

1 **Second reported outbreak of pneumococcal pneumonia among**  
2 **shipyard employees in Turku, Finland, August – October 2023:**  
3 **a case-control study**

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27 **Summary:** In August 2023, the Finnish Institute for Health and Welfare received reports of a potential  
28 cluster of pneumococcal pneumonia cases among shipyard employees in Turku, Finland. Considering  
29 a similar outbreak in the same shipyard in 2019, we initiated a case-control study to investigate  
30 individual and environmental risk factors specific to this occupational setting in order to inform  
31 targeted prevention measures. In total, 14 hospitalized cases were identified from 19 August to 15  
32 October 2023. *Streptococcus pneumoniae* serotypes 4 and 9V were isolated from blood cultures of  
33 seven cases. Eleven cases and 67 controls working at the shipyard were included in the case-control  
34 study. Compared with controls, cases were more likely to be living in an apartment/studio or a  
35 hotel/hostel, and less likely in a house or with family. Furthermore, cases were more likely to have a  
36 shorter duration of employment (< 1 year) at the shipyard compared to controls. Control measures,  
37 including an information and a vaccination campaign, were implemented. We emphasize shipyard-  
38 wide hygiene improvements and recommend nationwide consideration of expanding pneumococcal  
39 vaccination eligibility to all shipyard construction employees as an occupational high-risk group.

40

## 41 BACKGROUND

42 *Streptococcus pneumoniae* is a Gram-positive bacterium transmitted from person to person through  
43 direct contact with respiratory secretions. *S. pneumoniae* infection can lead to pneumococcal disease  
44 (PD), presenting with symptoms that vary from mild conditions like otitis media and sinusitis to more  
45 severe illnesses such as pneumonia. In certain cases, the infection may progress to an invasive form  
46 or cause life-threatening complications. Around 5% of pneumococcal pneumonia infections are fatal.  
47 The bacteria can also colonize the respiratory tract of healthy people, predominantly children, without  
48 causing illness, resulting in a state known as carriage [1].

49 Some groups are at increased risk for getting PD, including children under 5 and adults over 65-  
50 years-old. Other risk factors for severe and invasive infections include alcoholism, smoking, and  
51 absence or dysfunction of spleen [1]. Furthermore, increased occurrence of pneumococcal  
52 pneumonia and invasive pneumococcal disease (IPD) has been reported among welders and  
53 professionals exposed to welding fumes or other dusts and fumes [2,3].

54 One of the known effective measures of protection against PD is vaccination. There are two types of  
55 vaccines against PD. Pneumococcal conjugate vaccines induce immunological memory and provide  
56 protection against mucosal pneumococcal infections and carriage, varying in the number of serotypes  
57 they cover. The pneumococcal polysaccharide vaccine (PPV23) does not induce immunological  
58 memory and is ineffective against carriage, but it offers protection against the largest number of  
59 serotypes [4].

60 Most countries of the European Union/European Economic Area (EU/EEA) have introduced  
61 pneumococcal vaccines for infants and children into their National Immunization Programmes and  
62 many also offer the vaccines for adult risk groups in the case of known medical risk groups or elderly  
63 persons [5]. Nevertheless, limited recommendations exist for known occupational risk groups such as  
64 welders and industrial construction workers, and even fewer refer to the shipyard setting, even though  
65 over the last decade several outbreaks have been reported among shipyard employees in European  
66 countries. Such events have been observed in France in 2020, Norway in 2019, and in Northern  
67 Ireland in 2015 [6–9]. Most notably, in 2019, there was also an outbreak of PD in the same Finnish  
68 shipyard in Turku as we report here [10].

69 In this article we describe the second reported outbreak taking place in Turku shipyard within five  
70 years and the analytical case-control study that we performed with the intent of identifying setting-  
71 specific risk factors to further inform control measures and formulate targeted recommendations for  
72 prevention of future outbreaks. As far as the authors are aware, this is only the second analytical  
73 study performed in for a pneumococcal outbreak in a shipyard setting as the remaining reported  
74 shipyard outbreaks were case series studies [6–10].

## 75 METHODS

### 76 *Outbreak detection*

77 On 29 August 2023, the Finnish Institute for Health and Welfare (THL) was notified by the Wellbeing  
78 Services County of Southwest Finland (Varha), of a potential cluster of pneumococcal pneumonia  
79 among employees from a shipyard in Turku, Western Finland. As of 14 October 2023, 14 cases of  
80 pneumococcal pneumonia had been identified, all were employed at Turku shipyard. We investigated  
81 the outbreak and performed a retrospective case-control study among the shipyard's employees. The  
82 outbreak investigation team consisted of experts from THL, Varha, Turku Shipyard, and the Finnish  
83 Institute of Occupational Health (FIOH).

### 84 *Setting*

85 At Turku shipyard, at any time, there are between 6 000 – 10 000 employees working on site. Some  
86 are long-term/permanent staff, while others have a short-term contract. The shipyard workforce is  
87 divided into those employed by the main company (around 2 000 persons) and those employed by  
88 the many different subcontractors, which number between 500 - 800.

89 Shipyard work is organized into four main sectors: the wet dock, the dry dock, outfitting of building  
90 blocks in building halls, and hull production in building halls. At the time of the outbreak, there was  
91 one ship in the final stages of shipbuilding being outfitted in the wet dock, and one ship under  
92 construction in the dry dock. Nevertheless, various construction work was being carried out  
93 simultaneously in all four sectors of the shipyard.

94 *Case and control definitions*

95 For the case-control study, we defined a probable case as an individual with a clinical presentation  
96 consistent with pneumococcal pneumonia or IPD, who was working in Turku Shipyard, and was  
97 diagnosed after 1 August 2023. A confirmed case was an individual who fulfilled the criteria for a  
98 probable case and *S. pneumoniae* was isolated from blood or cerebrospinal fluid or *S. pneumoniae*  
99 antigen was detected in urine.

100 A control was an individual who had worked at Turku Shipyard at least since 1 August 2023 and did  
101 not fulfil the criteria of a probable or confirmed case. We excluded individuals who worked exclusively  
102 in an office setting.

103 *Data collection*

104 *1. Questionnaire design*

105 We designed a questionnaire covering demographics, type of accommodation, living situation,  
106 occupation details (tasks, sector of work, length of employment), working patterns (duration of work),  
107 working in proximity to others, occupational exposures (welding, exposure to respiratory irritants), use  
108 of protective equipment, behavioural and health risk factors for PD (consumption of alcohol, smoking  
109 habits, comorbidities), and pneumococcal vaccination. Questionnaires were available in six languages  
110 (Finnish, English, Russian, Polish, Portuguese, and Spanish).

111 *2. Recruitment of study participants*

112 We recruited controls in-person using a convenience sampling strategy during a field visit to the  
113 shipyard on 16 November 2023. Employees working at the shipyard at least since 1 August 2023 were  
114 recruited to take part in the study. The control questionnaires were paper-based and self-administered  
115 on-site. Support on filling in the questionnaire was available.

116 All identified cases were invited for an interview over telephone. The interview followed an almost  
117 identical questionnaire as for the controls, differentiated by the referenced exposure period, which was  
118 limited to 3 months before illness onset for cases and the period of August – October 2023 for  
119 controls. Data collection spanned several working days in the three weeks after the field visit. The  
120 interviews were conducted in the preferred language of the respondent based on the available  
121 translations.

122 *Analysis*

123 We compared cases and controls according to age, sex, nationality, and other chosen risk factors  
124 using Welch's two sample t-test or Fisher's exact test as appropriate. Furthermore, for risk factors of  
125 interest we calculated the odds ratios (OR), 95% confidence intervals (95%CI), and *p*-values using  
126 Fisher's exact test. A *p*-value of less than 5% was considered statistically significant. The analysis  
127 was performed using R software (version 4.2.1).

128 *Clinical information*

129 Cases hospitalized due to pneumococcal pneumonia underwent diagnostic tests at the hospital.  
130 Blood cultures and/or urine antigen tests (CerTest *S. pneumoniae* card test, one strep coloured  
131 chromatographic immunoassay, Certest Biotec, S.L., Zaragoza, Spain) were performed as well as  
132 chest x-rays.

133 *Routine surveillance*

134 In Finland, laboratory-confirmed cases of IPD are reported by clinical microbiology laboratories to the  
135 National Infectious Disease Register (NIDR)[11]. THL routinely performs species verification,  
136 serotyping, and whole genome sequencing (WGS) for all pneumococci isolated from blood and  
137 cerebrospinal fluid.

138 *Microbiological investigations*

139 Clinical outbreak isolates from blood cultures underwent serotyping by *Quellung* reaction and WGS at  
140 THL. We confirmed the serotype genomically using PneumoCaT, performed multilocus sequence  
141 typing (MLST) to determine sequence types (STs) and core and accessory genome MLST (cgMLST  
142 including 1 234 genes; aMLST including 708 genes) profiles using Ridom SeqSphere+ version 9.0.1.  
143 We performed a comparison of isolates from this outbreak to isolates from the 2019 Turku shipyard  
144 outbreak [10]. Results were visualized using minimum spanning trees. The data for this study have  
145 been deposited in the European Nucleotide Archive (ENA) at EMBL-EBI under accession numbers  
146 PRJEB35348 and PRJEB76834.

147 *Outbreak control measures*

148 An information campaign and mass vaccination campaign were launched at the shipyard. Employees  
149 were vaccinated with the 13- or 20-valent pneumococcal conjugate vaccines (PCV13 or PCV20).  
150 Information about the outbreak was also communicated at an international level.

151 **RESULTS**

152 In total, 14 cases were identified as belonging to the outbreak, eight confirmed and six probable. The  
153 first case was confirmed on 19 August 2023 and the last case on 15 October 2023 (Figure 1). Most of  
154 the cases were male (n=13) and represented seven different nationalities: Finland (n=4), Lithuania  
155 (n=2), Poland (n=2), Russia (n=2), Ukraine (n=2), Latvia (n=1), and Romania (n=1).

156

157 **Figure 1.** Weekly cases of pneumococcal pneumonia among shipyard employees by date of hospital  
158 admission, Turku, Finland, August – October 2023 (n = 14).

159

160 *Recruitment of study participants*

161 During the field visit to Turku Shipyard, 82 controls were recruited. After applying the exclusion  
162 criteria, we included 67 controls.

163 Eleven of the 14 cases were interviewed by phone and included in the case-control study. One case  
164 declined participation and two were unreachable through the provided contact details.

165 *Case-control analysis*

166 Median age of the 78 study participants was 45 years, the majority of participants were male (97%),  
167 and most were Finnish (64%). There were no significant differences between cases and controls in  
168 terms of age, sex, nationality, reported alcohol consumption, smoking status, or presence of  
169 comorbidities (Table 1).

170

171 **Table 1.** Characteristics of study participants, Turku, Finland, August – October 2023 (n = 78)

172

173 Seventy-six (99%) study participants reported at least occasional exposure to respiratory irritants  
174 such as dusts, fumes, and/or smoke. Seven study participants (9%) reported borrowing personal  
175 protective equipment (PPE) from co-workers at least occasionally and two cases (18%) borrowed it at  
176 least 3-4 times a week.

177 Fifteen per cent of the study participants reported being vaccinated in 2019 against PD.  
178 Approximately 28% of participants (16 controls and 6 cases) did not recall ever being vaccinated  
179 (either during the 2023 vaccination campaign, while working at the shipyard in general, or before)  
180 (Table 1).

181 Based on the univariate analysis, we identified type of accommodation, living situation, and duration  
182 of employment at the shipyard as significant factors. Compared to controls, cases were more likely to  
183 be living in an apartment/studio (OR: 10.3, 95%CI: 1.3 – 458.94) or in a hotel/hostel (OR: Inf, 95%CI:  
184 1.2 – Inf). Cases were less likely to be living in houses (OR: 0.00, 95%CI: 0.00 – 0.46), living with  
185 family (OR: 0.15, 95%CI: 0.02 – 0.82), or to be working longer than one year at the shipyard (OR: 0.1,  
186 95%CI: 0.0 – 0.7). No other significant factors were found (Supplementary figure S1).

187

188 **Figure 2.** Univariate analysis of potential risk factors for pneumococcal pneumonia among shipyard  
189 employees, Turku, Finland, August – October 2023 (n = 78). *p*-values < 0.05 were considered as  
190 significant and are highlighted in red.

191

## 192 *Clinical findings and microbiological investigations*

193 All identified cases were hospitalized at Turku University Hospital (TYKS). Blood samples were  
194 collected and cultured at TYKS laboratory for all 14 cases, of which 7 were positive for *S.*



195 *pneumoniae*. Of the 14 cases, 11 were tested with urinary antigen tests, of which two were positive,  
196 one with and one without bacteraemia. X-ray imaging confirmed lobar or bilateral pneumonia in all 14  
197 cases.

198 We confirmed five of the pneumococcal blood isolates as serotype 4 and two as serotype 9V. Three  
199 STs were identified: ST801 (serotype 4, n = 5), ST2025 (serotype 9V, n = 1), ST239 (serotype 9V, n =  
200 1). The five serotype 4 isolates were genetically similar by cgMLST and aMLST with  $\leq 1$  allelic  
201 difference, while the two serotype 9V isolates were different, displaying 1 233 allelic differences  
202 (Figure 2).

203

204 **Figure 2.** Minimum spanning tree based on cgMLST and aMLST of shipyard outbreak isolates, Turku,  
205 Finland, August – October 2023

206

### 207 *Outbreak control measures*

208 An information campaign was launched at the end of August 2023 aimed at permanent staff,  
209 subcontractors, and their healthcare units. The campaign promoted hand washing and disinfecting,  
210 cough/sneezing etiquette, remaining at home when sick, keeping the working environment clean, use  
211 of PPE (at least FFP2 masks), and getting vaccinated as soon as possible. It also emphasized that  
212 smoking increases the risk of contracting the disease.

213 As a result of the collaborative effort of the main employer (Meyer Turku), Varha, THL, and the  
214 Ministry of Health, a mass pneumococcal vaccination campaign was launched on 28 September  
215 2023. The target groups for vaccination were shipyard employees who were frequently exposed to  
216 metal fumes, and who worked in closed, poorly ventilated conditions (N = approximately 3 000).

217 As of 16 October, the target number of vaccinated employees was achieved. Approximately 2 000  
218 employees were vaccinated with PCV13 and approximately 1 000 with PCV20. The type of vaccine  
219 for an additional 150 employees was unknown.

220 The occurrence of the 2023 shipyard outbreak was communicated to other EU/EEA Member States  
221 through EpiPulse and the Early Warning and Response System in September 2023. However, no  
222 other countries reported cases connected with this outbreak.

### 223 *Comparison of pneumococcal outbreaks at Turku shipyard, 2019 and 2023*

224 An outbreak of PD was previously reported in 2019 at the same shipyard in Turku [10]. It lasted  
225 around 214 days with 37 reported cases, whereas the outbreak in 2023 lasted 57 days with 14  
226 reported cases.

227 Comparing the case characteristics of the 2019 and 2023 PD outbreaks, we found significant  
228 differences in identified serotypes, the number of roommates (including family members), and the  
229 reported work sectors (Table 2).

230

231 **Table 2.** Comparison of cases reported in the 2019 and 2023 pneumococcal disease shipyard  
232 outbreaks, Turku, Finland (n = 51)

233

234 Most cases in both outbreaks were current smokers (77% in 2019 and 55% in 2023), were working  
235 mainly indoors (57% in 2019 and 82% in 2023), lived with roommates/family (75% in 2019 and 73% in  
236 2023), and their main work task did not involve welding (86% in 2019 and 64% in 2023) (Table 2).

237 In both outbreaks, one of the serotypes responsible for causing illness was serotype 4, which was  
238 identified among 11 cases (30%) in 2019 and 5 (36%) in 2023. Cluster analysis of serotype 4 isolates  
239 from both outbreaks revealed that all five isolates from 2023 were clonally related to four of the  
240 isolates from 2019 with 4-8 allelic differences between them (Figure 3).

241

242 **Figure 3.** Minimum spanning tree based on cgMLST and aMLST of serotype 4 shipyard outbreak  
243 isolates from 2019 and 2023, Turku, Finland

244

## 245 DISCUSSION

246 A serious pneumococcal pneumonia outbreak occurred for the second time at the same shipyard in  
247 Finland within 5 years. As far as the authors are aware, such a repeated PD outbreak has not been  
248 previously reported.

249 In the 2023 outbreak, most cases were male, between 39 – 51-years-old, of non-Finnish nationality,  
250 although this most likely reflects the general distribution of working population at the shipyard. In  
251 terms of working conditions, most were working mainly indoors in the wet dock sector, and welding  
252 was not among their tasks. Over half of the cases were current or previous smokers.

253 In the univariate case-control analysis we did not find significant associations for known  
254 pneumococcal pneumonia risk factors, such as smoking, alcohol consumption, comorbidities, or lack  
255 of vaccination. Furthermore, while welders are recognized to be at greater risk of PD, due to their  
256 exposure to metal fumes [3], being a welder, being exposed to welding fumes, or welding were also  
257 not significant in the analysis [12]. Likewise, we found no significant associations for other  
258 investigated factors such as working in proximity to others, type of work tasks performed, sectors of  
259 work, exposures to respiratory irritants, or using PPE. This is likely due to 1) the low number of cases  
260 and/or 2) cases and controls being too similar in terms of individual risk factors and environmental  
261 exposures to show any association.

262 Moreover, several factors associated with living conditions were significant, as well as duration of  
263 employment. This could be due to selection bias, which likely played a role during control recruitment,  
264 as recently employed workers were excluded from participation. This means that our controls could  
265 be more settled and/or have longer employment at the shipyard which in turn could influence the  
266 housing situation, living with family, employment duration, or access to occupational healthcare. To  
267 assess this, we performed a sensitivity analysis (results not shown), using the same exclusion criteria  
268 for cases as for controls (working at the shipyard at least since 1 August 2023), and using a 90%  
269 confidence interval to account for the smaller sample size. Even so, analysis of 9 cases and 67  
270 controls revealed the same significant risk and protective factors.

271 None of the cases reported living in a house and most indicated living with others (7/11), which could  
272 indicate that more crowded living conditions increase risk of illness. On the other hand, living with  
273 family (compared to living alone or with other types of roommates) was a protective factor.

274 Furthermore, although we hypothesized that a longer time spent working at the shipyard would  
275 increase risk of illness, healthy controls were nine times more likely to have been working at the  
276 shipyard for over a year. Although non-significant, working at the shipyard longer than 2, 3, 4, or 5  
277 years were still potential protective factors (compared to working a shorter time). This contradicts  
278 Torén et al.'s study, which demonstrated that cumulative exposure to inorganic dusts and fumes  
279 increases the risk of IPD [13]. One possible explanation is that the pneumococcal vaccination  
280 campaign conducted in 2019, which vaccinated around 60% of the workforce with PPV23 [10], was  
281 protective for long-term workers. Additionally, those employed longer might have developed higher  
282 protective immunity due to greater colonization potential [14].

283 Serotype 4, ST801 was the main pneumococcal lineage responsible for this outbreak. IPD caused by  
284 serotype 4 has increased in several European countries after the COVID-19 pandemic, particularly in  
285 adults and is associated with several genotypes, including ST801 [15–19]. As serotype 4 strains  
286 continue to circulate in European countries despite the widespread use of pneumococcal conjugate  
287 vaccines, this might lead to re-emergence or outbreaks of the disease as natural immunity wanes.  
288 This is especially relevant in the case of shipyard employees, due to the migratory, international  
289 nature of this workforce. Transmission between international shipyards has been previously reported  
290 [20]. ST801 has been associated with shipyard outbreaks in Northern Ireland [8], Norway [7], and  
291 Finland [10] in the past. The genetic similarity between the 2019 and 2023 isolates in both Finnish  
292 outbreaks was striking. A higher level of diversity over such a long period of time could be expected.  
293 The reasons behind this are still unclear, but it seems that this outbreak clone has found a specific  
294 population in which it can survive and spread [20].

295 The timing of the 2019 Finnish outbreak was comparable to the one reported here (cases reported  
296 late summer/beginning of autumn) [10]. In 2019 only wet dock workers, working on the final stages of  
297 the ship construction in the outfitting quay, were affected. However, in 2023, three cases reported  
298 working only at the dry dock, indicating that the risk of infection is not restricted to wet dock work like  
299 previously assumed [10]. The wide range of tasks performed by affected workers in both outbreaks

300 indicate that the shipyard environment and working conditions augment the risk of exposure to *S.*  
301 *pneumoniae*, development of PD, and can affect all shipyard employees.

302 Vaccination campaigns were conducted during both outbreaks, however, in 2023 it was introduced  
303 around four months sooner than in 2019. This was in large part due to lessons learned from the  
304 previous outbreak and the collaboration between different stakeholders on vaccine procurement. After  
305 the start of the vaccination campaign in October 2023 only one additional case was detected. Some  
306 of the study participants reported being vaccinated in 2019 (~15%), presumably during the previous  
307 campaign with the PPV23 vaccine. We can assume, that due to difficulties with recall, this number  
308 could be higher. Unfortunately, unless shipyard employees are permanent residents of Finland, there  
309 is no straightforward way to verify their vaccination status. Nevertheless, both the lesser magnitude of  
310 this outbreak and the prior vaccination of some of the study participants, indicate to us the potential  
311 mitigating effect that both vaccination campaigns had on the 2023 outbreak.

312 In Finland, it is the employer's task, in cooperation with occupational healthcare, to assess work-  
313 related health risks and offer employees the vaccinations required to be protected against work-  
314 related infections. Having many foreign subcontractors, as in the case of this shipyard, makes  
315 overseeing their adherence to vaccinating employees against PD challenging. After the outbreak in  
316 2019, the occupational healthcare guidance given by the shipyard included a recommendation to offer  
317 pneumococcal conjugate vaccination to all new shipyard employees. However, there was no follow-up  
318 after this recommendation and the general vaccination coverage in the shipyard population is  
319 unknown.

320 After a similar shipyard outbreak that occurred in Norway in 2019 [7] the Norwegian pneumococcal  
321 vaccination recommendation was changed from "considering vaccination for "metal welders" to "metal  
322 welders and other workers exposed to metal fumes" (Berild JD, personal communication, 7 May  
323 2024). Since 2014, the United Kingdom National Health Service guidelines also specifically mention  
324 that welders and metal workers exposed to metal fumes are eligible to receive the vaccine [21,22].  
325 Similar recommendations are also in place in Germany and Austria [23,24]. However, such an official,  
326 national strategy, targeted specifically against occupational PD is not currently in place in Finland,  
327 although a recommendation to vaccinate shipyard workers exposed to metal vapours has been put  
328 forward in the context of this outbreak [25].

329 The limitations of our study must be stressed, such as the small sample size, resulting in a possible  
330 underrepresentation of certain groups of employees, as well as random error. The specific population,  
331 setting, and continuous operations were challenging factors in this outbreak investigation, in terms of  
332 planning the recruitment of controls and questionnaire design. Based on these factors as well as  
333 limited human resources, we chose to recruit cases and controls using different methods.

334 The small number of cases also resulted in a low power of our analysis and a multivariable analysis  
335 for multiple risk factors was not performed. It is important to note that, as the controls were chosen  
336 through convenience sampling, there could be sampling bias and the results cannot be said to be  
337 representative of the target population of Turku shipyard workers.

338 We aimed to minimize recall bias and risk of misclassification by limiting the referenced exposure  
339 period. Furthermore, we also minimized selection bias arising from the language barriers by offering  
340 questionnaires in 6 languages, five of which were among the top 10 languages spoken at the  
341 shipyard.

342 Due to the self-reported nature of the data, the results should be interpreted with caution.

## 343 CONCLUSIONS AND RECOMMENDATIONS

344 In conclusion, our case-control analysis delved into established risk factors contributing to PD  
345 susceptibility. Among others, exposure to respiratory irritants, smoking, working and living in crowded  
346 environments, poor usage of PPE, and vaccination status were considered. Apart from  
347 accommodation-related factors and length of time spent working at the shipyard, none demonstrated  
348 a high enough risk to be significantly associated with illness in our investigation, but this is most likely  
349 due to the low power of the analysis. Also, the analytical study limitations must be recognized in this  
350 instance as any inference is limited.

351 Multiple serotypes/lineages were identified, however most serotyped cases belonged to serotype 4  
352 ST801, which has been previously associated with shipyard outbreaks [7,8,10]. The multiple-serotype  
353 scenario suggests that the conditions at the shipyard could be facilitating transmission and  
354 progression from carriage to severe disease in multiple independent events.

355 We hypothesize that the quick and decisive implementation of a vaccination campaign led to a faster  
356 end to the outbreak, indicating the importance of this preventive measure. There is a need for clearer  
357 national guidelines for employers' obligations to offer such vaccinations to their shipyard employees,  
358 especially in the case of immigrant workers and for those companies that are based abroad.  
359 Furthermore, when developing a national strategy, consideration could be given to expanding  
360 pneumococcal vaccination eligibility to all shipyard construction workers, instead of only targeting risk  
361 groups such as welders and wet dock workers. It should also be noted that "new" employees could be  
362 especially at risk, further highlighting the need for clear vaccination guidelines in the context of an  
363 ever-changing workforce.

364 Our current recommendations for the shipyard would be to emphasize hygiene improvements and  
365 stress the importance of not sharing PPE between workers. Disinfectant could be made available in  
366 the workplace, ventilation improved, and information campaigns targeting good hygiene practices are  
367 indicated. Anti-smoking campaigns could be conducted to reduce smoking and exposure to tobacco.  
368 Seeing as the two outbreaks in Turku started in summer months, promotion of pneumococcal  
369 vaccination (and emphasizing the employers' responsibility to offer it) among shipyard employees in  
370 the summer could aid prevention of future outbreaks in this setting. Furthermore, to facilitate similar  
371 investigations in the future and obtain results representative of the population, we recommend looking  
372 into legal possibilities of accessing the shipyard register of employees for epidemiological studies in  
373 outbreak investigations and improving the register at shipyard level to have contact details of each  
374 worker.

375 Future efforts could look into performing carriage studies to gain further insight into the prevalence  
376 and serotype distribution of pneumococcal carriage in shipyard employees compared to the general  
377 at-risk population. We also recommend that additional epidemiological studies be conducted to  
378 enhance our understanding of the risk factors associated with illness in a shipyard environment.  
379 Furthermore, there is a need for a comprehensive investigation into vaccination coverage, hesitancy,  
380 and/or access barriers within the shipyard population in order to aid future endeavours to maintain  
381 high vaccination coverage in this population.

382 Shipyards generally have a highly international workforce, and with many different contractors moving  
383 from one shipyard to another depending on where their skills are needed. The mobility of the shipyard

384 workforce underlines the importance of communication with other countries about shipyard outbreaks.  
385 To ensure a prompt response in any future outbreaks in the same setting, we propose the  
386 development of a comprehensive international outbreak protocol that can be readily implemented in  
387 the EU/EEA context. Collaboration on outbreak response efforts across EU Member States could be  
388 highly beneficial, allowing for the pooling of data and increased study power. We would also like to  
389 extend our protocol and questionnaire for consideration and utilisation in future studies  
390 (Supplementary material S2, S3, and S4).

## 391 **Supplementary material**

392 The supplementary material for this article can be found in the Supplementary materials tab.

## 393 **Data availability statement**

394 Data are available on reasonable request to the authors. Restrictions may apply to the availability of  
395 personal data linked to patient and study participant information.

396 The study protocol and questionnaire have been made available as supplementary material to this  
397 article (Supplement S2, S3, and S4).

398 The sequence data for this study are available in the European Nucleotide Archive (ENA) at EMBL-  
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## 408 Author contributions

409 WK, ACGP, JSN, LS, TD, MK, MF, ML, HKa, IL, HF were part of the Finnish outbreak investigation  
410 team. WK coordinated the activities related to the case-control study at national level. LS and TD  
411 were supervisors of the study. WK, ACGP, JSN, LS, TD, MK, MF, ML, HKa, IL, HF, OH, SJ  
412 contributed to the study planning and questionnaire design, including translations. WK, ACGP, JSN,  
413 LS, SJ, MK, MF, and HKa conducted interviews and recruited controls. WK, ACGP, JSN, LS, and TD  
414 were responsible for data input. WK analysed and interpreted the data. LS led the microbiological  
415 analysis. WK coordinated, drafted, and finalized the manuscript. All authors and collaborators  
416 contributed to the manuscript and approved the final version.

## 417 Competing interest

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## 422 Ethical statement

423 This work falls within the responsibilities of THL according to the Communicable Diseases Act  
424 1227/2016 and ethical committee clearance was therefore not required. The investigation and  
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428 Use of artificial intelligence tools

429 None declared.

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## 504 TABELS

505 **Table 1.** Characteristics of study participants, Turku, Finland, August – October 2023 (n = 78)

Characteristic	Cases, N = 11 <sup>a</sup>	Controls, N = 67 <sup>a</sup>	<i>p</i> -value
Age	42 (39, 51)	45 (35, 50)	>0.9 <sup>b</sup>
Unknown	0	2	
Sex			>0.9 <sup>c</sup>
Female	0 (0%)	2 (3.0%)	
Male	11 (100%)	64 (97%)	
Unknown	0	1	
Nationality			0.076 <sup>d</sup>
Finland	4 (36%)	46 (69%)	
Other EU/EEA country	5 (45%)	13 (19%)	
Non-EU/EEA country	2 (18%)	8 (12%)	
Living situation			0.007 <sup>d</sup>
Alone	4 (36%)	11 (16%)	
With colleagues	4 (36%)	13 (19%)	
With family	1 (9.1%)	40 (60%)	
With (other) roommates	2 (18%)	3 (4.5%)	
Exposure to fumes	10 (91%)	63 (95%)	0.5 <sup>c</sup>
Unknown	0	1	
Exposure to dust	11 (100%)	64 (97%)	>0.9 <sup>c</sup>
Unknown	0	1	
Exposure to smoke	7 (70%)	50 (78%)	0.7 <sup>c</sup>
Unknown	1	3	
Borrowing PPE			0.025 <sup>d</sup>
Never	9 (82%)	60 (92%)	
Only occasionally	0 (0%)	5 (7.7%)	
Once or twice a week	0 (0%)	0 (0%)	

Characteristic	Cases, N = 11 <sup>a</sup>	Controls, N = 67 <sup>a</sup>	p-value
3-4 times a week	1 (9.1%)	0 (0%)	
Every day	1 (9.1%)	0 (0%)	
Unknown	0	2	
Alcohol consumption			0.10 <sup>d</sup>
Never	2 (18%)	13 (19%)	
Less than once a month	1 (9.1%)	14 (21%)	
Once a month	2 (18%)	5 (7.5%)	
2-3 times a month	1 (9.1%)	13 (19%)	
Once a week	4 (36%)	12 (18%)	
2-3 times a week	0 (0%)	10 (15%)	
Daily or almost daily	1 (9.1%)	0 (0%)	
Smoking status			0.13 <sup>d</sup>
Non-smoker	4 (36%)	27 (41%)	
Former smoker	1 (9.1%)	21 (32%)	
Current smoker	6 (55%)	18 (27%)	
Unknown	0	1	
Comorbidities	3 (27%)	13 (22%)	0.7 <sup>c</sup>
Unknown	0	9	
Vaccination against PD during 2023 campaign	5 (45%)	39 (59%)	0.5 <sup>c</sup>
Unknown	0	1	
Vaccination against PD before 2023 outbreak	3 (27%)	25 (45%)	0.3 <sup>c</sup>
Unknown	0	11	
Vaccination against PD in 2019	3 (27%)	9 (15%)	0.4 <sup>c</sup>
Unknown	0	6	
Ever vaccinated against PD	5 (45%)	46 (74%)	0.077 <sup>c</sup>
Unknown	0	5	

EU/EEA: European Union/European Economic Area

Characteristic	Cases, N = 11 <sup>a</sup>	Controls, N = 67 <sup>a</sup>	p-value
PD: pneumococcal disease			
PPE: personal protective equipment			
<sup>a</sup> Median (IQR); n (%)			
<sup>b</sup> Welch Two Sample t-test			
<sup>c</sup> Fisher's exact test			
<sup>d</sup> Fisher's Exact Test for Count Data with simulated p-value (based on 2000 replicates)			

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**Table 2.** Comparison of cases reported in the 2019 and 2023 pneumococcal disease shipyard outbreaks, Turku, Finland (n = 51)

Characteristic	2019, N = 37 <sup>a</sup>	2023, N = 14 <sup>a</sup>	<i>p</i> -value
Age	48 (37, 55)	42 (39, 50)	0.5 <sup>b</sup>
Sex			0.5 <sup>c</sup>
Female	1 (2.7%)	1 (7.1%)	
Male	36 (97%)	13 (93%)	
Nationality			0.2 <sup>d</sup>
Finland	15 (41%)	4 (29%)	
Other EU/EEA country	19 (51%)	6 (43%)	
Non-EU/EEA country	3 (8.1%)	4 (29%)	
Smoking status			0.3 <sup>d</sup>
Current smoker	27 (77%)	6 (55%)	
Former smoker	2 (5.7%)	1 (9.1%)	
Non-smoker	6 (17%)	4 (36%)	
Unknown	2	3	
Serotype			0.004 <sup>d</sup>
12F	14 (54%)	0 (0%)	
4	11 (42%)	5 (71%)	
8	1 (3.8%)	0 (0%)	
9V	0 (0%)	2 (29%)	
Unknown	11	7	
Living situation			>0.9 <sup>d</sup>
Alone	7 (25%)	3 (27%)	
With family	7 (25%)	2 (18%)	
With roommates	14 (50%)	6 (55%)	
Unknown	9	3	
Number of roommates			0.033 <sup>e</sup>
1 or less	7 (25%)	7 (64%)	
2 or more	21 (75%)	4 (36%)	
Unknown	9	3	

Characteristic	2019, N = 37 <sup>a</sup>	2023, N = 14 <sup>a</sup>	p-value
Occupational health check before work	14 (50%)	6 (55%)	>0.9 <sup>c</sup>
Unknown	9	3	
Main task			0.2 <sup>c</sup>
Welder	4 (14%)	4 (36%)	
Other	24 (86%)	7 (64%)	
Unknown	9	3	
Time spent welding			0.7 <sup>d</sup>
1-2 hours/day	3 (11%)	2 (18%)	
3-5 hours/day	3 (11%)	1 (9.1%)	
More than 5 hours/day	2 (7.4%)	2 (18%)	
Not applicable/does not weld	19 (70%)	6 (55%)	
Unknown	10	3	
Work environment			0.2 <sup>d</sup>
Indoors or mainly indoors	16 (57%)	9 (82%)	
Outdoors or mainly outdoors	1 (3.6%)	1 (9.1%)	
Both	11 (39%)	1 (9.1%)	
Unknown	9	3	
Work sector			0.008 <sup>d</sup>
Only wet dock	25 (93%)	6 (55%)	
Only dry dock	0 (0%)	3 (27%)	
Multiple sectors	2 (7.4%)	2 (18%)	
Unknown	10	3	

EU/EEA: European Union/European Economic Area

<sup>a</sup>Median (IQR); n (%)

<sup>b</sup>Welch Two Sample t-test

<sup>c</sup>Fisher's exact test

<sup>d</sup>Fisher's Exact Test for Count Data with simulated p-value (based on 2000 replicates)