

## APPLICATION OF THE BRUHAT-TITS TREE OF $SU_3(h)$ TO SOME $\tilde{A}_2$ GROUPS

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### Abstract

Let  $K$  be a nonarchimedean local field, let  $L$  be a separable quadratic extension of  $K$ , and let  $h$  denote a nondegenerate sesquilinear form on  $L^3$ . The Bruhat-Tits building associated with  $SU_3(h)$  is a tree. This is applied to the study of certain groups acting simply transitively on vertices of the building associated with  $SL(3, F)$ ,  $F = \mathbb{Q}_3$  or  $\mathbb{F}_3((X))$ .

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### 1. Introduction

Let  $L$  be a nonarchimedean local field, and let  $\Delta_L$  denote the Bruhat-Tits building of type  $\tilde{A}_2$  associated with  $SL(3, L)$  (see, for example, [Ro, §9.2], [Br, §V.8] or [Ste]).

Now suppose that  $L$  is a separable quadratic extension of a local field  $K$ . Let  $q$  be the order of the residual field of  $K$ . Let  $h$  denote a nondegenerate sesquilinear form on  $L^3$ , and let  $SU_3(h)$  denote the group of  $3 \times 3$  matrices  $g$  of determinant 1 with entries in  $L$  which preserve  $h$ . The nontrivial Galois automorphism of  $L$  over  $K$  induces a non-type-preserving automorphism  $\sigma$  of  $\Delta_L$ . This gives rise to a tree  $T$ , as follows. The vertex set of  $T$  is the union of two disjoint sets,  $\Lambda_0$  and  $\Lambda_1$ , consisting, respectively, of the vertices of  $\Delta_L$  fixed by  $\sigma$  and of the pairs of adjacent vertices of  $\Delta_L$  interchanged by  $\sigma$ . The edges of  $T$  correspond to the chambers of  $\Delta_L$  fixed by  $\sigma$ . That is,  $v_0 \in \Lambda_0$  and  $v_1 \in \Lambda_1$  are adjacent in  $T$  if the vertex of  $\Delta_L$  corresponding to  $v_0$  and the two vertices of  $\Delta_L$  corresponding to  $v_1$  form a chamber of  $\Delta_L$ .

More precisely, the following result is well-known [Ti]:

**THEOREM 1.1.** *With the above notation, the set  $\Lambda_0 \cup \Lambda_1$ , together with the above adjacency relation, forms a tree  $T$ . This tree is homogeneous of degree  $q + 1$  when  $L$  is a ramified extension of  $K$ , and is bihomogeneous when  $L$  is an unramified extension of  $K$ , each  $v \in \Lambda_0$  having  $q^3 + 1$  neighbours, and each  $v \in \Lambda_1$  having  $q + 1$  neighbours. It is isomorphic to the Bruhat-Tits building associated with  $SU_3(h)$ .*

This theorem is well-known (though we do not know of a complete proof in the literature) so we shall not prove it here. In Section 2, we recall the well-known concrete description of  $\Delta_L$  in terms of lattices. This gives us a lattice description of  $T$ . In Section 3, we use this description to help us obtain a better realization of certain subgroups  $\Gamma$  of  $PGL(3, F)$ ,  $F = \mathbb{Q}_3$  or  $\mathbb{F}_3((X))$ , described in [CMSZ] which act simply transitively on the vertices of  $\Delta_F$ . In particular, certain pairs of these groups  $\Gamma$  are commensurable in  $PGL(3, F)$ , and the commensurability index is explained in terms of the groups' action on the tree  $T$  (for suitable  $K, L$  and  $h$ ). Note that the groups  $\Gamma$  have property  $(T)$  [CMS], and so must have subgroups of index at most 2 which fix a vertex of  $T$  (see [HV, Proposition 6.4]). Location of this vertex is an important step in obtaining the realizations referred to above.

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### 2. The tree of $SU_3(h)$

For a local field  $L$ , denote the valuation on  $L$  by  $\omega : L \rightarrow \mathbb{Z} \cup \{\infty\}$ , and let  $\mathfrak{o}_L = \{x \in L : \omega(x) \geq 0\}$  be the valuation ring of  $L$ . Let  $\mathfrak{p}_L = \{x \in L : \omega(x) > 0\}$  be the maximal ideal of  $\mathfrak{o}_L$ , and let  $\bar{L} = \mathfrak{o}_L/\mathfrak{p}_L$  denote the residual field of  $L$ . Let  $\pi = \pi_L$  be a generator of  $\mathfrak{p}_L$ . We assume that  $\omega$  is normalized so that  $\omega(L^\times) = \mathbb{Z}$ , and hence  $\omega(\pi) = 1$ .

A lattice in  $L^3$  is a subset  $\mathcal{L}$  of  $L^3$  of the form

$$(2.1) \quad \mathcal{L} = \{a_1v_1 + a_2v_2 + a_3v_3 : a_1, a_2, a_3 \in \mathfrak{o}_L\},$$

where  $\{v_1, v_2, v_3\}$  is a basis of  $L^3$ . Let  $\mathbf{Lat}$  denote the set of lattices. Two lattices  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are called *equivalent* if  $\mathcal{L}_2 = t\mathcal{L}_1$  for some non-zero  $t \in L$ . The vertices of  $\Delta_L$  consist of the equivalence classes  $[\mathcal{L}]$  of lattices. Two vertices  $[\mathcal{L}_1]$  and  $[\mathcal{L}_2]$  are called *adjacent* if representatives  $\mathcal{L}_1$  and  $\mathcal{L}_2$  can be found so that  $\pi\mathcal{L}_1 \subsetneq \mathcal{L}_2 \subsetneq \mathcal{L}_1$ . A *chamber* in  $\Delta_L$  consists of three vertices, any two of which are adjacent.

Taking the usual basis of  $L^3$  in (2.1), the lattice  $\mathcal{L}$  is

$$(2.2) \quad \mathcal{L}_0 = \left\{ \begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix} : a_1, a_2, a_3 \in \mathfrak{o}_L \right\}.$$

The group  $GL(3, L)$  acts naturally on **Lat**. In fact,  $GL(3, L)$  acts transitively on **Lat**, for if  $\mathcal{L}$  is the lattice (2.1), and if  $g$  is the matrix whose columns are  $v_1, v_2$  and  $v_3$ , then  $\mathcal{L} = g(\mathcal{L}_0)$ . The stabilizer  $\{g \in GL(3, L) : g(\mathcal{L}_0) = \mathcal{L}_0\}$  of  $\mathcal{L}_0$  in  $GL(3, L)$  is  $GL(3, \mathfrak{o}_L) = \{g \in GL(3, L) : g \text{ and } g^{-1} \text{ have entries in } \mathfrak{o}_L\}$ . We define the type  $\tau([\mathcal{L}])$  of a vertex  $[\mathcal{L}]$  to be  $\omega(\det(g)) \pmod 3$  if  $\mathcal{L} = g(\mathcal{L}_0)$ .

If we fix a vertex  $v_1$  of  $\Delta_L$  and a lattice  $\mathcal{L}_1$  in the class  $v_1$ , then the vertices  $v_2 = [\mathcal{L}_2]$  adjacent to  $v_1$  are in one to one correspondence ( $\mathcal{L}_2 \leftrightarrow \mathcal{L}_2/\pi \mathcal{L}_1$ ) with the nonzero proper vector subspaces of the 3-dimensional vector space  $\mathcal{L}_1/\pi \mathcal{L}_1$  over  $\bar{L}$ . Thus if  $q_L = |\bar{L}|$ , there are  $q_L^2 + q_L + 1$   $v_2$ 's corresponding to the 2-dimensional subspaces of  $\mathcal{L}_1/\pi \mathcal{L}_1$ , and  $q_L^2 + q_L + 1$   $v_2$ 's corresponding to the 1-dimensional subspaces of  $\mathcal{L}_1/\pi \mathcal{L}_1$ . These neighbours of  $v_1$  form a projective plane, with incidence being adjacency.

Let  $A \subset \mathfrak{o}_L$  denote a set of representatives of  $\bar{L}$ , that is, a set such that  $a \mapsto a + \mathfrak{p}_L$  is a bijection  $A \rightarrow \bar{L}$ . We shall assume that  $0 \in A$ . Let us take  $\mathcal{L}_1$  to be the  $\mathcal{L}_0$  of (2.2). Then the  $q_L^2 + q_L + 1$   $\mathcal{L}_2$ 's corresponding to the 2-dimensional subspaces of  $\mathcal{L}_0/\pi \mathcal{L}_0$  are the lattices  $g(\mathcal{L}_0)$ , where for  $a, b \in A$ ,

$$(2.3) \quad g = \begin{pmatrix} \pi & a & b \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad g = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \pi & a \\ 0 & 0 & 1 \end{pmatrix} \quad \text{or} \quad g = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & \pi \end{pmatrix}.$$

The  $q_L^2 + q_L + 1$   $\mathcal{L}_2$ 's corresponding to the 1-dimensional subspaces of  $\mathcal{L}_0/\pi \mathcal{L}_0$  are the lattices  $g(\mathcal{L}_0)$ , where for  $a, b \in A$ ,

$$(2.4) \quad g = \begin{pmatrix} 1 & 0 & 0 \\ a & \pi & 0 \\ b & 0 & \pi \end{pmatrix}, \quad g = \begin{pmatrix} \pi & 0 & 0 \\ 0 & 1 & 0 \\ 0 & a & \pi \end{pmatrix} \quad \text{or} \quad g = \begin{pmatrix} \pi & 0 & 0 \\ 0 & \pi & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

Now suppose that  $L$  is a separable quadratic extension of a local field  $K$ , and that the valuation on  $K$  is the restriction of the valuation  $\omega$  on  $L$ . Let  $\mathfrak{o}_K, \mathfrak{p}_K, \bar{K}$  and  $\pi_K$  be defined as for  $L$ , and let  $q = |\bar{K}|$ .

Consider the natural map  $x + \mathfrak{p}_K \mapsto x + \mathfrak{p}_L$  embedding  $\bar{K}$  into  $\bar{L}$ . There are two cases (see, for example, [Cas, p. 127]): either  $L$  is an *unramified* extension of  $K$  — this means that  $\omega(K^\times) = \mathbb{Z}$ , and that  $\bar{L}$  is a degree 2 extension of  $\bar{K}$  (so that  $q_L = |\bar{L}| = q^2$ ); or  $L$  is an *ramified* extension of  $K$  — this means that  $\omega(K^\times) = 2\mathbb{Z}$ , and that the above embedding is an isomorphism  $\bar{K} \rightarrow \bar{L}$ .

Let  $x \mapsto \bar{x}$  denote the non-trivial Galois automorphism of  $L$  over  $K$ . As the extension to  $L$  of the valuation on  $K$  is unique,  $\omega(\bar{x}) = \omega(x)$  for all  $x \in L$ .

Let  $h$  denote a *nondegenerate sesquilinear form* on  $L^3$ , that is,  $h : L^3 \times L^3 \rightarrow L$  is a map such that

- (a)  $x \mapsto h(x, y)$  is a linear (over  $L$ ), for each fixed  $y \in L^3$ ;

- (b)  $h(y, x) = \overline{h(x, y)}$  for each  $x, y \in L^3$ ;
- (c) if  $y \neq 0$ , the linear map in (a) is not the zero map.

If  $\{v_1, v_2, v_3\}$  is a basis of  $L^3$ , and if  $H$  is the  $3 \times 3$  matrix with  $(i, j)$ -th entry  $h(v_j, v_i)$ , then we can write  $h(x, y) = y^* H x$ , where  $x$  and  $y$  are the coordinate column vectors of  $x$  and  $y$  with respect to the basis, and where for any matrix  $M$ ,  $M^*$  denotes the matrix obtained from  $M$  by applying  $x \mapsto \bar{x}$  to each element of the transpose of  $M$ . Let  $U(h) = U_3(h)$  denote the group of  $3 \times 3$  matrices with entries in  $L$  which preserve  $h$  (equivalently,  $g^* H g = H$ ), and let  $SU(h) = SU_3(h) = \{g \in U(h) : \det(g) = 1\}$ .

If  $\mathcal{L} \in \mathbf{Lat}$ , then

$$\mathcal{L}' = \{x \in L^3 : h(x, y) \in \mathfrak{o}_L \text{ for all } y \in \mathcal{L}\}$$

is again a lattice. For if  $\mathcal{L}$  is as in (2.1) and if  $v'_1, v'_2, v'_3$  is the dual basis with respect to  $h$ , that is,  $h(v_i, v'_j) = \delta_{i,j}$ , then  $\mathcal{L}'$  is the  $\mathfrak{o}_L$ -span of  $v'_1, v'_2, v'_3$ . This also shows that  $(\mathcal{L}')' = \mathcal{L}$ . If  $t \in L^\times$ , then  $(t\mathcal{L})' = \bar{t}^{-1}\mathcal{L}'$ . Hence we may define an involution  $\sigma : \Delta_L \rightarrow \Delta_L$  by  $\sigma([\mathcal{L}]) = [\mathcal{L}']$ . Note that  $\sigma$  does not preserve types. For if  $\mathcal{L} = g(\mathcal{L}_0) \in \mathbf{Lat}$ , then  $\mathcal{L}'$  is the lattice  $(g^* H)^{-1}(\mathcal{L}_0)$ . Hence  $\tau(\sigma([\mathcal{L}])) = -\tau([\mathcal{L}]) - \omega(\det(H)) \pmod 3$ . Because  $\mathcal{L}_1 \subset \mathcal{L}_2$  implies that  $\mathcal{L}'_2 \subset \mathcal{L}'_1$ , we see that  $\sigma$  preserves adjacency, and maps chambers to chambers.

Now suppose that  $\sigma$  stabilizes a chamber. Then it must fix one of the vertices (the one of type  $i$ , where  $2i = -\omega(\det(H)) \pmod 3$ ) and interchange the other two vertices of the chamber. This motivates the following definitions:

Let

$$\Lambda_0 = \{[\mathcal{L}] : \mathcal{L} \in \mathbf{Lat} \text{ and } [\mathcal{L}'] = [\mathcal{L}]\},$$

and let

$$\Lambda_1 = \{([\mathcal{M}], [\mathcal{M}']) : \mathcal{M} \in \mathbf{Lat} \text{ and } [\mathcal{M}] \text{ is adjacent to } [\mathcal{M}']\}.$$

We shall call  $[\mathcal{L}] \in \Lambda_0$  and  $([\mathcal{M}], [\mathcal{M}']) \in \Lambda_1$  *adjacent* if  $\{[\mathcal{L}], [\mathcal{M}], [\mathcal{M}']\}$  form a chamber in  $\Delta_L$  (equivalently,  $[\mathcal{L}]$  is adjacent in  $\Delta_L$  to either of  $[\mathcal{M}]$  or  $[\mathcal{M}']$ ). The set  $\Lambda_0 \cup \Lambda_1$ , with this adjacency relation, forms a *graph*  $T$ , and Theorem 1.1 states, amongst other things, that  $T$  is a tree.

Notice that if  $\mathcal{L} = g(\mathcal{L}_0)$ , then  $[\mathcal{L}] \in \Lambda_0$  if and only if  $g^* H g$  is a multiple of a matrix in  $GL(3, \mathfrak{o})$ . When  $\omega(\det(H))$  is even,  $2r$  say, as happens in our applications below (and can always be arranged by multiplying  $h$  by a suitable element of  $K$ ), then for each  $v \in \Lambda_0$  there is a unique  $\mathcal{L} \in \mathbf{Lat}$  such that  $v = [\mathcal{L}]$  and  $\mathcal{L}' = \mathcal{L}$ . Indeed, if  $v = [g(\mathcal{L}_0)]$  and if  $t(g^* H g) \in GL(3, \mathfrak{o})$ , let  $\mathcal{L} = c g(\mathcal{L}_0)$  for  $c = 1/(\pi^r t \det(g))$ . Similarly, if  $v \in \Lambda_1$ , there is a unique  $\mathcal{M} \in \mathbf{Lat}$  such that  $v = ([\mathcal{M}], [\mathcal{M}'])$  and  $\pi \mathcal{M}' \subsetneq \mathcal{M} \subsetneq \mathcal{M}'$ . Thus we may work with lattices rather than lattice classes.

### 3. Application to some $\tilde{A}_2$ groups

An  $\tilde{A}_2$  group is a group which acts simply transitively and in a type-rotating way on the set of vertices of a thick building  $\Delta$  of type  $\tilde{A}_2$  (see [CMSZ]). Amongst the results of [CMSZ], all  $\tilde{A}_2$  groups were found for the case when  $\Delta$  was the building  $\Delta_F$ ,  $F = \mathbb{Q}_3$  or  $\mathbb{F}_3((X))$ , and all were realized as co-compact lattice subgroups of  $PGL(3, F)$ . Two of these groups, named Groups 7.1 and 8.1, were realized in  $PGL(3, \mathbb{Q}_3)$  in a rather messy way, using certain simple algebras of dimension 9 over  $\mathbb{Q}(\sqrt{-23})$ . Five other groups, named 4.1, . . . , 4.4 and 5.1 were all realized in  $PGL(3, \mathbb{Q}(\sqrt{-2})) \subset PGL(3, \mathbb{Q}_3)$ , but it was not shown how to realize Group 5.1 in a way so that it was commensurable with the Groups 4.  $j$ , though general results guaranteed that this was possible. A similar situation held for four other groups, numbered 2.1, 2.2, 3.1 and 3.2, which were all realized in  $PGL(3, \mathbb{F}_3((X)))$ .

In this section, we show how the building  $T$  of  $SU_3(h)$  was used to understand better the relationship between these groups. First of all, some pairs of these of groups  $\Gamma, \Gamma'$  were realized as commensurable subgroups of  $PGL(3, F)$  as follows. Suppose that the natural (see [CMSZ]) generators of  $\Gamma$  and  $\Gamma'$  are represented by  $3 \times 3$  matrices  $a_j$  and  $b_j$  over  $F$ , respectively,  $j = 0, \dots, 12$ . The quantity  $\text{Inv}(g) = \text{Trace}(g^3) / \det(g)$ , for  $g \in GL(3, F)$ , is an invariant with respect to conjugation and multiplication by nonzero numbers. The invariants  $\text{Inv}(g_1^i g_2^j)$ ,  $i, j = 0, 1, 2$ , were calculated for noncommuting pairs  $(g_1, g_2)$  of short words in the  $a_j$ 's and the  $b_k$ 's. If  $\text{Inv}(g_1^i g_2^j) = \text{Inv}(h_1^i h_2^j)$  for  $i, j = 0, 1, 2$ , where  $g_1$  and  $g_2$  are words in the  $a_j$ 's, while  $h_1$  and  $h_2$  are words in the  $b_j$ 's, then we sought to conjugate all the  $b_j$ 's by some matrix, and multiply the  $b_j$ 's by various constants so that  $h_1$  coincided with  $g_1$  and  $h_2$  with  $g_2$ . This achieved,  $\Gamma \cap \Gamma'$  contains the images in  $PGL(3, F)$  of both  $g_1$  and  $g_2$ , and closer investigation showed that, for some  $g_1, g_2, h_1$  and  $h_2$ ,  $\Gamma \cap \Gamma'$  had small finite index in  $\Gamma$  and  $\Gamma'$ . The package MAGMA was useful for verifying this.

**Groups 4.1, . . . , 4.4 and 5.1.** These groups were realized in [CMSZ] by exhibiting matrices  $a_j$  and  $b_j$  in  $GL(3, \mathbb{Q}(S))$ ,  $j = 0, \dots, 12$ , where  $S^2 = -2$ , for Groups 4.1 and 5.1, respectively. These matrices had entries in  $\mathbb{Z}[S, 1/2, 1/3]$ , and preserved the form  $h(x, y) = x_1 \bar{y}_1 + x_2 \bar{y}_2 + x_3 \bar{y}_3$ , where  $y \mapsto \bar{y}$  is the nontrivial automorphism of  $\mathbb{Q}(S)$ . Groups 4.1–4.4 are all normal index 4 subgroups of a group  $\tilde{\Gamma}_{4.1}$  which is generated by  $a_6$  and an element  $f$  of order 4 (see [CMSZ]). Similarly, Group 5.1 is a normal index 4 subgroup of a group  $\tilde{\Gamma}_{5.1}$  which is generated by  $b_6$  and an element  $f'$  of order 4.

We found that  $\text{Inv}(a_0^i a_{10}^j) = \text{Inv}(b_0^i b_{10}^j)$  for  $i, j = 0, 1, 2$ , and could conjugate the  $b_j$ 's by a suitable matrix so that the new  $b_0$  and  $b_{10}$  coincided with  $a_0$  and  $a_{10}$ , respectively. It turns out that also  $b_4 b_5^{-1} = a_3 a_{11}^{-1}$ , and MAGMA told us that  $a_0, a_{10}$  and  $a_3 a_{11}^{-1}$  generate a subgroup of index 8 in each of Groups 4.1 and 5.1.

Take  $K = \mathbb{Q}_2$  and  $L = K(\sqrt{-2})$ . By mapping  $S \in \mathbb{Q}(S)$  to  $\sqrt{-2} \in L$ , we can regard the matrices representing the elements of our groups as elements of  $U(h)$ . These matrices are determined only up to multiplication by elements  $a + bS \in \mathbb{Q}(S)$  satisfying  $a^2 + 2b^2 = 1$ , but as  $\omega_L(a + b\sqrt{-2}) = 0$ , these groups act on the tree  $T$  of Theorem 1.1, which is homogeneous of degree 3 in this case. Because of the 2's in the denominators of some of the  $a_j$ 's and  $b_j$ 's, the groups  $\Gamma$  did not fix  $\mathcal{L}_0$ . However, starting from  $\mathcal{L}_0$ , and using the matrices (2.3) and (2.4), it was easy to move around the vertices at a small distance from  $\mathcal{L}_0$ , and a vertex was found at distance 3 from  $\mathcal{L}_0$  which was fixed by all five  $\Gamma$ 's. Conjugating these groups by a suitable matrix, the groups were all realized in  $G(\mathbb{Z}[1/3])$ , where  $G$  is the projective unitary group with respect to the form  $y^*Hx$ , where

$$H = \begin{pmatrix} 2 & -S & 0 \\ S & 2 & 0 \\ 0 & 0 & 2 \end{pmatrix}.$$

The matrices  $a_6$  and  $f$  generating  $\tilde{\Gamma}_{4,1}$  are now

$$a_6 = \begin{pmatrix} -1 & 0 & 0 \\ (2S + 1)/3 & -(S - 1)/3 & (S + 2)/3 \\ (S - 1)/3 & (S + 2)/3 & -(S - 1)/3 \end{pmatrix} \quad \text{and} \quad f = \begin{pmatrix} -S & -1 & 0 \\ -1 & S & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

Now consider the action of  $G(\mathbb{Q})$  on  $\Delta_{\mathbb{Q}_3}$ . It is easy to calculate the stabilizer  $G_0(\mathbb{Z}[1/3])$  of  $[(\mathbb{Z}_3)^3]$  in  $G(\mathbb{Z}[1/3])$ . For if  $g \in M_{3 \times 3}(\mathbb{Q}(S))$  and  $g^*Hg = H$ , then  $g^{-1} = H^{-1}g^*H$ . Now  $H$  and  $H^{-1}$  have entries in  $\mathbb{Z}[S, 1/2] \subset \mathbb{Z}_3$ . So  $g$  and  $g^{-1}$  have entries in  $\mathbb{Z}_3$  if and only if  $g$  and  $g^*$  have entries in  $\mathbb{Z}_3$ . Since  $\mathbb{Z}[1/3] \cap \mathbb{Z}_3 = \mathbb{Z}$ , we need only find matrices  $g$  with entries in  $\mathbb{Z}[S]$  such that  $g^*Hg = H$ . Routine calculations show that (up to multiplication by  $\pm 1$ ), there are precisely 16 such matrices. Hence  $G_0(\mathbb{Z}[1/3])$  has order 16, and is generated by  $f$  and  $g$ , where

$$g = \begin{pmatrix} 0 & -1 & 0 \\ -1 & S & 0 \\ 0 & 0 & -1 \end{pmatrix},$$

and  $f$  is as above. These generators, with the relations  $f^4 = g^8 = 1, fgf^{-1} = g^3$  and  $f^2 = g^4$ , give a presentation of  $G_0(\mathbb{Z}[1/3])$ .

We obtain generators  $b_0, \dots, b_{12}$  and  $f'$  for  $\tilde{\Gamma}_{5,1}$  in  $G(\mathbb{Z}[1/3])$  by setting  $b_0 = a_0, b_1 = a_{12}g, b_2 = a_2g^4, b_3 = a_7g^7, b_4 = a_3g, b_5 = a_{11}g, b_6 = a_5g^5, b_7 = a_4g^7, b_8 = a_1g^5, b_9 = a_9g, b_{10} = a_{10}, b_{11} = a_6g^2, b_{12} = a_8g^2$  and  $f' = fg^2$ .

We obtain a presentation of all of  $G(\mathbb{Z}[1/3])$  from the generators  $a_i, i = 0, \dots, 12$ , plus  $f$  and  $g$ , together the relations of the form  $a_i a_j a_k = 1$  and  $f a_i f^{-1} = a_{i'}$  given in [CMSZ, p. 184], and the relations  $ga_0 = a_1g^3, ga_1 = a_8g^2, ga_2 = a_2g, ga_3 = a_4g,$

$ga_4 = a_9g^5, ga_5 = a_6g^2, ga_6 = a_{12}g, ga_7 = a_3g^5, ga_8 = a_{11}g, ga_9 = a_7g, ga_{10} = a_5g^3, ga_{11} = a_{10}g^6$  and  $ga_{12} = a_0g^6$ .

The situation is summarized by the diagram in Figure 1.

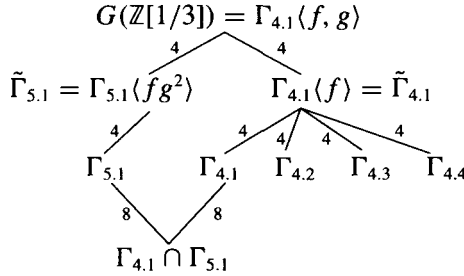


FIGURE 1

**Groups 7.1 and 8.1.** In [CMSZ], Group 7.1 was realized as a subgroup of  $\text{Aut}(\mathcal{A}) \cong \mathcal{A}^\times / Z(\mathcal{A}^\times)$  for a central simple algebra  $\mathcal{A}$  of dimension 9 over  $K = \mathbb{Q}(S)$ , where  $S^2 = -23$ . Group 8.1 had a similar realization, involving another 9 dimensional algebra  $\mathcal{B}$  over  $K$ . These algebras had definitions in terms of messy structure constants. Calculations of Hasse invariants told us that  $\mathcal{A}$  and  $\mathcal{B}$  were not isomorphic to  $M_{3 \times 3}(K)$ , but, instead, isomorphic or anti-isomorphic to a cyclic simple algebra  $\mathcal{A}_\theta$  defined as follows: Let  $L = K(\theta) = \mathbb{Q}(S, \theta)$ , where  $\theta^3 = \theta + 1$ . Then  $L$  is a normal extension of  $\mathbb{Q}$  of degree 6 over  $\mathbb{Q}$ . Let  $\varphi$  generate the Galois group of  $L$  over  $K$ . We adjoin to  $L$  an element  $\sigma$  satisfying  $\sigma^3 = 2$  and  $\sigma x \sigma^{-1} = \varphi(x)$  for all  $x \in L$ , and obtain  $\mathcal{A}_\theta = L[\sigma]$ , which consists of expressions  $a + b\sigma + c\sigma^2$ , where  $a, b, c \in L$ . Thus

$$\mathcal{A}_\theta = \{a + b\sigma + c\sigma^2 : a, b, c \in L, \sigma^3 = 2, \sigma x \sigma^{-1} = \varphi(x) \text{ for all } x \in L\}.$$

The algebra  $\mathcal{A}$  has an involutive semilinear anti-automorphism  $*$ , and Group 7.1 embedded in the associated projective unitary group  $\{\alpha \in \text{Aut}(\mathcal{A}) : \alpha(\xi^*) = \alpha(\xi)^*$  for all  $\xi \in \mathcal{A}\}$ ; similarly for  $\mathcal{B}$  and Group 8.1. Here “semilinear” refers to the nontrivial field automorphism  $x = a + bS \mapsto \bar{x} = a - bS$  of  $K$ .

We would like to find an involutive semilinear anti-automorphism  $*$  on  $\mathcal{A}_\theta$ , and embeddings of Groups 7.1 and 8.1 as arithmetic subgroups the associated projective unitary group. There is a simple involutive semilinear anti-automorphism  $\tilde{\phantom{x}}$  on  $\mathcal{A}_\theta$ , determined by  $\tilde{\sigma} = \sigma$  and  $\tilde{x} = \tau(x)$  for  $x \in L$ , where  $\tau$  is the field automorphism of  $L$  fixing  $\theta$  and mapping  $S$  to  $-S$ :

$$(a + b\sigma + c\sigma^2)^{\tilde{\phantom{x}}} = \tau(a) + \sigma\tau(b) + \sigma^2\tau(c) = \tau(a) + \varphi(\tau(b))\sigma + \varphi^2(\tau(c))\sigma^2.$$

Note that  $\tau^2 = id$  and  $\tau\varphi\tau^{-1} = \varphi^2$ .

As explained below, the anti-automorphism  $\tilde{\phantom{x}}$  is not quite suitable for our needs, and will be modified below, giving us the anti-automorphism  $\ast$ .

Consider the following basis of  $\mathbb{Q}(\theta, S)$  over  $\mathbb{Q}(S)$ :  $\{\xi_0, \xi_1, \xi_2\} = \{1, \theta, \theta^2\}$ . The dual basis (with respect to  $\text{Trace} : \mathbb{Q}(\theta, S) \rightarrow \mathbb{Q}(S)$ ) is

$$\{\eta_0, \eta_1, \eta_2\} = \{(5 - 6\theta + 4\theta^2)/23, (-6 - 2\theta + 9\theta^2)/23, (4 + 9\theta - 6\theta^2)/23\}.$$

Form the  $3 \times 3$  matrix  $Q$  whose  $(i, j)$  entry is  $\varphi^j(\xi_i)$  for  $i, j = 0, 1, 2$ . Then  $Q^{-1}$  has  $(i, j)$  entry  $\varphi^i(\eta_j)$  for each  $i, j$ .

Now let  $w$  denote an element satisfying  $w^3 = w^2 + 1$  in some extension of  $\mathbb{Q}$ . Let  $K' = K(w) = \mathbb{Q}(S, w)$ . The algebra  $\mathcal{A}_\theta \otimes K'$  splits. For we can map  $x \in K'(\theta) = L'$  to

$$\Psi(x) = \begin{pmatrix} x & 0 & 0 \\ 0 & \varphi(x) & 0 \\ 0 & 0 & \varphi^2(x) \end{pmatrix},$$

where  $\varphi$  denotes the extension to an automorphism of  $L'$  over  $K'$  of the automorphism  $\varphi$  of  $L$  over  $K$ ; we also map  $\sigma$  to

$$\Psi(\sigma) = \begin{pmatrix} 0 & 1 + \theta w & 0 \\ 0 & 0 & 1 + \varphi(\theta)w \\ 1 + \varphi^2(\theta)w & 0 & 0 \end{pmatrix}.$$

Then  $\xi \mapsto Q\Psi(\xi)Q^{-1}$  gives an isomorphism of  $\mathcal{A}_\theta \otimes K'$  onto  $M_{3 \times 3}(K')$ . Explicitly,  $x \in L'$  is mapped to the matrix with  $(i, j)$  entry  $\text{Trace}(\xi_i x \eta_j)$ , and  $\sigma$  is mapped to the matrix with  $(i, j)$  entry  $\text{Trace}(\xi_i w \varphi(\eta_j))$  for  $i, j = 0, 1, 2$ . The semilinear anti-automorphism  $\tilde{\phantom{x}}$  extends to a semilinear anti-automorphism of  $\mathcal{A}_\theta \otimes K'$ , semilinear now referring to the extension to an automorphism  $\tau$  of  $L'$  over  $\mathbb{Q}(\theta, w)$  of the automorphism  $\tau$  of  $L$  over  $\mathbb{Q}(\theta)$ . By the Skolem-Noether Theorem [We, p. 166], this anti-automorphism corresponds to an anti-automorphism  $M \mapsto PM^*P^{-1}$  of  $M_{3 \times 3}(K')$ , for some  $P \in GL(3, K')$ , where for  $M \in M_{3 \times 3}(K')$ ,  $M^*$  is obtained from  $M$  by applying  $\tau$  to each entry of the transpose of  $M$ . In fact, a simple calculation shows that  $P$  must be a multiple of

$$\begin{pmatrix} 3 & 2w & 3w + 2 \\ 2w & 3w + 2 & 2w + 3 \\ 3w + 2 & 2w + 3 & 5w + 2 \end{pmatrix}.$$

Unfortunately, this matrix is not positive definite. So if  $G$  denotes the projective unitary group associated with  $\tilde{\phantom{x}}$ , which we regard as defined over  $\mathbb{Q}$ , then  $G(\mathbb{R})$  is not compact.



So we must replace  $\tilde{\cdot}$  by another involutive semilinear anti-automorphism. Again by the Skolem-Noether Theorem, this must be of the form  $\xi \mapsto u\tilde{\xi}u^{-1}$ , with  $\tilde{u} = u$  to ensure that this is an involution. The  $u \in \mathcal{A}_\theta$  satisfying  $\tilde{u} = u$  are the elements  $a + \varphi^2(b)\sigma + \varphi(c)\sigma^2$ , where  $a, b, c \in \mathbb{Q}(\theta)$ . A little experimentation led to the choice

$$u = \theta^2 + \varphi^2(\theta^2)\sigma + \varphi(\theta^2)\sigma^2.$$

The anti-automorphism  $\xi^* = u\tilde{\xi}u^{-1}$  corresponds as above to the anti-automorphism  $M \mapsto H^{-1}M^*H$  of  $M_{3 \times 3}(K')$ , where  $H = 138(UP)^{-1}$  equals

$$\begin{pmatrix} 28w^2+27w+39 & 17w^2+(9-7S)w+36-3S & -19w^2+(2S-29)w-S-24 \\ 17w^2+(9+7S)w+36+3S & -2w^2+3w+104 & 9w^2-(48+6S)w-S-31 \\ -19w^2-(2S+29)w+S-24 & 9w^2-(48-6S)w+S-31 & 17w^2+32w+13 \end{pmatrix},$$

and where  $U \in M_{3 \times 3}(K')$  corresponds to  $u \in \mathcal{A}_\theta \otimes K'$  under the above isomorphism. Now  $H$  is positive definite, and so if  $G$  is the projective unitary group associated with  $*$ , regarded as an algebraic group defined over  $\mathbb{Q}$ , then  $G(\mathbb{R})$  is compact.

Our aim is to exhibit Groups 7.1 and 8.1 as subgroups of  $G(\mathbb{Q})$  commensurable with  $G(\mathbb{Z}[1/3])$ . To do this, we must first specify a basis of  $\mathcal{A}_\theta$  over  $\mathbb{Q}(S)$ . A convenient basis is  $\{m_1, \dots, m_9\}$ , where

$$\begin{aligned} m_1 &= u\varphi(\theta^2)\sigma^2, & m_2 &= u\varphi^2(\theta^2)\sigma, & m_3 &= u\varphi(\theta)\sigma^2, & m_4 &= u\varphi^2(\theta)\sigma, \\ m_5 &= u\theta^2, & m_6 &= u\theta, & m_7 &= u\sigma^2, & m_8 &= u\sigma, & m_9 &= 1. \end{aligned}$$

(The  $m_j$  satisfy  $m_j^* = m_j$ , because they are of the form  $u\xi$ , where  $\tilde{\xi} = \xi$ .) By the Skolem-Noether Theorem, the automorphisms  $\alpha$  of  $\mathcal{A}_\theta$  satisfying  $\alpha(\xi^*) = \alpha(\xi)^*$  for all  $\xi$  are the maps  $\xi \mapsto a\xi a^{-1}$ , where  $a \in \mathcal{A}_\theta$  satisfies  $a^*a = c$ , for some  $c \in \mathbb{Q}^\times$ . Note that  $a^*a = c$  for some  $c \in \mathbb{Q}^\times$  if and only if  $(ta)^*(ta) = 1$  for some  $t \in \mathbb{Q}(S)^\times$ . Thus to say that  $a \in \mathcal{A}_\theta^\times$  corresponds to an element of  $G(\mathbb{Z}[1/3])$  means that  $(ta)^*(ta) = 1$  for some  $t \in \mathbb{Q}(S)^\times$ , and that  $am_i a^{-1} = \sum_{j=1}^9 c_{i,j} m_j$  for some  $c_{i,j} \in \mathbb{Z}[1/3]$ . Note that  $(ta)^*(ta) = 1$  and  $m_i^* = m_i$  implies that the  $c_{i,j}$  must be in  $\mathbb{Q}$ .

To exhibit Groups 7.1 and 8.1 in  $G(\mathbb{Q})$ , we next needed to find some elements of  $G(\mathbb{Z}[1/3])$ , in fact enough to generate a finite index subgroup thereof. To find elements satisfying  $a^*a = 1$ , we first took elements  $x$  satisfying  $x^* = x$ , and then let  $a$  be the (modified) Cayley transform of  $x$ :  $a = (S + x)(S - x)^{-1}$ . A program was run which took  $x$  of the form  $\sum_{i=1}^9 x_i m_i$ , where the  $x_j$ 's were small integers, and checked whether the corresponding automorphism  $\xi \mapsto a\xi a^{-1}$  was in  $G(\mathbb{Z}[1/3])$ . Forming suitable words in the elements  $a$  found in this way, we obtained more elements. We then sought non-commuting pairs  $a, a'$  found this way and pairs  $b, b'$  of elements in Group 7.1 so that  $\text{Inv}(a^i(a')^j) = \text{Inv}(b^i(b')^j)$  for  $i, j = 0, 1, 2$ . Here  $\text{Inv}(\xi) = \text{Trace}(\xi)^3 / \det(\xi)$  for  $\xi$  in either algebra, regarding  $\xi$  as a  $3 \times 3$  matrix with entries in  $\mathbb{Q}(S, w)$  for

$\xi \in \mathcal{A}_\theta$ , and with entries in  $\mathbb{Q}(S, \alpha)$  (see [CMSZ, p. 193]) for  $\xi \in \mathcal{A}$ . For if there is an isomorphism or anti-isomorphism  $\mathcal{A}_\theta \rightarrow \mathcal{A}$  mapping  $a$  to a multiple of  $b$  and  $a'$  to a multiple of  $b'$ , then the above equations between the invariants must hold.

After much effort, elements  $a, a' \in \mathcal{A}_\theta^\times$  were found so that the corresponding automorphisms belonged to  $G(\mathbb{Z}[1/3])$ , and so that

$$a \mapsto \frac{(11 + S)}{12} g_{11}^{-1} \quad \text{and} \quad a' \mapsto \frac{(11 + S)}{12} g_6^{-1} g_3 g_1^{-1}$$

induced an anti-isomorphism from  $\mathcal{A}_\theta$  to the algebra of Group 7.1 (see below). Explicitly, if we let  $x = \sum_{i=1}^9 x_i m_i$  and  $a = (S + x)(S - x)^{-1}$  for

$$(x_1, \dots, x_9) = \frac{1}{3}(-8, -10, 2, 6, 0, -8, 6, 6, -9)$$

we obtain an element  $a \in \mathcal{A}_\theta^\times$  satisfying  $am_i a^{-1} = \sum_{j=1}^9 c_{i,j} m_j$  for certain  $c_{i,j} \in \mathbb{Z}[1/3]$ . We can express  $a$  explicitly as a  $\mathbb{Q}(S)$ -linear combination of the  $m_j$ 's:  $a = \sum_{i=1}^9 t_i m_i$  for

$$(t_1, \dots, t_9) = \frac{1}{4 \cdot 23 \cdot 27} (3(41S - 23), 2(97S + 23), 3(-5S + 23), \\ 2(-61S - 23), 8(7S + 23), 12(5S - 23), 4(-34S - 23), \\ 2(-103S - 161), 12(29S - 23)).$$

Similarly, if we let  $x' = \sum_{i=1}^9 x'_i m_i$  and  $a' = (S + x')(S - x')^{-1}$  for

$$(x'_1, \dots, x'_9) = \frac{1}{3}(162, 268, 14, -120, 236, 156, -98, -132, -471)$$

we obtain an element  $a' \in \mathcal{A}_\theta^\times$  satisfying  $a' m_i (a')^{-1} = \sum_{j=1}^9 c'_{i,j} m_j$  for certain  $c'_{i,j} \in \mathbb{Z}[1/3]$ . Again, we can express  $a'$  explicitly as a  $\mathbb{Q}(S)$ -linear combination of the  $m_j$ 's:  $a' = \sum_{i=1}^9 t'_i m_i$  for

$$(t'_1, \dots, t'_9) = \frac{1}{4 \cdot 27 \cdot 23} (81(S + 23), 211S + 2921, 7(S + 23), -203S - 1081, \\ 6(27S + 437), 72(2S + 23), 28(S - 46), \\ 2(-55S - 713), 48(-S - 92)).$$

Using the above anti-isomorphism, we can realise Group 7.1 in  $\mathcal{A}_\theta^\times / Z(\mathcal{A}_\theta^\times)$ . To specify generators  $a_j, j = 0, 1, \dots, 12$ , of Group 7.1 in  $\mathcal{A}_\theta$  in a very compact way, we first give 13 elements  $h_j$  of  $\mathcal{A}_\theta$  satisfying  $h_j^* = h_j$ . Then we let  $a_j$  be the Cayley transform  $(S + h_j)(S - h_j)^{-1}$  of  $h_j$  for each  $j$ . We let

$$h_j = \frac{1}{9} \sum_{k=1}^9 t_{j,k} m_k \quad \text{for } j = 0, \dots, 12,$$

where  $t_{j,k}$  is the  $(j, k)$  entry of the matrix

$$\begin{pmatrix} -12 & -60 & -24 & 54 & -72 & -12 & 36 & 36 & -63 \\ -4 & -20 & -2 & 12 & -12 & -4 & 6 & 24 & -33 \\ -18 & -36 & -6 & 24 & -36 & -24 & 6 & 24 & 27 \\ 30 & 56 & 0 & -20 & 32 & 24 & -4 & -56 & -9 \\ -132 & -168 & 24 & 48 & -192 & -96 & 114 & 120 & 63 \\ 36 & 72 & 6 & -36 & 60 & 48 & -24 & -48 & -45 \\ 114 & 198 & 30 & -114 & 168 & 96 & -66 & -114 & -279 \\ 24 & 72 & 12 & -24 & 60 & 24 & 6 & -60 & -117 \\ -42 & -60 & 24 & 48 & -12 & -132 & -30 & 48 & 207 \\ -42 & -54 & 6 & 12 & -48 & -36 & 24 & 36 & 57 \\ -60 & -132 & -30 & 48 & -120 & -24 & 24 & 84 & 135 \\ -12 & -30 & -6 & -12 & -24 & 24 & -6 & 42 & 9 \\ 48 & 90 & 24 & -12 & 84 & -24 & -24 & -66 & -27 \end{pmatrix}.$$

It is convenient to multiply  $a_1, a_2, a_7$  and  $a_{10}$  by  $-(S + 11)/12$ . This ensures that all the  $a_j$ 's have entries in  $\mathbb{Z}_3$ , when  $S$  and  $w$  are regarded as 3-adic numbers ( $S$  being chosen so that  $S \equiv 1 \pmod 3$ ). Moreover, the 3-adic valuation of each of the  $a_j$ 's is 2, so that if  $L_0 = (\mathbb{Z}_3)^3$  and  $v_0 = [L_0]$  is the corresponding vertex in  $\Delta_{\mathbb{Q}_3}$ , then the  $a_j v_0$ ,  $j = 0, \dots, 12$ , are vertices which are neighbours of  $v_0$ , and all of the same type. One may check that they are distinct.

If we let  $g'_1 = ((S + 11)/12)a_1^{-1}$  and  $g'_9 = ((S + 11)/12)a_9^{-1}$ , then one can check that  $(g'_1)^3 = \sum_{j=0}^2 c_j (g'_1)^j$ , that  $(g'_9)^3 = \sum_{j=0}^2 d_j (g'_9)^j$ , and that  $g'_1 g'_9 = \sum_{i,j=0}^2 \gamma_{i,j} (g'_9)^j (g'_1)^i$ , where the  $c_j$ 's,  $d_j$ 's and  $\gamma_{i,j}$ 's are as in [CMSZ, p. 193]. Thus there is an anti-isomorphism  $\mathcal{A} \rightarrow \mathcal{A}_\theta$  determined by mapping  $g_1$  to  $g'_1$  and  $g_9$  to  $g'_9$ .

The automorphisms  $\xi \mapsto a_j \xi a_j^{-1}$  are in  $G(\mathbb{Z}[1/2, 1/3, 1/23])$ . The elements  $a, a' \in \mathcal{A}_\theta$  defined above are  $a_2^{-1} a_{11} a_2$  and  $a_2^{-1} (a_1 a_3^{-1} a_6) a_2$ , respectively. The fact that  $a, a'$  correspond to elements of  $G(\mathbb{Z}[1/3])$  means that  $a_{11}$  and  $a_1 a_3^{-1} a_6$  are in  $G(\mathbb{Z}[1/3])$  if we replace  $\{m_1, \dots, m_9\}$  by  $\{a_2 m_j a_2^{-1} : j = 1, \dots, 9\}$ . MAGMA tells us that  $(g_{11}, g_1 g_3^{-1} g_6)$  is an index 24 subgroup of Group 7.1. This exhibits the arithmeticity of Group 7.1.

For Group 8.1, we let

$$h'_j = \frac{1}{9 \cdot 23} \sum_{k=1}^9 t_{j,k} m_k \quad \text{for } j = 0, \dots, 12,$$

where  $t_{j,k}$  is the  $(j, k)$  entry of the matrix

$$\begin{pmatrix} 1668 & 2598 & -444 & -324 & 2736 & 372 & -1026 & -2520 & -3069 \\ -106 & -332 & 130 & 300 & -60 & -244 & 114 & 348 & -999 \\ -96 & -212 & 54 & 68 & -332 & -36 & -86 & 176 & -87 \\ -216 & 876 & 1224 & -912 & 516 & -360 & 210 & -516 & -2115 \\ 780 & 1092 & -162 & -756 & 732 & 1260 & -216 & -468 & -783 \\ -1124 & -1892 & -88 & 724 & -1432 & -1172 & 422 & 1072 & 3597 \\ 860 & 1444 & -32 & -318 & 1380 & 812 & -330 & -996 & -2865 \\ 630 & 720 & -108 & 324 & 1800 & 936 & 288 & -1296 & -747 \\ 1104 & 2070 & 552 & -276 & 1932 & -552 & -552 & -1518 & -621 \\ -1962 & -2088 & -144 & -396 & -2808 & 288 & 1170 & 1764 & 1737 \\ -1560 & -3024 & -474 & 1560 & -2712 & -1632 & 780 & 2064 & 3105 \\ -276 & -690 & -138 & -276 & -552 & 552 & -138 & 966 & 207 \\ 732 & 2034 & 258 & -1326 & 768 & 1200 & -636 & -1326 & -2097 \end{pmatrix}.$$

Then we let  $a'_j = (S + h'_j)(S - h'_j)^{-1}$  for each  $j$ . Again, it is convenient to multiply  $a'_1, a'_2, a'_3$  and  $a'_{10}$  by  $-(S+11)/12$ . This achieves the same normalizations as described above for Group 7.1.

The algebra  $\mathcal{B}$  associated with Group 8.1 is isomorphic to  $\mathcal{A}_\theta$ . If we let  $x'_1 = -((7 + 3 * S)/16)a'_1$  and  $x'_4 = a'_4$ , then one can check that  $(x'_1)^3 = \sum_{j=0}^2 c_j(x'_1)^j$ , that  $(x'_4)^3 = \sum_{j=0}^2 d_j(x'_4)^j$ , and that  $x'_4 x'_1 = \sum_{i,j=0}^2 \gamma_{i,j}(x'_1)^i (x'_4)^j$ , where the  $c_j$ 's,  $d_j$ 's and  $\gamma_{i,j}$ 's are as in [CMSZ, p. 196]. Thus there is an isomorphism  $\mathcal{B} \rightarrow \mathcal{A}_\theta$  determined by mapping  $x_1$  to  $x'_1$  and  $x_4$  to  $x'_4$ .

Then  $a'_{11} = a_{11}$  and  $a'_4(a'_0)^{-1}a'_{12} = a_1 a_3^{-1} a_6$ . Hence, with these realizations  $\Gamma_{7.1}$  and  $\Gamma_{8.1}$  of Groups 7.1 and 8.1 in  $\mathcal{A}_\theta^\times / Z(\mathcal{A}_\theta^\times)$ , the two groups have in common the index 24 subgroup generated by  $a_{11}$  and  $a_1 a_3^{-1} a_6$ . The automorphisms  $\xi \mapsto a'_j \xi (a'_j)^{-1}$  are in  $G(\mathbb{Z}[1/2, 1/3, 1/23])$ . So we have the situation shown in Figure 2.

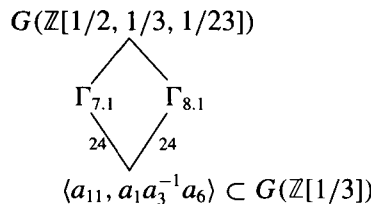


FIGURE 2

The situation is therefore rather more complicated than in the case of Groups 4.1 and 5.1, say. We now use the tree  $T$  associated with  $\mathbb{Q}_{23}(\sqrt{-23})$  to show that we cannot simplify the embeddings.

Because  $L = \mathbb{Q}_{23}(\sqrt{-23})$  is a ramified extension of  $\mathbb{Q}_{23}$ ,  $T$  is homogeneous of degree 24. The group  $G$  defined above acts on  $T$ . For if  $\alpha \in \text{Aut}(\mathcal{A}_\theta)$  and  $\alpha(\xi^*) = \alpha(\xi)^*$  for all  $\xi \in \mathcal{A}_\theta$ , then there is an  $a \in \mathcal{A}_\theta^\times$  such that  $\alpha(\xi) = a\xi a^{-1}$  for all  $\xi$ , and such that  $a^*a = 1$ . Let  $A \in M_{3 \times 3}(K')$  be the matrix corresponding to  $a$  under the isomorphism  $\mathcal{A}_\theta \otimes K' \cong M_{3 \times 3}(K')$  defined above. Then  $A^*HA = H$ . Moreover,  $w^3 = w^2 + 1$  has a solution in  $\mathbb{Q}_{23}$  (with  $w \equiv 17 \pmod{23}$ ). So we can regard  $K'$  as a subfield of  $L$ . Thus  $A \in U_3(h)$  for the form  $h$  on  $L^3$  corresponding to  $H$ . So for  $v \in \Lambda_0 \cup \Lambda_1$ , we define  $\alpha.v = A.v$ . This is well-defined, for if  $a$  is replaced by  $ta$ , where  $t = t_1 + t_2S \in \mathbb{Q}(S)$  and  $\bar{t}t = 1$ , then  $t_1^2 + 23t_2^2 = 1$ , and so  $t$ , regarded as an element of  $L$ , has valuation 0, so that  $t\mathcal{L} = \mathcal{L}$  for any  $\mathfrak{o}_L$ -lattice  $\mathcal{L}$ .

Hence our groups  $\Gamma_{7.1}$  and  $\Gamma_{8.1}$ , being subgroups of  $G(\mathbb{Z}[1/2, 1/3, 1/23]) \subset G(\mathbb{Q})$ , act on  $T$ . Let  $\mathcal{L}_0 = \mathfrak{o}_L^3$ , and let  $\mathcal{L}_1 = g_1(\mathcal{L}_0)$  for

$$g_1 = S^{-1} \begin{pmatrix} S & 15 & 13 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

Then  $\mathcal{L}'_1 = \mathcal{L}_1$ , so that  $\mathcal{L}_1 \in \Lambda_0$ . Let  $\mathcal{M}_2 = g_2(\mathcal{L}_0)$  for

$$g_2 = g_1 \begin{pmatrix} 1 & 0 & 0 \\ 0 & S & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

Then  $S\mathcal{M}'_2 \subsetneq \mathcal{M}_2 \subsetneq \mathcal{M}'_2$ , so that  $(\mathcal{M}_2, \mathcal{M}'_2) \in \Lambda_1$  is a neighbour of  $\mathcal{L}_1$  in  $T$ . One may verify that  $\Gamma_{7.1}$  fixes  $\mathcal{M}_2$  (and therefore also  $\mathcal{M}'_2$ ), and hence the vertex  $(\mathcal{M}_2, \mathcal{M}'_2)$  of  $T$ . Now let  $\mathcal{L}_3 = g_3(\mathcal{L}_0)$  for

$$g_3 = S^{-1}g_2 \begin{pmatrix} 1 & 0 & 0 \\ 19 & S & 0 \\ 15 & 0 & S \end{pmatrix}.$$

Then  $\mathcal{L}_3 \in \Lambda_0$  is a neighbour of  $(\mathcal{M}_2, \mathcal{M}'_2)$  in  $T$ , and is fixed by  $\Gamma_{8.1}$ .

Moreover,  $\Gamma_{7.1}$  acts transitively on the 24 neighbours of  $(\mathcal{M}_2, \mathcal{M}'_2)$ . Indeed, the 24 elements  $\{1, a_0, a_1, a_2, a_3, a_4, a_5, a_6, a_7, a_9, a_{10}, a_1^{-1}, a_3^{-1}, a_5^{-1}, a_6^{-1}, a_{10}^{-1}, a_0a_1^{-1}, a_0a_2^{-1}, a_0a_{10}^{-1}, a_1a_7^{-1}, a_1a_8^{-1}, a_1a_9^{-1}, a_3a_6^{-1}, a_4a_{10}^{-1}\}$  of  $\Gamma_{7.1}$  move  $\mathcal{L}_3$  to these neighbours.

Also,  $\Gamma_{8.1}$  acts transitively on the 24 neighbours of  $\mathcal{L}_3$ . Indeed, the 24 elements  $\{a'_0, a'_1, a'_2, a'_3, a'_4, a'_5, a'_6, a'_7, a'_8, a'_{10}, (a'_1)^{-1}, (a'_2)^{-1}, (a'_3)^{-1}, (a'_4)^{-1}, (a'_{12})^{-1}, a'_0a'_3, a'_0a'_5, a'_0a'_7, a'_1a'_2, a'_1a'_3, a'_1a'_{12}, a'_2a'_4, a'_2a'_{10}\}$  of  $\Gamma_{8.1}$  move  $(\mathcal{M}_2, \mathcal{M}'_2)$  to these neighbours.

There is no realization of  $\Gamma_{7.1}$  and  $\Gamma_{8.1}$  in  $G(\mathbb{Q})$  for which  $\Gamma_{7.1} \cap \Gamma_{8.1}$  has index strictly less than 24 in  $\Gamma_{7.1}$  and  $\Gamma_{8.1}$ . For if there were, then there would be isomorphic subgroups  $H_{7.1}$  and  $H_{8.1}$  of the realizations of  $\Gamma_{7.1}$  and  $\Gamma_{8.1}$  given above, for which  $[\Gamma_{8.1} : H_{8.1}] = n < 24$ . Applying [Mar, Theorem (5), p. 5], our isomorphism

$f : H_{7,1} \rightarrow H_{8,1}$  would be induced by conjugation  $\alpha_x$  by some element  $x$  of  $G(\mathbb{Q})$ . See also [Hum, Section 27.4]; the fact that  $f$  is not induced from an automorphism involving the nontrivial Dynkin diagram automorphism may be deduced, for example, from the fact that  $\mathcal{A}_\theta$  admits no (linear) anti-automorphism. For let  $\mathcal{A}'_\theta$  denote the algebra defined as was  $\mathcal{A}_\theta$ , but with the element  $\sigma$  replaced by an element  $\sigma'$  satisfying  $(\sigma')^3 = 4$ . Then there is an anti-isomorphism  $\mathcal{A}_\theta \rightarrow \mathcal{A}'_\theta$  mapping  $\sigma$  to  $(\sigma')^2/2$  and mapping each  $x \in \mathbb{Q}(S, \theta)$  to  $x$ . If  $\mathcal{A}_\theta$  has an anti-automorphism, then  $\mathcal{A}_\theta$  and  $\mathcal{A}'_\theta$  would be isomorphic, which is impossible because  $4/2 = 2$  is not the norm of any element of  $\mathbb{Q}(S, \theta)$  (see, for example, [Deu, p. 65]).

Thus, modulo scalars,  $f$  is the restriction of  $\alpha_x$  to  $H_{7,1}$ . Let  $u \in \Lambda_1$  denote the vertex  $(\mathcal{M}_2, \mathcal{M}'_2) \in T$  fixed by  $\Gamma_{7,1}$ , and let  $v \in \Lambda_0$  denote the vertex  $\mathcal{L}_3 \in T$  fixed by  $\Gamma_{8,1}$ . Then  $\alpha_x \Gamma_{7,1} \alpha_x^{-1}$  fixes  $\alpha_x \cdot u \in \Lambda_1$ . Thus  $\Gamma_{8,1} \cap \alpha_x \Gamma_{7,1} \alpha_x^{-1}$ , and therefore  $H_{8,1}$ , fixes the geodesic in  $T$  from  $v$  to  $\alpha_x \cdot u$ . But  $\Gamma_{8,1}$  moves this geodesic to 24 different paths. Hence  $n = [\Gamma_{8,1}, H_{8,1}] \geq 24$ .

Similar considerations show that there is no semi-linear involutory anti-automorphism  $\xi \mapsto \xi^\dagger$  of  $\mathcal{A}_\theta$  such that, if  $G^\dagger$  denotes the corresponding projective unitary group, then  $G^\dagger(\mathbb{R})$  is compact and Groups 7.1 and 8.1 embed in  $G^\dagger(\mathbb{Z}[1/3])$ .

**Groups 2.1, 2.2, 3.1 and 3.2.** In [CMSZ], these groups were exhibited in  $\mathcal{A}^\times / Z(\mathcal{A}^\times)$  for the following cyclic simple algebra  $\mathcal{A}$ , defined over  $\mathbb{F}_3(P)$ ,  $P$  an indeterminate:  $\mathcal{A} = \mathbb{F}_{27}(P)[\sigma]$ , where  $\sigma^3 = P$ , and  $\sigma x \sigma^{-1} = \varphi(x)$  for  $x \in \mathbb{F}_{27}(P)$ . Here  $\varphi$  is a generator of the Galois group of  $\mathbb{F}_{27}(P)$  over  $\mathbb{F}_3(P)$ ; if we think of  $\mathbb{F}_{27}$  as  $\mathbb{F}_3(\theta)$ , where  $\theta^3 = \theta + 1$ , then we can assume that  $\varphi(\theta) = \theta + 1$  and  $\varphi(P) = P$ . We regarded  $\mathbb{F}_3(P)$  as a quadratic extension of  $\mathbb{F}_3(R)$ , where  $R = P - 1/P$ . We exhibited an involutive semilinear antiautomorphism  $*$  of  $\mathcal{A}$ . Groups 2.1 and 2.2 are normal index 3 subgroups of a group  $\tilde{\Gamma}_{2,1}$  generated by elements  $a_j \in \mathcal{A}$ ,  $j = 0, \dots, 12$ , and  $\sigma$ . Similarly, Groups 3.1 and 3.2 are normal index 3 subgroups of a group  $\tilde{\Gamma}_{3,1}$  generated by elements  $b_j \in \mathcal{A}$ ,  $j = 0, \dots, 12$ , and  $\sigma$ . We embedded  $\tilde{\Gamma}_{2,1}$  in  $PU(\mathbb{F}_3[1/R])$ , where

$$PU(\mathbb{F}_3(R)) = \{ \alpha \in \text{Aut}(\mathcal{A}) : \alpha(\xi^*) = \alpha(\xi)^* \text{ for all } \xi \in \mathcal{A} \}.$$

If we replace the generators of Group  $\tilde{3}.1$  given in [CMSZ] by  $b'_i = \gamma^{-1} b_i \gamma$  and  $\sigma' = \gamma^{-1} \sigma \gamma$  ( $\equiv \sigma$ ), where  $\gamma = P\sigma + \sigma^2$ , then the elements  $b'_i / (P + 1)$  are unitary, so that Group  $\tilde{3}.1$  is now realized in  $PU(\mathbb{F}_3(R))$ . Moreover, the intersection  $\Gamma_{2,1} \cap \Gamma_{3,1}$  of these realizations  $\Gamma_{2,1}$  and  $\Gamma_{3,1}$  of Groups 2.1 and 3.1 has index 10 in each of  $\Gamma_{2,1}$  and  $\Gamma_{3,1}$ . Indeed,  $b'_0 = a_0$ ,  $b'_4 b'_9 = a_4 a_1$  and  $b'_4 b'_5 = a_4 a_6$ ; MAGMA tells us that the subgroup of  $\Gamma_{2,1}$  generated by  $a_0$ ,  $a_4 a_1$  and  $a_4 a_6$  has index 10 in  $\Gamma_{2,1}$  (and the subgroup of  $\Gamma_{3,1}$  generated by  $b'_0$ ,  $b'_4 b'_9$  and  $b'_4 b'_5$  has index 10 in  $\Gamma_{3,1}$ ). Group  $\tilde{3}.1$  is

now generated by  $\sigma$  and  $b'_2$ , where

$$\begin{aligned}
 b'_2 = & \frac{(P - 1)R^2 - (P + 1)R}{R^2 + 1} - \theta + (P - 1)\theta^2 \\
 & + \left( \frac{R^2 + (P - 1)R - P}{R^2 + 1} + \theta + \theta^2 \right) \sigma \\
 & + \left( \frac{R^2 + (P + 1)R + P}{R^2 + 1} - \theta^2 \right) \sigma^2.
 \end{aligned}$$

Let  $K$  denote the completion of  $\mathbb{F}_3(R)$  with respect to the valuation associated with the irreducible polynomial  $R^2 + 1$ . Thus  $q = 9$ , and we can take  $\pi_K = R^2 + 1$ . Let  $L = K(P)$ . Then  $L$  is a ramified quadratic extension of  $K$  containing  $\mathbb{F}_3(P)$ ; we can take  $\pi_L = R + P$ , which satisfies  $\pi_L^2 = R^2 + 1$ . The antiautomorphism  $*$  of  $\mathcal{A}$  gives rise to a sesquilinear form  $(x, y) \mapsto y^*Hx$  on  $L^3$ , where

$$H = \begin{pmatrix} R & R - 1 & R - 1 \\ R - 1 & -R + 1 & -R - 1 \\ R - 1 & -R - 1 & R - 1 \end{pmatrix} \pmod{R^2 + 1},$$

and the associated tree is homogeneous of degree 10. One may readily check that  $\tilde{\Gamma}_{2,1}$  fixes the vertex  $u = \mathcal{L}_0 = \sigma_L^3 \in \Lambda_0$ . On the other hand,  $\tilde{\Gamma}_{3,1}$  fixes the neighbouring vertex  $v = (\mathcal{M}, \mathcal{M}') \in \Lambda_1$ , where  $\mathcal{M} = g(\mathcal{L}_0)$  for

$$g = \begin{pmatrix} \pi_L & 0 & 1 - R \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

One may verify that Group 2.1 acts transitively on the 10 neighbours of  $u$ . Indeed, the elements  $1, a_1, a_2, a_3, a_4, a_7, a_{11}, a_1^{-1}, a_3^{-1}$  and  $a_5^{-1}$  move  $v$  to these 10 neighbours. Similarly, Group 3.1 acts transitively on the 10 neighbours of  $v$ . Indeed, the elements  $1, b'_1, b'_3, b'_4, b'_5, b'_8, b'_{10}, (b'_1)^{-1}, (b'_3)^{-1}$  and  $(b'_6)^{-1}$  move  $u$  to these 10 neighbours.

Considerations similar to those in the last subsection show that there is no realization of  $\Gamma_{2,1}$  and  $\Gamma_{3,1}$  in  $G(\mathbb{Q})$  for which  $\Gamma_{2,1} \cap \Gamma_{3,1}$  has index strictly less than 10 in  $\Gamma_{2,1}$  and  $\Gamma_{3,1}$ .

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