# THE MINIMUM DISCRIMINANTS OF QUINTIC FIELDS by JOHN HUNTER

## (Received 6th January, 1956)

1. Introduction. Let D be the discriminant of an algebraic number field F of degree n over the rational field R. The problem of finding the lowest absolute value of D as F varies over all fields of degree n with a given number of real (and consequently of imaginary) conjugate fields has not yet been solved in general. The only precise results so far given are those for n=2, 3 and 4. The case n=2 is trivial; n=3 was solved in 1896 by Furtwängler, and n=4in 1929 by J. Mayer [6]. Reference to Furtwängler's work is given in Mayer's paper. In this paper the results for n=5, that is, for quintic fields, are obtained.

2. The fundamental theorem. Let  $F_1$  be a quintic field, and let  $F_i$  (i = 2, 3, 4, 5) be the conjugate fields. If  $\rho_1$  is an integer of  $F_1$  we shall use  $\rho_i$  (i = 2, 3, 4, 5) to denote its conjugates and write  $\Sigma \rho_i$  for  $\rho_1 + \rho_2 + \rho_3 + \rho_4 + \rho_5$ , etc. The method used is based on the following theorem THEOREM 1. If  $F_1$  is a quintic field of discriminant D then we can write

$$F_1 = R(\rho_1),$$

where  $\rho_1$  is an algebraic integer in  $F_1$  such that

$$egin{array}{l} & ert arsigma 
ho_{m{i}} \mid \leqslant 2, \ & 5 \, (arsigma \mid 
ho_{m{i}} \mid^2)^4 \leqslant 8 \mid D \end{array}$$

**Proof.** Let  $(1, \rho'_1, \theta'_1, \psi'_1, \psi'_1)$  be an integral basis for the given field  $F_1$ , and  $(1, \rho'_1, \theta'_i, \phi'_i, \psi'_i)$  the corresponding bases for the conjugate fields  $F_i$  (i=2, 3, 4, 5). Write

$$\begin{split} f(x) = & f(x_1, x_2, x_3, x_4, x_5) = \sum_{i=1}^{5} \mid x_1 + \rho'_i x_2 + \theta'_i x_3 + \phi'_i x_4 + \psi'_i x_5 \mid^2 \\ & = \sum_{i=1}^{5} \mid X_i \mid^2, \end{split}$$

say. Then f(x) is a positive definite quadratic form of determinant |D|. For rational integers  $x_1, \ldots, x_5$ , the expressions  $X_i$   $(i = 1, \ldots, 5)$  represent an algebraic integer of  $F_1$  and its conjugates. Thus, for integral  $(x) \neq (0)$ ,  $\prod_{i=1}^{5} |X_i| \ge 1$ , and hence  $\sum_{i=1}^{5} |X_i|^2 \ge 5$ , by the inequality of the arithmetic-geometric means. Hence the minimum of the form f(x) is 5, this being attained at the point (1, 0, 0, 0, 0).

We now define successive minima  $m_1, m_2, m_3, m_4, m_5$  of f(x) as follows :

 $m_1$  is the minimum of f(x) for all integral  $(x) \neq (0)$ , so that  $m_1 = 5$  and is attained at the point  $(x_1) = (1, 0, 0, 0, 0)$ ;

 $m_2$  is the minimum of f(x) for all integral (x) not proportional to  $(x_1)$ , attained at  $(x_2)$ , say;

 $m_3$  is the minimum of f(x) for all integral (x) not linearly dependent on  $(x_1)$  and  $(x_2)$ , attained at  $(x_3)$ , say; and so on. Then clearly

$$5 = m_1 \leqslant m_2 \leqslant m_3 \leqslant m_4 \leqslant m_5. \tag{1}$$

Also it has been proved that

E

and

G.M.A.

#### JOHN HUNTER

$$m_1 m_2 m_3 m_4 m_5 \leqslant \gamma_5^5 \mid D \mid ,$$

(since |D| is the determinant of f(x)), where  $\gamma_5$  is the "minimum" of all positive definite quadratic forms in five integral variables. Since  $\gamma_5 = \sqrt[5]{8}$ , we obtain

$$m_1 m_2 m_3 m_4 m_5 \leqslant 8 \mid D \mid .$$
 (2)

By (1) and (2),

$$5m_2^4 \leq 8 \mid D \mid . \tag{3}$$

$$f(x) = 5x_1^2 + 2b_{12}x_1x_2 + \ldots + 2b_{15}x_1x_5 + b_{22}x_2^2 + \ldots$$

Let

$$=5\left(x_1+\frac{b_{12}}{5}x_2+\ldots+\frac{b_{15}}{5}x_5\right)^2+g\left(x_2,x_3,x_4,x_5\right)$$
  
=5 $\xi^2+g\left(x_2,x_3,x_4,x_5\right),$ 

so that g is a positive definite quadratic form in the variables  $x_2, x_3, x_4, x_5$ . Now suppose that

 $m_2 = f(\alpha_1, \alpha_2, \alpha_3, \alpha_4, \alpha_5).$ 

By definition of  $m_2, \alpha_2, \ldots, \alpha_5$  are not all zero, since  $m_1$  arises at the point (1, 0, 0, 0, 0). Write  $\delta = g.c.d. (\alpha_2, \alpha_3, \alpha_4, \alpha_5)$ .

We show that  $\delta = 1$ . Suppose not; then we can take  $\delta \ge 2$  and, putting  $\alpha_i = \delta \beta_i (i = 2, ..., 5)$ , we have

$$m_2 = f(\alpha) = 5\xi^2(\alpha) + \delta^2 g(\beta).$$

Now let  $m'_2 = \min f(x)$ , under the condition that  $x_i = \beta_i (i = 2, ..., 5)$  are fixed. Then, since not all the  $\beta_i$  are zero, it is clear that

$$m_2 \leqslant m'_2$$
.

Suppose that

$$m'_{2} = f(\gamma, \beta_{2}, ..., \beta_{5}) = 5\{\gamma + \frac{1}{5}\sum_{i=2}^{5} b_{1i}\beta_{i}\}^{2} + g(\beta)$$

Now, given any set of integers  $(\beta_2, ..., \beta_5)$ , we can always choose an integer  $\gamma$  such that

$$|\gamma + \frac{1}{5}\sum_{i=2}^{5} b_{1i}\beta_i| \leq \frac{1}{2}.$$

Thus, from the definition of  $m'_2$ ,

$$m_2' \leqslant \frac{5}{4} + g(\beta).$$

Hence

$$\delta^2 g(\beta) \leqslant 5\xi^2(\alpha) + \delta^2 g(\beta) = m_2 \leqslant m'_2 \leqslant \frac{5}{4} + g(\beta)$$
$$(\delta^2 - 1)g(\beta) \leqslant \frac{5}{4},$$
$$g(\beta) \leqslant \frac{5}{12},$$

Thus so that

since  $\delta \ge 2$ . It follows that  $m_2 \le \frac{5}{4} + \frac{5}{12} = \frac{5}{3}$ . But  $m_2 \ge 5$ . Hence we have a contradiction, and therefore  $\delta = 1$ . Thus

$$m_2 = f(\alpha_1, \alpha_2, \alpha_3, \alpha_4, \alpha_5)$$

where g.c.d. $(\alpha_2, \alpha_3, \alpha_4, \alpha_5) = 1$ . Now, since g.c.d. $(\alpha_2, \alpha_3, \alpha_4, \alpha_5) = 1$ , we can find a  $4 \times 4$  integral matrix

$$\begin{pmatrix} \alpha_2 & \beta_2 & \gamma_2 & \delta_2 \\ \alpha_3 & \beta_3 & \gamma_3 & \delta_3 \\ \alpha_4 & \beta_4 & \gamma_4 & \delta_4 \\ \alpha_5 & \beta_5 & \gamma_5 & \delta_5 \end{pmatrix}.$$

58

of determinant 1 (the  $(\beta_i)$  and  $\gamma_5$  are not related to the  $(\beta_i)$  and  $\gamma_5$  above). Put

$$\begin{aligned} x_1 &= x_1' + \alpha_1 x_2', \\ x_i &= \alpha_i x_2' + \beta_i x_3' + \gamma_i x_4' + \delta_i x_5' \quad (i = 2, ..., 5). \end{aligned}$$

This is an integral unimodular substitution. Applying it to f(x), we have

$$f(x) = \sum_{i=1}^{5} |x_1' + (\alpha_1 + \rho_i'\alpha_2 + \theta_i'\alpha_3 + \phi_i'\alpha_4 + \psi_i'\alpha_5)x_2' + (\dots)x_3' + \dots |^2,$$
  
= 
$$\sum_{i=1}^{5} |x_1 + \rho_i x_2 + \theta_i x_3 + \phi_i x_4 + \psi_i x_5 |^2,$$

say, dropping the dashes from the  $x'_i$ . Since the substitution is integral and unimodular follows that  $(1, \rho_1, \theta_1, \phi_1, \psi_1)$  is an integral basis for  $F_1$  and that  $(1, \rho_i, \theta_i, \phi_i, \psi_i)$ , i=2, 3, 4, 5, are the corresponding bases for the conjugate fields. Now, if

$$f(x) = \sum_{i,j=1}^{5} b_{ij} x_i x_j$$

then  $b_{11} = 5$ ,  $b_{22} = \Sigma \mid \rho_i \mid^2$ , and

$$2b_{12} = \Sigma(\rho_i + \overline{\rho}_i) = \Sigma\rho_i + \overline{\Sigma\rho_i} = 2\Sigma\rho_i,$$

since  $\Sigma \rho_i$  is a rational integer. Also, by the above substitution,  $(x) = (\alpha_1, \alpha_2, \alpha_3, \alpha_4, \alpha_5)$  corresponds to (x') = (0, 1, 0, 0, 0). Hence

$$m_2 = \Sigma | \rho_i |^2 = b_{22}$$

Thus, by (3),

$$5(\Sigma \mid \rho_i \mid^2)^4 \leqslant 8 \mid D \mid .$$

Now, from the equations for  $x_2, ..., x_5$  in terms of  $x'_2, ..., x'_5$  it follows that  $(x_2, ..., x_5) = (0, ..., 0)$  if and only if  $(x'_2, ..., x'_5) = (0, ..., 0)$ . Thus, taking  $x_1 = 1, x_2 = \pm 1, x_3 = x_4 = x_5 = 0$ , in the simplified notation, we have, from the definition of  $m_2$ ,

$$\begin{array}{c} b_{11} + 2b_{12} + b_{22} \geqslant b_{22};\\ b_{11} - 2b_{12} + b_{22} \geqslant b_{22};\\ \end{array}$$
Therefore  $|b_{12}| \leqslant \frac{1}{2}b_{11},$   
and so  $|\Sigma \rho_i| \leqslant \frac{5}{2}.$ 

Hence, since  $\Sigma \rho_i$  is a rational integer,  $|\Sigma \rho_i| = 0, 1$  or 2.

We have now shown that there is an integer  $\rho_1$  in  $F_1$ , not a rational integer, such that the inequalities in the statement of Theorem 1 hold. Hence, since a quintic field has no non-trivial subfields,  $F_1 = R(\rho_1)$  and the result follows.

We note that the same proof establishes the corresponding result in which "quintic field" is replaced by "number field of degree  $n \leq 14$  with no non-trivial subfields."

3. Totally real quintic fields. Let  $F_1$  be a totally real quintic field of discriminant D; then D > 0. By Theorem 1, we can assume that  $F_1 = R(\rho_1)$ , where  $\rho_1$  is an algebraic integer in  $F_1$  such that

 $5(\Sigma \mid \rho_i \mid^2)^4 \leq 8D.$ 

$$\left| \Sigma \rho_i \right| = 0, 1, \text{ or } 2, \tag{4}$$

and

Since the 
$$\rho_i$$
 are all real and  $\Sigma \rho_i^2$  is a rational integer, (5) gives

$$\Sigma \rho_i^2 \leqslant [(\frac{8}{5}D)^{\frac{1}{2}}],\tag{6}$$

where the expression on the right is the integral part of  $(\frac{8}{5}D)^{\frac{1}{2}}$ . Let

(5)

$$g(x) \equiv x^5 + a_1 x^4 + a_2 x^3 + a_3 x^2 + a_4 x + a_5 = 0 \tag{7}$$

be the irreducible equation for  $\rho_1$  over R, so that, by the definition of an integer in an algebraic field, the  $a_i$  are rational integers. Also, since  $\rho_2$ ,  $\rho_3$ ,  $\rho_4$ ,  $\rho_5$  are the conjugates of  $\rho_1$ , the roots of (7) are  $\rho_1$ , ...,  $\rho_5$ . Thus  $\Sigma \rho_i = -a_1$ . Hence, from (4), by replacing  $\rho_i$  by  $-\rho_i$  if necessary, we can suppose that

$$a_1 = 0, 1, \text{ or } 2.$$
 (8)

Since  $\Pi \rho_i$  is a non-zero rational integer we have  $|\Pi \rho_i| \ge 1$ , and thus, using the inequality of the arithmetic-geometric means,

$$1 \leq |\Pi \rho_i| \leq (\frac{1}{5} \Sigma \rho_i^2)^{\frac{9}{3}}.$$

Hence, from (6),

$$5 \leq \Sigma \rho_i^2 \leq \left[ \left( \frac{8}{5} D \right)^{\frac{1}{2}} \right]$$

Now  $\Sigma \rho_i^2 = 5$ ,  $|\Pi \rho_i| \ge 1$  together imply that  $\rho_i^2 = 1$  (i = 1, ..., 5), and so that g(x) is reducible. Thus

$$6 \leqslant \Sigma \rho_i^2 \leqslant [(\frac{\$}{5}D)^{\frac{1}{2}}]. \tag{9}$$

Since  $(\Sigma \rho_i)^2 = \Sigma \rho_i^2 + 2\Sigma \rho_i \rho_j$  and  $\Sigma \rho_i \rho_j = a_2$  we deduce, from (9), that

$$\frac{1}{2}\left(\left[\left(\frac{8}{5}D\right)^{\frac{1}{2}}\right] - a_{1}^{2}\right) \leqslant a_{2} \leqslant -\frac{1}{2}\left(6 - a_{1}^{2}\right).$$
(10)

From (8) and (10) it follows that  $a_2$  must be negative. An inequality for  $a_5$  is given by

$$|a_5| = |\Pi \rho_i| \leq \left[ \left( \frac{1}{5} \Sigma \rho_i^2 \right)^{\frac{1}{2}} \right], \tag{11}$$

so that the values of  $a_5$  which can arise are obtained by inserting in (11) the possible values of  $\Sigma \rho_i^2$  given by (9).

An inequality for  $a_3$  can be obtained by using the fact that, if g(x) = 0 has five distinct real roots, then g''(x) = 0 must have three distinct real roots and so its discriminant must be positive. The inequality, which involves  $a_1$  and  $a_2$ , is

$$a_3 - \frac{1}{25} \left( 15a_1a_2 - 4a_1^3 \right) \Big| < \frac{1}{25} \left( 2a_1^2 - 5a_2 \right) \sqrt{4a_1^2 - 10a_2}.$$
(12)

The above discussion shows that any totally real quintic field  $F_1$  of given discriminant D can be written as  $R(\rho_1)$ , where  $\rho_1$  is an algebraic integer whose irreducible equation (7) is such that  $a_1, a_2, a_3, a_5$  satisfy (8), (10), (12) and (11). We now take D = 14641, the discriminant of the field defined by a root of the equation

$$x^5 + x^4 - 4x^3 - 3x^2 + 3x + 1 = 0,$$

and shall show eventually that this is in fact the minimum discriminant of totally real quintic fields. That 14641 is the discriminant of this field follows from Lemma 1 below and the following facts:

(i) The discriminant of the equation is  $14641 = 11^4$ . (ii) From (9) we obtain  $[(\frac{3}{5}D)^{\frac{1}{2}}] \ge 6$ , and thus  $D \ge 810$ . This is an improvement on Minkowski's result,  $D \ge (5^5/5!)^2$ , which gives  $D \ge 679$ .

LEMMA 1. If the integer  $\rho$  of a quintic field  $F_1$  of discriminant D satisfies no equation of degree less than five with rational coefficients, then, if  $D_{\rho}$  is the discriminant of the irreducible equation of degree five satisfied by  $\rho$ ,

$$D_o = d^2 D$$
,

where d is a rational integer.

This is a particular case of a well-known result [4(a)].

In the above case  $D_{\rho} = 14641 = (11)^2 \cdot 121 = (121)^2 \cdot 1$  and hence the only possibility is  $D_{\rho} = D = 14641$ , since the equation is irreducible and 810 > 121 > 1.

With the above value of D, and noting that if  $a_1 = 0$  we can, by changing  $\rho_i$  into  $-\rho_i$  if necessary, ensure that  $a_3 \ge 0$ , we now have, from (8), (9), (10), (11) and (12), the following ranges for  $a_1, a_2, a_3$ , with corresponding first approximations to ranges of possible values of  $a_5$ :

where, for example in the case  $a_1 = 0$ ,  $a_2 = -6$ ,  $a_3$  runs through the interval  $0 \le a_3 \le 9$ , and  $a_5$  the interval  $|a_5| \le 8$  with  $a_5 = 0$  omitted.

We now proceed to apply a succession of inequalities for  $a_4$  and  $a_5$  which at the same time considerably reduce the ranges of values for  $a_1$ ,  $a_2$ ,  $a_3$  given in (13). We note first that necessary conditions for equation (7) to have five real roots are given by Newton's inequalities [3]:

(i)  $a_2 < \frac{5}{5}a_1^2$ , (ii)  $a_1a_3 < \frac{1}{2}a_2^2$ , (iii)  $a_2a_4 < \frac{1}{2}a_3^2$ , (iv)  $a_3a_5 < \frac{2}{5}a_4^2$ ,

with strict inequality since the  $\rho_i$  must be distinct. Of these, (i) and (ii) give no new informa-

tion, (iii) gives  $a_4 > -\frac{1}{2 |a_2|} a_3^2$ , and (iv) we shall use later.

Another inequality for  $a_4$  is obtained from the fact that the discriminant of g'(x) = 0 must be positive. On reduction, this inequality can be expressed in the form

where

$$K \equiv 2000a_4^3 + A_1a_4^2 + A_2a_4 + A_3 > 0,$$
(14)  

$$A_1 = (2160a_1^2a_2 - 1800a_2^2 - 432a_1^4) - 2400a_1a_3,$$
  

$$A_2 = (405a_2^4 - 108a_1^2a_2^3) + (432a_1^3a_2 - 1800a_1a_2^2)a_3 + (2700a_2 - 120a_1^2)a_3^2,$$
  

$$A_3 = (36a_1^2a_2^2 - 135a_2^3)a_3^2 + (540a_1a_2 - 128a_1^3)a_3^3 - 675a_3^4.$$

As an aid in the application of this inequality for  $a_4$  we obtain, by the following lemma, a simpler one-sided inequality for  $a_4$ .

LEMMA 2. A set of necessary and sufficient conditions for g(x) = 0 to have five real roots is that the following symmetric determinant and its principal minors be positive, viz.

$$D' = \begin{vmatrix} 4a_1^2 - 10a_2 & 3a_1a_2 - 15a_3 & 2a_1a_3 - 20a_4 & a_1a_4 - 25a_5 \\ 3a_1a_2 - 15a_3 & 6a_2^2 - 10a_1a_3 - 20a_4 & 4a_2a_3 - 15a_1a_4 - 25a_5 & 2a_2a_4 - 20a_1a_5 \\ 2a_1a_3 - 20a_4 & 4a_2a_3 - 15a_1a_4 - 25a_5 & 6a_3^2 - 10a_2a_4 - 20a_1a_5 & 3a_3a_4 - 15a_2a_5 \\ a_1a_4 - 25a_5 & 2a_2a_4 - 20a_1a_5 & 3a_3a_4 - 15a_2a_5 & 4a_4^2 - 10a_3a_5 \end{vmatrix}$$

Also

$$D_{\rho_1} = D'/5^3$$

in the notation of Lemma 1.

# JOHN HUNTER

*Proof.* Let  $(\alpha_1, \ldots, \alpha_5)$  be a set of roots of the equation g(x) = 0, so that  $\sum \alpha_i = -\alpha_1$ , etc.

Let 
$$\Phi(x_0, x_1, x_2, x_3, x_4) = \sum_{i=1}^5 (x_0 + \alpha_i x_1 + \alpha_i^2 x_2 + \alpha_i^3 x_3 + \alpha_i^4 x_4)^2.$$

Then, it is known [5(a)] that on reducing this real quadratic form in  $x_0, \ldots, x_4$  to canonical form by a real linear transformation, the number of squares with negative coefficient is equal to the number of pairs of complex roots of g(x) = 0. Hence g(x) = 0 has five real roots if and only if  $\Phi$  is positive definite. Writing  $s_{\nu} = \Sigma \alpha_{i}^{\nu}$ , we have

$$5\Phi = (5x_0 + s_1x_1 + s_2x_2 + s_3x_3 + s_4x_4)^2 + h(x_1, x_2, x_3, x_4),$$
(15)

where

$$h(x_1, x_2, x_3, x_4) = \sum_{i, j=1}^{4} a_{ij} x_i x_j,$$

with

$$a_{ij} = 5s_{i+j} - s_i s_j.$$

Clearly g(x) = 0 has five real roots if and only if h is positive definite.

We now apply the integral unimodular substitution

$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = \begin{pmatrix} 1 & a_1 & a_2 & a_3 \\ 0 & 1 & a_1 & a_2 \\ 0 & 0 & 1 & a_1 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \xi_1 \\ \xi_2 \\ \xi_3 \\ \xi_4 \end{pmatrix}$$

of determinant 1, and use the usual relations

$$\sum_{i=0}^{5} a_i s_{r-i} = 0 \quad (r = 1, 2, ..., 8)$$

where  $a_0 = 1$ ,  $s_0 = r$  when  $r \leq 5$  and  $s_i = 0$  when i < 0, giving the  $s_v$  in terms of the  $a_v$ . By direct calculation, we find that

$$h(x_1, x_2, x_3, x_4) = h'(\xi_1, \xi_2, \xi_3, \xi_4) = \sum_{i,j=1}^{4} a'_{ij}\xi_i\xi_j$$

where  $(a'_{ii})$  is the 4 × 4 matrix whose determinant is given in the enunciation of the lemma. Hence g(x) = 0 has five real roots if and only if h' is positive definite, that is, if and only if  $(a'_{ii})$  is positive definite, which is the required result.

Also, the determinant of  $\Phi$  is

$$|a_i^{\nu}|^2 \quad (\nu = 0, ..., 4 ; i = 1, ..., 5)$$
  
=  $\prod_{i < j} (\alpha_i - \alpha_j)^2$   
=  $D_{\rho_1}$ .

Hence, comparing the determinants of the quadratic forms on each side of the identity (15) we have

$$D_{\rho_1} = D'/5^3.$$

This lemma provides four conditions for g(x) = 0 to have five real roots:

(i)  $4a_1^2 - 10a_2 > 0$ , which is automatically satisfied;

(ii) 
$$\begin{vmatrix} 4a_1^2 - 10a_2, & 3a_1a_2 - 15a_3 \\ 3a_1a_2 - 15a_3, & 6a_2^2 - 10a_1a_3 - 20a_4 \end{vmatrix} > 0,$$
  
h, with  $a_4 > -\frac{1}{2|a_2|} a_3^2,$ 

62

which, with

THE MINIMUM DISCRIMINANTS OF QUINTIC FIELDS

$$-\frac{1}{2|a_2|}a_3^2 < a_4 < \frac{1}{10}(3a_2^2 - 5a_1a_3) - \frac{9}{40} \cdot \frac{(a_1a_2 - 5a_3)^2}{2a_1^2 - 5a_2};$$
(16)

63

(iii) 
$$\begin{vmatrix} 4a_1^2 - 10a_2, & 3a_1a_2 - 15a_3, & 2a_1a_3 - 20a_4 \\ 3a_1a_2 - 15a_3, & 6a_2^2 - 10a_1a_3 - 20a_4, & 4a_2a_3 - 15a_1a_4 - 25a_5 \\ 2a_1a_3 - 20a_4, & 4a_2a_3 - 15a_1a_4 - 25a_5, & 6a_3^2 - 10a_2a_4 - 20a_1a_5 \end{vmatrix} > 0,$$

that is,  

$$Y \equiv B_1 a_5^2 + 2B_2 a_5 + B_3 > 0,$$
(17)  
where  

$$B_1 = -25 (2a_1^2 - 5a_2),$$

$$B_2 = C + Da_4,$$

$$B_3 = E + Fa_4 + Ga_4^2 + 160a_4^3,$$

and

$$\begin{split} C &= (12a_1a_2^3 - 3a_1^3a_2^2) + (8a_1^4 - 33a_1^2a_2^2 - 20a_2^2)a_3 + 60a_1a_3^2, \\ D &= 65a_1a_2 - 14a_1^3 - 150a_3, \\ E &= (a_1^2a_2^3 - 4a_2^3)a_3^2 + (18a_1a_2 - 4a_1^3)a_3^3 - 27a_3^4, \\ F &= (12a_2^4 - 3a_1^2a_2^3) + (14a_1^3a_2 - 62a_1a_2^2)a_3 + (117a_2 - 6a_1^2)a_3^2, \\ G &= 97a_1^2a_2 - 18a_1^4 - 88a_2^2 - 132a_1a_3 ; \end{split}$$

(iv) D' > 0.

To assist in reducing the number of possibilities for  $a_5$ , we use another necessary condition for g(x) = 0 to have five real roots which can be easily applied, and which arises from work of Hermite [5(b)]. This involves the discriminant of a quadratic covariant of the equation and, on simplification, leads to the inequality

$$X = 625a_5^2 + 5H_1a_5 + H_2 < 0, \tag{18}$$
  
where 
$$H_1 = (-3a_1a_2^2 + 8a_1^2a_3 + 5a_2a_3) - 50a_1a_4,$$
  
and 
$$H_2 = (6a_1a_3^3 - 2a_2^2a_3^3) + (6a_2^3 - 15a_3^2 - 19a_1a_2a_3)a_4 + (9a_1^2 + 40a_2)a_4^2.$$

We can now apply, as follows, the inequalities which have been established for  $a_4$  and  $a_5$ . Using the ranges for  $a_1$ ,  $a_2$  and  $a_3$  given in (13), we first obtain, systematically, the values of  $a_4$ which satisfy both (14) and (16) (in some cases there are no values). The possible values of  $a_5$ , corresponding to each of the possible sets of values of  $a_1$ ,  $a_2$ ,  $a_3$  and  $a_4$ , are now obtained by applying (18), using the condition  $a_3a_5 < 2a_4^2/5$  wherever possible and then applying (17). Many of the remaining equations are reducible, containing either a rational linear or a rational quadratic factor, and so can be eliminated. At this stage we find that none of the values of  $a_1$  and  $a_2$  in the set  $(a_1, a_2) = (0, -3)$ , (0, -4), (1, -3), (2, -1) and (2, -2) gives an irreducible equation with five real roots, and that the ranges of possible values of  $a_3$ ,  $a_4$  and  $a_5$  for the other pairs of values of  $a_1$  and  $a_2$  are very considerably reduced.

To the equations which remain we have now to apply the condition D'>0 and, for those satisfying this condition, determine  $D_{\rho_1} = D'/5^3$ . These operations can be combined by using

where

$$\begin{split} D_{\rho_1} &= 3125a_5^4 + H_1a_5^3 + H_2a_5^2 + H_3a_5 + H_4, \ (19) \\ H_1 &= P_1 + P_2a_4, \\ H_2 &= Q_1 + Q_2a_4 + Q_3a_4^2, \\ H_3 &= R_1 + R_2a_4 + R_3a_4^2 + R_4a_4^3, \\ H_4 &= S_1a_4^2 + S_2a_4^3 + S_3a_4^4 + 256a_4^5, \end{split}$$

https://doi.org/10.1017/S2040618500033463 Published online by Cambridge University Press

64

$$\begin{array}{ll} \text{and} & P_1 = (256a_1^5 + 2250a_1a_2^2 - 1600a_1^3a_2) + (2000a_1^2 - 3750a_2)a_3, \\ P_2 = -2500a_1, \\ Q_1 = (108a_2^5 - 27a_1^2a_2^4) + (144a_1^3a_2^2 - 630a_1a_2^3)a_3 + (560a_1^2a_2 - 128a_1^4 + 825a_2^2)a_3^2 - 900a_1a_3^3, \\ Q_2 = (1020a_1^2a_2^2 - 192a_1^4a_2 - 900a_2^3) + (160a_1^3 - 2050a_1a_2)a_3 + 2250a_3^2, \\ Q_3 = -50a_1^2 + 2000a_2, \\ R_1 = (16a_2^3 - 4a_1^2a_2^2)a_3^3 + (16a_1^3 - 72a_1a_2)a_3^4 + 108a_3^5, \\ R_2 = (18a_1^2a_2^3 - 72a_2^4)a_3 + (356a_1a_2^2 - 80a_1^3a_2)a_3^2 + (24a_1^2 - 630a_2)a_3^3, \\ R_3 = (24a_1a_2^3 - 6a_1^3a_2^2) + (144a_1^4 - 746a_1^2a_2 + 560a_2^2)a_3 + 1020a_1a_3^2, \\ R_4 = (160a_1a_2 - 36a_1^3) - 1600a_3, \\ S_1 = (a_1^2a_2^2 - 4a_2^3)a_3^2 + (18a_1a_2 - 4a_1^3)a_3^3 - 27a_3^4, \\ S_2 = (16a_2^4 - 4a_1^2a_2^3) + (18a_1^3a_2 - 80a_1a_2^2)a_3 + (144a_2 - 6a_1^2)a_3^2, \\ S_3 = (144a_1^2a_2 - 128a_2^2 - 27a_1^4) - 192a_1a_3. \end{array}$$

On examining first the three simplest cases  $(a_1, a_2) = (0, -5), (1, -4)$  and (2, -3), we are left with the irreducible equations of positive discriminant given in the following table :

$a_1$	$a_2$	$a_3$	$a_4$	$a_5$	$D_{\rho_1}$
0	-5	0	4	1	38569
0	-5	1	3	-1	24217
0	-5	1	5	-1	24217
1	-4	-3	3	1	14641
<b>2</b>	-3	-4	<b>2</b>	1	24217
<b>2</b>	-3	-5	1	1	36497
<b>2</b>	-3	-6	0	1	24217.

Using Lemma 1, we easily see that each of these discriminants is also the discriminant of the corresponding algebraic number field.

From the three remaining cases  $(a_1, a_2) = (0, -6), (1, -5)$  and (2, -4), 54 equations are left, and are such that the corresponding equation discriminants are not less than 24217. We have now to show that there is no corresponding field discriminant less than 14641. For the cases  $(a_1, a_2) = (0, -6)$  and  $(2, -4), \Sigma \rho_i^2 = a_1^2 - 2a_2 = 12$ . Hence, by (6), these cases can arise only if  $D \ge \frac{5}{8}$ .  $12^4 = 12960$ . Thus, in these two cases, by Lemma 1, only those equation discriminants have to be considered, which can be written as  $d^2A$ , where A is an integer such that  $12960 \le A \le 14641$ , and d is any integer greater than 1. Similarly, in the case  $(a_1, a_2) =$ (1, -5), the corresponding range for A is  $9151 \le A \le 14641$ . Only the four equations given in the following table arise for further discussion :

$a_1$	$a_2$	$a_3$	$a_4$	$a_5$	$D\rho_1$
0	-6	<b>2</b>	6	-2	$4^2 \cdot 13997 = 2^4 \cdot 13997$
<b>2</b>	-4	-5	3	1	$4^2 \cdot 13658 = 2^5 \cdot 6829$
<b>2</b>	-4	-6	<b>2</b>	<b>2</b>	$4^2 \cdot 13989 = 2^4 \cdot 3 \cdot 4663$
<b>2</b>	-4	-6	3	<b>2</b>	$4^2 \cdot 14389 = 2^4 \cdot 14389$ .

The entries in the last column give the prime-factorisations of the discriminants. To determine the powers of 2 in the corresponding field discriminants we use the known result [4(b)]:

**LEMMA 3.** If 
$$p = \prod_{i=1}^{n} p_i^{e_i}$$
 is the representation of prime p in terms of its prime divisors in the

algebraic number field  $R(\rho)$  and the degree of  $p_i$  is  $f_i$ , then the power,  $D_p$ , of p contained in the discriminant of the field  $R(\rho)$  is given by

$$D_{p} = \prod_{i=1}^{s} p^{f_{i}(\overline{e_{i}}-1)},$$

where  $\overline{e_i} = e_i$  if  $p \nmid e_i$  and  $e_i + 1 \leq \overline{e_i} \leq (r_i + 1)e_i$  if  $p \mid e_i$ ,  $p^{r_i}$  being the power of p in  $e_i$ .

The representation of 2 in terms of its prime divisors is obtained very simply by the method described in Berwick's *Integral Bases* [1]. The second dissection criterion of Chapter VII applies in each of the four cases and, with Lemma 3, shows that the field discriminants are all greater than 14641.

Combining the results obtained in this section we have :

THEOREM 2. The minimum discriminant of totally real quintic fields is 14641, the corresponding field being  $R(\rho)$ , where  $\rho$  is a root of the equation

$$x^5 + x^4 - 4x^3 - 3x^2 + 3x + 1 = 0.$$

The equation is the cyclotomic equation whose roots are the binomial periods  $\alpha_i + 1/\alpha_i$ , the  $\alpha_i$  being the primitive 11th roots of unity.

We note that it appears likely that the second minimum is 24217, the field being defined by the equation  $x^5 - 5x^3 + x^2 + 3x - 1 = 0$ . Other small discriminants of totally real quintic fields are 36497, 38569, 65657, 70601, 81509, 81589, 89417, 101833, ....

4. Quintic fields with one real and four imaginary conjugate fields. We first note that the field defined by the irreducible equation  $x^5 - x^3 + x^2 + x - 1 = 0$  has discriminant 1609 and hence, by Theorem 2, must have one real and four imaginary conjugate fields.

Proceeding as in § 3, using Theorem 1 and taking D = 1609, we find that any field of the type under discussion of discriminant not exceeding 1609 can be represented as  $R(\rho_1)$ , where  $\rho_1$  is an algebraic integer such that, in the notation of § 3,

$$a_1 = -\Sigma \rho_i = 0, 1 \text{ or } 2, \quad 5 < \Sigma \mid \rho_i \mid^2 \leq 7 \cdot 123...,$$

and  $|a_5| \leq 2$ . For simplicity of notation we write  $\rho$ ,  $\sigma \pm i\tau$ ,  $\alpha \pm i\beta$ , where  $\rho$ ,  $\sigma$ ,  $\tau$ ,  $\alpha$  and  $\beta$  are real, for the one real and four complex conjugates of  $\rho_1$ , so that  $\Sigma \rho_i = \rho + 2\sigma + 2\alpha = -a_1$ ,  $\Sigma |\rho_i|^2 = \rho^2 + 2(\sigma^2 + \alpha^2 + \tau^2 + \beta^2)$ ,  $a_2 = \frac{1}{2}(a_1^2 - U)$  where  $U = \Sigma \rho_i^2 = \rho^2 + 2(\sigma^2 + \alpha^2 - \tau^2 - \beta^2)$ , etc. The ranges of values of  $a_2$  are given by the following lemma:

LEMMA 4. If  $U = \rho^{2} + 2(\sigma^{2} + \alpha^{2} - \tau^{2} - \beta^{2}),$ where and then provided that  $5a - b^{2} > 0.$   $U = \rho^{2} + 2(\sigma^{2} + \alpha^{2} - \tau^{2} - \beta^{2}),$   $\rho^{2} + 2(\sigma^{2} + \alpha^{2} + \tau^{2} + \beta^{2}) = a,$   $\rho + 2\sigma + 2\alpha = b,$   $-(a - 2b^{2}/5) \leqslant U \leqslant a,$ 

The Lagrangian method can be applied and gives the result fairly easily. This leads to the following inequalities for  $a_2$ :

$$a_1 = 0 : -3 \le a_2 \le 3 ,$$
  

$$a_1 = 1 : -3 \le a_2 \le 3 ,$$
  

$$a_1 = 2 : -1 \le a_2 \le 4 .$$

By considering the function  $\Sigma \rho_i^3$  under the restrictions on  $\Sigma \rho_i$ ,  $\Sigma | \rho_i |^2$  and  $\Sigma \rho_i^2$ , the solution of a much more complicated maximum and minimum problem leads to the following ranges for  $a_3$ :

### JOHN HUNTER

$a_1 = 0$		а	$t_1 = 1$	$a_1 =$	
$a_2$	$a_3$	$a_2$	$a_3$	$a_2$	$a_3$
-3	[0, 4]	-3	[-6, 2]	-1	[-5,0]
-2	[0, 3]	-2	[-5, 2]	0	[-4,1]
-1	[0, 3]	-1	[-4, 2]	1	[-3,3]
0	[0, 3]	0	[-3,3]	<b>2</b>	[-1,4]
1	[0, 3]	1	[-3,3]	3	[0, 5]
<b>2</b>	[0, 3]	<b>2</b>	[-2, 4]	4	[1, 6].
3	[0, 2]	3	[-1,4]		

For  $a_4$  the use of  $\Sigma \rho_i^2$  proves to be much too complicated. By considering  $a_4$  itself under the restrictions on  $\Sigma \rho_i$  and  $\Sigma | \rho_i |^2$  we obtain, again by a complicated discussion, the ranges:

$$a_1 = 0 : -3 \le a_4 \le 3, \\ a_1 = 1 : -4 \le a_4 \le 4, \\ a_1 = 2 : -5 \le a_4 \le 5.$$

In this case there is no simple sequence of inequalities such as that used in § 3. We have to deal directly with  $D_{\rho_1}$  as given in (19) and, fixing  $a_1, a_2, a_3$  and  $a_5$ , use the condition  $D_{\rho_1} > 0$ to determine  $a_4$  and at the same time  $D_{\rho_1}$ . The following lemma gives some help in this work.

LEMMA 5. If the irreducible equation

$$g(x) \equiv x^5 + a_1 x^4 + a_2 x^3 + a_3 x^2 + a_4 x + a_5 = 0$$

has one real and four complex roots, then,

if 
$$a_5 > 0$$
, either  $a_3 > -a_1 - a_5$  or  $a_4 > -a_2 - 1$ ,  
if  $a_5 < 0$ , either  $a_3 < -a_1 - a_5$  or  $a_4 > -a_2 - 1$ .

and

This follows easily by considering g(0), g(1) and g(-1).

The smallest equation discriminant (of an irreducible equation) which appears is 1609, this being also the discriminant of the corresponding number fields. By using first Lemma 1 with suitable values for A, in the notation of § 3, and then applying Lemma 3 with Berwick's second dissection, it can be shown that all except eight of the other irreducible equations define fields of discriminant not less than 1609. Using either a suitable transformation of variable or Berwick's third dissection or by finding an integral basis for the field defined by the equation (Berwick, Chapter IX), we find that these eight equations define fields of discriminant greater than 1609.

There are ten equations defining fields with discriminant 1609. They are given by :

$a_1$	$a_2$	$a_3$	$a_4$	$a_5$
0	-3	0	2	1
0	-1	1	1	-1
1	-1	-1	0	1
1	3	3	<b>2</b>	1
<b>2</b>	0	- 3	0	1
<b>2</b>	1	-2	-2	-1
<b>2</b>	<b>2</b>	-1	-2	-1
2	3	3	1	1
2	3	3	3	1
2	3	5	3	-1,

the first nine having equation discriminant 1609 and the tenth equation discriminant  $78841 = 7^2$ . 1609. Denoting the roots of these equations by  $x_1, \rho, x_2, ..., x_9$ , respectively, we have

$$\begin{array}{ll} x_1 = 1/x_4 = -\rho^4 - \rho^3 - 1, & x_2 = -1/\rho, \\ x_7 = 1/x_3 = -\rho^3 - 1, & x_5 = 1/x_6 = 1/\rho^2 - 1, \\ x_8 = -\rho^2, & x_9 = \rho^4. \end{array}$$

Hence these equations define the same field.

Thus, from the results of this section we have :

THEOREM 3. The minimum discriminant of quintic fields with one real and four imaginary conjugate fields is 1609, the corresponding field being  $R(\rho)$ , where  $\rho$  is a root of the equation  $x^5 - x^3 + x^2 + x - 1 = 0.$ 

From the work, it appears likely that the second minimum is 1649, the corresponding field being defined by the equation  $x^5 + x^4 - x^2 - x + 1 = 0$ . The succeeding minima appear to be 1777, 2209, 2297, 2617, 2665, 2869, 3017, 3089, .... The method in fact provides quite an extensive table of quintic fields of the type under discussion with their discriminants.

5. Quintic fields with three real and two imaginary conjugate fields. The method used in this case was identical with that used in § 4. Since no new problems arise we shall simply state the result as :

THEOREM 4. The discriminant of minimum absolute value of quintic fields with three real and two imaginary conjugate fields is -4511, the corresponding field being  $R(\rho)$ , where  $\rho$  is a root of the equation

$$x^5 - 2x^3 + x^2 - 1 = 0.$$

It appears likely that the second minimum is -4903, the corresponding field being defined by  $x^5 + x^4 - x^3 - 2x^2 - x + 1 = 0$ . The succeeding minima appear to be -5519, -5783, -7031, -7367, -7463, -8519, -8647, -9439, -9759, -10407, .... The method gives an even more extensive table of fields and discriminants in this case.

These results were contained in a dissertation accepted in 1953 for the Ph.D. degree by the University of Cambridge. H. Cohn [2] has recently predicted the results in a numerical study of certain quintics.

## REFERENCES

1. W. E. H. Berwick, Integral bases, Cambridge Tracts on Mathematics (no. 22) (Cambridge, 1927).

2. H. Cohn, A numerical study of quintics of small discriminant, Comm. Pure Appl. Math. 8 (1955), 377-386.

3. G. H. Hardy, J. E. Littlewood, G. Polya, Inequalities (Cambridge, 1934), 52.

4. K. Hensel, Theorie der algebraischen Zahlen (Leipzig und Berlin, 1908), (a) 117, (b) 226, 231.

5. C. Hermite, Sur l'équation du cinquième degré, C. R. Acad. Sci. Paris, 62 (1866), (a) 67, (b) 71.

6. J. Mayer, Die absolut-kleinsten Diskriminanten der biquadratischen Zahlkörper, S.-B. Akad. Wiss. Wien Abt. IIa. 138 (1929), 733-742.

The University Glasgow