(-; -)$ is the operadic composition, and $* \in \mathscr{P}_0(0)$ is the image of $* \simeq \mathscr{A}ssoc(0) \rightarrow \mathscr{P}_0(0)$.

Given \mathcal{P} as in Definition 6.3, we may forget the grading and consider the composition

$$\operatorname{Alg}_{\mathscr{P}}(\mathscr{S}) \longrightarrow \operatorname{Alg}_{\mathscr{A}\operatorname{ssoc}}(\mathscr{S}) \xrightarrow{\operatorname{LurieHA, p 465}} \operatorname{Mon}(\mathscr{S}) \xrightarrow{\Omega B} \operatorname{Mon}^{\operatorname{grp}}(\mathscr{S}) \xrightarrow{U} \mathscr{S}, \tag{77}$$

where the first arrow is the functor between ∞ -categories of algebras in \mathscr{S} with its cartesian symmetric monoidal structure, induced by the morphism \mathscr{A} ssoc $\to \mathscr{P}$ of ∞ -operads (see Section 2.7), the second arrow is given by *group completion* (i.e., the left adjoint of the full subcategory inclusion Mon^{grp}(\mathscr{S}) \subset Mon(\mathscr{S}) of *group-like objects* – that is, those monoid objects $M \in \text{Mon}(\mathscr{S}) \subset \text{Fun}(\Delta^{\text{op}}, \mathscr{S})$ in the sense of Section 2.5 for which the induced monoid of path components $\pi_0(M_{[1]})$ is a group), and the final arrow is the forgetful functor, given by evaluation at $[1] \in \Delta$. Recall (see, for example, [Lur17, 5.2.6]) that the composition of the final two arrows sends $M \in \text{Mon}(\mathscr{S})$ to the pullback in \mathscr{S}

Writing $\operatorname{Alg}_{E_{\infty}}^{\operatorname{grp}}(\mathcal{S}) \subset \operatorname{Alg}_{E_{\infty}}(\mathcal{S})$ for the full subcategory of group-like algebras in \mathcal{S} over the E_{∞} -operad [Lur17, 5.1.1.6], the main result of [BBP⁺17] reads as follows:

Theorem 6.4 (Basterra–Bobkova–Ponto–Tillmann–Yeakel). For an operad with homological stability \mathcal{P} , there exists a dashed functor fitting into a commutative diagram of ∞ -categories



where U is the forgetful functor.

In other words, the underlying space of the group completion of an algebra over an operad with homological stability (viewed as an ungraded operad) admits functorially the structure of a group-like E_{∞} -algebra, or equivalently – by the recognition principle [Lur17, 5.2.6.26] – of an infinite loop space.

6.2. A manifold operad with homological stability

The main example of an operad with homological stability considered in [BBP+17] is constructed out of the manifolds

$$W_{g,k+l}^{2n} := W_{0,k+l}^{2n} \sharp (S^n \times S^n)^{\sharp g} \quad \text{with} \quad W_{0,k+l}^{2n} := S^{2n} \setminus \operatorname{int}((\sqcup^k D^{2n}) \sqcup (\sqcup^l D^{2n}))$$

for $k, l \ge 0$ and $n \ge 1$, considered as bordisms of the form $\sqcup^k S^{2n-1} \rightsquigarrow \sqcup^l S^{2n-1}$. Here, \sharp denotes the connected sum operation. This is also the operad that is relevant for the proof of Theorem 6.1, so we recall its construction in our setting. We omit the 2*n*-superscripts for brevity.

Consider the tangential structure $\theta = \tau^* \operatorname{Fr}(\gamma)$ in the sense of Section 4.1.3 given as the $\operatorname{GL}_{2n}(\mathbb{R})$ space, which is the pullback of the frame bundle of the universal bundle $\gamma \to \operatorname{BO}(2n)$ along the *n*connected cover map $\tau : \tau_{>n}\operatorname{BO}(2n) \to \operatorname{BO}(2n)$. Since S^{2n-1} is stably parallelisable, its once-stabilised
tangent bundle admits a θ -structure ℓ_0 compatible its canonical orientation, unique up to equivalence
of θ -structures. We consider the symmetric monoidal ∞ -category $\operatorname{\mathscr{B}ord}^{\theta}(2n)^{(\infty,1)}$ from Section 4.1.3
and write $\operatorname{\mathscr{B}ord}^{\theta}(2n)^{(\infty,1),W}$ for the sub symmetric monoidal ∞ -category (see Example 2.13) obtained
by restricting objects to those equivalent to $\sqcup^k(S^{2n-1}, \ell_0)$ for $k \ge 0$ and restricting morphisms to those θ -bordisms whose underlying bordism without θ -structure is equivalent to a disjoint union of $W_{g,k+1}$'s
for some $g, k \ge 0$. Up to issues with components and different models, [BBP+17, Theorem 1.3] shows
that the endomorphism operad

$$\mathscr{W} \coloneqq \operatorname{End}_{\mathscr{B}\operatorname{ord}^{\theta}(2n)^{(\infty,1),W}}(S^{2n-1},\ell_0)$$

of (S^{2n-1}, ℓ_0) in this category (see Section 2.7.1) can be enhanced to an operad with homological stability for all $2n \ge 2$. For completeness and to deal with these issues, we give a proof in our setting by adapting their argument. As in [BBP⁺17], the main ingredient is a stable homological stability result of Galatius–Randal-Williams [GRW17] (for the case 2n = 2, one can use [Har85]).

Proposition 6.5. \mathcal{W} admits the structure of an operad with homological stability for all $2n \geq 2$.

Proof. By definition and (68), the space of *k*-ary operations

$$\mathscr{W}(k) = \operatorname{Map}_{\mathscr{B}\mathrm{ord}^{\theta}(2n)^{(\infty,1)}, W} \left(\sqcup^{k}(S^{2n-1}, \ell_{0}), (S^{2n-1}, \ell_{0}) \right)$$

is the ∞ -groupoid of θ -bordisms $\sqcup^k(S^{2n-1}, \ell_0) \rightsquigarrow (S^{2n-1}, \ell_0)$ that are, after forgetting θ -structures, equivalent to $W_{g,k+1}$ for some $g \ge 0$. As the manifolds $W_{g,k+1}$ are pairwise non-diffeomorphic for $g \ge 0$,



Figure 8. A 5-ary operation in the ∞ -operad \mathcal{W} .

this induces a decomposition $\mathcal{W}(k) = \bigsqcup_{g \ge 0} \mathcal{W}(k)_g$ which is compatible with the operad structure given by gluing bordisms with θ -structures, so it gives rise to an \mathbf{N}_0 -grading on \mathcal{W} .

To construct a map \mathscr{A} ssoc $\to \mathscr{W}$ from the associative operad (put in degree 0), we first use Example 2.11 to recognise \mathscr{A} ssoc as a suboperad in the sense of Section 2.7.1 of the endomorphism operad $\operatorname{End}_{\mathscr{B} \operatorname{ord}^{\operatorname{fr}}(2)^{\partial,(\infty,1)}}(D^1, \operatorname{st})$ of the 1-disc with the standard 1-framing (that is, framing of its once-stabilised tangent bundle) considered as an object of the 2-dimensional framed bordism category with boundary from Section 4.1.3 (formally, the tangential structure involved is $\operatorname{fr} = (\operatorname{id}: \operatorname{GL}_2(\mathbb{R}) \to$ $\operatorname{GL}_2(\mathbb{R})$). Namely, we restrict to those bordisms $(N, \ell): \sqcup^k(D^1, \operatorname{st}) \to (D^1, \operatorname{st})$ for which (N, ℓ) is diffeomorphic (after smoothing corners) to D^2 with its standard framing such that $\sqcup^k(D^1, \operatorname{st}) \subset \partial D^2$ is orientation-preserving, $(D^1, \operatorname{st}) \subset \partial D^2$ is orientation-reversing (see Figure 8 for an example). From Example 2.11, one sees that this suboperad is equivalent to \mathscr{A} ssoc since its space of *k*-ary operations is homotopy discrete with components Σ_k (with the regular Σ_k -action) as a consequence of the facts that (i) the diffeomorphism group of D^2 fixing some boundary intervals is contractible as a result of the equivalences $\operatorname{Diff}_{\partial}(D^1) \simeq *$ and $\operatorname{Diff}_{\partial}(D^2) \simeq *$ (the first is folklore, the latter is [Sma59, Theorem B]) and that (ii) the space of framings of D^2 relative to fixed 1-framings on collared intervals in the boundary is homotopy discrete (as $\Omega \operatorname{GL}_2(\mathbb{R})$ is).

Now we consider the composition of symmetric monoidal ∞-categories

$$\mathscr{B}\mathrm{ord}^{\mathrm{fr}}(2)^{\partial,(\infty,1)} \xrightarrow{(-)\times(D^{2n-1},\mathrm{st})} \mathscr{B}\mathrm{ord}^{\mathrm{fr}}(2n+1)^{\partial,(\infty,1)} \xrightarrow{\partial} \mathscr{B}\mathrm{ord}^{1-\mathrm{fr}}(2n)^{(\infty,1)} \longrightarrow \mathscr{B}\mathrm{ord}^{\theta}(2n)^{(\infty,1)},$$

where the first arrow takes the product with D^{2n-1} equipped with the standard framing and smooths corners (see Example 3.13), the second arrow takes boundaries and lands in the bordism category with 1-stabilised framings (see Example 3.12), and the final arrow is induced by the naturality (62) in the tangential structure and the fact that there is a map of tangential structures $(1-fr) \rightarrow \theta$ since $\tau: \tau_{>n}BO(2n) \rightarrow BO(2n)$ arises as the pullback of $\tau_{>n}BO(2n+1) \rightarrow BO(2n+1)$ along $BO(2n) \rightarrow$ BO(2n+1) and thus receives a map from the pullback of $* \rightarrow BO(2n+1)$. Taking endomorphism operads and precomposing with the map from \mathscr{A} ssoc, we have a composition

$$\mathscr{A}\operatorname{ssoc} \xrightarrow{\subset} \operatorname{End}_{\mathscr{B}\operatorname{ord}^{\operatorname{fr}}(2)^{\partial,(\infty,1)}}(D^{1},\operatorname{st}) \longrightarrow \operatorname{End}_{\mathscr{B}\operatorname{ord}^{\theta}(2n)^{(\infty,1)}}(S^{2n-1},\ell_{0}),$$

which lands in the suboperad of $\operatorname{End}_{\mathscr{B} \operatorname{ord}^{\theta}(2n)^{(\infty,1)}}(S^{2n-1}, \ell_0)$ whose underlying bordisms are equivalent to $W_{0,k+1}$, using that $\partial(D^2 \times D^{2n-1}) \setminus \operatorname{int}(\sqcup^{k+1}D^1 \times D^{2n-1}) \cong W_{0,k+1}$ after smoothing corners. In other words, it lands in the degree 0-part of the operad \mathscr{W} and thus gives a map $\mathscr{A} \operatorname{ssoc} \to \mathscr{W}$ as in part (i) of Definition 6.3. As $s \in \mathscr{W}_1(1)$ in part (ii), we choose the bordism $W_{1,1}: S^{2n-1} \rightsquigarrow S^{2n-1}$ with an admissible θ -structure as in [GRW17, p. 130] that extends ℓ_0 on the boundary spheres.

It remains to check conditions (a) and (b) of Definition 6.3. For (a), one observes that already the composition \mathscr{A} ssoc $(2) \rightarrow \operatorname{End}_{\mathscr{B} \operatorname{ord}^{\operatorname{fr}}(2)^{\partial,(\infty,1)}}(D^1, \operatorname{st})(2) \rightarrow \operatorname{End}_{\mathscr{B} \operatorname{ord}^{\operatorname{fr}}(2n+1)^{\partial,(\infty,1)}}(D^{2n}, \operatorname{st})(2)$ lands in a single path component, since the bordism $(D^{2n+1}, \operatorname{st}) : \sqcup^2(D^{2n}, \operatorname{st}) \rightsquigarrow (D^{2n}, \operatorname{st})$ is for $n \ge 1$ framed

diffeomorphic to the same bordism with the two source components permuted using the isotopy extension theorem and the fact that the space of framed embeddings $\Box^2 D^d \hookrightarrow D^d$ is connected for $d \ge 2$.

Finally, to verify (b), we note that the image of $* \simeq \mathscr{A}\operatorname{ssoc}(0) \to \mathscr{W}$ is the bordism $D^{2n} : S^{2n-1} \to \emptyset$, equipped with some θ -structure, so the map $\mathscr{W}_{\infty}(k) \to \mathscr{W}_{\infty}(0)$ is a homology equivalence as a result of applying [GRW17, Theorem 1.3] to the bordism (with some θ -structure)

$$(D^{2n})^{\sqcup k} \sqcup (S^{2n-1} \times [0,1]) \colon (S^{2n-1})^{\sqcup k} \sqcup S^{2n-1} \rightsquigarrow S^{2n-1},$$

which, being (n - 1)-connected relative to its source, satisfies the condition of that theorem.

6.3. Group completion and Disc-structure spaces

Fixing numbers $2 \le 2n \le d$ and a closed (d - 2n)-manifold *P*, we consider the sequence of symmetric monoidal ∞ -categories

$$\mathscr{B}\mathrm{ord}^{\theta}(2n)^{(\infty,1),W} \subset \mathscr{B}\mathrm{ord}^{\theta}(2n)^{(\infty,1)} \xrightarrow{U} \mathscr{B}\mathrm{ord}(2n)^{(\infty,1)} \xrightarrow{P \times -} \mathscr{B}\mathrm{ord}(d)^{(\infty,1)} \xrightarrow{E} \mathscr{M}\mathrm{od}(d)^{(\infty,1)},$$
(78)

where U forgets tangential structures, $P \times (-)$ takes products (see Step (8) in Section 3), and the final functor is discussed in Section 4.1.7. (78) lands in the sub symmetric monoidal ∞ -category

$$\mathcal{M}\mathrm{od}(d)^{(\infty,1),W} \subset \mathcal{M}\mathrm{od}(d)^{(\infty,1)},$$

which is obtained by the restricting the objects to those equivalent to $E_{\sqcup^k P \times S^{2n-1} \times I}$ for $k \ge 0$ and the morphisms to those bimodules equivalent to $E_{\sqcup^m P \times W_{e,k+1}}$ for some $m, k, g \ge 0$. We write

$$\mathscr{B}$$
ord $(2n)^{(\infty,1),\overline{W}} \subset \mathscr{B}$ ord $(2n)^{(\infty,1)}$

for the symmetric monoidal sub ∞ -category obtained by restricting objects and morphisms to those that land in $\mathcal{M}od(d)^{(\infty,1),W} \subset \mathcal{M}od(d)^{(\infty,1)}$. Taking endomorphism operads, we obtain a composition

$$\mathcal{A}\operatorname{ssoc} \to \mathcal{W} = \operatorname{End}_{\mathscr{B}\operatorname{ord}^{\theta}(2n)^{(\infty,1)},W}(S^{2n-1},\ell_0)$$

$$\to \operatorname{End}_{\mathscr{B}\operatorname{ord}(d)^{(\infty,1)},\overline{W}}(P \times S^{2n-1}) \to \operatorname{End}_{\mathscr{M}\operatorname{od}(d)^{(\infty,1)},W}(P \times S^{2n-1})$$

of maps of ∞ -operads. On 0-ary operations, this induces a map of \mathcal{W} -algebras (see Section 2.7.1)

$$\operatorname{Map}_{\mathscr{B}\operatorname{ord}(2n)^{(\infty,1)},\overline{W}}(\emptyset, P \times S^{2n-1}) \longrightarrow \operatorname{Map}_{\mathscr{M}\operatorname{od}(d)^{(\infty,1)},W}(E_{\emptyset}, E_{P \times S^{2n-1} \times I}),$$
(79)

which we can also view as a map of \mathscr{A} ssoc-algebras in \mathscr{S} , or equivalently, one of monoid objects in \mathscr{S} . Going through the construction, the unit in $\operatorname{Map}_{\mathscr{M}od(d)^{(\infty,1),W}}(\mathscr{O}, E_{P\times S^{2n-1}\times I})$ is given by the bimodule $E_{P\times D^{2n}}$, and the fibre at that object of (79), viewed as a map in \mathscr{S} , is exactly $S^{\mathscr{D}isc}(P \times D^{2n})$ from Section 4.5.2. Since the forgetful functor $\operatorname{Mon}(\mathscr{S}) \to \mathscr{S}$ preserves limits, $S^{\mathscr{D}isc}(P \times D^{2n})$ inherits a monoid structure which fits into a pullback diagram in $\operatorname{Mon}(\mathscr{S})$

Under mild conditions, this square remains a pullback after group completion. We show this as the first part of the following proposition.

Proposition 6.6. Fix $2 \le 2n \le d$ with $d \ge 6$ and a closed (d - 2n)-manifold P.

(i) If also $2n \ge 4$, then the pullback (80) in Mon(\mathscr{S}) remains a pullback after group completion.

(ii) $S_{\partial}^{\mathcal{D}isc}(P \times D^{2n})$ is group-like when considered as a monoid object in \mathcal{S} .

Proof. The first part is an application of the following fact, which can be deduced from [Ste21, Theorem 2.11]: if a map $\varphi: X \to Y$ of monoid objects in \mathscr{S} has the property that for all $y \in Y$ there is an $x \in X$ such that $\varphi(x) = y$ and the following squares are pullbacks in \mathscr{S}

| X | $\xrightarrow{(-)\cdot x} X$ | | Χ - | $\xrightarrow{x \cdot (-)}$ | X |
|--------------|------------------------------|-----|--------------|-----------------------------|--------------|
| \downarrow | \downarrow | and | \downarrow | () | \downarrow |
| \dot{Y} - | $\xrightarrow{(-)\cdot y} Y$ | | Υ - | $\xrightarrow{y \cdot (-)}$ | Υ, |

then group completion preserves pullbacks of monoid objects in S along the map $\varphi \colon X \to Y$.

To conclude 6.6, it thus suffices to check the condition for the right vertical map in (80) which amounts to showing that the square in S

is cartesian for all $g \ge 0$, where $(-) \lor (-)$ denotes the monoid structure of the monoid objects in (80), and that the same holds for the square where we take products from the left. We focus on the former; the latter is proved in the same way.

Going through the construction of the map \mathscr{A} ssoc $\to \mathscr{W}$ in the proof of Proposition 6.5, we see that $(-) \lor (P \ltimes W_{g,1})$ is given a 'pair of pants-product': it sends a bordism $M : \oslash \to P \ltimes S^{2n-1}$ to the disjoint union $(M \sqcup P \ltimes W_{g,1}) : \oslash \to \sqcup^2 P \ltimes S^{2n-1}$ and then takes composition with $(P \ltimes W_{0,2+1}) : \sqcup^2 P \ltimes S^{2n-1} \to P \ltimes S^{2n-1}$. By monoidality, this agrees with the map that sends $M : \oslash \to P \ltimes S^{2n-1}$ first to its composition with $([0, 1] \ltimes P \ltimes S^{2n-1} \sqcup P \ltimes W_{g,1}) : P \ltimes S^{2n-1} \to \sqcup^2 P \ltimes S^{2n-1}$ and then takes composition of the latter two bordisms is diffeomorphic, as a self-bordism of $P \ltimes S^{2n-1}$, to $P \ltimes W_{g,1+1}$. The same argument applies to $(-) \lor E_{P \ltimes W_{g,1}}$, so using monoidality of the functor $E : \mathscr{B}$ ord $(d)^{(\infty,1)} \to \mathscr{M}$ od $(d)^{(\infty,1)}$, we may replace the top and bottom maps in the previous square by the gluing maps $(-) \cup_{P \ltimes S^{2n-1}} (P \ltimes W_{g,1+1})$ and $(-) \cup_{E_{P \ltimes S^{2n-1} \ltimes I}} E_{P \ltimes W_{g,1+1}}$, respectively. Taking vertical homotopy fibres, it thus suffices to show that for $h \ge 0$, the gluing map

$$((-) \cup_{P \times S^{2n-1}} (P \times W_{g,1+1})) \colon S_{\partial}(P \times W_{h,1}) \to S_{\partial}(P \times W_{h+g,1})$$

is an equivalence. In the setting of Theorem 5.12, this map is induced by the inclusion $(id_P \times inc)$: $P \times W_{h,1} \hookrightarrow P \times W_{h+g,1}$, so it is an equivalence by part (iii) of the theorem because the latter inclusion is an equivalence on tangential 2-types as a result of $W_{h,1}$ for all $h \ge 0$ being parallelisable and 1-connected since we assumed $2n \ge 4$.

To show (ii), we first recall from Section 4.5 that $\pi_0 S_{\partial}^{\otimes \operatorname{isc}}(P \times D^{2n})$ is the set of equivalence classes of pairs (W, ϕ) of a compact manifold W whose boundary is identified with $P \times S^{2n-1}$, together with an equivalence $\phi: E_M \to E_{P \times D^{2n}}$ in $\mathcal{M} \operatorname{od}(d)_{P \times S^{2n-1}}^{\approx}$, and two such pairs are equivalent if there exists a diffeomorphism between the manifolds that makes the evident triangle in $\mathcal{M} \operatorname{od}(d)_{P \times S^{2n-1}}^{\approx}$ homotopy commute. Forgetting ϕ induces an exact sequence of pointed sets

$$\pi_0 \operatorname{Aut}_{\mathscr{M}\operatorname{od}(d)^{\widetilde{e}}_{E_{P\times S^{2n-1}\times I}}}(E_{P\times D^{2n}}) \longrightarrow \pi_0 S^{\mathcal{D}\operatorname{isc}}_{\partial}(P\times D^{2n}) \longrightarrow M_{\partial}(P\times D^{2n}) \longrightarrow 0,$$
(81)
where $M_{\partial}(P \times D^{2n})$ is the pointed set of compact *d*-manifolds *W* with boundary identified with $P \times S^{2n-1}$ such that there exists an unspecified equivalence $E_W \simeq E_{P \times D^{2n}}$ in \mathcal{M} od $(d)_{E_{P \times D^{2n}}}$, up to diffeomorphism relative to the boundary, and based at $P \times D^{2n}$. The monoid structure on $\pi_0 S_{\partial}^{\otimes \text{jsc}}(P \times D^{2n})$ given by the 'pair of pants product' induces a compatible monoid structure on $M_{\partial}(P \times D^{2n})$, concretely given by

$$W \vee W' \coloneqq (W \sqcup W') \cup_{P \times S^{2n-1} \sqcup P \times S^{2n-1}} P \times W_{0,2+1}.$$

$$\tag{82}$$

A priori, the leftmost pointed set in (81) carries *two* monoid structures – one induced by the 'pair of pants product' (–) \vee (–) and one by composition – but these agree by the Eckmann–Hilton argument. Thus, (81) is an exact sequence of monoids whose leftmost term is a group. Monoid-extensions of groups are groups, so it suffices to show that $M_{\partial}(P \times D^{2n})$ is a group. We do so by showing that every element has a right- and a left-inverse; since the two constructions are essentially identical, we will only explain the right-inverse.

For this, it is convenient to use the notion of *relative bordism* $V: N_0 \rightarrow N_1$ between two compact manifolds N_0 and N_1 with identified boundary $\partial N_0 \cong \partial N_1$, by which we mean a compact manifold V with a division of its boundary into three codimension zero submanifolds $\partial V = N_0 \cup (\partial N_0 \times I) \cup N_1$ that intersect at corners. Up to creating some corners, we can regard an element $W \in M_\partial(P \times D^{2n})$ as a relative bordism of the form $P \times D^{2n-1} \rightarrow P \times D^{2n-1}$ by dividing the identified boundary $\partial W \cong P \times S^{2n-1}$ into $(P \times D^{2n-1} \times \{0\}) \cup (P \times \partial D^{2n-1} \times [0, 1]) \cup (P \times D^{2n-1} \times \{1\})$. In these terms, the monoid structure is given by composition of relative bordisms. By definition of $M_\partial(P \times D^{2n})$, the manifold W admits an equivalence $\phi: E_W \rightarrow E_{P \times D^{2n}}$ in \mathcal{M} od $(d)_{E_{P \times S^{2n-1} \times I}}$. In general, for manifold N viewed as a nullbordism $N: \emptyset \rightsquigarrow \partial N$, we can consider the composition

$$E_{\partial N \times I} \simeq E_{\varnothing} \otimes E_{\partial N \times I} \xrightarrow{E_{\iota} \otimes \mathrm{id}} E_N \otimes E_{\partial N \times I} \xrightarrow{\mathrm{act}} E_N$$

in PSh(\mathscr{D} isc_d) using the Day convolution product \otimes , the unique embedding $\varnothing \to N$ and the fact that E_{\emptyset} is the monoidal unit. Evaluating this composition at \mathbf{R}^d and taking quotients by the Diff(\mathbf{R}^d) \simeq $\text{Emb}(\mathbf{R}^d, \mathbf{R}^d)$ -action by functoriality recovers the homotopy class of the boundary inclusion $\partial N \subset N$. Applying this principle to the equivalence ϕ above, we obtain a homotopy equivalence $W \simeq P \times D^{2n}$ under $P \times S^{2n-1}$. In terms of relative bordisms, this says that W is a strongly inertial relative hcobordism: that is, not only are the inclusions of the incoming and outgoing boundary homotopy equivalences, but the induced homotopy equivalence between them is homotopic to a diffeomorphism relative to the boundary. Since we assumed $d \ge 6$, relative h-cobordisms $W: W_0 = P \times D^{2n-1} \rightsquigarrow W_1$, up to diffeomorphism relative to the incoming boundary $P \times D^{2n-1}$, are classified by their Whitehead torsion $\tau(W) \in Wh_1(\pi_1 P)$, and the Whitehead torsions of strongly inertial relative *h*-cobordisms form a subgroup (cf. the discussion in [JK15, Section 3]). Thus, we may find another strongly relative hcobordism $W': P \times D^{2n-1} \rightsquigarrow P \times D^{2n-1}$ with a diffeomorphism $W \cup_{P \times D^{2n-1}} W' \cong P \times D^{2n}$ that respects part of the boundary identification – namely, $P \times D^{2n-1}\{0\} \cup (P \times \partial D^{2n-1} \times [0, 1])$. By changing the identification of the outgoing boundary of W' if necessary, we may assume that this diffeomorphism respects the full boundary identification. Smoothing corners, this gives a diffeomorphism $\psi: W \lor W' \rightarrow W'$ $P \times D^{2n}$ relative to $P \times S^{2n-1}$. To show that W' is a right inverse to W in $M_{\partial}(P \times D^{2n})$, it thus suffices to produce an equivalence $E_{W'} \simeq E_{P \times D^{2n}}$ in $\mathcal{M} \text{od}_{E_{P \times S^{2n-1} \times I}}$. This is given by

$$E_{W'} \simeq E_{P \times D^{2n}} \vee E_{W'} \xrightarrow{\phi^{-1} \vee \mathrm{id}} E_W \vee E_{W'} \simeq E_{W \vee W'} \xrightarrow{E_{\psi}} E_{P \times D^{2n}}.$$

Corollary 6.7. For $4 \le 2n \le d$ with $d \ge 6$ and a closed (d - 2n)-manifold P, the \mathfrak{D} isc-structure space $S_{\partial}^{\mathfrak{D}$ isc}(P \times D^{2n}) admits the structure of an infinite loop space.

Proof. Combining both parts of Proposition 6.6, $S_{\partial}^{\mathcal{D}isc}(P \times D^{2n})$ agrees with the fibre of the group completion of the right vertical map in (80). As the group completion of a map of \mathcal{W} -algebras, this map

can be enhanced to a map of infinite loop spaces by Theorem 6.4 and Proposition 6.5. Fibres of infinite loop maps carry infinite loop space structures, so the claim follows. \Box

Combining Corollary 6.7 with the invariance under the tangential 2-type from Theorem 5.12, we can complete the goal of this section:

Proof of Theorem 6.1. For *M* a compact *d*-manifold with $d \ge 8$, we pick an $2n \ge 4$ such that $2n - d \ge 4$ (the choice 2n = 4 always works) and use the case k = 2 of Lemma 5.3 to pick a closed (d - 2n)-manifold *P* of the same tangential 2-type as *M*. Both $P \times D^{2n}$ and *M* are *d*-dimensional and have the same tangential 2-type, so $S_{\partial}^{\oslash \text{lsc}}(P \times D^{2n}) \cong S_{\partial}^{\oslash \text{lsc}}(M)$ by Theorem 5.12 5.12. As $S_{\partial}^{\oslash \text{lsc}}(P \times D^{2n})$ admits the structure of an infinite loop space by Corollary 6.7, the first part follows. For the claimed improvement, one can replace the role of *P* in the argument with $P = S^{d-2n}$ for any $2n \ge 4$ with $d - 2n \ge 2$, using that any two 1-connected spin manifolds have the same tangential 2-type (see Example 5.2).

Remark 6.8. The construction of the infinite loop space structure on $S_{\partial}^{\mathcal{D}isc}(M)$ as presented in this section comes with several drawbacks:

- (i) It depends on several choices, most notably: (a) the choice of 2n ≥ 4 with 2n d ≥ 4 and (b) the choice of a closed (d 2n)-manifold P of the same tangential 2-type as M. In particular, the construction does not lift the functor S^{Disc}_∂(-): Bord(d)^(∞,1)_{/∞} → S to a functor with values in Alg^{grp}_{E∞}(S), but it does enhances the Diff(P)-action on the space S^{Disc}_∂(D²ⁿ × P) for fixed 2n ≥ 4 and a closed manifold P to an action in Alg^{grp}_{E∞}(S).
- (ii) The restrictions on the dimension are likely not optimal.
- (iii) The space $S_{\partial}^{\otimes \text{isc}}(P \times D^{2n})$ ought to carry the structure of an E_{2n} -algebra, and the infinite loop space structure we give ought to extend this E_{2n} -structure.

We expect that there is a better construction of the infinite loop space structure on $S_{\partial}^{\otimes \text{isc}}(M)$ that does not suffer from these shortcomings.

7. Localisations of mapping spaces between operads

This section serves to prove general results on mapping spaces between (truncated) operads and their localisations at collections of primes. In particular, given ∞ -operads \mathcal{O} and \mathcal{P} , we rely on work of Göppl–Weiss [GW24] to study the effect on homotopy groups of a map

$$\operatorname{Map}(\mathcal{O}, \mathscr{P})_{\mathbf{Q}} \to \operatorname{Map}(\mathcal{O}_{\mathbf{Q}}, \mathscr{P}_{\mathbf{Q}})$$
(83)

from the rationalisation of the mapping space between \mathcal{O} and \mathcal{P} to the mapping space between the respective rationalisations. In Section 8, we use these results to prove Theorems C and E.

Convention 7.1. Up to this point, we phrased our results and arguments in the language of ∞ -categories. In this and the following section, we will use several intermediate results from various sources, none of which are written in this language. To stay close to these sources, we switch language for the remainder of this paper and work in the category of simplicial sets or the category of compactly generated weak Hausdorff spaces. We denote either of these categories by S and leave the necessary transitions based on the usual Quillen equivalence between the standard model structures on these categories to the reader. As a result of not working ∞ -categorically, we have to derive all mapping spaces in various categories that appear (spaces, operads, etc.) with respect to a class of weak equivalences for example, using Dwyer–Kan's functorial simplicial localisation [DK80a, DK80b]. We indicate various derived mapping spaces by adding an *h*-subscript, so write Map^h(-, -), and we will mention the class of weak equivalences with respect we derive whenever a new type of derived mapping space is considered.

7.1. Localisation of spaces and groups at a set of primes

We first recall some facts about *T*-localisations of spaces for a set of primes *T*. Recall that a space *Z* is *T*-local if the map $(-\circ g)$: Map^h_S $(Y, Z) \rightarrow$ Map^h_S(X, Z) is a weak equivalence for any map $g: X \rightarrow Y$ that is an isomorphism on H_{*} $(-; \mathbb{Z}_T)$. Here, the mapping spaces are derived with respect to weak homotopy equivalences, and \mathbb{Z}_T is the localisation of \mathbb{Z} obtained by inverting all primes in *T*. Immediately from the definition, we see that the class of *T*-local spaces is closed under

- (i) taking homotopy limits,
- (ii) passing to collections of path components,
- (iii) applying $\operatorname{Map}_{S}^{h}(X, -)$ for any space *X*.

A map $f: X \to Y$ is a *T*-localisation if Y is *T*-local and f is a \mathbb{Z}_T -homology isomorphism. Any space admits a *T*-localisation, and, suitably modelled, this yields an S-enriched functor

$$(-)_T: \mathsf{S} \longrightarrow \mathsf{S} \tag{84}$$

together with a natural transformation r_T : id $\rightarrow (-)_T$ which enjoys the following properties (see, for example, [Far96, 1.A.3, 1.A.8, 1.B.2, 1.B.7, 1.C.9, 1.C.13, 1.E.4]):

- (a) the map $r_T: X \to X_T$ is a *T*-localisation, so a weak equivalence if X is *T*-local,
- (b) $(-)_T$ preserves weak equivalences,
- (c) the canonical map $(X \times Y)_T \to X_T \times Y_T$ is a weak equivalence,
- (d) the map $(-) \circ r_T : \operatorname{Map}^h_S(X_T, Y) \to \operatorname{Map}^h_S(X, Y)$ is a weak equivalence if Y is T-local.

If T is the set of all primes, T-localisation is rationalisation, which we denote as $(-)_{\mathbf{Q}}$.

7.1.1. Localisation of groups

Recall that a group *G* is *T*-local if the map $(- \circ g)$: Hom $(H, G) \to$ Hom(K, G) is an isomorphism for all $g: K \to H$ such that H₁ $(g; \mathbb{Z}_T)$ is an isomorphism and H₂ $(g; \mathbb{Z}_T)$ is surjective. The homotopy groups of a *T*-local space at any basepoint are *T*-local groups [Bou75, Theorem 5.5]. A morphism of groups $f: H \to G$ is a *T*-localisation if *G* is *T*-local and *f* has the property on H_{*} $(-; \mathbb{Z}_T)$ for * = 1, 2just described. One way to construct *T*-localisations of groups is as follows: the functor (84) has an analogue $(-)_T: \mathbb{S}_* \to \mathbb{S}_*$ in the pointed setting, which agrees with (84) on connected spaces [Far96, A.7]. Defining $G_T := \pi_1((BG)_T)$, we obtain a functor $(-)_T:$ Grp \to Grp on the category of groups with a natural transformation id $\to (-)_T$ which is a *T*-localisation [Bou75, Lemma 7.3]. Note that we have $(G)^{ab} \otimes \mathbb{Z}_T \cong (G_T)^{ab} \otimes \mathbb{Z}_T$ by construction and the Hurewicz theorem. On nilpotent groups, $(-)_T$ agrees with the usual *T*-localisation of nilpotent groups in the algebraic sense.

7.1.2. Localisation of nilpotent spaces

Recall that a space X is *nilpotent* if it is connected, has nilpotent fundamental group, and its $\pi_1(X)$ -action on $\pi_i(X)$ for $i \ge 2$ is nilpotent. *T*-localisation preserves nilpotent spaces and can be characterised as follows (see, for example, [MP12, 6.1.2]):

Lemma 7.2. Let $f: X \to Y$ be a map from a nilpotent space X to a T-local space Y. Then the following are equivalent:

- (i) $f: X \to Y$ is a T-localisation of spaces,
- (ii) $f_*: \widetilde{H}_k(X; \mathbb{Z}) \to \widetilde{H}_k(Y; \mathbb{Z})$ is a T-localisation of abelian groups for all $k \ge 1$,
- (iii) $f_*: \pi_k(X) \to \pi_k(Y)$ is a T-localisation of abelian and nilpotent groups for all $k \ge 1$.

Localisations of nilpotent spaces behave well with respect to many constructions, such as the following:

Lemma 7.3. Let $f: X \to A$ and $g: Y \to A$ be based maps between spaces with nilpotent basepoint component. Then

- (i) the basepoint component $(X \times^h_A Y)_0 \subseteq X \times^h_A Y$ of the homotopy pullback is nilpotent,
- (ii) the natural map $(X \times^{h}_{A} Y)_{0} \to (X_{T} \times^{h}_{A_{T}} Y_{T})_{0}$ is a T-localisation of nilpotent spaces, and
- (iii) if X_0 , Y_0 and A_0 have finitely generated homotopy groups, then so does $(X \times_A^h Y)_0$.

Proof. Since $(X_0 \times_{A_0}^h Y_0)_0 = (X \times_A^h Y)_0$, and similarly for the localised version, we may assume that X, Y and A are connected. In this case, (i) and (ii) are [MP12, 6.2.5]. For (iii), we use the long exact sequence for the homotopy groups of a homotopy pullback which exhibits $\pi_i(X \times_A^h Y)$ for $i \ge 1$ as a central extension of subquotients of finitely generated nilpotent groups. As the latter are closed under taking subgroups, quotients and extensions, the statement follows.

The next lemma involves equivariant mapping spaces $Map_G(-, -) := Map_{S^G}(-, -)$ between *G*-spaces for finite groups *G*, which we derive with respect to the *G*-equivariant maps whose underlying maps of spaces are weak homotopy equivalences.

Lemma 7.4. Let X and Y be G-spaces for G a finite group. If

- X_{hG} is weakly equivalent to a finite CW complex and
- *Y* has nilpotent path components,

then for any $f \in \operatorname{Map}_{G}^{h}(X, Y)$, the following holds:

- (i) the path component $\operatorname{Map}_{G}^{h}(X,Y)_{f} \subseteq \operatorname{Map}_{G}^{h}(X,Y)$ is nilpotent,
- (ii) the postcomposition map $(r_T \circ (-))$: $\operatorname{Map}_G^h(X,Y)_f \to \operatorname{Map}_G^h(X,Y_T)_{(r_T \circ f)}$ is a T-localisation,
- (iii) if Y has finitely generated homotopy groups at all basepoints, then so does $\operatorname{Map}_{G}^{h}(X,Y)_{f}$.

Proof. By the assumption on X_{hG} , we may assume that X is a finite G-CW complex consisting of free G-cells. This allows us to argue by induction on the number of cells: if X is obtained from X' by attaching a single free G-cell, there are commutative squares

The left square is a homotopy pushout of *G*-spaces, and the right square is obtained from it by applying $\operatorname{Map}_{G}^{h}(-, Y)$, so it is a homotopy pullback. By an induction over a principal Postnikov tower of the path components of *Y*, one sees that the conclusions hold for all components of the right-hand terms of the right-hand diagram. By induction, we may assume they hold for the bottom-left corner in the right diagram, so using Lemma 7.3 and that subgroups of (finitely generated) nilpotent groups are (finitely generated) nilpotent, they also hold for all components of the top-left corner in the right diagram. \Box

Recall that a <u>k</u>-cubical diagram is a functor on the poset of subsets of $\underline{k} := \{1, \dots, k\}$.

Lemma 7.5. Let X be a k-cubical diagram of spaces with nilpotent path components.

- (i) $\operatorname{holim}_{\emptyset \neq I \subseteq \underline{r}} X(I)$ has nilpotent components, and the map $\operatorname{holim}_{\emptyset \neq I \subseteq \underline{k}} X(I) \to \operatorname{holim}_{\emptyset \neq I \subseteq \underline{k}} (X(I)_T)$ induced by the *T*-localisations of the X(I)'s, is a *T*-localisation when restricted to any component of the source and the corresponding component of the target.
- (ii) If X(I) has finitely generated homotopy groups at all basepoints for all $\emptyset \neq I \subseteq \underline{k}$, then $\operatorname{holim}_{\emptyset \neq I \subseteq \underline{k}} X(I)$ has finitely generated homotopy groups at all basepoints.

Proof. We prove the claim by induction on k. For k = 1, the claim is vacuous as $\operatorname{holim}_{\emptyset \neq I \subseteq \underline{1}} X(I) \simeq X(\underline{1})$. For larger k, we use that the homotopy limit fits into a homotopy cartesian square



By induction, the conclusion of the statement holds for two diagrams defining the bottom row, and by assumption also for $X(\underline{k})$, so Lemma 7.3 gives the induction step.

7.2. Operads and dendroidal spaces

In this and the following sections, *operads* O, P, \ldots are understood as single-coloured operads in **S** in the classical sense. Declaring a weak equivalence to be a levelwise weak equivalence gives rise to derived mapping spaces Map^h_{Opd} (O, P) between such operads. We will be mostly interested in 1-*reduced operads* which are operads O whose space of 0- and 1-ary operations O(0) and O(1) are weakly contractible. For such operads, there are equivalent point of views on their mapping spaces that we will make us of, related by natural maps

$$\operatorname{Map}_{\mathsf{Opd}}^{h}(\mathsf{O},\mathsf{P}) \xrightarrow{(1)} \operatorname{Map}_{\mathsf{PSh}(\Omega)}^{h}(N_{d}\mathsf{O}, N_{d}\mathsf{P}) \xrightarrow{(2)} \operatorname{Map}_{\mathsf{PSh}(\overline{\Omega})}^{h}(N_{d}\mathsf{O}, N_{d}\mathsf{P}),$$
(85)

which we explain in the following two subsections. Part of our discussion in this and the following subsection is similar to that in [Wei21, Section 3.4].

7.2.1. Dendroidal spaces and the map 1

The two alternative points of view stem from Moerdijk–Weiss' *dendroidal spaces*. Briefly (see [HM22] for details), the category of *dendroidal spaces* is the category of presheaves $\mathcal{O}: \Omega^{\text{op}} \to S$ on a certain category Ω of finite rooted trees with specified subsets of leaves. More formally, an object $(t, \leq, \ell(t))$ in Ω is a finite partially ordered set (t, \leq) of *edges* together with a specified subset $\ell(t) \subset t$ of maximal elements (the *leaves*) such that (a) $\{v \in t \mid w \leq v\}$ is totally ordered for all $w \in T$ and (b) there is a unique maximal element $v \in T$ with respect to the partial order, the *root* (see Section 3.2 loc.cit.). The subset $v(t) := t \setminus \ell(T) \subset t$ is the set of *vertices* of the tree. The *incoming edges* in $(v) \subset t$ of a vertex v is the set of maximal elements in $\{w \in t \mid w < v\}$. We refer to Section 3.2–3.3 loc.cit. for a description of the morphisms in Ω . There is a functor $N_d(-)$ from operads to dendroidal spaces, the *dendroidal nerve* (see Example 12.11 loc.cit.), given by $N_dO(t) := \prod_{v \in v(t)} O(|in(v)|)$. Declaring weak equivalences between dendroidal spaces to be levelwise weak equivalences gives rise to derived mapping spaces Map^h_{PSh(\Omega)}(-, -) of dendroidal spaces, and as $N_d(-)$ preserves weak equivalence, we obtain the map (1).

7.2.2. (1-reduced) dendroidal Segal spaces and the map 2

There is a convenient class of dendroidal spaces that includes dendroidal nerves of 1-reduced operads but is homotopically more flexible. To define it, we consider the *k*-corolla which is the unique (up to isomorphism) tree in Ω with one vertex and k leaves, denoted by t_k . The unique (up to isomorphism) tree in Ω with no vertices is denoted η . For each vertex v in a tree t, there is a morphism $t_k \rightarrow t$ (unique up to automorphism of t_k) that takes the root to v and the leaves to in(v). Given a dendroidal space \mathcal{O} and a tree t, these morphisms assemble to a map

$$\mathcal{O}(t) \longrightarrow \prod_{v \in v(t)} \mathcal{O}(t_{|\mathrm{in}(v)|}).$$
(86)

The following definition mimics the definition of a 1-reduced operad on the level of dendroidal Segal spaces. The examples to keep in mind are dendroidal nerves N_d O of 1-reduced operads.

Definition 7.6. A 1-*reduced dendroidal Segal space* \mathcal{O} is a dendroidal space such that the values $\mathcal{O}(t_0)$ and $\mathcal{O}(t_1)$ at the 0- and 1-corollas are weakly contractible and such that (86) is a weak equivalences for all trees $t \in \Omega$ (this says in particular that $\mathcal{O}(\eta)$ is weakly contractible).

The full subcategory $\overline{\Omega} \subset \Omega$ of *closed trees* (i.e., trees *t* with $\ell(v) = \emptyset$) (see [HM22, p. 92, 97], is often easier to work with. Presheaves $\emptyset: \overline{\Omega}^{op} \to S$ are called *closed dendroidal spaces*. Morphisms between those are still natural transformations and weak equivalences are levelwise; we denote the resulting derived mapping spaces by Map^h_{PSh(\overline{\Omega})}(-, -). Restriction along $\overline{\Omega} \subset \Omega$ induces a map

$$\operatorname{Map}_{\operatorname{PSh}(\Omega)}^{h}(\mathcal{O},\mathcal{P}) \to \operatorname{Map}_{\operatorname{PSh}(\overline{\Omega})}^{h}(\mathcal{O},\mathcal{P})$$

of which (2) is a special case. For 1-reduced operads O and P, both maps (1) and (2) turn out to be weak equivalences (see [HM22, Corollary 14.42] for (1) and [GW24, Lemma 3.2.4] for (2)):

Proposition 7.7. For 1-reduced operads O and P, the maps 1 and 2 are weak equivalences.

7.3. A tower of derived mapping spaces

The category $\overline{\Omega}$ of closed trees admits a filtration

$$\overline{\Omega}_{\leq 0} \subset \overline{\Omega}_{\leq 1} \subset \cdots \subset \overline{\Omega},$$

by the full subcategories $\overline{\Omega}_{\leq k}$ on those trees whose vertices v have at most k incoming edges. Denoting the restriction of a closed dendroidal space \mathcal{O} along $\overline{\Omega}_{\leq k} \subset \overline{\Omega}$ by the same symbol, we obtain a natural tower of derived mapping spaces



all derived with respect to the levelwise weak equivalences. For simplicity, we write

$$\operatorname{Map}^{h}(\mathcal{O},\mathcal{P}) \coloneqq \operatorname{Map}^{h}_{\operatorname{PSh}(\overline{\Omega})}(\mathcal{O},\mathcal{P}) \quad \text{and} \quad \operatorname{Map}^{h}_{\leq k}(\mathcal{O},\mathcal{P}) \coloneqq \operatorname{Map}^{h}_{\operatorname{PSh}(\overline{\Omega}_{\leq k})}(\mathcal{O},\mathcal{P}).$$
(88)

This tower was studied by Göppl and Weiss [GW24]. In Lemma 3.1.1 loc.cit. they note that it *converges*; that is, we have a weak equivalence

$$\operatorname{Map}^{h}(\mathcal{O},\mathscr{P}) \xrightarrow{\simeq} \operatorname{holim}_{k} \operatorname{Map}_{\leq k}^{h}(\mathcal{O},\mathscr{P}).$$
(89)

To identify its *layers* (i.e., the homotopy fibres of the vertical maps), they consider the *k*th *matching* and *latching* object of a 1-reduced dendroidal space O

$$\operatorname{Latch}_{k}(\mathcal{O}) \coloneqq \operatorname{hocolim}_{(\overline{t}_{k} \to t) \in (\overline{\Omega}_{\leq k-1})_{\overline{t}_{k}/}} \mathcal{O}(t), \quad \text{and} \quad \operatorname{Match}_{k}(\mathcal{O}) \coloneqq \operatorname{holim}_{(t \to \overline{t}_{k}) \in (\overline{\Omega}_{\leq k-1})_{\overline{t}_{k}}} \mathscr{P}(t).$$

Here, $\overline{t}_k \in \overline{\Omega}$ is the *closed k-corolla*, the unique (up to isomorphism) closed tree with k + 1 vertices of which one has k incoming edges and the others have none. Permuting incoming edges defines an

action of the symmetric group Σ_k on \overline{t}_k in $\overline{\Omega}$ which induces a natural Σ_k -action on $\operatorname{Match}_k(\mathscr{P})$ and $\operatorname{Latch}_k(\mathscr{P})$. These are related by Σ_k -equivariant maps

$$\operatorname{Latch}_{k}(\mathcal{O}) \longrightarrow (\mathcal{O}(\bar{t}_{k}) \eqqcolon \mathcal{O}(k)) \longrightarrow \operatorname{Match}_{k}(\mathcal{O}).$$
 (90)

Göppl and Weiss used these maps to identify the vertical homotopy fibres in the above tower in terms of the matching and latching objects and spaces of derived maps between Σ_k -spaces; see Theorem 3.2.7 and Remark 3.2.15 loc.cit.:

Theorem 7.8 (Göppl–Weiss). For $k \ge 1$ and 1-reduced dendroidal Segal spaces \mathcal{O} and \mathcal{P} , there is a natural homotopy cartesian square whose left and top arrow is induced by restriction

$$\begin{array}{ccc} \operatorname{Map}_{\leq k}^{h}(\mathcal{O},\mathscr{P}) & \longrightarrow & \operatorname{Map}_{\Sigma_{k}}^{h}(\mathcal{O}(k),\mathscr{P}(k)) \\ & & \downarrow & & \downarrow \\ \operatorname{Map}_{\leq k-1}^{h}(\mathcal{O},\mathscr{P}) & \longrightarrow & P_{k}(\mathcal{O},\mathscr{P}). \end{array}$$

The corner $P_k(\mathcal{O}, \mathcal{P})$ fits into a natural homotopy cartesian square

$$\begin{array}{ccc} P_{k}(\mathcal{O},\mathcal{P}) & \longrightarrow \operatorname{Map}_{\Sigma_{k}}^{h}(\mathcal{O}(k),\operatorname{Match}_{k}(\mathcal{P})) \\ & \downarrow & & \downarrow \\ \operatorname{Map}_{\Sigma_{k}}^{h}(\operatorname{Latch}_{k}(\mathcal{O}),\mathcal{P}(k)) & \longrightarrow \operatorname{Map}_{\Sigma_{k}}^{h}(\operatorname{Latch}_{k}(\mathcal{O}),\operatorname{Match}_{k}(\mathcal{P})) \end{array}$$

whose bottom and right maps are induced by (90).

7.4. Localisations of dendroidal spaces

Given a dendroidal space \mathcal{O} , its *T*-localisation \mathcal{O}_T for a set of primes *T* is the dendroidal space given as the composition of $\mathcal{O}: \Omega^{\text{op}} \to S$ with the localisation functor $(-)_T : S \to S$. The natural transformation $\mathrm{id}_S \to (-)_T$ induces a map $r_T : \mathcal{O} \to \mathcal{O}_T$ of dendroidal Segal spaces. It follows from properties (b) and (c) from Section 7.1 that if \mathcal{O} is a 1-reduced dendroidal Segal space, then so is \mathcal{O}_T .

Lemma 7.9. For dendroidal spaces \mathcal{O} and \mathcal{P} such that \mathcal{P} is levelwise T-local, $\operatorname{Map}_{PSh(\Omega)}^{h}(\mathcal{O}, \mathcal{P})$ is *T*-local and the natural zig-zag

$$\operatorname{Map}_{\operatorname{PSh}(\Omega)}^{h}(\mathscr{O},\mathscr{P}) \xrightarrow{(-)_{T}} \operatorname{Map}_{\operatorname{PSh}(\Omega)}^{h}(\mathscr{O}_{T},\mathscr{P}_{T}) \xleftarrow{r_{T} \circ (-)} \operatorname{Map}_{\operatorname{PSh}(\Omega)}^{h}(\mathscr{O}_{T},\mathscr{P})$$

consists of weak equivalences. The same holds when replacing Ω by $\overline{\Omega}$ or $\overline{\Omega}_{\leq k}$.

Proof. The derived mapping spaces appearing in the statement are formed in a category of spacevalued presheaves with levelwise weak equivalences, so they can be computed as homotopy limits of a diagram of levelwise mapping spaces. We saw in Section 7.1 that *T*-local spaces are closed under taking homotopy limits and applying $\operatorname{Map}_{S}^{h}(X, -)$ for any space *X*, so this implies the first part of the claim. Moreover, this argument reduces the second part to proving that the zigzag of derived mapping spaces in the category of spaces

$$\operatorname{Map}^{h}_{\mathsf{S}}(\mathcal{O}(t), \mathcal{P}(t)) \xrightarrow{(-)_{T}} \operatorname{Map}^{h}_{\mathsf{S}}(\mathcal{O}(t)_{T}, \mathcal{P}(t)_{T}) \xleftarrow{r_{T} \circ (-)} \operatorname{Map}^{h}_{\mathsf{S}}(\mathcal{O}(t)_{T}, \mathcal{P}(t))$$

consists of weak equivalences for all trees $t \in \Omega$. For the second map, this follows follows the fact that $r_T : \mathscr{P}(t) \to \mathscr{P}(t)_T$ is a weak equivalence by property (a) of *T*-localisation. For the first map, we note

$$\operatorname{Map}^{h}_{\mathsf{S}}(\mathcal{O}(t), \mathcal{P}(t)) \xrightarrow{(-)_{T}} \operatorname{Map}^{h}_{\mathsf{S}}(\mathcal{O}(t)_{T}, \mathcal{P}(t)_{T}) \xrightarrow{(-) \circ r_{T}} \operatorname{Map}^{h}_{\mathsf{S}}(\mathcal{O}(t), \mathcal{P}(t)_{T})$$

agrees with postcomposition with $r_T : \mathscr{P}(t) \to \mathscr{P}(t)_T$, and so is a weak equivalence. The second map is a weak equivalence by property (d), so the first map is one too.

7.4.1. Localisations of derived mapping spaces

Recalling the abbreviations of (88), denoting the path component of $f \in \operatorname{Map}_{< k}^{h}(\mathcal{O}, \mathcal{P})$ by

$$\operatorname{Map}_{< k}^{h}(\mathcal{O}, \mathcal{P})_{f} \subseteq \operatorname{Map}_{< k}^{h}(\mathcal{O}, \mathcal{P}),$$

and abbreviating $\mathcal{O}(\bar{t}_k)$ to $\mathcal{O}(k)$, we can now state the following result:

Theorem 7.10. Let \mathcal{P} and \mathcal{O} be 1-reduced dendroidal Segal spaces such that for all $k \ge 0$,

- $\mathcal{P}(k)$ has nilpotent path components and
- $\mathcal{O}(k)_{h\Sigma_k}$ and $\operatorname{Latch}_k(\mathcal{O})_{h\Sigma_k}$ are weakly equivalent to finite CW complexes.

Then the following holds for all $k \ge 0$ and any map $f \in \operatorname{Map}_{\leq k}^{h}(\mathcal{O}, \mathcal{P})$:

- (i) the path component $\operatorname{Map}_{\leq k}^{h}(\mathcal{O}, \mathcal{P})_{f}$ is nilpotent,
- (ii) for a set of primes T, the natural map induced by T-localisation $r_T: \mathscr{P} \to \mathscr{P}_T$

$$\operatorname{Map}_{\langle k}^{h}(\mathcal{O},\mathcal{P})_{f} \longrightarrow \operatorname{Map}_{\langle k}^{h}(\mathcal{O},\mathcal{P}_{T})_{r_{T}} \circ f$$

is a T-localisation of nilpotent spaces, and

(iii) if the spaces $\mathcal{P}(k')$ have finitely generated homotopy groups at all basepoints for all $k' \ge 0$, then so does $\operatorname{Map}_{\leq k}^{h}(\mathcal{O}, \mathcal{P})_{f}$.

The first part of this result (and the strategy of proof) is similar to [Wei21, Proposition 5.2.4]. We start the proof with an auxiliary lemma:

Lemma 7.11. Let T be a set of primes and \mathcal{P} a 1-reduced dendroidal Segal space such that $\mathcal{P}(k)$ has nilpotent path components for all $k \ge 0$. The following holds for all $k \ge 0$:

- (i) Match_k(\mathscr{P}) has nilpotent path components,
- (ii) the natural map $\operatorname{Match}_k(\mathscr{P}) \to \operatorname{Match}_k(\mathscr{P}_T)$ is a *T*-localisation when restricted to a path component of the source and the corresponding path component of the target,
- (iii) if the space $\mathcal{P}(k')$ has finitely generated homotopy groups at all basepoints for $0 \le k' \le k$, then so does $\operatorname{Match}_k(\mathcal{P})$.

Proof. Identifying the vertices of \overline{t}_k with no incoming edges with $\underline{k} = \{1, 2, ..., k\}$, every subset $I \subseteq \underline{k}$ defines a closed subcorolla $\overline{t}_I \subseteq \overline{t}_k$. This gives rise to an \underline{k} -cubical diagram $\underline{k} \supseteq I \mapsto \mathscr{P}(\overline{t}_{\underline{k}\setminus I})$. By the argument above Theorem 3.4.7 in [Wei21], there is a natural equivalence Match_k(\mathscr{P}) \simeq holim $_{\varnothing \neq I \subseteq k} \mathscr{P}(\overline{t}_{k\setminus I})$, so the claim follows from an application of Lemma 7.5.

Proof of Theorem 7.10. We prove the claim by induction on k. The initial case k = 0 is trivial since \mathscr{P} is assumed to be 1-reduced, so the mapping spaces appearing in the statement are contractible. For the induction step, we assume the claim for k - 1 and prove it for k. To do so, we consider the homotopy cartesian squares of Theorem 7.8. A choice of $f \in \operatorname{Map}_{\leq k}^{h}(\mathcal{O}, \mathscr{P})$ induces basepoints in all spaces participating in these squares; we denote these also by f. Now consider the maps

$$\operatorname{Map}_{\Sigma_{k}}^{h}(\mathcal{O}(k),\mathcal{P}(k))_{f} \longrightarrow \operatorname{Map}_{\Sigma_{k}}^{h}(\mathcal{O}(k),\mathcal{P}(k)_{T})_{f}$$

$$\operatorname{Map}_{\Sigma_{k}}^{h}(\mathcal{O}(k),\operatorname{Match}_{k}(\mathcal{P}))_{f} \longrightarrow \operatorname{Map}_{\Sigma_{k}}^{h}(\mathcal{O}(k),\operatorname{Match}_{k}(\mathcal{P})_{T})_{f}$$

$$\operatorname{Map}_{\Sigma_{k}}^{h}(\operatorname{Latch}_{k}(\mathcal{O}),\mathcal{P}(k))_{f} \longrightarrow \operatorname{Map}_{\Sigma_{k}}^{h}(\operatorname{Latch}_{k}(\mathcal{O}),\mathcal{P}(k)_{T})_{f}$$

$$\operatorname{Map}_{\Sigma_{k}}^{h}(\operatorname{Latch}_{k}(\mathcal{O}),\operatorname{Match}_{k}(\mathcal{P}))_{f} \longrightarrow \operatorname{Map}_{\Sigma_{k}}^{h}(\operatorname{Latch}_{k}(\mathcal{O}),\operatorname{Match}_{k}(\mathcal{P})_{T})_{f}$$
(91)

induced by postcomposition with the T-localisations of the codomains. Combining Lemma 7.4 with Lemma 7.11, all four maps are T-localisations of nilpotent spaces. Moreover, by the first part of

Lemma 7.11, we may replace $\operatorname{Match}_k(\mathscr{P})_T$ in the codomain of the second and fourth map by $\operatorname{Match}_k(\mathscr{P}_T)$. An application of Lemma 7.3 to the second square in Theorem 7.8 shows that the map $P_k(\mathcal{O}, \mathscr{P})_f \to P_k(\mathcal{O}, \mathscr{P}_T)_f$ between the components induced by f is a T-localisation of nilpotent spaces. Combining this with the induction hypothesis, another application of Lemma 7.3 – this time to the first square – shows that the natural map $\operatorname{Map}_{\leq k}^h(\mathcal{O}, \mathscr{P})_f \to \operatorname{Map}_{\leq k}^h(\mathcal{O}, \mathscr{P}_T)_f$ is a T-localisation between nilpotent spaces, so (i) and (ii) hold.

We argue similarly for (iii): if the spaces $\mathscr{P}(k)$ have finitely generated homotopy groups at all basepoints, then so does $Match_k(\mathscr{P})$ by the second part of Lemma 7.11. By the second part of Lemma 7.4, we conclude that the domains of the four maps have finitely generated homotopy groups, so the same holds for $P_k(\mathscr{O}, \mathscr{P})_f$ by an application of the final part of Lemma 7.3 and thus also for $Map^h_{\leq k}(\mathscr{O}, \mathscr{P})_f$ by another application of that lemma and the induction hypothesis.

This finishes the first part of this section as outlined in Section 1.2.5 after (III).

7.5. Inverse limits and countability

The second part of this section begins with general results on the behaviour of homotopy groups of homotopy limits of towers of spaces.

7.5.1. Towers of groups

Following [BK72, IX.2], we call a sequence of groups $G_0 \leftarrow G_1 \leftarrow G_2 \leftarrow \cdots$ a tower of groups and abbreviate it by $\{G_k\}$. Such a tower has a limit group $\lim_k G_k$ and a pointed \lim^1 -set $\lim_k^1 G_k$ [BK72, IX.2.1]. If the tower consists of abelian groups, then $\lim_k^1 G_k$ inherits an abelian group structure. A short exact sequence of towers of groups induces a long exact sequence as follows [BK72, IX.2.3]:

Lemma 7.12. A levelwise short exact sequence of towers of groups

$$0 \to \{G_k\} \to \{H_k\} \to \{K_k\} \longrightarrow 0$$

induces a natural exact sequence of groups and pointed sets

$$0 \to \lim_k G_k \to \lim_k H_k \to \lim_k K_k \to \lim_k^1 G_k \to \lim_k^1 H_k \to \lim_k^1 K_k \to 0.$$

Recall that a map $\{f_k\}$: $\{G_k\} \to \{H_k\}$ of towers of groups is called a *pro-isomorphism* if for all $s \ge 0$, there exists a $t \ge s$ and a homomorphism $H_t \to G_s$ such that the diagram

$$\begin{array}{cccc} G_s & \longleftarrow & G_t \\ f_s \downarrow & \searrow & \downarrow f_t \\ H_s & \longleftarrow & H_t \end{array}$$

commutes. Pro-isomorphisms have the following property [BK72, Proposition III.2.6]:

Lemma 7.13. For a pro-isomorphism $\{f_k\}$: $\{G_k\} \to \{H_k\}$, the induced map $\lim_k G_k \to \lim_k H_k$ is an isomorphism, and the induced map $\lim_k G_k \to \lim_k H_k$ is a pointed bijection.

For a tower of groups $\{G_k\}$ and $r \ge 1$, the *r*th derived tower $(G_k^{(r)})$ is defined by

$$G_k^{(r)} \coloneqq \operatorname{im}(G_{k+r} \to G_k).$$

For each fixed k, this defines a tower $\{G_k^{(r)}\}_{r \in \mathbb{N}}$ of inclusions of subgroups. The tower (G_k) is called *Mittag–Leffler* if for each k, there is an $m < \infty$ so that $\lim_{m' \ge m} G_k^{(m')} \to G_k^{(m)}$ is an isomorphism. Examples of Mittag–Leffler towers include towers of finite groups or finite dimensional vector spaces. Mittag–Leffler towers have the following property [BK72, Corollary IX.3.5]:

Lemma 7.14. If a tower of groups $\{G_k\}$ is Mittag–Leffler, then $\lim_k^1 G_k = *$.

To recognise Mittag–Leffler towers, we use the following result from [MM92, Theorem 2]:

Lemma 7.15 (McGibbon–Møller). For a tower $\{G_k\}$ of countable groups, the following statements are equivalent:

(i) $\lim_{k}^{1} G_{k}$ is countable,

(ii) $\lim_{k}^{1} G_{k}$ vanishes,

(iii) the tower $\{G_k\}$ is Mittag–Leffler.

The following lemma appears in [DS78, Corollary 6.1.9], but we include a proof for the convenience of the reader. For a group G, we denote the constant tower with value G by $\{c G\}$.

Lemma 7.16 (Dydak–Segal). If a tower of groups $\{G_k\}$ is Mittag–Leffler and $\lim_k G_k$ is countable, then the canonical map $\{c \lim_k G_k\} \rightarrow \{G_k\}$ is a pro-isomorphism.

Proof. Any Mittag–Leffler tower of groups $\{G_k\}$ is pro-isomorphic to one with surjective transition maps (consider the tower $\{G'_k\}$ of stable images $G'_k \subset G_k$ (i.e., $G'_k = \operatorname{im}(G_{k+m} \to G_k)$ for $m \gg 0$)), so we may assume this is the case. This in particular ensures that the maps $\lim_k G_k \to G_k$ are surjective, so G_k is countable for all $k \ge 0$, and it also shows that the claim is true if $\lim_k G_k = 0$. and thus, $G_k = 0$ for all k. We use this special case to prove the following claim, which implies the general statement when applied to the map $\{c \lim_k G_k\} \to \{G_k\}$:

Claim. Let $\{G_k\}$ be a Mittag–Leffler tower of countable groups and $\{f_k\}$: $\{G_k\} \rightarrow \{H_k\}$ a levelwise surjective map of towers of groups. If $\lim_k f_k$: $\lim_k G_k \rightarrow \lim_k H_k$ is an isomorphism, then $\{f_k\}$ is a pro-isomorphism.

Proof of claim. Consider the short exact sequence of towers $1 \rightarrow \{\ker(f_k)\} \rightarrow \{G_k\} \rightarrow \{H_k\} \rightarrow 1$ and the associated long exact sequence of Lemma 7.12. Since (a) the map $\lim_k f_k : \lim_k G_k \rightarrow \lim_k H_k$ is an isomorphism, (b) $\{G_k\}$ is Mittag–Leffler, and (c) Lemma 7.14, it follows that $\lim_k \ker(f_k)$ and $\lim_k^1 \ker(f_k)$ both vanish. Invoking Lemma 7.15, we see that $\{\ker(f_k)\}$ is Mittag–Leffler, so by the first part of the proof, $\{\ker(f_k)\}$ is pro-isomorphic to $\{c \ 0\}$. The result follows since a levelwise surjective map of towers of groups is a pro-isomorphism if its towers of levelwise kernels are pro-isomorphic to $\{c \ 0\}$ [BK72, Proposition III.2.2].

This completes the proof of the lemma.

Mittag–Leffler towers often behave well with T-localisation in the sense of Section 7.1.1:

Lemma 7.17. Let $\{G_k\}$ be Mittag–Leffler. If $\lim_k G_k$ is countable, then the canonical map

$$(\lim_k G_k)_T \longrightarrow \lim_k ((G_k)_T)$$

is an isomorphism for any set of primes T.

Proof. By Lemma 7.16, the canonical map of towers $\{c \ \lim_k G_k\} \to \{G_k\}$ is a pro-isomorphism, as $\lim_k G_k$ is countable. As $(-)_T$ preserves pro-isomorphisms and limits of constant towers, the canonical map from the constant tower on $\{\lim_k G_k\}_T$ to $\{(G_k)_T\}$ is a pro-isomorphism, and the result follows from Lemma 7.13.

Remark 7.18. We stated Lemma 7.17 in terms of *T*-localisation since this is what we will use, but the same proof applied to $(-)_T$ replaces by any endofunctor on the category of groups.

7.5.2. Towers of spaces

Given a tower $X_0 \leftarrow X_1 \leftarrow \cdots$ of based spaces, taking homotopy groups results in a tower of pointed sets $\{\pi_i(X_k)\}$ (of groups for $i \ge 1$). The limits of these towers fit into the following *Milnor exact sequence* [BK72, Theorem IX.3.1].

Lemma 7.19. For a tower $X_0 \leftarrow X_1 \leftarrow \cdots$ of based spaces and $i \ge 0$, there is a natural short exact sequence of pointed sets (of groups for $i \ge 1$)

$$0 \to \lim_{k}^{1} \pi_{i+1}(X_k) \to \pi_i(\operatorname{holim}_k X_k) \to \lim_{k} \pi_i(X_k) \longrightarrow 0.$$

Together with Lemma 7.15, this has the following consequence.

Proposition 7.20. Fix $i \ge 1$. For a tower of based spaces $X_0 \leftarrow X_1 \leftarrow \cdots$ such that $\pi_i(X_k)$ is countable for all $k \ge 0$, at least one of the following statements holds:

(i) $\pi_*(\operatorname{holim}_k X_k)$ is uncountable in degree i - 1 or i,

(ii) $(\lim_k \pi_i(X_k))_T \to \lim_k (\pi_i(X_k)_T)$ is an isomorphism for all sets of primes T.

Moreover, if $\pi_{i+1}(X_k)$ *is countable for all* $k \ge 0$ *, then at least one of the following is the case:*

(i') $\pi_i(\text{holim}_k X_k)$ is uncountable,

(ii') the natural surjection $\pi_i(\operatorname{holim}_k X_k) \to \lim_k \pi_i(X_k)$ is an isomorphism.

Proof. By Lemma 7.15, the assumption that $\pi_i(X_k)$ is countable for $k \ge 0$ implies that either (a) $\lim_k^1(\pi_i(X_k))$ is uncountable or (b) the tower is Mittag–Leffler. In case (a), we apply Lemma 7.19 in degree i-1 to conclude that $\pi_{i-1}(\operatorname{holim}_k X_k)$ is uncountable, so (i) holds. In case (b), either $\pi_i(\operatorname{holim}_k X_k)$ is uncountable and (i) holds, or it is countable and Lemma 7.19 in degree i implies that $\lim_k \pi_i(X_k)$ is countable and Lemma 7.19 in degree i implies that $\lim_k \pi_i(X_k)$ is countable, so (ii) holds by Lemma 7.17. Similarly, if $\pi_{i+1}(X_k)$ is countable for $k \ge 0$, then either $\pi_i(\operatorname{holim}_k X_k)$ is uncountable and (i') holds, or it is countable and so $\lim_k \pi_{i+1}(X_k)$ is countable by Lemma 7.19 and thus vanishes by Lemma 7.15, so the claim follows from the Milnor exact sequence.

7.6. Applications to maps between operads

Together with Theorem 7.10, we use Proposition 7.20 to prove the following result about the map

$$\operatorname{Map}^{h}(\mathcal{O}, \mathscr{P}) \longrightarrow \operatorname{Map}^{h}(\mathcal{O}_{\mathbf{Q}}, \mathscr{P}_{\mathbf{Q}})$$

for 1-reduced dendroidal Segal spaces \mathcal{O} and \mathcal{P} in the sense of Section 7.2.

Theorem 7.21. Let \mathcal{O} and \mathcal{P} be 1-reduced dendroidal Segal spaces such that for all $k \ge 0$,

- all components of $\mathcal{P}(k)$ are nilpotent and have finitely generated homotopy groups,
- $\mathcal{O}(k)$ and $\operatorname{Latch}_k(\mathcal{O})_{h\Sigma_k}$ are weakly equivalent to finite CW complexes.

Then for all $i \ge 1$ and all basepoints $f \in \operatorname{Map}^{h}(\mathcal{O}, \mathcal{P})$, at least one of the following is the case:

- (i) $\pi_*(\operatorname{Map}^h(\mathcal{O}, \mathcal{P}))$ is uncountable in degree i 1 or i,
- (ii) the canonical map $\pi_i(\operatorname{Map}^h(\mathcal{O}, \mathcal{P}))_{\mathbb{Q}} \to \pi_i(\operatorname{Map}^h(\mathcal{O}_{\mathbb{Q}}, \mathcal{P}_{\mathbb{Q}}))$ is an isomorphism.

Proof. During the proof, we implicitly use the equivalence $\operatorname{Map}^{h}(\mathcal{O}, \mathcal{P}_{\mathbf{Q}}) \simeq \operatorname{Map}^{h}(\mathcal{O}_{\mathbf{Q}}, \mathcal{P}_{\mathbf{Q}})$ and its truncated analogue (see Lemma 7.9). Then (89) gives

$$\operatorname{Map}^{h}(\mathcal{O}, \mathscr{P}) \simeq \operatorname{holim}_{k} \operatorname{Map}_{\leq k}^{h}(\mathcal{O}, \mathscr{P}) \quad \text{and} \quad \operatorname{Map}^{h}(\mathcal{O}_{\mathbf{Q}}, \mathscr{P}_{\mathbf{Q}}) \simeq \operatorname{holim}_{k} \operatorname{Map}_{\leq k}^{h}(\mathcal{O}_{\mathbf{Q}}, \mathscr{P}_{\mathbf{Q}}),$$

so from the two Milnor sequences (see Lemma 7.19) together with the fact that $\pi_i(\operatorname{Map}^h(\mathcal{O}_{\mathbb{Q}}, \mathscr{P}_{\mathbb{Q}}))$ is **Q**-local as the homotopy group of a **Q**-local space (see Lemma 7.9), we obtain a square

$$\pi_{i}(\operatorname{Map}^{h}(\mathcal{O},\mathcal{P}))_{\mathbf{Q}} \xrightarrow{(1)} \left(\lim_{k} \pi_{i}(\operatorname{Map}^{h}_{\leq k}(\mathcal{O},\mathcal{P})) \right)_{\mathbf{Q}} \\ \downarrow \qquad \qquad \qquad \downarrow 2 \\ \pi_{i}(\operatorname{Map}^{h}(\mathcal{O}_{\mathbf{Q}},\mathcal{P}_{\mathbf{Q}})) \xrightarrow{(3)} \lim_{k} \pi_{i}(\operatorname{Map}^{h}_{< k}(\mathcal{O}_{\mathbf{Q}},\mathcal{P}_{\mathbf{Q}})).$$

Assuming that $\pi_*(\operatorname{Map}^h(\mathcal{O}, \mathcal{P}))$ is countable in degrees i - 1 and i, we need to show that the left vertical map is an isomorphism, which we do proving that this holds for the three circled maps. By Theorem 7.10 (iii), the homotopy groups of $\operatorname{Map}_{\leq k}^h(\mathcal{O}, \mathcal{P})$ are finitely generated for all k, so they are in particular countable. By Proposition 7.20 (ii'), this implies that (1) is an isomorphism, even before rationalisation. By Theorem 7.10 (ii), we have $\pi_k(\operatorname{Map}_{\leq k}^h(\mathcal{O}, \mathcal{P}))_{\mathbb{Q}} \cong \pi_k(\operatorname{Map}_{\leq k}^h(\mathcal{O}_{\mathbb{Q}}, \mathcal{P}_{\mathbb{Q}}))$ for all $k \ge 1$, so (2) is an isomorphism by Proposition 7.20 (ii). Finally, by the Milnor sequence (see Lemma 7.19), (3) is surjective and its kernel agrees with $\lim_k^1 \pi_{i+1}(\operatorname{Map}_{\leq k}^h(\mathcal{P}_{\mathbb{Q}}, \mathcal{O}_{\mathbb{Q}}))$, so it is an isomorphism if $\{\pi_{i+1}(\operatorname{Map}_{\leq k}^h(\mathcal{P}_{\mathbb{Q}}, \mathcal{O}_{\mathbb{Q}}))\}$ is Mittag–Leffler. For this it suffices that it is a tower of finite-dimensional vector spaces, which is indeed the case by Theorem 7.10 (ii) and (iii).

7.6.1. Applications to maps between E_n -operads

Here and henceforth, we write E_n for any operad weakly equivalent to the operad of little *n*-discs (the unital version, so $E_n(0) \simeq *$). As $E_n(1) \simeq *$, we can consider E_n via the dendroidal nerve as a 1-reduced dendroidal Segal space, denoted by the same symbol, and abbreviate its *T*-localisation (see Section 7.4) by E_n^T . Proposition 7.7 says that the derived mapping spaces between E_n -operads do not depend on whether we consider them as operads or as 1-reduced dendroidal Segal spaces. Keeping this in mind, we use Theorems 7.10 and 7.21 to prove the following two results:

Theorem 7.22. Fix $n \ge 1$ and $m \ge 3$, and a set of primes T. For $f \in \operatorname{Map}_{\le r}^{h}(E_n, E_m)$ and $k \ge 0$, the following holds:

- (i) the path component $\operatorname{Map}_{\leq k}^{h}(E_n, E_m)_f$ is nilpotent,
- (ii) the map $(r_T \circ (-))$: $\operatorname{Map}_{< k}^h(E_n, E_m)_f \to \operatorname{Map}_{< k}^h(E_n, E_m^T)_{r_T \circ f}$ is a T-localisation,
- (iii) the homotopy groups of $\operatorname{Map}_{\leq k}^{h}(E_n, E_m)_f$ are finitely generated.

The case of Theorem 7.22 that will be relevant to the proof of Theorem C and Corollary E in the next section is n = m. For $m - n \ge 2$ and $(-)_T$ being rationalisation, this result appears also in Section 10.2 of [FTW17] (see Remark 10.9 and Proposition 10.10 loc.ċit.).

Theorem 7.23. Fix $n \ge 1$ and $m \ge 3$. For all $i \ge 1$ and any basepoint in $Map^h(E_n, E_m)$, at least one of the following statements holds:

- (i) $\pi_*(\operatorname{Map}^h(E_n, E_m))$ is uncountable in degrees i 1 or i,
- (ii) the canonical map $\pi_i(\operatorname{Map}^h(E_n, E_m))_{\mathbf{Q}} \to \pi_i(\operatorname{Map}^h(E_n^{\mathbf{Q}}, E_m^{\mathbf{Q}}))$ is an isomorphism.

Proof of Theorems 7.22 and 7.23. This follows from Theorems 7.10 and 7.21 once we checked the hypothesis. The space of k-ary operations $E_n(k)$ is weakly equivalent to the space of ordered configurations $F_k(\mathbf{R}^n)$, so E_n is 1-reduced for all $n \ge 1$. Moreover, by transversality, $E_n(k)$ is 1-connected (so in particular, nilpotent) as long as $n \ge 3$, so its homotopy groups are finitely generated if its homology groups are. We are thus left to show that $E_n(k)_{h\Sigma_k}$ and $Latch_k(E_n)_{h\Sigma_k}$ have the weak homotopy type of finite CW complexes for all $n \ge 1$ and that $E_n(k)$ has degreewise finitely generated homology groups for $n \ge 3$. By [GW24, Examples 1.1.6, 2.1.13] (see also [Wei21, Proposition 3.4.6]), the map Latch_n(E_n) $\rightarrow E_n(k)$ agrees up to weak equivalence of Σ_k -spaces with the boundary inclusion $\partial FM_n(k) \subset FM_n(k)$ of the Fulton–MacPherson compactification of $F_k(\mathbf{R}^n)$. This is a compact manifold with corners and free Σ_k -action [Sin04], so we conclude (i) that $(E_n(k))_{h\Sigma_k} \simeq FM_n/\Sigma_k$ and (Latch_k(E_n))_{h\Sigma_k} \simeq \partial FM_n/\Sigma_k have the weak homotopy type of smooth compact manifolds with corners and so are weakly equivalent to finite CW complexes, and (ii) that $E_n(k) \simeq FM_n(k)$ has degreewise finitely generated homology.

8. Theorem C: nontriviality

In this section, we prove results on the homotopy groups of the homotopy fibre $\operatorname{Aut}^{h}(E_d)/\operatorname{Top}(d)$ of the map $\operatorname{BTop}(d) \to \operatorname{BAut}^{h}(E_d)$ mentioned as (4) in the introduction (and explained below), and deduce results on the homotopy groups of $S_{\partial}^{\otimes \operatorname{isc}}(D^d)$; Theorem C and Corollary E will follow as special cases. As explained in the outline in Section 1.2.5, the main ingredient besides Theorem 7.23 is work of Boavida de Brito–Weiss [BdBW18] and work of Fresse–Turchin–Willwacher [FTW17]. We also make use of results of Krannich, Kupers, Randal-Williams and Watanabe [KrRW21, KuRW25, Wat09], though this can be avoided in most cases (see Remark 8.15).

8.1. A theorem of Boavida de Brito-Weiss

We first extract the relevant parts of [BdBW18]. By Theorem 1.2 loc.cit., the space Map^h(E_d, E_d) = Map^h_{Opd}(E_d, E_d) of derived operad maps is equivalent to a mapping space between certain ∞ -categories of configurations spaces associated to \mathbf{R}^d . These configuration categories only depend on the underlying *topological* manifold, so this in particular shows that the standard action of O(d) on E_d factors through an action of Top(d) and thus gives a map

$$\operatorname{BTop}(d) \longrightarrow \operatorname{BAut}^h(E_d).$$
 (92)

We will explain below how a reformulation of further results of Boavida de Brito–Weiss relates the homotopy fibre $\operatorname{Aut}^{h}(E_d)/\operatorname{Top}(d)$ of this map to the \mathscr{D} isc-structure space $S_{\partial}^{\mathscr{D}$ isc}(D^d) of a disc. To state the precise result, we denote by

$$\Omega_{\Omega(d)}^{d+1}(\operatorname{Aut}^{h}(E_d)/\operatorname{Top}(d)) \subseteq \Omega^{d+1}(\operatorname{Aut}^{h}(E_d)/\operatorname{Top}(d))$$

the collection of those path components that are sent to classes in the image of the map $\pi_{d+1}(BO(d)) \rightarrow \pi_{d+1}(BTop(d))$ under the map

$$\pi_0(\Omega^{d+1}(\operatorname{Aut}^h(E_d)/\operatorname{Top}(d))) = \pi_{d+1}(\operatorname{Aut}^h(E_d)/\operatorname{Top}(d)) \longrightarrow \pi_{d+1}(\operatorname{BTop}(d)).$$

Theorem 8.1 (Boavida de Brito–Weiss). For $d \neq 4$, there exists a 0-coconnected map of the form

$$\Omega^{d+1}_{\mathcal{O}(d)}(\operatorname{Aut}^h(E_d)/\operatorname{Top}(d)) \longrightarrow S^{\otimes \operatorname{isc}}_{\partial}(D^d).$$

Recall that being 0-coconnected amounts to being an 'inclusion of path components', meaning a map that induces an injection on $\pi_0(-)$ and an isomorphism on $\pi_i(-)$ for $i \ge 1$. After taking loop spaces, Theorem 8.1 can also be deduced from work of Ducoulombier–Turchin [DT22, (13)].

Remark 8.2. After this work was finalised, a different proof of Theorem 8.1 was given in [KK24c, Section 5.9.4]. This proof is independent of [BdBW18] and [DT22] and shows the slightly stronger statement $\Omega^{d+1}(\operatorname{Aut}^{h}(E_d)/\operatorname{Top}(d)) \simeq S_{\partial}^{\Im isc}(D^d)$.

Proof of Theorem 8.1. This can be deduced from [BdBW18] as follows: combining their Theorems 1.2 and 1.4 with their Section 6 (see also Equation (1.3)), there is contractible space $C(D^d, D^d)$ (a certain mapping space of configuration categories) which fits into a homotopy cartesian square

$$T_{\infty} \operatorname{Emb}_{\partial}(D^{d}, D^{d}) \longrightarrow \operatorname{C}(D^{d}, D^{d})$$

$$\downarrow \qquad \qquad \downarrow$$

$$\operatorname{Bun}_{\partial}(TD^{d}, TD^{d}) \longrightarrow \Omega^{d} \operatorname{Map}^{h}(E_{d}, E_{d})$$

where $\operatorname{Bun}_{\partial}(TD^d, TD^d)$ is the space of vector bundle maps of TD^d that are fixed on the boundary and $T_{\infty}\operatorname{Emb}_{\partial}(D^d, D^d)$ is the embedding calculus approximation to $\operatorname{Emb}_{\partial}(D^d, D^d)$. The bottom horizontal map admits a factorisation

$$\operatorname{Bun}_{\partial}(TD^d, TD^d) \to \operatorname{Bun}_{\partial}(TD^d, TD^d)^{\operatorname{Top}} \to \Omega^d \operatorname{Map}^h(E_d, E_d)$$
(93)

through the space of topological microbundle maps (compare the proof of Theorem 1.6 loc.cit.). Under the equivalences $\operatorname{Bun}_{\partial}(TD^d, TD^d) \simeq \Omega^d O(d)$ and $\operatorname{Bun}_{\partial}(TD^d, TD^d)^{\operatorname{Top}} \simeq \Omega^d \operatorname{Top}(d)$, this agrees with the *d*-fold looping of the composition $O(d) \to \operatorname{Top}(d) \to \operatorname{Aut}^h(E_d)$.

Now the factorisation (93) allows us to form the commutative diagram

$$\operatorname{Emb}_{\partial}(D^{d}, D^{d}) \xrightarrow{} \operatorname{Emb}_{\partial}(D^{d}, D^{d})^{\operatorname{Top}} \xrightarrow{} \\ \downarrow \qquad T_{\infty} \operatorname{Emb}_{\partial}(D^{d}, D^{d}) \xrightarrow{} \downarrow \xrightarrow{} C(D^{d}, D^{d}) \\ \operatorname{Bun}_{\partial}(TD^{d}, TD^{d}) \xrightarrow{} \qquad \downarrow \xrightarrow{} \operatorname{Bun}_{\partial}(TD^{d}, TD^{d})^{\operatorname{Top}} \xrightarrow{} \\ \operatorname{Bun}_{\partial}(TD^{d}, TD^{d}) \xrightarrow{} \Omega^{d} \operatorname{Map}^{h}(E_{d}, E_{d}) \\ \end{array}$$

whose front and back face are homotopy cartesian; the former by what was said above and the latter by smoothing theory (see [KS77, Essay V]; this uses $d \neq 4$). Note that $\text{Emb}_{\partial}(D^d, D^d) = \text{Diff}_{\partial}(D^d)$ and $\text{Emb}_{\partial}(D^d, D^d)^{\text{Top}} = \text{Homeo}_{\partial}(D^d)$. From the constructions in [BdBW18], one sees that this diagram is in fact a diagram of A_{∞} -spaces if one uses the A_{∞} -structure on $T_{\infty}\text{Emb}_{\partial}(D^d, D^d)$ by composition induced by the model for embedding calculus with boundary condition from [BdBW13, p. 379] which agrees with the A_{∞} -structure provided by our model as a result of Proposition 4.8 (see the discussion at the beginning of Section 4.3.1). Using contractibility of $C(D^d, D^d)$ and of Homeo_ $\partial(D^d)$ (using the Alexander trick) and Theorem 4.5, the diagram becomes a map of homotopy fibre sequences

$$\begin{array}{ccc} \operatorname{Diff}_{\partial}(D^{d}) & \longrightarrow & \Omega^{d}\operatorname{O}(d) & \longrightarrow & \Omega^{d}\operatorname{Top}(d) \\ & & \downarrow^{E} & & \parallel & & \downarrow \\ \operatorname{Map}_{\mathscr{M}\operatorname{od}(d)_{E_{\partial D^{d} \times I}}}(E_{D^{d}}, E_{D^{d}}) & \longrightarrow & \Omega^{d}\operatorname{O}(d) & \longrightarrow & \Omega^{d}\operatorname{Aut}^{h}(E_{d}) \end{array}$$

of A_{∞} -spaces. Here, we used the abbreviation from (72). Aside from the bottom left fibre, all spaces in this diagram are visibly group-like, so this fibre is as well; that is,

$$\operatorname{Map}_{\mathcal{M}\mathrm{od}(d)_{E_{\partial D^{d} \times I}}}(E_{D^{d}}, E_{D^{d}}) = \operatorname{Aut}_{\mathcal{M}\mathrm{od}(d)_{E_{\partial D^{d} \times I}}}(E_{D^{d}}),$$

using the notation from Section 4.5. We may thus deloop the diagram once (after restricting the components of the rightmost spaces to those in the image of the maps from $\Omega^d O(d)$) and take vertical homotopy fibres to get

$$\Omega^{d+1}_{\mathcal{O}(d)}\operatorname{Aut}(E_d)/\operatorname{Top}(d) \simeq \operatorname{Aut}_{\mathscr{M}\operatorname{od}(d)_{E_{\partial D^d \times I}}}(E_{D^d})/\operatorname{Diff}_{\partial}(D^d).$$

The right-hand space is a collection of components of $S_{\partial}^{\otimes \text{isc}}(D^d)$ by (73), so the claim follows.

8.2. Some results of Fresse-Turchin-Willwacher

Next, we recall part of work of Fresse–Turchin–Willwacher [FTW17], who gave a complete description of the rational homotopy groups of $\operatorname{Map}^{h}(E_{n}, E_{m}^{\mathbf{Q}})$ in terms of certain *graph complexes*. We collect the parts of their results that are relevant to us below, after explaining why they are applicable in our setting.

8.2.1. A comparison

The derived mapping spaces $\operatorname{Map}^{h}(E_n, E_m^{\mathbf{Q}})$ considered in [FTW17] differ a priori from those we considered in Section 7.6.1 in two ways:

First, the derived mapping spaces between operads considered in [FTW17] are formed not in the usual category sOp of simplicial operads as we did in Section 7.2, but instead in a certain category

s $\Lambda Op_{\otimes *}$ of connected simplicial Λ -operads, equipped with levelwise weak equivalences. This category is isomorphic to the full subcategory $sOp_{*1} \subset sOp$ of the category of simplicial operads such that P(0) and P(1) are singletons (see the discussion following Proposition 4.4. loc.cit.). The inclusion functor $s\Lambda Op_{\otimes *} \cong sOp_{*1} \rightarrow sOp$ induces weak equivalences on derived mapping spaces: by [FTW18, Theorem 1], the inclusion $sOp_* \rightarrow sOp$ induces weak equivalences on derived mapping spaces, and the same holds for $sOp_{*1} \rightarrow sOp_*$ since this full subcategory inclusion preserves fibrant and cofibrant objects in suitable model categories on these categories with levelwise weak equivalences (see [Fre17, p. 369] where this is explained in terms of the isomorphic categories $s\Lambda Op_{\otimes *} \subset s\Lambda Op_{\otimes}$). Hence, the mapping spaces Map^h(E_n, E_m) considered in [FTW17] agree with any of the variants of mapping space we discussed in (85) as a result of Proposition 7.7, using that the space of 0- and 1-operations of E_n are weakly contractible.

Second, the authors in [FTW17] rationalise operads differently than we do – namely, via a rationalisation functor of Fresse [Fre17, Section 12.2] (therein denoted $LG_{\bullet}\Omega^*_{\sharp}(-)$ and phrased in terms of the isomorphism $s\Lambda Op_{\otimes *} \cong sOp_{*1}$ mentioned above) that we denote

$$(-)_{\mathbf{FQ}}: \mathrm{sOp}_{*1} \longrightarrow \mathrm{sOp}_{*1}.$$

This functor comes with a natural transformation r_{FQ} : id $\rightarrow (-)_{FQ}$ and has the property that any operad $P \in sOp_{*1}$, the induced maps r_{FQ} : $P(k) \rightarrow P_{FQ}(k)$ agree up to weak equivalence with the Sullivan rationalisation as long as $H^*(P(k); Q)$ is degreewise finite dimensional for all $k \ge 1$ (see Theorem 2.2.1 loc.cit.). We can compare this to the rationalisation $(-)_Q$ we use (that is, levelwise *T*-localisation for *T* the set of all primes) as follows:

Lemma 8.3. If $P \in sOp_{*1}$ is levelwise connected nilpotent such that $H^*(P(k); \mathbf{Q})$ is degreewise finite dimensional for all $k \ge 1$, then there exists a natural zig-zag of weak equivalences

$$N_d(\mathsf{P}_{\mathrm{FQ}}) \simeq (N_d(\mathsf{P}))_{\mathbf{Q}}$$

between the dendroidal nerve of Fresse's rationalisation and the rationalisation of the dendroidal nerve in the sense of Section 7.4.

Proof. Consider the zigzag $N_d(\mathsf{P}_{\mathsf{FQ}}) \xrightarrow{r_{\mathsf{Q}}} (N_d(\mathsf{P}_{\mathsf{FQ}}))_{\mathsf{Q}} \xleftarrow{N_d(r_{\mathsf{FQ}})_{\mathsf{Q}}} (N_d(\mathsf{P}))_{\mathsf{Q}}$. To check both these maps are weak equivalences, it suffices to do so levelwise. Using the dendroidal Segal condition and the fact that rationalisation commutes with products of connected nilpotent spaces by Lemma 7.3 (ii), we may verify this on corollas. For those, the zig-zag becomes

$$\mathsf{P}_{\mathrm{F}\mathbf{Q}}(k) \xrightarrow{r_{\mathbf{Q}}} \mathsf{P}_{\mathrm{F}\mathbf{Q}}(k)_{\mathbf{Q}} \xleftarrow{r_{\mathrm{F}\mathbf{Q}}} \mathsf{P}(k)_{\mathbf{Q}}.$$

Under the assumption on P(k), Sullivan rationalisation agrees with the rationalisation in Section 7.1, so all three spaces in the zig-zag are Q-local and the two maps are weak equivalences.

8.2.2. Homotopy groups of spaces of maps between rationalised E_n -operads

The ingredient from [FTW17] required for the proofs of Theorem C and Corollary E is a computation of the homotopy groups of the derived mapping space $\operatorname{Map}^{h}(E_d, E_d^{\mathbf{Q}})$ based at the rationalisation map $r_{\mathbf{Q}}: E_d \to E_d^{\mathbf{Q}}$ in a range of degrees, which we summarise as the first two items in the following theorem. In its statement, we write $\mathbf{Q}[k]$ for the **Z**-graded 1-dimensional vector space concentrated in degree k, and we write $\iota: E_d \to E_{d+k}$ for the standard inclusion. Theorem 8.4 (Fresse–Turchin–Willwacher).

(i) For $2n \ge 4$, we have an inclusion of graded rational vector spaces

$$\pi_{*>0}(\operatorname{Map}^{h}(E_{2n}, E_{2n}^{\mathbf{Q}}), r_{\mathbf{Q}}) \supset \left(\bigoplus_{i \ge 0} \mathbf{Q}[2n - 4i - 1]\right) \oplus \mathbf{Q}[12n - 15] \oplus \mathbf{Q}[14n - 14] \oplus \mathbf{Q}[16n - 16] \oplus \mathbf{Q}[16n - 19] \oplus \mathbf{Q}[18n - 18] \oplus \mathbf{Q}[18n - 21].$$

This inclusion is an equality in degrees $* \leq 20n - 28$.

(ii) For $2n + 1 \ge 3$, we have an inclusion of graded rational vector spaces

$$\pi_{*>0}(\operatorname{Map}^{h}(E_{2n+1}, E_{2n+1}^{\mathbb{Q}}), r_{\mathbb{Q}}) \supset \left(\bigoplus_{i\geq 0} \mathbb{Q}[2n-4i-2]\right) \oplus$$
$$\mathbb{Q}[4n-1] \oplus \mathbb{Q}[6n-3] \oplus \mathbb{Q}[8n-5] \oplus$$
$$\mathbb{Q}^{2}[10n-7] \oplus \mathbb{Q}^{2}[12n-9] \oplus \mathbb{Q}[12n-6] \oplus$$
$$\mathbb{Q}^{3}[14n-11] \oplus \mathbb{Q}[14n-8].$$

This inclusion is an equality in degrees $* \le 16n - 14$.

- (iii) For $d \ge 2$, $(-) \circ \iota$: Map^h $(E_d, E_d^{\mathbf{Q}})_{r_{\mathbf{Q}}} \to \operatorname{Map}^h(E_{d-1}, E_d^{\mathbf{Q}})_{r_{\mathbf{Q}} \circ \iota}$ is a weak equivalence.
- (iv) For $d \ge 1$, $\pi_{d+1}(\operatorname{Map}^{h}(E_{d}, E_{d+2}^{\mathbf{Q}}), r_{\mathbf{Q}} \circ \iota)$ is an infinite-dimensional **Q**-vector space.

Proof. By [FTW17, Corollary 5], there is an isomorphism of graded vector spaces of the form $\pi_{*>0}(\operatorname{Map}^{h}(E_d, E_d^{\mathbf{Q}}), r_{\mathbf{Q}}) \cong H_{*>0}(\operatorname{GC}_d^2)$ for $d \ge 3$, where GC_d^2 is a certain graph complex introduced by Kontsevich (see loc.cit. for details). This complex splits into subcomplexes according to the number of loops of the graphs. The subspaces in (i) and (ii) are the homologies of the subcomplexes of loop order ≤ 9 and ≤ 7 depending on the parity of d (see Equation (4) loc.cit.). The fact that this subspace spans the full homology in the claimed ranges appears as Corollary 6 loc.cit., which proves (i) and (ii) (see also [BW24] for more computations in this direction). Part (iii) is [FTW17, Equation (12)]. Part (iv) follows from the isomorphism $\pi_k(\operatorname{Map}^h(E_d, E_{d+2}^{\mathbf{Q}}), r_{\mathbf{Q}} \circ \operatorname{inc}) \cong H_{k-1}(\operatorname{HCG}_{d,d+2})$ of Corollary 3 loc.cit. by considering the 1-loop contribution to degree n of HCG_{d,d+2} explained in Equation (2) loc.cit. and noting that the graph H_k in that equation has degree d for all k.

Remark 8.5. Note that we have $\operatorname{Map}^{h}(E_{n}, E_{m}^{\mathbf{Q}})_{r_{\mathbf{Q}} \circ \iota} \simeq \operatorname{Map}^{h}(E_{n}^{\mathbf{Q}}, E_{m}^{\mathbf{Q}})_{\iota^{\mathbf{Q}}}$ by Lemma 7.9.

8.3. Homotopy groups of $\operatorname{Aut}^{h}(E_d)/\operatorname{Top}(d)$

We now state our main technical result on the homotopy groups of the fibre $\operatorname{Aut}^{h}(E_d)/\operatorname{Top}(d)$ of the map $\operatorname{BTop}(d) \to \operatorname{BAut}^{h}(E_d)$ from (92). We phrase the result in terms of the following statement that we will refer to as $(\mathbf{H}_{k,m}^d)$. It depends on a choice of dimension $d \ge 1$ and degrees $k, m \ge 2$.

At least one of the following two scenarios is the case:

- (i) $\pi_*(\operatorname{Aut}^h(E_d)/\operatorname{Top}(d))$ is uncountable in degree k-2 or k-1, or $(\mathbf{H}_{k,m}^d)$
- (ii) $\pi_m(\operatorname{Aut}^h(E_d)/\operatorname{Top}(d))_{\mathbf{Q}}$ is nontrivial.

Theorem 8.6. The statement $(\mathbf{H}_{k m}^{d})$ holds in the following cases:

- (i) dimension d = 3 and degrees k = 7 and m = 6,
- (ii) dimension d = 4 and degrees k = 4 and m = 4,
- (iii) dimension $d = 2n + 1 \ge 5$, degrees $k \le 8n 12$ with $k \equiv 0 \pmod{4}$ and $k \ne 6n 2$, and m = k. For 2n + 1 = 5, the bound $k \le 8n - 12$ can be weakened to $k \le 8n - 8$,
- (iv) dimension $d = 2n \ge 6$, degrees $2n \le k \le 8n 12$ with $k \equiv 0 \pmod{4}$, and m = k. If n is odd, then the condition $2n \le k$ can be removed.

This in particular shows that the map $BTop(d) \rightarrow BAut^h(E_d)$ is not a weak equivalence for $d \ge 3$, so proves the first part of Corollary E in these cases (the second part follows by combining Theorems 6.1 and 8.1). In the low-dimensional case $d \le 2$, the map is an equivalence which one can see by combining the facts that in these dimensions, $BO(d) \rightarrow BTop(d)$ and $BO(d) \rightarrow BAut^h(E_d)$ are weak equivalences, the first by [KS77, Essay V.§5.0(7)] and the latter by work of Horel for d = 2 [Hor17, Theorem 8.5] and a folklore result for d = 1.

To prepare the proof of Theorem 8.6, we extract two results on the homotopy groups of the space BTop(d) from the literature. The first says they are countable, and its proof requires the following lemma which is likely known to experts but for which we do not know a reference.

Lemma 8.7. For a compact topological manifold M, possible with boundary, or the interior of such a manifold, the homotopy groups of BHomeo_{∂}(M) are countable.

Lemma 8.7 will be a consequence of the following point-set topological fact. Recall that a topological space is *second countable* if its topology has a countable basis, and *locally weakly-contractible* if for every neighbourhood U of a point p, there exists a weakly-contractible open neighbourhood $V \subseteq U$ of p.

Lemma 8.8. If X is a locally weakly-contractible second countable space, then the homotopy groups of X based at any basepoint are countable.

Proof. Recall (for instance, from [Dug78, VIII.6.3]) that every second countable space X is *Lindelöf* (i.e., every open cover has a countable subcover). For locally weakly contractible X, we apply this to the collection of all weakly-contractible open subsets to see that X admits a countable open cover by weakly-contractible subsets. As being a locally weakly-contractible second countable space is preserved by passing to an open subset, the same is true for open subsets of X. This allows one to inductively construct an open hypercover $U_{\bullet} \rightarrow X$ such that each U_{\bullet} has countable many components, each of which is weakly-contractible. Now consider the zigzag $X \leftarrow$ hocolim $U_{\bullet} \rightarrow$ hocolim $\pi_0(U_{\bullet})$ whose left map is the weak homotopy equivalence of [D104, Theorem 1.3] and whose right map is induced by taking path components, so it is also a weak homotopy equivalences. Now observe that the right term is equivalent to a countable CW complex – for example, using the formula in [D104, Proposition 3.2] exhibiting the homotopy colimit as the geometric realisation of a simplicial set with countable sets of k-simplices for all k – and hence has countable homotopy groups.

Proof of Lemma 8.7. For *M* compact, restriction to the boundary induces a fibration sequence Homeo_∂(*M*) → Homeo(*M*) → Homeo(*∂M*) as a result of the existence of collars. Hence, it suffices to prove the result for the topological group of homeomorphisms of a compact manifold with boundary or the interior of such a manifold, with no boundary condition. This space is second countable in the compact-open topology [GP57, Proposition 5.4] and locally contractible by [Čer69, Theorem 1, Theorem 2] (or [Che08, Corollary] for the case \mathbb{R}^d , which also serves an erratum for the previous reference) or [EK71, Corollary 1.1, Corollary 6.1] (or [Kir69, Theorem 4] for the case \mathbb{R}^d), so the claim follows from Lemma 8.8.

Applying Lemma 8.7 to $\mathbf{R}^d = int(D^d)$, we conclude the following:

Corollary 8.9. *The homotopy groups of* BTop(d) *are countable.*

Remark 8.10. For $d \neq 4$, Corollary 8.9 also follows by combining [Mil09, Lemma 10, p. 188] with [KS77, Essay V.§5.0(1)]. The advantage of the proof above is that it applies to d = 4.

The second result on BTop(d) we will use follows from works of Krannich, Kupers, Randal-Williams and Watanabe [KrRW21, KuRW25, Wat09]. It concerns two commutative squares

$$\begin{array}{ccc} \operatorname{BO}(2n) & \xrightarrow{(e,\operatorname{stab})} & K(\mathbf{Q},2n) \times \operatorname{BO} & \operatorname{BO}(2n+1) & \xrightarrow{(E,\operatorname{stab})} & K(\mathbf{Q},4n) \times \operatorname{BO} \\ & & \downarrow^{\iota} & \stackrel{\simeq_{\mathbf{Q}}}{\downarrow}_{\operatorname{id}\times\iota} & & \downarrow^{\iota} & \stackrel{\simeq_{\mathbf{Q}}}{\downarrow}_{\operatorname{id}\times\iota} & (94) \\ \operatorname{BTop}(2n) & \xrightarrow{(e,\operatorname{stab})} & K(\mathbf{Q},2n) \times \operatorname{BTop} & \operatorname{BTop}(2n+1) & \xrightarrow{(E,\operatorname{stab})} & K(\mathbf{Q},4n) \times \operatorname{BTop}, \end{array}$$

where the vertical arrows are induced by the inclusion $O(d) \subset Top(d)$ and the horizontal arrows by the stabilisation map, the Euler class $e \in H^{2n}(BTop(2n); \mathbf{Q})$, and the odd-dimensional analogue of its square $E \in H^{2n+1}(BTop(2n + 1); \mathbf{Q})$ (see [KrRW21, Sections 1.2.2 and 8.1.1] for further information on this class). That the right vertical maps are rational equivalences follows from the finiteness of the groups $\pi_*(Top/O)$ [KS77, Essay V.§5.0(5)].

Theorem 8.11. The maps induced by the bottom horizontal arrows

$$\pi_k(\operatorname{BTop}(2n))_{\mathbb{Q}} \to \pi_k(K(\mathbb{Q},2n) \times \operatorname{BTop})_{\mathbb{Q}} \quad \pi_k(\operatorname{BTop}(2n+1))_{\mathbb{Q}} \to \pi_k(K(\mathbb{Q},4n) \times \operatorname{BTop})_{\mathbb{Q}}$$

are surjective in degrees $k \le 4n - 1$ for all n, and in degrees $k \le 8n - 12$ as long as $n \ge 3$. Moreover, the right-hand map for n = 2 is also surjective in degree 4n.

Proof. In degrees $* \le 4n - 1$, the claimed surjectivity follows from the classical fact that the upper horizontal arrows are rationally surjective in exactly this range.

In order to show the claim for the bottom horizontal map in the left square of (94) for $n \ge 3$ in the range $* \le 8n - 12$, it thus suffices to show that the map $\Omega_0^{2n} BTop(2n) \to \Omega_0^{2n} BTop$ is surjective on $\pi_*(-)_{\mathbf{Q}}$ for $* \le 6n - 12$, which can be further reduced to showing that the map $BDiff_{\partial}(D^{2n}) \simeq$ $\Omega_0^{2n} Top(2n)/O(2n) \to \Omega_0^{2n} Top/O(2n)$ is surjective on $\pi_*(-)_{\mathbf{Q}}$ for $* \le 6n - 13$; here, we have used Morlet's smoothing theory equivalence [KS77, p 241]. This surjectivity was proved in [KuRW25, Corollary 6.7]. By precomposing the map BTop $(2n+1) \to BTop$ with BTop $(2n) \to BTop(2n+1)$, this argument also shows that the bottom horizontal map in the right square of (94) for $n \ge 3$ is surjective on $\pi_*(-)_{\mathbf{Q}}$ for $* \le 6n - 12$ as long as $* \ne 4n$.

This leaves us with showing that for all $n \ge 2$, the bottom horizontal map of the right square of (94) is surjective on $\pi_{4n}(-)_{\mathbb{Q}}$. Since the pullback of the class $E \in H^{4n}(\operatorname{BTop}(2n+1); \mathbb{Q})$ to BO(2n) agrees with e^2 by definition of E and hence is decomposable, evaluation of the pullback of E on the image of the Hurewicz map $\pi_{4n}(\operatorname{BO}(2n))_{\mathbb{Q}} \to H_{4n}(\operatorname{BO}(2n); \mathbb{Q})$ is trivial. Hence, the fact that the map BO(2n) \to BTop is surjective on $\pi_{4n}(-)_{\mathbb{Q}}$ implies that the direct summand $\pi_{4n}(\operatorname{BTop})_{\mathbb{Q}} \subset \pi_{4n}(K(\mathbb{Q}, 4n) \times \operatorname{BTop})_{\mathbb{Q}}$ is in the image. So we are left with showing that the map $E : \operatorname{BTop}(2n+1) \to K(\mathbb{Q}, 4n)$ is nontrivial for all $n \ge 2$. Using the smoothing theory equivalence $\operatorname{BDiff}_{\partial}^{\mathrm{fr}}(D^{2n+1})_0 \simeq \Omega_0^{2n+1}\operatorname{Top}(2n+1)$ involving the framed diffeomorphism group, the composition

$$\pi_{4n}(\mathrm{BDiff}_{\partial}^{\mathrm{fr}}(D^{2n+1}))_{\mathbf{Q}} \cong \pi_{4n}(\mathrm{BTop}(2n+1))_{\mathbf{Q}} \stackrel{E}{\longrightarrow} \mathbf{Q} \subset \mathbf{R}$$

agrees by [KrRW21, Theorem B.4, Remark B.5] up to a constant with the 'Kontsevich class' $\zeta_{2,3}$ from [Wat09, p. 631], so it is nontrivial for $n \ge 2$ by Theorem 3.1 loc.cit and [Wat22].

Proof of Theorem 8.6. Throughout the proof, we use the fact that $\pi_{k>0}(\text{BTop})_{\mathbb{Q}}$ is 1-dimensional for $k \equiv 0 \pmod{4}$ and trivial otherwise, and that BTop(d) has countable homotopy groups by Corollary 8.9. We divide the proof into three cases.

d = 3 Applying Theorem 7.23 for n = m = 3 and i = 6, we see that either (a) $\pi_*(\text{BAut}^h(E_3))$ is uncountable in degrees 6 or 7, or

(b) $\pi_7(\operatorname{BAut}^h(E_3))_{\mathbb{Q}} \cong \pi_7(\operatorname{BAut}^h(E_3^{\mathbb{Q}})).$

By the long exact sequence of $\operatorname{Aut}^{h}(E_{3})/\operatorname{Top}(3) \to \operatorname{BTop}(3) \to \operatorname{BAut}^{h}(E_{3})$, there is nothing left to show in the first case since BTop(d) has countable homotopy groups. In the second case, we use that first, the map BO(3) \rightarrow BTop(3) is a weak equivalence by [Hat83, p. 605], and thus, $\pi_7(BTop(3))_Q \cong \pi_7(BO(3))_Q$ vanishes, and that second, Theorem 8.4 (ii) combined with Remark 8.5 shows that $\pi_7(\text{BAut}^h(E_3^{\mathbf{Q}})) \cong \pi_6(\text{Map}^h(E_3^{\mathbf{Q}}, E_3^{\mathbf{Q}}); \text{id})$ is nontrivial – in fact, at least 3-dimensional (since 12n - 6 = 14n - 8 for n = 3). Using the same long exact sequence as before, this shows the claim in the second case.

d = 4 The logic is the same as in the case d = 3: we again apply Theorem 7.23, this time for n = m = 4and i = 3, to see that either

(a) $\pi_*(\text{BAut}^h(E_4))$ is uncountable in degrees 3 or 4, or

(b) $\pi_4(\operatorname{BAut}^h(E_4))_{\mathbb{Q}} \cong \pi_4(\operatorname{BAut}^h(E_4^{\mathbb{Q}})).$

As before, there is nothing left to show in the first case. In the second case, we use that first, $\pi_4(BTop(4))_0$ is at least 2-dimensional as a result of Theorem 8.11, and that second, $\pi_4(\text{BAut}^h(E_4\mathbf{Q}))$ is 1-dimensional as a result of Theorem 8.4 (i) (since 2n - 4i - 1 = 3 for i = 0, and all other terms are in degree \geq 7).

 $d \ge 5$ Theorem 7.23 for n = m = d and i = k - 1 shows that either

(a) $\pi_*(\text{BAut}^h(E_d))$ is uncountable in degrees k or k-1, or

(b) $\pi_k(\operatorname{BAut}^h(E_d))_{\mathbb{Q}} \cong \pi_k(\operatorname{BAut}^h(E_d^{\mathbb{Q}})).$

As previously, nothing is left to show in the first case. In the second case, we first consider odd d. If $d = 2n + 1 \ge 5$ and $1 \le k \le 8n - 12$ (or $1 \le k \le 8n - 8$ if n = 2) such that $k \ne 6n - 2$ and $k \equiv 0 \pmod{4}$, then we use first, that $\pi_k(\text{BTop}(2n+1))_{\mathbf{Q}}$ is at least 2-dimensional if k = 4n and otherwise at least 1-dimensional by Theorem 8.11, and second, that Theorem 8.4 (ii) shows that $\pi_k(\text{BAut}^h(E_{2n+1}^{\mathbf{Q}}))$ is trivial for $k \neq 4n$ and 1-dimensional for k = 4n. Finally, for even $d = 2n \ge 6$ and $k \equiv 0 \pmod{4}$ with $2n \le k \le 8n - 2$ for *n* even and $k \le 8n - 2$ for *n* odd, we use a) that $\pi_k(BTop(2n))_{\mathbf{Q}}$ is at least 1-dimensional and at least 2-dimensional for k = 2n if n is even by Theorem 8.11, and b) that Theorem 8.4 (i) shows that $\pi_k(\text{BAut}^h(E_{2n}^{\mathbf{Q}}))$ is trivial for $k \neq 2n$ and 1-dimensional for k = 2n.

Remark 8.12. The proof of Theorem 8.6 simply compares the homotopy groups of Top(d) and Aut^h(E_d) abstractly. It does not use anything about the specific map Top(d) \rightarrow Aut^h(E_d).

8.3.1. Applications to $S^{\mathcal{D}isc}_{\partial}(D^d)$

In view of the 0-coconnected map of Theorem 8.1

$$\Omega^{d+1}_{\mathcal{O}(d)}\operatorname{Aut}^{h}(E_d)/\operatorname{Top}(d) \longrightarrow S^{\mathscr{D}\mathrm{isc}}_{\partial}(D^d),$$

as long as $k - d - 3 \ge 0$, the statement $(\mathbf{H}_{k,m}^d)$ implies the following variant for $S_{\partial}^{\otimes \mathrm{isc}}(D^d)$: At least one of the following two scenarios is the case:

(i) $\pi_*(S_{\partial}^{\otimes \text{isc}}(D^d))$ is uncountable in degree k - d - 3 or k - d - 2, or $(\mathbf{H}_{k,m}^{d,\otimes \text{isc}})$ (ii) $\pi_{m-d-1}(S_{\partial}^{\otimes \text{isc}}(D^d))_{\mathbf{Q}}$ is nontrivial.

For k - d - 3 = 0, this implication uses that if $\pi_0(\Omega^{d+1}\operatorname{Aut}^h(E_d)/\operatorname{Top}(d))$ is uncountable, then so is $\pi_0(\Omega_{O(d)}^{d+1}\operatorname{Aut}^h(E_d)/\operatorname{Top}(d))$. This is because $\pi_{d+1}(\operatorname{BTop}(d))$ is countable, so if the domain of the map $\pi_{d+1}(\operatorname{Aut}(E_d)/\operatorname{Top}(d)) \to \pi_{d+1}(\operatorname{BTop}(d))$ is uncountable, then so is its kernel. Combined with Theorem 8.6, we therefore obtain the following:

Corollary 8.13. Under the additional assumption $k - d - 3 \ge 0$, the statement $(\mathbf{H}_{k,m}^{d,\mathfrak{Disc}})$ holds for all choices of triples (d, k, m) to which Theorem 8.6 applies.

We now use Corollary 8.13 to prove that $S_{\partial}^{\mathcal{D}isc}(D^d)$ is not contractible for all $d \ge 5$ with $d \ne 3$.

Theorem 8.14. For d = 3 or $d \ge 5$, the space $S_{\partial}^{\otimes \text{isc}}(D^d)$ is not contractible.

Proof. For d = 3, the claim follows from $(\mathbf{H}_{k,m}^{d,\mathfrak{D}isc})$ for the triple (d, k, m) = (3, 7, 6) since this statement holds true in this case $k - d - 3 \ge 0$ and Theorem 8.6 applies to this triple. In the case $d \ge 5$, the claim follows similarly as long as we ensure that there exists a k such that $k - d - 3 \ge 0$ and Theorem 8.6 applies to the triple (d, k, k). For d = 2n + 1 with $n \ge 4$, we pick the unique $k \equiv 0 \pmod{4}$ with $2n + 5 \le k \le 2n + 8$. This satisfies the requirements because $k - d - 3 \ge 0$ and $k \ne 6n - 2$ as 2n + 8 < 6n - 2 and $2n + 8 \le 8n - 12$. For d = 2n + 1 with n = 3, we choose k = 12. This works because $k - d - 3 = 2 \ge 0$ and $12 \le 8n - 12 = 12$. For d = 2n + 1 with n = 2, we choose k = 8, which works using the improvement of the bound since $k - d - 3 = 0 \ge 0$ and $k \le 8n - 8 = 8$. For d = 2n with $n \ge 4$, we can pick the unique $k \equiv 0 \pmod{4}$ with $2n + 4 \le k \le 2n + 7$, which is valid since $k - d - 3 \ge 0$ and $k \le 2n + 7 \le 8n - 12$. Finally, for d = 2n with n = 3, we pick k = 12, which works because $k - d - 3 = 3 \ge 0$ and $k \le 8n - 12 = 12$.

Remark 8.15. If one relaxes the range $k \le 8n - 12$ in Theorem 8.6 (iii) and (iv) to $k \le 4n - 1$, then the proof we gave does not rely on the recent works [KrRW21, KuRW25, Wat09], since the proof of Theorem 8.11 does not use them in this range. This is sufficient to deduce Corollary E. It also gives a weaker version of Corollary 8.13 that does not rely on these works. The latter is good enough to conclude Theorem 8.14 except in dimensions d = 5, 6, 7.

Combining Theorem 8.14 with Corollary 5.13 implies Theorem C.

Remark 8.16. Even though Theorem 8.14 applies to d = 3 and all orientable 3-manifolds M are spin, we cannot conclude that $S_{\partial}^{\otimes \text{isc}}(M)$ is nontrivial in this case, because our tangential 2-type invariance result does not apply if d = 3, so Corollary 5.13 is not available. Nonetheless, $S_{\partial}^{\otimes \text{isc}}(M)$ is nontrivial if M embeds into D^3 after removing finitely many codimension 0 discs, since

- (i) removing discs does not change the homotopy type of $S_{\partial}^{\otimes \text{isc}}(M)$ by Proposition 5.11,
- (ii) $S_{\partial}^{\otimes \text{isc}}(D^3)$ is a homotopy retract of $S_{\partial}^{\otimes \text{isc}}(M)$ if M embeds into D^3 by the same argument as in the second part of proof of Corollary 5.13, and
- (iii) $S_{\partial}^{\mathcal{D}isc}(D^3)$ is nontrivial by Theorem 8.14.

This applies in particular to S^3 or to the handlebodies $(S^1 \times D^2)^{\natural g} \natural (S^2 \times D^1)^{\natural g}$ for $g, h \ge 0$, with \natural denoting the boundary connected sum operation.

8.4. Positive codimension

We conclude this section with a brief discussion of an analogue of the nontriviality results of the previous section in positive codimension, by which we mean the following: the subgroup $O(c) \subset O(d)$ acting on the last *c* coordinates stabilises the standard inclusion $E_{d-c} \rightarrow E_d$ for $c \ge 0$ under the O(d)-action on Map^h(E_{d-c}, E_d), so we have a map $O(d)/O(c) \rightarrow Map^h(E_{d-c}, E_d)$. In the same way as in the case c = d discussed in Section 8.1, Boavida de Brito–Weiss' work [BdBW18] shows that this action factors as a composition

$$O(d)/O(c) \longrightarrow Top(d)/Top(d, d-c) \longrightarrow Map^h(E_{d-c}, E_d),$$

where $\text{Top}(d, d - c) \subset \text{Top}(d)$ is the subgroup of those homeomorphisms that fix $\{0\} \times \mathbf{R}^{d-c} \subset \mathbf{R}^d$. Generalising from the codimension c = 0 case of Corollary E, one might wonder whether

$$\operatorname{Top}(d)/\operatorname{Top}(d, d-c) \longrightarrow \operatorname{Map}^{h}(E_{d-c}, E_{d})$$
 (95)

is a weak equivalence. In codimension $c \ge 3$, this was shown by Boavida de Brito–Weiss [BdBW18, Theorem 1.6] after taking (d - c + 1)-fold loop spaces. Adapting the methods of the previous subsection, we consider the remaining cases c = 1, 2. As before, we phrase the result in terms of the following placeholder statement involving dimension $d \ge 1$, codimension $c \in \{1, 2\}$, degrees $k \ge 3$ and $m \ge 1$.

At least one of the following two scenarios is the case:

(i) The homotopy groups

$$\pi_* \Big(\operatorname{hofib}_{\iota} \big(\operatorname{Top}(d) / \operatorname{Top}(d, d-c) \to \operatorname{Map}^h(E_{d-c}, E_d) \big) \Big)$$

are uncountable in degree k - 3 or k - 2, or $(\mathbf{H}_{k,m}^{d,c})$

(ii) The homotopy group

$$\pi_{m-1}\Big(\operatorname{hofib}_{\iota}\big(\operatorname{Top}(d)/\operatorname{Top}(d,d-c)\to\operatorname{Map}^{h}(E_{d-c},E_{d})\big)\Big)_{\mathbf{Q}}$$

is nontrivial.

The proof requires some results about the homotopy groups of the spaces Top(d, d - c):

Lemma 8.17.

- (i) The map $(-) \times \mathbf{R}^{d-1}$: $O(1) \simeq Top(1) \rightarrow Top(d, d-1)$ is a homotopy equivalence.
- (ii) The map $(-) \times \mathbb{R}^{d-2}$: $O(2) \simeq Top(2) \rightarrow Top(d, d-2)$ is (d-2)-connected.

Proof. Part (i) admits an elementary argument: if f(-, -): $\mathbf{R}^{d-1} \times \mathbf{R} = \mathbf{R}^d \to \mathbf{R}^d$ is an orientation-preserving homeomorphism fixing $\mathbf{R}^{d-1} \times \{0\}$ pointwise, then

$$[0,\infty) \times \mathbf{R}^{d-1} \times \mathbf{R} \ni (t,x,s) \longmapsto f_t(x,s) \coloneqq \begin{cases} (x,s) & \text{if } |s| \le t, \\ f(x,s-t) & \text{if } s > t, \\ f(x,s+t) & \text{if } s < -t \end{cases}$$

gives an isotopy of homeomorphisms that extends continuously to $t = \infty$ with value $id_{\mathbf{R}^d}$ and depends continuously on f. If f is orientation-reversing, a similar formula works. Part (ii) is due to Kirby– Siebenmann [KS75, Theorem B] for $d \neq 4$, who deduce it using immersion theory from an existence and uniqueness result for normal bundles of codimension 2 locally flat embeddings into d-manifolds. In the remaining case d = 4, the necessary results on normal bundles of locally flat embeddings of surfaces into 4-manifolds were established later by Freedman–Quinn [FQ90, Section 9.4].

Remark 8.18. The proof of Corollary 8.9 extends to show that Top(d, d - c) has countable homotopy groups: use Lemma 8.8, that it is second countable being a subspace of Top(d), and that it is locally weakly-contractible by the variant of [EK71, Corollary 7.3] for this group.

Theorem 8.19. The statement $(\mathbf{H}_{k,m}^{d,c})$ holds in the following cases.

- (i) For c = 1, it holds for all choices of (d, k, m) to which Theorem 8.6 applies.
- (ii) For c = 2, it holds for $d \ge 3$, k = d and m = d 1.

Proof. For 8.19, we consider the following zig-zag of maps:

$$\begin{aligned} \operatorname{Top}(d) &\to \operatorname{Top}(d)/\operatorname{Top}(d, d-1) \\ &\to \operatorname{Map}^{h}(E_{d-1}, E_{d}) \xrightarrow{r_{\mathbf{Q}} \circ (-)} \operatorname{Map}^{h}(E_{d-1}, E_{d}^{\mathbf{Q}}) \xleftarrow{(-) \circ \iota} \operatorname{Map}^{h}(E_{d}, E_{d}^{\mathbf{Q}}). \end{aligned}$$

After taking loop spaces, the leftmost and the rightmost arrow become weak equivalences – the former by Lemma 8.17 (i) and the latter by Theorem 8.4 (iii). Since the homotopy groups of Top(d) are countable by Corollary 8.9, it thus suffices to prove that for choices $k \ge 3$ and $m \ge 1$ as in the claim, either

- (a) $\pi_*(\operatorname{Map}(E_d, E_d); \operatorname{id})$ is uncountable in degrees k 2 or k 1, or
- (b) the dimension of $\pi_{m-1}(\text{Top}(d); \text{id})_{\mathbb{Q}}$ is larger than that of $\pi_{m-1}(\text{Map}(E_d, E_d); \text{id})_{\mathbb{Q}}$.

But we already showed this, as part of the proof of Theorem 8.6. To establish 8.19, we apply Theorem 7.23 to degree i = d - 1 to conclude that either

- (a) $\pi_*(\operatorname{Map}^h(E_{d-c}, E_d), \iota)$ is uncountable in degrees d-2 or d-1, or (b) $= (\operatorname{Map}^h(E_{d-c}, E_d), \iota) = (\operatorname{Map}^h(E_{d-c}, E_d), \iota)$
- (b) $\pi_{d-1}(\operatorname{Map}^{h}(E_{d-c}, E_{d}), \iota)_{\mathbf{Q}} \cong \pi_{d-1}(\operatorname{Map}^{h}(E_{d-c}, E_{d}^{\mathbf{Q}}), r_{\mathbf{Q}} \circ \iota).$

Since $\pi_{d-1}(\operatorname{Map}^{h}(E_{d-2}, E_{d}^{\mathbf{Q}}), r_{\mathbf{Q}} \circ \iota)$ is infinite-dimensional by Theorem 8.4 (iv), it suffices to show that the groups $\pi_{*}(\operatorname{Top}(d)/\operatorname{Top}(d, d-2))$ are finitely generated in degrees $* \leq d-1$. The latter follows from a combination of the following facts:

- (i) $\pi_*(\text{Top}(d))$ is finitely generated in degrees $* \le d 1$ for all d.
- (ii) The map $(-) \times \mathbf{R}^{d-2}$: $O(2) \simeq Top(2) \rightarrow Top(d, d-2)$ is (d-2)-connected, so in particular, $\pi_*(Top(d, d-2))$ is finitely generated in degrees $* \le d-2$.

The first statement follows from [KS77, Essay V.§5.0] for $d \neq 4$ and from [FQ90, Theorem 8.7A] for d = 4, and the second is Lemma 8.17 (ii).

Unwrapping the statement, Theorem 8.19 in particular implies the following:

Corollary 8.20. The map (95) is not an equivalence if $d \ge 3$ and $c = \{1, 2\}$.

Remark 8.21. There are no maps of the form $E_{d-c} \rightarrow E_d$ for c < 0. Indeed, by restricting to 2-ary operations, such a map would induce an equivariant map $S^{d-c-1} \rightarrow S^{d-1}$ with respect to the antipodal action, which implies $c \ge 0$ by the Borsuk–Ulam theorem.

List of Symbols

| $\mathscr{C}\mathrm{at}_{\infty}$ | ∞-category of ∞-categories | 10 |
|------------------------------------|---|----|
| 8 | ∞-category of spaces | 10 |
| N _{coh} | Coherent nerve | 11 |
| h | Homotopy category | 11 |
| Fin _* | Category of pointed finite sets | 12 |
| $\langle p \rangle$ | Pointed finite set $\{1, 2, \dots, p, *\}$ in Fin _* | 12 |
| Δ | Category of non-empty totally ordered finite sets | 12 |
| Δ_{inj} | Wide sub-category of Δ of injective maps | 12 |
| [<i>p</i>] | Totally ordered finite set $(0 < 1 < \cdots < p)$ in Δ | 12 |
| Gap | Category of gaps | 13 |
| (p) | Totally ordered set $(L < 1 < \cdots < p < R)$ in Gap | 12 |
| Gap _{sur} | Wide sub-category of Gap of surjective maps | 13 |
| $Cat(\mathscr{C})$ | ∞-category of double ∞-categories | 14 |
| $Mon(\mathscr{C})$ | ∞ -category of monoid objects in $\mathscr C$ | 14 |
| $Cat_{nu}(\mathscr{C})$ | ∞ -category of non-unital double ∞ -categories | 14 |
| $Mon_{nu}(\mathscr{C})$ | ∞ -category of non-unital monoid objects in $\mathscr C$ | 14 |
| $\operatorname{CMon}(\mathscr{C})$ | ∞ -category of commutative monoid objects in $\mathscr C$ | 14 |
| $\mathscr{C}_{A,B}$ | Mapping ∞ -category from <i>A</i> to <i>B</i> in double ∞ -category \mathscr{C} | 15 |
| $Cat_{qu}(\mathscr{C})$ | ∞ -category of quasi-unital category objects in $\mathscr C$ | 15 |
| $(-)^{(\infty,1)}$ | Functor extracting ∞ -category from double ∞ -category | 16 |
| $(-)^{(\infty,2)}$ | Functor extracting $(\infty, 2)$ -category from double ∞ -category | 16 |
| $(-)^{\sim_2}$ | Functor extracting ∞ -category from (∞ , 2)-category | 16 |
| $PSh(\mathscr{C})$ | ∞ -category of S-valued presheaves on \mathscr{C} | 16 |
| у | Yoneda embedding | 16 |
| $\operatorname{Mul}_{\mathcal{O}}$ | Spaces of multi-operations of an ∞ -operad \mathcal{O} | 17 |
| 0 <i>©</i> | Operadic composition map of an ∞ -operad \mathcal{O} | 17 |

| $\operatorname{End}_{\mathscr{C}}(x)$ | Endomorphism operad of x in \mathscr{C} | 18 |
|---|---|----|
| $\Lambda_{[p]}$ | Full subcategory of cellular maps in $\Delta_{/[p]}$ | 18 |
| $\operatorname{Ass}(\mathscr{C})$ | ∞-category of associative algebra objects in \mathscr{C} | 18 |
| $\operatorname{BMod}(\mathscr{C})$ | ∞-category of bimodule objects in $\mathscr C$ | 18 |
| $U_{A,B}$ | Forgetful functor from (A, B) -modules | 19 |
| $F_{A,B}$ | Free (A, B) -bimodule functor | 19 |
| $\overline{\mathrm{ALG}}(\mathscr{C})$ | Pre-Morita double ∞ -category of \mathscr{C} | 20 |
| $ALG(\mathcal{C})$ | Morita double ∞ -category of \mathscr{C} | 20 |
| (−)⊳ | Cocone construction | 21 |
| $\text{COSPAN}^+(\mathscr{C})$ | Double ∞ -category of cospans in \mathscr{C} | 25 |
| E | Functor from bordism category of presheaf Morita category | 25 |
| $ncBord(d)^{nu}$ | Non-compact <i>d</i> -bordism non-unital double Kan-enriched category | 27 |
| $nc\mathscr{B}ord(d)^{nu}$ | Non-compact <i>d</i> -bordism non-unital double ∞ -category | 28 |
| (W,μ) | [p]-walled d-manifold W | 27 |
| Man [⊗] | Monoidal Kan-enriched category of <i>d</i> -manifolds | 28 |
| $\mathcal{M}an_{\mathcal{A}}^{\otimes}$ | Monoidal ∞ -category of <i>d</i> -manifolds | 28 |
| wall (W, μ) | Submanifold of (W, μ) of walls | 30 |
| $ch(W, \mu)$ | Submanifold of (W, μ) of chambers | 30 |
| $tch(W, \mu)$ | Submanifold of (W, μ) of thickened chambers | 30 |
| $\operatorname{coll}(W, \mu)$ | Submanifold of (W, μ) of collars | 30 |
| $lab_{\alpha}(W,\mu)$ | Submanifold of (W, μ) of pieces labelled by α | 30 |
| wlab _{α} (W, μ) | Submanifold of (W, μ) of thick walls labelled by α | 31 |
| Gap | Kan-enriched thickening of $\operatorname{Gap}_{(p)}$ | 34 |
| $\overline{\mathcal{G}}_{ap}(p)/$ | ∞-categorical thickening of $Gap_{(\bullet)/}$ | 35 |
| $\overline{E^{\text{geo}}}$ | Functor from bordism category to manifold pre-Morita category | 36 |
| \overline{E} | Functor from bordism category to presheaf pre-Morita category | 36 |
| \mathscr{B} ord (d) | Compact <i>d</i> -dimensional bordism double ∞ -category | 46 |
| nc \mathscr{B} ord $(d)^{\partial}$ | Noncompact <i>d</i> -bordism double ∞ -category with boundary | 46 |
| \mathscr{B} ord $(d)^{\partial'}$ | Compact <i>d</i> -bordism double ∞ -category with boundary | 46 |
| θ | Tangential structure | 47 |
| nc. \mathscr{B} ord $^{\theta}(d)$ | Noncompact <i>d</i> -bordism double ∞ -category with θ -structure | 47 |
| \mathscr{B} ord $^{\theta}(d)$ | Compact <i>d</i> -bordism double ∞ -category with θ -structure | 47 |
| $M \operatorname{od}(d)$ | Double ∞ -category of bimodules in PSh(\Re isc ₄) | 51 |
| \mathscr{B} ord $(d)_{\mathbb{P}}$ | ∞ -groupoid of null bordisms of a compact $(d-1)$ -manifold P | 60 |
| $M \operatorname{od}(d)_{\Lambda}$ | ∞ -category of right-modules over A in PSh(Øisc ₄) | 60 |
| $S^{\mathcal{D}isc}(X)$ | \mathcal{D} is c-structure space of a right- $E_{B\times I}$ -module X | 60 |
| $S^{\mathcal{D}isc}(W)$ | Signification of the right F_{2W} module F_{W} | 60 |
| \sim | A hordism | 62 |
| W(m k] | Part of bordism W with <i>i</i> -handles for $m < i < k$ | 62 |
| W[m,k] W[m,k] | Part of bordism W with <i>i</i> -handles for $m < i \le k$ | 62 |
| hMan ^c | 1-category of compact d-manifolds | 64 |
| $\operatorname{Fin}^{\mathbf{N}_0}$ | α operad of pointed finite sets with N ₂ grading on morphisms | 60 |
| гш _* × | Pair of pants product | 73 |
| (_) | Localisation at a set of primes T | 75 |
| ()T | Pationalisation | 76 |
| \mathbf{M}_{an}^{h} | Nationalisation | 70 |
| $\operatorname{Map}^{h}_{h} = ($ | Derived mapping space of reduced dendroidal spaces | 70 |
| $\operatorname{Niap}_{PSh(\overline{\Omega})}(-,-)$ | Catagory of trace | 19 |
| <u>52</u> | Category of trees | /8 |
| 52 | Category of reduced trees | /8 |

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| N_d | Dendroidal nerve | 78 |
|---------------------------------------|--|----|
| $\overline{\Omega}_{\leq k}$ | Subcategory of trees whose vertices have $\leq k$ incoming edges | 79 |
| $\operatorname{Latch}_k(\mathcal{O})$ | kth latching object of reduced dendroidal space \mathcal{O} | 79 |
| $\operatorname{Match}_k(\mathcal{O})$ | kth matching object of reduced dendroidal space \mathcal{O} | 79 |
| $\{G_k\}$ | Tower of groups $G_0 \leftarrow G_1 \leftarrow \cdots$ | 82 |

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