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To the memory of Professor Masaki Maruyama

Abstract

We classify the nilpotent orbits in a simple Lie algebra for which the restriction of the adjoint quotient map to a Slodowy slice is the universal Poisson deformation of its central fibre. This generalises work of Brieskorn and Slodowy on subregular orbits. In particular, we find in this way new singular symplectic hypersurfaces of dimension four and six.

1. Introduction

The purpose of this paper is twofold: firstly, we shall explain how to generalise the classical theorem of Grothendieck, Brieskorn and Slodowy on slices to the subregular nilpotent orbit in a simple Lie algebra to arbitrary nilpotent orbits. The main idea here is to put the problem in the framework of Poisson deformations. Secondly, we shall describe new examples of singular symplectic hypersurfaces. These can be seen as higher dimensional analogues of the Kleinian or DuVal ADE-surface singularities. They arise as slices to very special nilpotent orbits.

(1) Let \mathfrak{g} be a simple complex Lie algebra and consider the characteristic or quotient map $\varphi: \mathfrak{g} \to \mathfrak{g}/\!\!/ G$ for the action of the adjoint group G of \mathfrak{g} . The nullfibre $N = \varphi^{-1}(0)$ consists of the nilpotent elements in \mathfrak{g} and is called the nilpotent cone. It is an irreducible variety and decomposes into finitely many orbits. The dense orbit is called the regular orbit and denoted by \mathcal{O}_{reg} , its complement $N \setminus \mathcal{O}_{reg}$ is again irreducible, its dense orbit is called the subregular orbit and denoted by \mathcal{O}_{sub} .

A slice to the adjoint orbit of a nilpotent element $x \in N$ can be constructed as follows. The Jacobson–Morozov theorem allows one to find elements $h, y \in \mathfrak{g}$ such that x, h and y form an sl₂-triplet, i.e. satisfy the standard commutator relations [h, x] = 2x, [h, y] = -2y and [x, y] = h. The affine space

$$S = x + \operatorname{Ker}(\operatorname{ad} y) \tag{1.1}$$

is a special transversal slice [Slo80a, 7.4] to the orbit through x. We follow the tradition to refer to this choice as a *Slodowy slice*.

The following theorem was conjectured by Grothendieck and proved by Brieskorn in his address [Bri71] to the International Congress in Nice 1970. In its original form it is stated for groups. The following version for Lie algebras is taken from Slodowy's notes [Slo80b, 1.5, Theorem 1].

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THEOREM 1.1 (Grothendieck-Brieskorn). Let \mathfrak{g} be a simple Lie algebra of type ADE, let N be its nilpotent cone and let S be a slice to the orbit of a subregular nilpotent element in \mathfrak{g} . Then the germ $(S \cap N, x)$ is a Kleinian surface singularity with the same Coxeter-Dynkin diagram as \mathfrak{g} , and the restriction $\varphi|_S: (S, x) \to (\mathfrak{g}/\!\!/ G, 0)$ of the characteristic map φ is isomorphic to the semiuniversal deformation of the surface singularity $(S \cap N, x)$.

An immediate obstacle to extending this theorem to other nilpotent orbits deeper down in the orbit stratification of the nilpotent cone is the fact that the intersection $S_0 = S \cap N$ is no longer an isolated singularity so that there simply is no versal deformation theory. The solution to this problem is to notice that S_0 carries a natural *Poisson* structure, that $\varphi|_S : S \to \mathfrak{g}/\!\!/ G$ can be considered as a deformation of Poisson varieties, and that the space of infinitesimal Poisson deformation is again finite dimensional.

Recall that a Poisson structure on an *R*-algebra *A* is an *R*-bilinear Lie bracket $\{-, -\}$: $A \times A \to A$ that satisfies $\{ab, c\} = a\{b, c\} + b\{a, c\}$ for all $a, b, c \in A$. A (relative) Poisson scheme is a morphism $f: X \to Y$ of finite type such that the structure sheaf \mathcal{O}_X carries an \mathcal{O}_Y -bilinear Poisson structure. A Poisson deformation of a Poisson variety *X* over a pointed space (T, t_0) is a flat morphism $p: \mathcal{X} \to T$ with a Poisson structure on \mathcal{X} relative over *T* together with a Poisson isomorphism from *X* to the fibre \mathcal{X}_{t_0} . We will recall the basic properties of, and main results about, Poisson deformations relevant for this paper in § 2.

Returning to the notations introduced above, it turns out that the restriction $\varphi_S := \varphi|_S : S \to \mathfrak{g}/\!\!/ G$ carries a natural relative Poisson structure for the Slodowy slice to any nilpotent element. This Poisson structure is essentially induced by the Lie bracket on \mathfrak{g} . It provides Poisson structures on each fibre of φ_S , and we may consider φ_S as a Poisson deformation of S_0 over the base $\mathfrak{g}/\!\!/ G$ (cf. the article of Gan and Ginzburg [GG02] and §3).

In order to state our first main theorem we need to introduce one more piece of notation: let $\pi : \widetilde{N} \to N$ denote the Springer resolution of the nilpotent cone (cf. § 5). The so-called Springer fibre $F_x := \pi^{-1}(x)$ of $x \in N$ is the variety of all Borel subalgebras $\mathfrak{b} \subset \mathfrak{g}$ such that $x \in \mathfrak{b}$. Keeping the previous notations we can state the following theorem.

THEOREM 1.2. Let x be a non-regular nilpotent element. Then $\varphi_S : S \to \mathfrak{g}/\!\!/ G$ is the formally universal Poisson deformation of S_0 if and only if the restriction map $\rho_x : H^2(\widetilde{N}, \mathbb{Q}) \to H^2(F_x, \mathbb{Q})$ is an isomorphism.

In the theorem, 'formally universal' means that for any Poisson deformation $S' \to T$ of S_0 over a local Artinian base $t_0 \in T$, there is unique map $f: T \to \mathfrak{g}/\!\!/G$ such that $f(t_0) = 0$ and such that S' is isomorphic, as a Poisson deformation of S_0 , to the pullback of S under f. It is a subtle problem which conditions should be imposed on the total space of the Poisson deformation of an affine Poisson variety when the base space is not local Artinian. For the moment being, 'formal universality' is the best we can hope for.

We will see that for any non-regular $x \in N$ the map ρ_x is injective (Proposition 5.3). The following theorem clarifies the questions for which orbits the map ρ_x is indeed an isomorphism.

THEOREM 1.3. Let x be a non-regular nilpotent element. Then the restriction map ρ_x is an isomorphism except in the following cases:

- (B_n) the subregular orbit;
- (C_n) orbits of Jordan types [n, n] and [2n 2i, 2i], for $1 \le i \le n/2$;

 (G_2) the orbits of dimension eight and ten;

(F_4) the subregular orbit.

In particular, ρ_x is an isomorphism for all non-regular nilpotent elements in a simply laced Lie algebra.

Consider the special case of a subregular nilpotent element x. If \mathfrak{g} is simply laced, S_0 is a surface with a corresponding ADE-singularity at x, then Theorems 1.2 and 1.3 provide a Poisson version of Brieskorn's Theorem 1.1. Note that even in this case our theorem claims something new: φ_S is a *formally universal* Poisson deformation, whereas in the sense of usual flat deformations it is only semiuniversal, which means that the classifying maps to the base $(\mathfrak{g}/\!\!/G, 0)$ are unique only on the level of tangent spaces [Slo80a, § 2.3]. If \mathfrak{g} is not simply laced, it follows from Slodowy's results [Slo80a] that $\varphi_S : S \to \mathfrak{g}/\!\!/G$ cannot be the universal Poisson deformation for subregular orbits.

The condition that ρ_x be an isomorphism also appears as a hypothesis in recent work of Braverman, Maulik and Okounkov [BMO11]. They use ρ_x in order to describe explicitly a quantum multiplication operator on the quantum cohomology of the inverse image of the Slodowy slice S_0 in N under the Springer resolution. They show, with different arguments, that ρ is an isomorphism for simply laced \mathfrak{g} (see [BMO11, Appendix]). Thus our Theorem 1.3 extends the range of cases where their [BMO11, Theorem 1.3] applies.

In the exceptional cases of Theorem 1.3, the Slodowy slices do not give the universal Poisson deformations of S_0 and it is natural to ask what the universal Poisson deformations are. We will restrict ourselves to the Poisson deformation not of the affine variety S_0 , but of the germ (S_0, x) in the complex analytic category.

If x is a subregular nilpotent element in a Lie algebra of type B_n , C_n , F_4 and G_2 , then (S_0, x) is a surface singularity of type A_{2n-1} , D_{n+1} , E_6 and D_4 , respectively. One can therefore construct its universal Poisson deformation as a Slodowy slice in the corresponding simply laced Lie algebra.

If x belongs to the eight-dimensional or 'subsubregular' orbit in the Lie algebra of type G_2 , then (S_0, x) turns out to be isomorphic to the analogous singularity (S'_0, x') for a nilpotent element $x' \in \mathfrak{sp}_6$ of Jordan-type [4, 1, 1]. As this orbit is not in the exceptional list of Theorem 1.3 the associated Slodowy slice provides the universal Poisson deformation both of (S'_0, x') and (S_0, x) .

In § 12 we shall discuss an analogous phenomenon for the remaining cases, namely the orbits in \mathfrak{sp}_{2n} of Jordan types [n, n] and [2n - 2i, 2i]. Kraft and Procesi [KP82] already observed this phenomenon without the Poisson point of view. We will clarify that their method is closely related to Weinstein's notion of a *dual pair* in Poisson geometry [Wei83].

(2) One initial motivation for this article was the search for singular symplectic hypersurfaces. A symplectic variety is a normal variety X with a closed non-degenerate 2-form ω on its regular part that extends as a regular 2-form to some (and then any) proper resolution $f: X' \to X$ of the singularities of X. Symplectic varieties carry natural Poisson structures: on the regular part, the form $\omega: T_{X_{\text{reg}}} \to \Omega_{X_{\text{reg}}}$ can be inverted to yield a map $\theta: \Omega_{X_{\text{reg}}} \to T_{X_{\text{reg}}}$. One checks that the bracket $\{f, g\} = \theta(df)(dg)$ on $\mathcal{O}_{X_{\text{reg}}}$ satisfies the Jacobi-identity since ω is closed. By normality, this Poisson structure canonically extends to X. Many examples of singular symplectic varieties that we are aware of (like nilpotent orbit closures, finite group quotients, symplectic reductions) indicate that symplectic singularities tend to require large embedding codimensions.

In particular, singular symplectic hypersurfaces should be rare phenomena. Previously known were only the Klein–DuVal surface singularities in \mathbb{C}^3 . We found the following new examples.

Firstly, there is a series of four-dimensional symplectic hypersurfaces that appear as intersections $S_0 = N \cap S$ of the nilpotent cone N with Slodowy slices S to certain nilpotent orbits in \mathfrak{sp}_{2n} . In simplified coordinates these can be written as follows.

Example 1.4. For each $n \ge 2$ the following polynomial defines a four-dimensional symplectic hypersurface:

$$f = a^{2}x + 2aby + b^{2}z + (xz - y^{2})^{n} \in \mathbb{C}[a, b, x, y, z].$$

Secondly, we have a single six-dimensional example that appears in a similar way in the exceptional Lie algebra \mathfrak{g}_2 . The corresponding polynomial f in seven variables can be best expressed in the following way. Consider the standard action of the symmetric group \mathfrak{S}_3 on \mathbb{C}^2 and the corresponding symplectic action on $\mathbb{C}^2 \oplus (\mathbb{C}^2)^*$. The invariant ring of the latter action is spanned by seven elements, say a, b, c of degree two and p, q, r, s of degree three, that are obtained by polarising the second and the third elementary symmetric polynomial in 3 variables. The ideal of the quotient variety $(\mathbb{C}^2 \oplus \mathbb{C}^{2*})/\mathfrak{S}_3 \subset \mathbb{C}^7$ is generated by the following five relations among the invariants:

$$t_1 = a(ac - b^2) + 2(q^2 - rp), t_2 = b(ac - b^2) + (rq - ps), t_3 = c(ac - b^2) + 2(r^2 - qs),$$
$$z_1 = as - 2br + cq, z_2 = ar - 2bq + cp.$$

Keeping this notation we can state the following example.

Example 1.5. The following polynomial defines a six-dimensional symplectic hypersurface:

$$f = z_1^2 a - 2z_1 z_2 b + z_2^2 c + 2(t_2^2 - t_1 t_3) \in \mathbb{C}[a, b, c, p, q, r, s].$$

In both cases the Poisson structure and in turn the symplectic structure can be recovered from a minimal resolution of the Jacobian ideal that is generated by the partial derivatives of f. We will return to such issues in a later article.

2. Poisson deformations

For the convenience of the reader, we shall briefly review in this section some aspects of the theory of Poisson deformations. For details and further information we refer to the articles of Ginzburg and Kaledin [GK04] and the second author [Nam08b, Nam10, Nam11].

Let $(X, \{,\})$ be an algebraic variety with a Poisson structure. We will usually denote the pair again by the simple letter X and suppress the bracket if no ambiguity can arise. Let A be a local Artinian \mathbb{C} -algebra with residue filed $A/m_A = \mathbb{C}$ and let $T = \operatorname{Spec}(A)$. A Poisson deformation of X over A is a flat morphism $\mathcal{X} \to T$ with a relative Poisson structure $\{,\}_T$ on \mathcal{X}/T and an isomorphism $\phi: X \to \mathcal{X} \times_T \operatorname{Spec}(\mathbb{C})$ of Poisson varieties.

We define $\text{PD}_X(A)$ to be the set of equivalence classes of such pairs $(\mathcal{X}/T, \phi)$ where (\mathcal{X}, ϕ) and (\mathcal{X}', ϕ') are defined to be equivalent if there is a Poisson isomorphism $\psi : \mathcal{X} \cong \mathcal{X}'$ over Twith $\psi \circ \phi = \phi'$. We obtain in this way the *Poisson deformation functor*,

$$\operatorname{PD}_X : (\operatorname{Art})_{\mathbb{C}} \to (\operatorname{Set}),$$

from the category of local Artin \mathbb{C} -algebras with residue field \mathbb{C} to the category of sets. Let $\mathbb{C}[\epsilon]$ be the ring of dual numbers. The set $\mathrm{PD}_X(\mathbb{C}[\epsilon])$ has the structure of a \mathbb{C} -vector space and is

called the tangent space of PD_X . A Poisson deformation of X over $Spec\mathbb{C}[\epsilon]$ is called a *first order* Poisson deformation of X.

As a particularly interesting case, consider an affine symplectic variety X with a symplectic structure ω (cf. Introduction part (2)). Assume further that there exists a symplectic projective resolution $\pi: Y \to X$, i.e. a projective resolution with the property that ω extends to a *symplectic* form on Y. This is equivalent to requiring that π be crepant. (One can replace Y by a Q-factorial terminalisation of X if X does not have a crepant resolution.) As explained in the introduction, both X and Y carry natural Poisson structures. Moreover, if $p: \mathcal{Y} \to T$ is a Poisson deformation of Y, one can show that $\mathcal{X} := \operatorname{Spec}(p_*\mathcal{O}_{\mathcal{Y}}) \to T$ is a Poisson deformation of X. This defines a natural map of functors

$$\pi_*: \mathrm{PD}_Y \to \mathrm{PD}_X.$$

Finally, assume that X has a \mathbb{C}^* action with positive weights such that ω becomes homogeneous of positive weight. (In particular, X is contractible.) In this case, the \mathbb{C}^* action uniquely extends to Y.

Under these assumptions and with the introduced notation one has the following theorem that combines results from [Nam10, Nam11].

THEOREM 2.1 (Namikawa). The Poisson deformation functors PD_X and PD_Y are prorepresentable and unobstructed. More precisely, there is a \mathbb{C}^* -equivariant commutative diagram

$$\begin{array}{cccc} \mathcal{Y} \longrightarrow \mathcal{X} \\ \downarrow & \downarrow \\ \mathbb{A}^d \xrightarrow{\psi} \mathbb{A}^d \end{array} \tag{2.1}$$

where \mathbb{A}^d is the affine space of dimension $d = \dim H^2(Y, \mathbb{C})$ isomorphic to $\mathrm{PD}_X(\mathbb{C}[\epsilon])$, respectively $\mathrm{PD}_Y(\mathbb{C}[\epsilon])$, such that $\mathcal{X} \to \mathbb{A}^d$ and $\mathcal{Y} \to \mathbb{A}^d$ are formally universal Poisson deformations of Xand Y, respectively, at $0 \in \mathbb{A}^d$, and the map ψ is compatible with the functor map $\pi_* : \mathrm{PD}_Y \to \mathrm{PD}_X$. Moreover, ψ is a finite Galois cover with $\psi(0) = 0$.

3. Slodowy slices

Let \mathfrak{g} be a simple complex Lie algebra and G its adjoint group. Let $x \in \mathfrak{g}$ be a nilpotent element. According to the Jacobson–Morozov theorem, there is a nilpotent element $y \in \mathfrak{g}$ and a semisimple element $h \in \mathfrak{g}$ such that [h, x] = 2x, [h, y] = -2y and [x, y] = h. The resulting triple $\{x, h, y\}$, called a Jacobson–Morozov triple for x, defines a Lie algebra homomorphism $\mathfrak{sl}_2 \to \mathfrak{g}$ that is non-zero and hence an embedding if $x \neq 0$. Slodowy [Slo80a, 7.4] showed that the affine space

$$S = x + \operatorname{Ker}(\operatorname{ad} y)$$

is a transverse slice to the conjugacy class of x. One obtains a natural \mathbb{C}^* -action on \mathfrak{g} as follows. The ad h-action yields a decomposition $\mathfrak{g} = \bigoplus_{d \in \mathbb{Z}} \mathfrak{g}(d)$ into weight spaces $\mathfrak{g}(d) = \{z \in \mathfrak{g} \mid [h, z] = dz\}$. Define $\rho_t(z) = t^{2-d}z$ for $z \in \mathfrak{g}(d)$ and extend linearly. This action fixes the nilpotent element x and stabilises the slice S. Nilpotent orbits that intersect S do so transversely. This is clear at x, hence in some open neighbourhood of x in S, then everywhere as \mathbb{C}^* acts with positive weights.

Each fibre of $\varphi : \mathfrak{g} \to \mathfrak{g}/\!\!/ G$ contains a unique conjugacy class of a semisimple element. In this sense, φ maps an element $z \in \mathfrak{g}$ to the class $[z_s]$ of its semisimple part. In particular, its

central fibre is the nilpotent cone $N \subset \mathfrak{g}$. Choose once and for all a Cartan subalgebra $\mathfrak{h} \subset \mathfrak{g}$ containing h. Let W be the associated Weyl group. According to Chevalley, the inclusion $\mathfrak{h} \subset \mathfrak{g}$ induces an isomorphism $\mathbb{C}[\mathfrak{g}]^G \to \mathbb{C}[\mathfrak{h}]^W$, hence an identification $\mathfrak{h}/W \cong \mathfrak{g}/\!\!/G$. The morphism $\varphi: \mathfrak{g} \to \mathfrak{h}/W$ is called the *adjoint quotient*.

According to classic results of Kostant [Kos63] extended by Slodowy [Slo80a, 5.2] and Premet [Pre02, 5], its restriction $\varphi_S: S \to \mathfrak{h}/W$ to the Slodowy slice is faithfully flat (hence surjective) with irreducible, normal complete intersection fibres of dimension dim $S - \operatorname{rk} \mathfrak{g}$ whose smooth points are exactly the regular elements of \mathfrak{g} contained in the fibre. In particular, the central fibre $S_0 = S \cap N$ is an irreducible normal complete intersection whose regular points are the regular nilpotent elements in S.

The Lie bracket on \mathfrak{g} extends uniquely to a Poisson structure on the symmetric algebra $S\mathfrak{g} = \mathbb{C}[\mathfrak{g}^*]$. By construction, the invariant subalgebra $(S\mathfrak{g})^G$ Poisson commutes with all elements in $S\mathfrak{g}$. Identifying \mathfrak{g} with \mathfrak{g}^* via the Killing form defines a Poisson structure on \mathfrak{g} relative to \mathfrak{h}/W .

According to Gan and Ginzburg the Slodowy slice S inherits a \mathbb{C}^* -invariant Poisson structure of weight -2 via a Hamiltonian reduction [GG02, 3.2]. This description also shows that on regular points of the fibres, this Poisson structure corresponds to the Kostant–Kirillov–Souriau symplectic form (see also [Yam95]).

Thus, $\varphi_S: S \to \mathfrak{h}/W$ is a Poisson deformation of the central fibre S_0 .

4. Q-factoriality of the nilpotent cone

The nilpotent cone decomposes into the disjoint union of finitely many nilpotent orbits. The dense orbit of maximal dimension corresponding to regular nilpotent elements in \mathfrak{g} is called the regular orbit \mathcal{O}_{reg} ; its complement $N \setminus \mathcal{O}_{\text{reg}}$ also contains a dense orbit, corresponding to subregular nilpotent elements in \mathfrak{g} , the so-called subregular orbit \mathcal{O}_{sub} . If S is a Slodowy slice to an element in \mathcal{O}_{sub} , then $S_0 = S \cap N$ is a surface singularity of ADE-type, and as such a quotient $\mathbb{C}^2/\Gamma(\mathfrak{g})$ for a finite subgroup $\Gamma(\mathfrak{g}) \subset \text{SL}_2$.

PROPOSITION 4.1. Let $m = |\Gamma(\mathfrak{g})|$. The nilpotent cone is analytically locally *m*-factorial.

Recall that a normal variety Z is locally *m*-factorial if for any local Weil divisor D of Z, the divisor mD is Cartier.

Proof. Recall the following general facts. Let X be a reduced complex analytic space and consider a Whitney stratification on X. Denote by X_i the union of the strata of dimension less than or equal to *i*. The rectified homological depth of X is said to be greater than or equal to *n* if, for any point $x \in X_i \setminus X_{i-1}$, there is a fundamental system (U_α) of neighbourhoods of x in X such that, for any α , we have $H_k(U_\alpha, U_\alpha \setminus X_i; \mathbb{Z}) = 0$ for k < n - i. The vanishing of homology still holds if we replace U_α by a sufficiently small contractible open neighbourhood U of x. The basic result we will use is that if X is locally a non empty complete intersection, then the rectified homological depth of X is equal to the dimension of X (see [HL91, Corollary 3.2.2] and use the relative Hurewicz theorem).

Recall that N is a complete intersection. We will apply the above for the stratification given by the orbits. Our proof is by induction on the codimension d(O) of the orbit inside N. Note that d(O) is even. When d(O) = 0, every point $z \in O$ is actually a smooth point of N, so the claim holds trivially. When d(O) = 2, then N has ADE singularities along O, and hence N is *m*-factorial at $x \in O$ as a quotient $\mathbb{C}^2/\Gamma(\mathfrak{g})$. In the general case, consider a Weil divisor D in an

open contractible Stein neighbourhood U of $x \in N$. Let B be the intersection of the orbit of x with U. Note that $B \subset \text{Sing}(U)$ but that B itself is smooth of codimension greater than or equal to four in U.

By induction, for $w \in \text{Sing}(U) \setminus B$, we know that mD is Cartier in an open neighbourhood of $w \in N$. Hence we may assume that mD is Cartier in $U \setminus B$, i.e. that there is a line bundle L on $U \setminus B$ and a section s in L such that mD is the zero locus of s. Consider the exact sequence of the pair $(U, U \setminus B)$

$$H^{2}(U,\mathbb{Z}) \to H^{2}(U \setminus B,\mathbb{Z}) \to H^{3}(U,U \setminus B;\mathbb{Z}).$$

$$(4.1)$$

Note that $H^2(U, \mathbb{Z}) = 0$, as U is Stein and contractible. Moreover we have $H_3(U, U \setminus B; \mathbb{Z}) = 0$, as the rectified homological depth of N is equal to the dimension of N and B is of codimension greater than or equal to 4. By the universal coefficient theorem, it follows that $H^3(U, U \setminus B; \mathbb{Z}) = 0$ because $H_3(U, U \setminus B; \mathbb{Z}) = 0$.

From the exact sequence (4.1), we see that $H^2(U \setminus B, \mathbb{Z}) = 0$. Now since U is Cohen–Macaulay and $\operatorname{codim}_U B \ge 4$, we know that $H^i(U \setminus B, \mathcal{O}) = 0$ for $i \le 2$. Using the exponential sequence, we see that $H^1(U \setminus B, \mathcal{O}^*) \cong H^2(U \setminus B, \mathbb{Z}) = 0$ so that we have $\operatorname{Pic}^{\operatorname{an}}(U \setminus B) = 0$. It follows that L is trivial on $U \setminus B$. The section s extends by normality. Hence mD is Cartier on U.

In the algebraic case, the result follows from parafactoriality of complete intersections.

Remark 4.2. The nilpotent cone is algebraically locally *m*-factorial.

Proof. The assertion is clear on the open subset $U = \mathcal{O}_{reg} \cup \mathcal{O}_{sub}$. Let $V \supset U$ be an open subset of N such that V is locally *m*-factorial.

Let η be a generic point of $N \setminus V$ and let D be a Weil divisor on $T = \operatorname{Spec}(\mathcal{O}_{N,\eta})$. By the choice of V, there is a line bundle L on $T \setminus \{\eta\}$ and a section s in L such that mD is the zero locus of s.

Now the local ring $\mathcal{O}_{N,\eta}$ is a complete intersection of dimension greater than or equal to four and hence parafactorial according to Grothendieck [Gro68, Théorème 3.13(ii)]. This implies that $\operatorname{Pic}(T \setminus \{\eta\}) = 0$. In particular, L is trivial and the section s extends to a section in $\Gamma(T, \mathcal{O}_T)$ as T is normal. This shows that mD is Cartier on T.

The assertion follows by noetherian induction.

5. Simultaneous resolutions

Let \mathfrak{g} be a simple complex Lie algebra and let $\mathfrak{h} \subset \mathfrak{g}$ be a Cartan subalgebra as in §3. Let G be the adjoint group and $T \subset G$ the maximal torus corresponding to \mathfrak{h} . For any Borel subgroup Bwith $T \subset B \subset G$ and with Lie algebra \mathfrak{b} consider the commutative diagram

$$\begin{array}{c|c} G \times^{B} \mathfrak{b} & \xrightarrow{\pi_{B}} \mathfrak{g} \\ \varphi_{B} & & & \downarrow \varphi \\ \mathfrak{h} & \xrightarrow{\pi} & \mathfrak{h}/W \end{array}$$

$$(5.1)$$

where π is the quotient map and π_B and φ_B are defined as follows. For a class $[g, b] \in G \times^B \mathfrak{b}$ let $b = b_0 + b_1$ be the decomposition corresponding to $\mathfrak{b} = \mathfrak{h} \oplus [\mathfrak{b}, \mathfrak{b}]$. Then $\varphi_B([g, b]) = b_0$ and $\pi_B([g, b]) = \operatorname{Ad}(g)b$. According to Grothendieck, the above diagram is a simultaneous resolution for φ . The central fibre of φ_B is the cotangent bundle $T^*(G/B)$ of the flag variety G/B. The map

$$\pi_{B,0}: T^*(G/B) \to N \tag{5.2}$$

is a crepant resolution. The exceptional locus E is a normal crossing divisor whose components correspond bijectively to the simple roots defined by the choice of \mathfrak{b} (see [Slo80b, 3.2]).

Let $z \in N$ be a non-regular nilpotent element. Choose an open neighbourhood $V \subset T^*(G/B)$ of the fibre $F_z = \pi_{B,0}^{-1}(z)$ such that the inclusion $F_z \subset V$ is a homotopy equivalence. As $\pi_{B,0} : T^*(G/B) \to N$ is proper we may choose a contractible open Stein neighbourhood $U \subset N$ of zsuch that $\widetilde{U} := \pi_{B,0}^{-1}(U) \subset V$.

LEMMA 5.1. The map $\operatorname{Pic}^{\operatorname{an}}(\widetilde{U}) \xrightarrow{c_1} H^2(\widetilde{U}; \mathbb{Z})$ is an isomorphism. Moreover, $\operatorname{Pic}^{\operatorname{an}}(\widetilde{U})_{\mathbb{Q}}$ has a basis given by the line bundles $\mathcal{O}(E_i)$, where the E_i are the components of $\widetilde{U} \cap E$.

Proof. We know that $\widetilde{U} \to U$ is a resolution of rational singularities. As U is Stein, it follows from Leray's spectral sequence $H^p(U, R^q \pi_{B,0*} \mathcal{O}_{\widetilde{U}}) \Rightarrow H^{p+q}(\widetilde{U}, \mathcal{O}_{\widetilde{U}})$ that the cohomology groups $H^i(\widetilde{U}, \mathcal{O})$ vanish for i = 1, 2. Hence the exponential cohomology sequence

$$H^1(\widetilde{U}, \mathcal{O}) \to H^1(\widetilde{U}, \mathcal{O}^*) \to H^2(\widetilde{U}, \mathbb{Z}) \to H^2(\widetilde{U}, \mathcal{O})$$
 (5.3)

yields the first assertion.

Let $\widetilde{D} \subset \widetilde{U}$ be a prime divisor distinct from any E_i . Then $D = \pi_{B,0}(\widetilde{D})$ is a Weil divisor on U. As U is analytically \mathbb{Q} -factorial by Proposition 4.1, there is an integer m such that mD is Cartier. As U is Stein and contractible, $\operatorname{Pic}^{\operatorname{an}}(U) = 0$ by a result of Grauert, and hence there is an analytic function $s \in \mathcal{O}(U)$ such that $mD = \{s = 0\}$. It follows that $\{\pi_{B,0}^*(s) = 0\} =$ $m\widetilde{D} + \sum m_i E_i$ for suitable coefficients. This shows that $\operatorname{Pic}^{\operatorname{an}}(\widetilde{U})_{\mathbb{Q}}$ is generated by the line bundles $\mathcal{O}(E_i)$.

It remains to see that these line bundles are linearly independent. Note that every E_i maps surjectively to a component of the singular locus of U as $\pi_{B,0}: T^*(G/B) \to N$ is semismall. Choose a surface $A \subset U$ that intersects every component of the singular locus non-trivially and in such a way that $\widetilde{A} = \pi_{B,0}^{-1}(A)$ is smooth (Bertini). Let C_j be the components of the exceptional fibres of $\widetilde{A} \to A$. By a result of Grauert, the intersection matrix of the curves C_j is negative definite. In particular, the classes of the line bundles $\mathcal{O}_{\widetilde{A}}(C_j)$ are linearly independent. A fortiori, the line bundles $\mathcal{O}(E_i)$ are linearly independent. \Box

Keeping the notation we have furthermore the following lemma.

LEMMA 5.2. Let $z' \in U$ be a non-regular nilpotent element. Then the composition

$$H^2(F_z, \mathbb{Q}) \xrightarrow{\operatorname{res}^{-1}} H^2(V, \mathbb{Q}) \xrightarrow{\operatorname{res}} H^2(F_{z'}, \mathbb{Q})$$
 (5.4)

is injective. In particular, if $v \in N$ is an arbitrary non-regular nilpotent element such that $z \in \overline{G.v}$, then dim $H^2(F_z, \mathbb{Q}) \leq \dim H^2(F_v, \mathbb{Q})$.

Proof. As before, we may choose an open neighbourhood $V' \subset \widetilde{U}$ of the fibre $F_{z'} = \pi_{B,0}^{-1}(z')$ such that the inclusion $F_{z'} \subset V'$ is a homotopy equivalence and an open contractible Stein

neighbourhood $U' \subset U$ of z' such that $\widetilde{U}' := \pi_{B,0}^{-1}(U') \subset V'$. We get the following diagram of restriction maps.

$$\begin{array}{cccc} H^{2}(V, \mathbb{Q}) & \stackrel{a}{\longrightarrow} H^{2}(V', \mathbb{Q}) \\ & \stackrel{i}{\bigvee} & \stackrel{i}{\bigvee} & \stackrel{i'}{\downarrow} \\ H^{2}(\widetilde{U}, \mathbb{Q}) & \stackrel{b}{\longrightarrow} H^{2}(\widetilde{U}', \mathbb{Q}) \\ & \stackrel{p}{\bigvee} & \stackrel{i}{\bigvee} & \stackrel{p'}{\downarrow} \\ H^{2}(F_{z}, \mathbb{Q}) & H^{2}(F_{z'}, \mathbb{Q}) \end{array}$$

$$(5.5)$$

Since pi and p'i' are isomorphisms, i and i' are injective and p and p' are surjective. Next, b is injective: to see this it suffices by Lemma 5.1 that every component E_i of $\tilde{U} \cap E$ intersects with \tilde{U}' non-trivially. However, this is clear as E_i maps surjectively to the singular locus of U, and hence hits z'. Since i and b are injective, it now follows that a is injective. Finally, p'i'a is injective as claimed. The assertion on the dimension follows of course from the fact that the orbit of v intersects U and that points in the same nilpotent orbits have isomorphic fibres.

PROPOSITION 5.3. Let $z \in N$ be a non-regular nilpotent element. The restriction map $H^2(T^*(G/B), \mathbb{Q}) \to H^2(F_z, \mathbb{Q})$ is injective. In particular, it is an isomorphism if and only if $\dim H^2(F_z, \mathbb{Q}) = \operatorname{rk} \mathfrak{g}$.

Proof. Choose a subregular nilpotent element $p \in U$. Then we have the following diagram of restriction maps.

$$H^{2}(F_{z}, \mathbb{Q}) \xleftarrow{\operatorname{res}_{z}} H^{2}(V, \mathbb{Q}) \xrightarrow{\operatorname{res}_{p}} H^{2}(F_{p}, \mathbb{Q})$$

$$a_{z} \qquad a_{p}$$

$$H^{2}(T^{*}(G/B), \mathbb{Q}) \qquad (5.6)$$

The map res_z is an isomorphism by the choice of V. By [Slo80b, 4.5 Corollary], the map a_p is injective, and hence a_z is injective as well.

Let S be a Slodowy slice to an element $x \in N$ as in §3. Define $S_B := \pi_B^{-1}(S)$. The induced commutative diagram

is a simultaneous resolution of $S \to \mathfrak{h}/W$ according to Slodowy [Slo80a, 5.3]. It yields a projective crepant resolution $\alpha_B : S_B \to S \times_{\mathfrak{h}/W} \mathfrak{h}$.

THEOREM 5.4. Assume that x satisfies the following condition:

$$\dim H^2(F_x, \mathbb{Q}) = \operatorname{rk} \mathfrak{g}.$$
(*)

Then every projective crepant resolution $\alpha': S' \to S \times_{\mathfrak{h}/W} \mathfrak{h}$ is isomorphic to α_B for some Borel subgroup B with $T \subset B$.

We will prove the theorem in several steps.

PROPOSITION 5.5. Assume condition (*) holds. Then the natural restriction map

$$H^2(G \times^B \mathfrak{b}, \mathbb{Q}) \longrightarrow H^2(S_B, \mathbb{Q})$$
 (5.8)

is an isomorphism.

Proof. We denote by $S_{B,0}$ the central fibre of φ_{BS} . Consider the following commutative diagram.

Firstly, $H^2(G \times^B \mathfrak{b}, \mathbb{Q}) \to H^2(T^*(G/B), \mathbb{Q})$ is an isomorphism. Indeed, as we know that $T^*(G/B) = G \times^B [\mathfrak{b}, \mathfrak{b}]$, this is clear from the inclusion $[\mathfrak{b}, \mathfrak{b}] \subset \mathfrak{b}$. Secondly, $H^2(S_B, \mathbb{Q}) \to H^2(S_{B,0}, \mathbb{Q})$ is an isomorphism. This follows from the fact that $\varphi_{BS} : S_B \to \mathfrak{h}$ is a trivial fibre bundle of C^{∞} -manifolds [Slo80b, Remark at the end of §4.2]. It follows that (5.8) is an isomorphism if and only if the restriction

$$H^2(T^*(G/B), \mathbb{Q}) \to H^2(S_{B,0}, \mathbb{Q})$$

$$(5.10)$$

is. Now F_x is the fibre over the unique \mathbb{C}^* fixed point x of the proper equivariant map $S_{B,0} \to S_0$. Hence $F_x \to S_{B,0}$ is a homotopy equivalence and the restriction

$$H^2(S_{B,0}, \mathbb{Q}) \xrightarrow{\sim} H^2(F_x, \mathbb{Q})$$
 (5.11)

is an isomorphism. The proposition now follows, using Proposition 5.3, from condition (*). \Box

Let $\overline{\operatorname{Amp}}(\alpha_B) \subset H^2(G \times^B \mathfrak{b})$ be the relative nef cone of $\alpha_B : G \times^B \mathfrak{b} \to \mathfrak{g} \times_{\mathfrak{h}/W} \mathfrak{h}$ and similarly let $\overline{\operatorname{Amp}}(\alpha_{BS}) \subset H^2(S_B, \mathbb{R})$ be the nef cone of $\alpha_{BS} : S_B \to S \times_{\mathfrak{h}/W} \mathfrak{h}$.

PROPOSITION 5.6. Assume that condition (*) holds. The restriction isomorphism $H^2(G \times^B \mathfrak{b}, \mathbb{R}) \longrightarrow H^2(S_B, \mathbb{R})$ maps $\overline{\operatorname{Amp}}(\alpha_B)$ onto $\overline{\operatorname{Amp}}(\alpha_{BS})$.

Proof. As any relatively ample line bundle L on $G \times^B \mathfrak{b}$ restricts to a relatively ample line bundle on S_B , it is clear that the image of $\overline{\operatorname{Amp}}(\alpha_B)$ is contained in $\overline{\operatorname{Amp}}(\alpha_{BS})$. The nef cone $\overline{\operatorname{Amp}}(\alpha_B)$ is a simplicial rational polyhedral cone in $H^2(G \times^B \mathfrak{b}, \mathbb{R})$ spanned by line bundles $L_i = G \times^B \mathbb{C}(\chi_i^{-1})$ where $\mathbb{C}(\chi_i)$ is the one dimension representation of B corresponding to the character χ_i and $\chi_1, \ldots, \chi_{\mathrm{rk}\mathfrak{g}}$ are the fundamental weights of B. If L is a general element in the one codimensional face of $\overline{\operatorname{Amp}}(\alpha_B)$ opposite to L_i , then the morphism $\varphi_{|mL|}$ associated to Lcontracts for every positive m at least one of the rational curves in any fibre F_p for p subregular. In particular, the restriction of L to S_B cannot be ample and hence is contained in the boundary of $\overline{\operatorname{Amp}}(\alpha_B)$. This shows that $\partial \overline{\operatorname{Amp}}(\alpha_B)$ is mapped to $\partial \overline{\operatorname{Amp}}(\alpha_B)$.

Proof of Theorem 5.4. Recall that under the isomorphism

$$H^{2}(G \times^{B} \mathfrak{b}, \mathbb{R}) \cong H^{2}(G/T, \mathbb{R}) \cong \mathfrak{h}_{\mathbb{R}}^{*}$$
(5.12)

the nef cone $\overline{\operatorname{Amp}}(\alpha_B)$ is mapped to the Weyl chamber determined by the choice of B. As $\mathfrak{h}_{\mathbb{R}}^*$ is the union of all Weyl chambers, it follows that

$$H^{2}(G \times^{B} \mathfrak{b}, \mathbb{R}) = \bigcup_{w \in W} \overline{\operatorname{Amp}}(\alpha_{w(B)})$$
(5.13)

where $w(B) = wBw^{-1}$. Proposition 5.6 implies that

$$H^{2}(S_{B}, \mathbb{R}) = \bigcup_{w \in W} \overline{\operatorname{Amp}}(\alpha_{w(B)S}).$$
(5.14)

Now recall the following general fact. Suppose that $\beta': Z' \to Z$ and $\beta'': Z'' \to Z$ are projective crepant resolutions of an affine normal Gorenstein variety Z. Then the rational map $\gamma: Z' \dashrightarrow Z''$ is an isomorphism in codimension one and induces an isomorphism $\gamma^*: H^2(Z'', \mathbb{R}) \xrightarrow{\sim} H^2(Z', \mathbb{R})$. Assume that $\lambda \in \operatorname{Amp}(\beta') \cap \gamma^*(\operatorname{Amp}(\beta''))$ is a class corresponding to line bundles $L' \in \operatorname{Pic}(Z'/Z)$ and $L'' \in \operatorname{Pic}(Z''/Z)$. Replacing L' and L'' by a sufficiently high power, we assume that L' and L'' are relatively very ample. For codimension reasons, $H^0(Z', L') \cong H^0(Z'', L'')$. In particular, Z' and Z'' are embedded with the same image into some projective space \mathbb{P}^n_Z . Phrased differently, if $\gamma: Z' \dashrightarrow Z''$ is not a isomorphism then the ample cones must be disjoint.

Applying this argument to the variety S, we infer from (5.14) that any resolution of S must be of the form $\varphi_B: S_B \to S$.

6. Universal Poisson deformations

We now go back to the situation of the preceding sections and consider for a given Borel subgroup $B \supset T$ the simultaneous resolution of (5.7).

We have seen in §3 that S has a natural Poisson structure relative to \mathfrak{h}/W as well as S_B relative to \mathfrak{h} .

We start proving Theorem 1.2. The strategy is to show that the commutative diagram of Slodowy slices coincides with the abstract one constructed in $\S 2$.

Let us consider $\varphi_{BS} : S_B \to \mathfrak{h}$ and denote by $S_{B,0}$ the central fibre of φ_{BS} . Equivalence classes of infinitesimal Poisson deformations of $S_{B,0}$ are classified by the second hypercohomology of the Lichnerowicz–Poisson complex [Nam08b, Proposition 8]

$$\wedge^1 \Theta_{S_{B,0}} \xrightarrow{\delta} \wedge^2 \Theta_{S_{B,0}} \xrightarrow{\delta} \cdots ,$$

where $\wedge^p \Theta_{S_{B,0}}$ is placed in degree p. Since the Poisson structure of $S_{B,0}$ comes from a symplectic structure, the Lichnerowicz–Poisson complex can be identified with the truncated De Rham complex

$$\Omega^1_{S_{B,0}} \xrightarrow{d} \Omega^2_{S_{B,0}} \xrightarrow{d} \cdots$$

Using the fact that

$$H^1(S_{B,0}, \mathcal{O}_{S_{B,0}}) = H^2(S_{B,0}, \mathcal{O}_{S_{B,0}}) = 0,$$

we see [Nam08b, Corollary 10] that

$$\mathbb{H}^{2}(S_{B,0}, \Omega_{S_{B,0}}^{\geq 1}) = H^{2}(S_{B,0}, \mathbb{C}).$$

In the language of the Poisson deformation functor (cf. $\S 2$) we have

$$T_{\mathrm{PD}_{S_{B,0}}} = H^2(S_{B,0}, \mathbb{C}).$$

The relative symplectic 2-form of S_B/\mathfrak{h} defines a class in $H^2(S_{B,t}, \mathbb{C})$ for each fibre $S_{B,t}$ of $S_B \to \mathfrak{h}$. Identifying $H^2(S_{B,t}, \mathbb{C})$ with $H^2(S_{B,0}, \mathbb{C})$ we get a period map

$$\kappa: \mathfrak{h} \to H^2(S_{B,0}, \mathbb{C}),$$

which can be regarded as the Kodaira–Spencer map for the Poisson deformation $S_B \to \mathfrak{h}$.

PROPOSITION 6.1. Suppose condition (*) holds. Then $\varphi_{BS} : S_B \to \mathfrak{h}$ is the formal universal Poisson deformation of $S_{B,0}$.

Proof. Consider the Kodaira–Spencer maps $\kappa : \mathfrak{h} \to H^2(S_{B,0}, \mathbb{C})$ and $\kappa' : \mathfrak{h} \to T_{\mathrm{PD}_{T^*(G/B)}} = H^2(T^*(G/B), \mathbb{C})$ associated to the Poisson deformation $\varphi_{BS} : S_B \to \mathfrak{h}$ of $S_{B,0}$ and $G \times^B \mathfrak{b} \to \mathfrak{h}$ of $T^*(G/B)$, respectively. As the relative symplectic structure of S_B is the restriction of the relative symplectic structure of $G \times^B \mathfrak{b}$, the following diagram is commutative.

$$\overset{\kappa'}{\longrightarrow} \overset{\mathfrak{h}}{\longrightarrow} \overset{\kappa}{\longrightarrow} H^2(S_{B,0}, \mathbb{C})$$

$$(6.2)$$

We have seen in the proof of Proposition 5.5 that the horizontal restriction map is an isomorphism. By [Nam10, Proposition 2.7], the Poisson deformation $G \times^B \mathfrak{b} \to \mathfrak{h}$ is formally universal at $0 \in \mathfrak{h}$. Hence κ' is an isomorphism, and so is κ . This means that φ_{BS} is formally semiuniversal. By [Nam11, Corollary 2.5], φ_{BS} is formally universal.

We next treat $\varphi_S : S \to \mathfrak{h}/W$. By Proposition 6.1 and Theorem 2.1, the base space of the universal Poisson deformation of S_0 can be written as \mathfrak{h}/H for some finite subgroup $H \subset \mathrm{Gl}(\mathfrak{h})$. The following proposition asserts that H is actually the Weyl group W and finishes the proof of Theorem 1.2.

PROPOSITION 6.2. Suppose condition (*) holds. Then $\varphi_S : S \to \mathfrak{h}/W$ is the formally universal Poisson deformation of S_0 .

Proof. Let $\mathfrak{X} \to \mathfrak{h}/H$ be the universal \mathbb{C}^* -equivariant Poisson deformation of S_0 . As $\underline{\operatorname{Spec}}(\pi_{B*}\mathcal{O}_{S_B}) \cong \mathfrak{h} \times_{\mathfrak{h}/W} S$ the morphism $h \to \mathfrak{h}/H$ factors through the quotient map $\mathfrak{h} \to \mathfrak{h}/W$ and the classifying morphism $\mathfrak{h}/W \to \mathfrak{h}/H$ for the Poisson deformation $S \to \mathfrak{h}/W$ of S_0 . (Strictly speaking the morphisms $\mathfrak{h} \to \mathfrak{h}/W \to \mathfrak{h}/H$ are first defined on the respective completions of the origin. As \mathbb{C}^* acts with strictly positive weights on all three spaces and all maps are equivariant, the morphisms are defined as stated above.)

Consider the following commutative diagram.

$$S_B \xrightarrow{\pi_B} S \longrightarrow \mathfrak{X}$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$\mathfrak{h} \longrightarrow \mathfrak{h}/W \longrightarrow \mathfrak{h}/H$$
(6.3)

It follows from the factorisation $\mathfrak{h} \to \mathfrak{h}/W \to \mathfrak{h}/H$ that $W \subset H$. We need to prove the converse inclusion.

Let $h \in H$. Define S' to be the fibre product.

Note that $\mathfrak{h} \times_{\mathfrak{h}/H} \mathfrak{X} = \mathfrak{h} \times_{\mathfrak{h}/W} S$. Since φ' is a crepant resolution, by Theorem 5.4 there exists an element $w \in W$ and a commutative diagram.

$$S_{w(B)} \xrightarrow{q} S'$$

$$\mathfrak{h} \times_{\mathfrak{h}/H} \mathfrak{X}$$

$$(6.5)$$

On the other hand, w defines a map

$$w': G \times^{B} \mathfrak{b} \to G \times^{w(B)} \mathrm{ad}(w)(\mathfrak{b}), \quad [g, \xi] \mapsto [gw^{-1}, \mathrm{ad}(w)(\xi)]$$
(6.6)

and induces a cartesian diagram.

By construction, $h'qw': S_B \to S_B$ induces the identity on the central fibre $\phi_B^{-1}(0)$, and hence the composite diagram

$$\begin{array}{c|c}
S_B & \xrightarrow{h'qw'} & S_B \\
\varphi_B & & & & \downarrow \varphi_B \\
\mathfrak{h} & \xrightarrow{hw} & \mathfrak{h} \\
\end{array} (6.8)$$

is an automorphism of the universal Poisson deformation of $S_{B,0}$. It follows that hw = id, hence $h \in W$.

When (*) is not satisfied, dim $\mathfrak{h} < b_2(S_{B,0}, \mathbb{C})$. Since the base spaces of universal Poisson deformations of $S_{B,0}$ and S_0 should have dimension $b_2(S_{B,0}, \mathbb{C})$, none of $\varphi_{BS} : S_B \to \mathfrak{h}$ or $\varphi_S : S \to \mathfrak{h}/W$ is universal when (*) does not hold.

We will investigate when (*) is satisfied in the following sections.

7. The simply laced case

It remains to prove the following proposition.

PROPOSITION 7.1. Condition (*) holds for all non-regular nilpotent element x in a simple Lie algebra of type A, D, E.

Proof. Note that in (5.6) the map a_p is an isomorphism by [Slo80b, 4.5], and hence a_x is an isomorphism as well.

8. Lie algebras of type C

Let \mathfrak{g} be a classical Lie algebra of type B_n or C_n . The nilpotent orbits may by labelled by partitions $d = [d_1 \ge d_2 \ge \cdots \ge d_\ell]$ of 2n + 1, respectively 2n, corresponding to the Jordan type of the elements in the orbit. For Lie algebras of type B, i.e. $\mathfrak{g} = \mathfrak{so}_{2n+1}$, the map $d \mapsto \mathcal{O}_d$ defines a bijection between the set of those partitions where each even part appears with even multiplicity and the set of nilpotent orbits [CM93, Theorem 5.1.2]. Similarly, for Lie algebras of type C, i.e. $\mathfrak{g} = \mathfrak{sp}_{2n}$, the map $d \mapsto \mathcal{O}_d$ defines a bijection between the set of those partitions where each odd part appears with even multiplicity and the set of nilpotent orbits [CM93, Theorem 5.1.3]. The set of partitions is partially ordered by the rule

$$d \leqslant d' :\Leftrightarrow \sum_{i=1}^{k} d_i \leqslant \sum_{i=1}^{k} d'_i \quad \text{for all } k.$$
(8.1)

By a theorem of Gerstenhaber and Hesselink [CM93, Theorem 6.2.5], $\mathcal{O}_d \subset \overline{\mathcal{O}_{d'}}$ if and only if $d \leq d'$.

In this section, we study Slodowy slices for the Lie algebra $\mathfrak{g} = \mathfrak{sp}(2n)$ for $n \ge 2$.

PROPOSITION 8.1. Let d be the Jordan type of a non-regular nilpotent orbit in \mathfrak{sp}_{2n} , and let F_d denote the isomorphism type of the fibre $\pi^{-1}(x)$ over an element $x \in \mathcal{O}_d$ under the Springer resolution $\pi : G \times^B \mathfrak{b} \to \mathfrak{g}$.

- (1) For d = [n, n] and d = [2n 2i, 2i], where i = 1, ..., |n/2|, dim $H^2(F_d, \mathbb{Q}) = n + 1$.
- (2) For all other d, dim $H^2(F_d, \mathbb{Q}) = n$.

As a consequence, condition (*) holds for all non-regular nilpotent orbits except d = [n, n]or d = [2n - 2i, 2i] for some $i = 1, \ldots, \lfloor n/2 \rfloor$. This proves part (C_n) of Theorem 1.3. In the proof, we will use the following interpretation of the fibres of the Springer resolution: the fibre $F_d = \pi^{-1}(x)$ can be identified with the set of Borel subalgebras in \mathfrak{g} containing x. Borel algebras \mathfrak{b} in the symplectic Lie algebra \mathfrak{sp}_{2n} correspond in turn to flags $[W] : W_1 \subset W_2 \subset \cdots \otimes W_n \subset \mathbb{C}^{2n}$ of isotropic subspaces, and the condition $x \in \mathfrak{b}$ translates into $x(W_i) \subset W_{i-1}$ and $x(W_1) = 0$. Sending a flag [W] to the line W_1 defines a morphism $\psi : F_{[n,n]} \to \mathbb{P}(\operatorname{Ker}(x))$. For a given line $[W_1] \in \mathbb{P}(\operatorname{Ker}(x))$, we may consider the symplectic vector space $\overline{V} = W_1^{\perp}/W_1 \cong \mathbb{C}^{2n-2}$ with the induced nilpotent endomorphism \overline{x} . If \overline{d} denotes the Jordan type of \overline{x} , then the fibre $\psi^{-1}([W_1])$ is isomorphic to $F_{\overline{d}}$. This observation will allow us to argue by induction on the dimension of the symplectic space.

Proof. For any partition d of length greater than or equal to 3, we have $d \leq [2n - 2, 1, 1]$, whereas for any partition d of length 2, we have $[n, n] \leq d$.

(1) We first consider the case of a partition d = [2n - k, k] with two parts. As the multiplicity of each odd part needs to be even, this leaves the cases [2n - 2i, 2i] and additionally, if n is odd, the partition [n, n].

The subregular nilpotent orbit has Jordan type [2n - 2, 2]. It is well known (cf. [Slo80a]) that the fibre $F_{[2n-2,2]}$ is the union of n + 1 projective lines intersecting in a D_{n+1} -configuration, so that dim $H^2(F_{[2n-2,2]}, \mathbb{Q}) = n + 1$. Moreover, the ordering of the partitions of length 2 takes the form $[2n - 2, 2] > [2n - 4, 4] > \cdots > [n, n]$. By Lemma 5.2 it suffices to prove assertion (1) of the proposition for the partition [n, n]. The case n = 2 is already covered, and we proceed by induction. We work with the following model for a 2n-dimensional symplectic vector space with a nilpotent endomorphism of type [n, n]: let the action of x on $V = \langle v_1, \ldots, v_n, w_1, \ldots, w_n \rangle$

be given by $xv_i = v_{i+1}$, $xw_i = w_{i+1}$ and $xv_n = xw_n = 0$, and let the symplectic form be fixed by $\omega(v_i, w_j) = (-1)^{i-1} \delta_{i+j,n+1}$ and $v_i \perp v_j$, $w_i \perp w_j$ for all i, j. Consider now the map $\psi : F_{[n,n]} \rightarrow \mathbb{P}(\operatorname{Ker}(x)) = \mathbb{P}^1$ sending a flag [W] of isotropic subspaces $W_1 \subset \cdots \subset W_n$ to the line $W_1 \subset \operatorname{Ker}(x)$. In order to identify the fibre $\psi^{-1}(W_1)$ we need to determine the Jordan type of the induced endomorphism \overline{x} on $\overline{V} = W_1^{\perp}/W_1$.

Assume first that n is odd. The kernel of x is spanned by v_n and w_n , and if $W_1 = \langle \alpha v_n + \beta w_n \rangle$, then W_1^{\perp} is spanned by the elements $\alpha v_i + \beta w_i$, $i = 1, \ldots, n$ and $\gamma v_i + \delta w_i$, $i = 2, \ldots, n$, where γ, δ are constants with $\alpha \delta - \beta \gamma \neq 0$. Then \overline{V} is spanned by the classes of $\alpha v_i + \beta w_i$, $i = 1, \ldots, n-1$ and $\gamma v_i + \delta w_i$, $i = 2, \ldots, n$. As the action of \overline{x} can be easily read off from this bases we see that \overline{x} has Jordan type $\overline{d} = [n-1, n-1]$. More precisely, this description shows that $\psi: F_{[n,n]} \to \mathbb{P}^1$ is a fibre bundle with fibres $F_{[n-1,n-1]}$. In particular, $R^2 \psi_* \mathbb{Q}$ is a local system on $\mathbb{P}^{1,an}$, and by induction its rank is n. Since \mathbb{P}^1 is simply connected, this local system is trivial. Finally, all fibres have vanishing first cohomology groups and the Leray spectral sequence $H^p(\mathbb{P}^1, R^q \psi_* \mathbb{Q}) \Longrightarrow H^{p+q}(F_{[n,n]}, \mathbb{Q})$ shows that $H^2(F_{[n,n]}, \mathbb{Q}) \cong \mathbb{Q}^{n+1}$.

Assume now that n is even. We keep the notation of the previous paragraph. However, in the even case the structure of the fibre $\psi^{-1}(\langle \alpha v_n + \beta w_n \rangle)$ does depend on the choice of $W_1 = \langle \alpha v_n + \beta w_n \rangle$. If $\alpha \neq 0 \neq \beta$, then W_1^{\perp}/W_1 is generated by $\alpha v_i + \beta w_i$, $i = 2, \ldots, n-1$, and $\alpha v_i - \beta w_i$, $i = 1, \ldots, n$. It follows that $\psi^{-1}(U) \to U := \mathbb{P}^1 \setminus \{[1:0], [0:1]\}$ is a fibre bundle (in the Zariski topology) with fibres $F_{[n,n-2]}$. On the other hand, if $W_1 = \langle v_n \rangle$, then W_1^{\perp}/W_1 is generated by v_i , $i = 1, \ldots, n-1$ and w_i , $i = 2, \ldots, n$, so that $\psi^{-1}([1:0]) \cong F_{[n-1,n-1]}$. Similarly, one shows that $\psi^{-1}([0:1]) \cong F_{[n-1,n-1]}$. By induction, we know that the second Betti number both of $F_{[n-1,n-1]}$ and $F_{[n,n-2]}$ equals n. We claim that $R^2 \psi_* \mathbb{Q}$ is a local system on $\mathbb{P}^{1,an}$ of rank n; we can then complete the argument as in the odd case.

In order to prove the claim, let $\mathcal{W}_1 \subset \mathcal{O}_{\mathbb{P}^1} \otimes \operatorname{Ker}(x) \subset \mathcal{O}_{\mathbb{P}^1} \otimes \mathbb{C}^{2n}$ denote the tautological subbundle and let $\overline{\mathcal{V}} = \mathcal{W}_1^{\perp}/\mathcal{W}_1$ denote the corresponding quotient bundle, endowed with a nilpotent endomorphism \overline{x} . For any point $p \in \mathbb{P}^1$ there are sections s_1, \ldots, s_{2n-2} in $\overline{\mathcal{V}}$ defined over an open neighbourhood U that form a standard basis for the symplectic structure $\overline{\omega}$ on $\overline{\mathcal{V}}$. The matrix coefficients $\overline{x}(s_i) = \sum_j x_{ji} s_j$ define a classifying morphism $f: U \to \mathfrak{sp}_{2n-2}$, and we obtain a diagram with cartesian squares.



If $n \ge 4$, as we assume, the classifying morphism f takes value in the closure of the subregular nilpotent orbit. Let ξ_1, \ldots, ξ_n denote a basis of the germ $(R^2\psi_*\mathbb{Q})_p = H^2(\psi^{-1}(p), \mathbb{Q}) \cong \mathbb{Q}^n$. On an appropriate open neighbourhood U' of p, this basis forms a set of linearly independent vectors in $(R^2\psi_*\mathbb{Q})_q$ for all $q \in U'$ by Lemma 5.2. As the rank of the second Betti number is constant, they form in fact a basis. This shows that $R^2\psi_*\mathbb{Q}$ is indeed a local system.

(2) Consider now the opposite case of a partition d with at least 3 parts. Any such partition is dominated by [2n-2, 1, 1], and it suffices to show that dim $H^2(F_{[2n-2,1,1]}, \mathbb{Q}) = n$. A model for a nilpotent symplectic endomorphism of Jordan type [2n, 1, 1] is given as follows. Let $V = \langle v_1, \ldots, v_{2n-2}, u_1, u_2 \rangle$ with $xv_i = v_{i+1}$ and $xv_{2n-2} = xu_1 = xu_2 = 0$. The symplectic structure is defined by $\omega(v_i, v_j) = (-1)^i \delta_{i+j,2n-1}$ and $\omega(u_1, u_2) = 1$, the other matrix entries of ω being 0. In this case, $\psi: F_{[2n-2,1,1]} \to \mathbb{P}(\text{Ker } x) = \mathbb{P}^2$ is an isomorphism over $\mathbb{P}^2 \setminus \{[v_{2n-2}]\}$ with $\psi^{-1}([v_{2n-2}]) \cong F_{[2n-4,1,1]}$. More precisely, the rational map ψ^{-1} extends to an embedding of the blow-up $\operatorname{Bl}_{[v_{2n-2}]}(\mathbb{P}^2)$ into $F_{[2n-2,1,1]}$. By induction one obtains the following description: $F_{[2n-2,1,1]}$ is the union $S_1 \cup S_2 \cup \cdots \cup S_{n-1}$ of (n-1) Hirzebruch surfaces $S_i \cong \mathbb{F}_1$, $i = 1 \ldots, n-1$, where S_k and S_{k+1} are glued along a line which has self-intersection +1 in S_k and self-intersection -1 in S_{k+1} . It is clear from this description that $H^2(F_{[2n-2,1,1]}, \mathbb{Q}) = n$. \Box

It remains to exhibit the hypersurface in the Slodowy slice to a nilpotent orbit in \mathfrak{sp}_{2n} of type [2n-2, 1, 1]. We will give matrices and formulae for n = 4; the general pattern can easily be derived from these data. The matrices,

are nilpotent and belong to the Lie algebra \mathfrak{sp}_{2n} with respect to the skew-symmetric form

The entries are inverses of binomial coefficients. The matrix x has Jordan type [2n - 2, 1, 1], and the Slodowy slice to the orbit of x is parameterised by the matrix

	/ 0	1	0	0	0	0	0	- 0	
s :=	$5 t_1$	0	2	0	0	0	0	0	
	0	$4 t_1$	0	3	0	0	0	0	
	$10 t_2$	0	$3 t_1$	0	4	0	0	0	
	0	$4 t_2$	0	$2 t_1$	0	5	0	0	,
	t_3	0	t_2	0	t_1	0	b	a	
	-a	0	0	0	0	0	y	-z	
	b	0	0	0	0	0	x	-y/	

depending on parameters $a, b, x, y, z, t_1, \ldots, t_{n-1}$. The characteristic polynomial of s has the form

$$\chi_s(\lambda) = (2n-3)!(a^2x + 2aby + b^2z) + (\lambda^2 + xz - y^2)\chi_{s'}(\lambda),$$

where s' is upper left block of the matrix s. Solving the coefficients of the terms λ^{2n-2i} , $i = 1, \ldots, n$, recursively for the variables t_1, \ldots, t_{n-1} finally leaves the equation

$$f = (2n - 3)!(a^2x + 2aby + b^2z) - (xz - y^2)^n$$

Up to a rescaling of the coordinates, this is the formula given in Example 1.4.

9. Lie algebras of type B

In this section, we consider the Lie algebra $\mathfrak{g} = \mathfrak{so}(2n+1)$ for $n \ge 2$.

PROPOSITION 9.1. Let d be the Jordan type of a non-regular nilpotent orbit \mathcal{O}_d in \mathfrak{so}_{2n+1} , and let F_d denote the isomorphism type of the fibre $\pi^{-1}(x)$ over an element $x \in \mathcal{O}_d$ under the Springer resolution $\pi: G \times^B \mathfrak{b} \to \mathfrak{g}$.

- (1) For d = [2n 1, 1, 1], i.e. the subregular orbit, dim $H^2(F_d, \mathbb{Q}) = 2n 1$.
- (2) For all other d, dim $H^2(F_d, \mathbb{Q}) = n$.

The proposition implies part (B_n) of Theorem 1.3. As in the case of Lie algebras of type C, we may identify points in F_d with flags $W_1 \subset \cdots \subset W_n \subset \mathbb{C}^{2n+1}$ of isotropic subspaces. We use the same techniques and notations as in the previous section. As the proof proceeds along similar lines we only indicate the differences.

Proof. As $\mathfrak{so}_5 \cong \mathfrak{sp}_4$, the case n = 2 is already covered by Proposition 8.1. We may therefore assume that $n \ge 3$ and proceed by induction.

For the subregular orbit the transversal slice $S_0 = S \cap N$ is a surface singularity of type A_{2n-1} (cf. [Slo80b, § 1.8]) so that the fibre $F_{[2n-1,1,1]}$ is the union of 2n-1 copies of \mathbb{P}^1 and its second Betti number is 2n-1. By Lemma 5.2 it suffices to prove the assertion for the next smaller orbit of type [2n-3,3,1].

Let $v_1, \ldots, v_{2n-3}, v'_1, v'_2, v'_3, v''$ be a basis of $V = \mathbb{C}^{2n+1}$, let the action of x be given by $xv_i = v_{i+1}, xv'_i = v'_{i+1}$ and $xv_{2n-3} = xv'_3 = xv'' = 0$, and let the quadratic form on V be given by $b(v_i, v_j) = (-1)^{i-1}\delta_{i+j,2n-2}, b(v'_i, v'_j) = (-1)^{i-1}\delta_{i+j,4}$ and b(v'', v'') = 1, all other matrix coefficients of b being 0. Then $\operatorname{Ker}(x) = \langle v_{2n-3}, v'_3, v'' \rangle$. A vector $\alpha v_{2n-3} + \beta v'_3 + \gamma v''$ is isotropic if and only if $\gamma = 0$. Thus sending a flag to its one-dimensional part defines a morphism $F_{[2n-3,3,1]} \to \mathbb{P}\langle v_{2n-3}, v'_3 \rangle = \mathbb{P}^1$. Let $W_1 = \langle \alpha v_{2n-3} + \beta v'_3 \rangle$. If $[\alpha : \beta] = [1:0]$, then the induced endomorphism \overline{x} on W_1^{\perp}/W_1 is of type [2n-5,3,1]. If on the other hand $\beta \neq 0$, then the induced endomorphism is of type [2n-3,1,1]. It follows by induction that $R^2\psi_*(\mathbb{Q})_{[1:0]} \cong \mathbb{Q}^{n-1}$ and that the restriction of $R^2\psi_*(\mathbb{Q})$ to $\mathbb{P}^1 \setminus \{[1:0]\}$ is a trivial local system of rank 2n-3. Taking global sections, we find that dim $H^0(R^2\psi_*\mathbb{Q}) = n-1$, and the Leray spectral sequence gives the desired value $b_2(F_{[2n-3,3,1]}) = n$.

10. The Lie algebra of type G_2

The Lie algebra \mathfrak{g}_2 has five nilpotent orbits: the regular, the subregular, the 'subsubregular', the minimal and the trivial orbit of dimensions 12, 10, 8, 6 and 0, respectively. The orbit closures are linearly ordered by inclusion.

PROPOSITION 10.1. Let F_x denote the fibre of the Springer resolution for a nilpotent element x in \mathfrak{g}_2 . Then dim $H^2(F_x, \mathbb{Q}) = 4, 3$ and 2, if x belongs to the subregular, the subsubregular and the minimal orbit, respectively.

In the course of the proof we will also establish the formula for the polynomial f in Example 1.5.

Proof. Similarly to the previous section, the transversal slice to the subregular orbit is a surface singularity, this time of type D_4 (cf. [Slo80b, §1.8]), so that the Springer fibre is the union of four copies of \mathbb{P}^1 and its second Betti number is 4.

The slice to the minimal orbit in the nilpotent cone will be shown to be a six-dimensional symplectic hypersurface. We will determine the second Betti number of the fibre via an explicit resolution of the singularities.

In order to facilitate calculation in the Lie algebra \mathfrak{g}_2 we will use the $\mathbb{Z}/3$ -grading of \mathfrak{g}_2 with graded pieces $\mathfrak{g}_2^{(0)} = \mathfrak{sl}_3$, $\mathfrak{g}_2^{(1)} = \mathbb{C}^3$, and $\mathfrak{g}_2^{(2)} = \mathbb{C}^{3*}$, where \mathbb{C}^3 and \mathbb{C}^{3*} denote the standard representation of \mathfrak{sl}_3 and its dual (see for example [FH91, 22.2]). Representing the latter as column and row vectors, respectively, we may write a general element of \mathfrak{g}_2 in block form as

$$\begin{pmatrix} A & v \\ \hline w & 0 \end{pmatrix} = \begin{pmatrix} h_1 & a_{12} & a_{13} & v_1 \\ a_{21} & h_2 - h_1 & a_{23} & v_2 \\ a_{31} & a_{32} & -h_2 & v_3 \\ \hline w_1 & w_2 & w_3 & 0 \end{pmatrix}$$

The Lie bracket is given by the canonical maps $sl_3 \times sl_3 \rightarrow sl_3$, $sl_3 \times \mathbb{C}^3 \rightarrow \mathbb{C}^3$, $sl_3 \times \mathbb{C}^{3*} \rightarrow \mathbb{C}^{3*}$, $\mathbb{C}^3 \times \mathbb{C}^3 \xrightarrow{\wedge} \mathbb{C}^{3*}$, $\mathbb{C}^{3*} \times \mathbb{C}^{3*} \xrightarrow{\wedge} \mathbb{C}^3$ and

$$\mathbb{C}^3 \times \mathbb{C}^{3*} \to \mathrm{sl}_3, \quad (v, w) \mapsto \frac{3}{4}(vw - \frac{1}{3}(wv)I),$$

where the factor $\frac{3}{4}$ is thrown so as to make the Jacobi identity hold. In this notation, the representation $\rho: \mathfrak{g}_2 \to \mathfrak{so}_7$ can be written as

$$\rho: \left(\frac{A \mid v}{w \mid 0}\right) \mapsto \left(\begin{array}{ccc} A & \frac{1}{\sqrt{2}}v & M(w^t) \\ -\frac{1}{\sqrt{2}}w & 0 & -\frac{1}{\sqrt{2}}v^t \\ M(v) & \frac{1}{\sqrt{2}}w^t & -A^t \end{array}\right),$$

where M(v) is the 3 × 3-matrix of the linear map $u \mapsto v \times u$, the vector cross product. The two components of the coadjoint quotient map $\chi = (\chi_2, \chi_6) : \mathfrak{g}_2 \to \mathbb{C}^2$ are the coefficients of t^5 and t, respectively, in the characteristic polynomial $u \mapsto \det(tI - \rho(u))$, and are of degree two and six, respectively. They can be expressed in terms of sl₃-invariants as follows:

$$\begin{split} \chi_2 &= \frac{3}{2}wv - \operatorname{tr}(A^2), \\ \chi_6 &= -\operatorname{det}(A)^2 + \frac{3}{2}\operatorname{det}(A)(wAv) + \frac{3}{16}(wAv)^2 + \frac{1}{4}\operatorname{tr}(A^2)^2(wv) + \frac{1}{4}\operatorname{tr}(A^2)(wv)^2 \\ &- \frac{1}{2}(wA^2v)\operatorname{tr}(A^2) + \frac{1}{16}(wv)^3 - \frac{3}{4}(wA^2v)(wv) + \frac{1}{2}\operatorname{det}(v|Av|A^2v) \\ &- \frac{1}{2}\operatorname{det}(w^t|(wA)^t|(wA^2)^t). \end{split}$$

Consider now the sl_2 -triplet

The Slodowy slice to x consists of all elements of the form

$$\xi := \begin{pmatrix} \frac{1}{2}b & 1 & 0 & 0\\ u & \frac{1}{2}b & p & 2q\\ \frac{s & 0 & -b & a}{2r & 0 & c & 0 \end{pmatrix}.$$

The coordinates a, b and c have degree two, whereas p, q, r, s have degree three and u has degree four. Calculation shows

$$\chi_2(\xi) = -2(u - \frac{3}{4}(ac - b^2))$$

In particular, the derivative of $\chi|_S$ has rank one. Modulo χ_2 , we can express u in terms of the coordinates a, b, c. This simplifies considerably the expression for χ_6 . In fact,

$$\chi_6(\xi) = t_1 t_3 - t_2^2 - \frac{1}{2} (t_4^2 a + 2t_4 t_5 b + t_5^2 c) - \frac{1}{2} (at_3 - 2bt_2 + ct_1) \chi_2(\xi) + \frac{1}{4} (ac - b^2) \chi_2(\xi)^2,$$

where

$$t_1 = a(ac - b^2) + 2(q^2 - rp), \quad z_1 = as - 2br + cq, t_2 = b(ac - b^2) + (rq - ps), \quad z_2 = ar - 2bq + cp, t_3 = c(ac - b^2) + 2(r^2 - qs).$$

Note that $\chi^{-1}(0) \subset \mathbb{C}^8$ is isomorphic to the hypersurface $X = \{f = 0\} \subset \mathbb{C}^7$ with $f = z_1^2 a - 2z_1 z_2 b + z_2^2 c + 2(t_2^2 - t_1 t_3)$. The polynomials t_1, t_2, t_3, z_1, z_2 are a minimal set of equations for the reduced singular locus Σ of X.

Let $\pi': X' \to X$ denote the blow-up of X along Σ . As X and Σ are defined by explicitly given polynomials, the calculation can be done with a computer algebra system. We used the program SINGULAR [GPS01]. Inspection of the five affine coordinate charts for X' shows that π is semismall, that the singular locus Σ' of X' is irreducible and smooth, and that X' has transversal A_1 -singularities along Σ' . A second blow-up $\pi'': X'' \to X'$ along Σ' finally yields a symplectic resolution of X. Let $F' := (\pi')^{-1}(0)$. Then F' is the hypersurface in $\mathbb{P}^4 = \mathbb{P}(x_0, x_1, x_2, y_0, y_1)$ given by the equation $x_0x_2 - x_1^2$. The intersection of Σ' with F' equals set-theoretically the singular locus of F'. It is, however, defined by the equations $x_0 + 2x_1y_1 + x_2y_1^2, x_2(x_1 + 2y_1), x_2^3$ on the affine chart $U_0 = \{y_0 = 1\}$, and by analogous equations on the affine chart $U_1 = \{y_1 = 1\}$. Note that the singular locus of F' is covered by $U_1 \cup U_2$. For an appropriate coordinate change, we get $F' \cap U_1 = \mathbb{A}^1 \times Z$ with $Z = \{u_1u_3 - u_2^2\} \subset \mathbb{A}^3$, and where the centre of the blow-up is defined by u_1, u_2u_3, u_3^3 . The blow-up of Z along this ideal has a cell decomposition $\mathbb{A}^2 \sqcup \mathbb{A}^1$. As the part not covered by the two charts is isomorphic to a smooth quadric in \mathbb{P}^3 , we obtain, in fact, a cell decomposition of $F'' := (\pi'' \circ \pi')^{-1}(0) = (\pi'')^{-1}(F')$:

$$F'' = (\mathbb{A}^0 \sqcup \mathbb{A}^1) \sqcup (\mathbb{A}^1 \sqcup \mathbb{A}^0) \times (\mathbb{A}^2 \sqcup \mathbb{A}^1) = \mathbb{A}^0 \sqcup 2\mathbb{A}^1 \sqcup 2\mathbb{A}^2 \sqcup \mathbb{A}^3.$$

In particular, $H^2(F'', \mathbb{Z}) = \mathbb{Z}^2$. As F'' and the fibre F_x have the same Betti numbers, this proves the proposition for the minimal orbit.

Similar techniques can be used to treat the subsubregular orbit. It turns out that the slice is again a hypersurface, which is in fact isomorphic to the hypersurface slice to the orbit of type [4, 1, 1] for \mathfrak{sp}_6 . In particular, the Betti number of the fibre is 3 in this case.

11. The Lie algebra of type F_4

PROPOSITION 11.1. Let F_x denote the Springer fibre of a nilpotent element x in \mathfrak{f}_4 . Then dim $H^2(F_x, \mathbb{Q}) = 0, 6$ or 4 if x belongs to the regular, the subregular or any other orbit, respectively.

For the discussion of the Lie algebra f_4 we follow a path different from the other Lie algebras. In [CLP88], De Concini, Lusztig and Procesi describe a general method of how to construct a partition of the Springer fibre of a nilpotent element into locally closed subvarieties. In the case of classical Lie algebras, this partition actually yields a cell decomposition. For the exceptional Lie algebras the situation is more complicated. Fortunately, the situation simplifies when the nilpotent element in question is *distinguished* in the sense of Bala and Carter [BC76]. This is the case for a nilpotent element in the subsubregular orbit (i.e. the third largest nilpotent orbit) of the Lie algebra f_4 . We outline the method of [CLP88].

Let $x \in \mathfrak{g}$ be a nilpotent element in a simple Lie algebra. By the Jacobson-Morozov theorem, there are elements $h, y \in \mathfrak{g}$ such that x, h, y form a standard sl₂-triplet in \mathfrak{g} . One can choose a Cartan subalgebra $\mathfrak{h} \subset \mathfrak{g}$ containing h and a root basis $\Delta = \{\alpha_i\}_{i=1,\ldots,\ell}$ such that $\alpha_i(h) \ge 0$ for all $i = 1, \ldots, \ell$. In fact, by a result of Dynkin, $\alpha_i(h) \in \{0, 1, 2\}$. Thus one may associate to xa weighted Dynkin diagram, where the node corresponding to the root α_i is labelled by $\alpha_i(h)$. Following Dynkin, associating to x its weighted Dynkin diagram gives an injective map from the set of conjugacy classes of nilpotent elements in \mathfrak{g} to the set of Dynkin diagrams labelled with numbers 0, 1, or 2. For instance, the unique nilpotent orbit in \mathfrak{f}_4 of dimension 44, to which we will refer as the subsubregular orbit in the following, belongs to the weighted Dynkin diagram

$$0 - 2 \Longrightarrow 0 - 2. \tag{11.1}$$

Let $\mathfrak{g} = \bigoplus_i \mathfrak{g}(i)$ be the weight decomposition for the action of h on \mathfrak{g} , i.e. $\mathfrak{g}(i) = \{v \in \mathfrak{g} \mid [h, v] = iv\}$. Then $\mathfrak{g}(0)$ is a reductive subalgebra of \mathfrak{g} , and for every i, the homogeneous component $\mathfrak{g}(i)$ is a natural representation of $\mathfrak{g}(0)$. Moreover, by construction $x \in \mathfrak{g}(2)$, and the map $\mathrm{ad}(x) : \mathfrak{g}(0) \to \mathfrak{g}(2)$ is surjective. The element x is distinguished in the sense of Bala and Carter if and only if this map is also bijective.

For the proof of the proposition, we need to understand the Lie algebra structure of $\mathfrak{f}_4(0)$ and the structure of $\mathfrak{f}_4(2)$ as an $\mathfrak{f}_4(0)$ -representation for the case of the subsubregular orbit in \mathfrak{f}_4 . After removal of all nodes with nonzero labels, the Dynkin diagram (11.1) decomposes into two A_1 -diagrams. Let $\mathfrak{sl}_2(\alpha_1)$ and $\mathfrak{sl}_2(\alpha_3)$ denote the corresponding Lie subalgebras. Then

$$\mathfrak{f}_4(0) = (\mathrm{sl}_2(\alpha_1) \oplus \mathrm{sl}_2(\alpha_3)) + \mathfrak{h}.$$

Let (*abcd*) denote the root space in f_4 corresponding to the root $a\alpha_1 + b\alpha_2 + c\alpha_3 + d\alpha_4$. Then $f_4(2)$ is the direct sum of all root spaces (*abcd*) with $0 \cdot a + 2 \cdot b + 0 \cdot c + 2 \cdot d = 2$. Using the explicit list in [Bou81, planche VIII], it is not difficult to see that $f_4(2)$ is eight-dimensional and is spanned by the following spaces.

Moreover, in this diagram, horizontal and vertical arrows denote the action of $(0010) \subset sl_2(\alpha_3)$ and $(1000) \subset sl_2(\alpha_1)$, respectively. From this we see that

$$\mathfrak{f}_4(2) = V_3 \oplus (V_1 \otimes S^2(V_3)),$$

where V_1 and V_3 denote the two-dimensional irreducible representations of $sl_2(\alpha_1)$ and $sl_2(\alpha_3)$, respectively.

Proof of Proposition 11.1. According to results of Spaltenstein [Spa82, Table, p. 250], all nilpotent orbits in f_4 that are neither regular or subregular are contained in the closure of the

unique orbit of dimension 44. It is well known that the second Betti number of the Springer fibre for the subregular orbit is 6, as the Springer fibre itself is an E_6 -tree of projective lines. It therefore suffices to show that the second Betti number of the Springer F fibre for the subsubregular orbit is 4. The same then holds for all smaller nilpotent orbits by Lemma 5.2.

We may take a general element $x \in \mathfrak{f}_4(2) = V_3 \oplus (V_1 \otimes S^2(V_3))$ as a representative of the subsubregular orbit. Since x is distinguished, the algorithm of De Concini, Lusztig and Procesi yields a decomposition $F = \bigcup_U F_U$ into locally closed subvarieties F_U , each of which is a vector bundle $F_U \to X_U$ over a smooth subvariety $X_U \subset \mathcal{F}$, the flag variety associated to the reductive Lie algebra $\mathfrak{f}_4(0)$. The index U runs through the set of all linear subspaces of $\mathfrak{f}_4(2)$ that are invariant under a fixed chosen Borel subalgebra $\mathfrak{b}_0 \subset \mathfrak{f}_4(0)$. Note that $\mathcal{F} \cong \mathbb{P}(V_1) \times \mathbb{P}(V_3) = \mathbb{P}^1 \times \mathbb{P}^1$.

For a given \mathfrak{b}_0 -invariant subspace U, the manifolds X_U are defined as follows: a point in \mathcal{F} represented by a Borel subalgebra $\mathfrak{b} \subset \mathfrak{f}_4(0)$ is contained in X_U if and only if $x \in [\mathfrak{b}, U]$. It is shown in [CLP88] that all X_U are smooth projective varieties. Moreover, $\operatorname{codim}_{\mathcal{F}}(X_U) = \dim(\mathfrak{f}_4(2)/U)$ and $\dim(F_U) = \dim(\mathcal{F}) = 2$ for all U. In particular, F_U cannot contribute to the second Betti number of F unless $\operatorname{codim}_{\mathcal{F}}(X_U) \leq 1$, or, equivalently, unless U equals $\mathfrak{f}_4(2)$ or a \mathfrak{b}_0 -invariant hyperplane therein. More precisely,

$$\dim H^2(F; \mathbb{Q}) = \dim H_2(\mathbb{P}^1 \times \mathbb{P}^1; \mathbb{Q}) + \sum_{\operatorname{codim} U=1} \dim H_0(X_U; \mathbb{Q}).$$

It remains to determine all \mathfrak{b}_0 -invariant hyperplanes U in $\mathfrak{f}_4(2)$ and for each U the number of connected components of $X_U \subset \mathbb{P}^1 \times \mathbb{P}^1$.

In fact, there are exactly two \mathfrak{b}_0 -invariant hyperplanes U_1 and U_2 given as follows. If $W_1 \subset V_1$ and $W_3 \subset V_3$ denote the unique \mathfrak{b}_0 -invariant lines, then

$$U_1 = \ker(\mathfrak{f}_4(2) \to V_3 \to V_3/W_3)$$

and

$$U_2 = \ker(\mathfrak{f}_4(2) \to V_1 \otimes S^2 V_3 \to V_1/W_1 \otimes S^2(V_3/W_3).$$

The corresponding manifolds $X_{U_1}, X_{U_2} \subset \mathbb{P}^1 \times \mathbb{P}^1$ are the zero-sets of sections in the line bundles $\mathcal{O}(0, 1)$ and $\mathcal{O}(1, 2)$, respectively, and hence connected. This shows that dim $H^2(F; \mathbb{Q}) = 2 + 1 + 1 = 4$.

12. Dual pairs and Slodowy slices

When \mathfrak{g} is of type B_n , C_n , F_4 or G_2 , the Slodowy slice for the subregular orbit gives a Poisson deformation of a surface singularity of type A_{2n-1} , D_{n+1} , E_6 or D_4 respectively. They are not universal. On the other hand, we also have Poisson deformations of these surface singularities in the Lie algebras of type A_{2n-1} , D_{n+1} , E_6 and D_4 . They turn out to be universal. It would be quite natural to expect similar phenomena for all slices listed in Theorem 1.2. In this section we shall consider the Poisson deformation of the (complex analytic) germ (S_0, x) instead of S_0 . Theorem 1.2 holds true if we replace S (respectively S_0) by (S, x) (respectively (S_0, x)). One can prove the following.

PROPOSITION 12.1. Let $\varphi_{S_1}: S_1 \to \mathbb{C}^n$ be the restriction of the adjoint quotient map to the Slodowy slice for $x_1 \in O_{[2n-i,i]} \subset \mathfrak{so}_{2n}$ with *i* odd or i = n, and let $\varphi_{S_2}: S_2 \to \mathbb{C}^{n-1}$ be the restriction of the adjoint quotient map to the Slodowy slice for $x_2 \in O_{[2n-i,i-1]} \subset \mathfrak{sp}_{2n-2}$.

Then there are a hyperplane L of \mathbb{C}^n and a commutative diagram of germs of complex-analytic spaces

where the horizontal map on the first row is an isomorphism preserving the Poisson brackets up to a reversal of sign. In particular, the universal Poisson deformation of $(S_{2,0}, x_2)$ is realised as a Slodowy slice in \mathfrak{so}_{2n} with the reversed Poisson structure. Here $S_{2,0}$ is the central fibre of φ_{S_2} .

Proof. Let V be a 2n-dimensional complex vector space with a non-degenerate symmetric form $(,)_V$ and let U be a 2n - 2-dimensional complex vector space with a non-degenerate skew-symmetric form $(,)_U$. For an element $X \in \text{Hom}(V, U)$, let $X^* \in \text{Hom}(U, V)$ be its adjoint, characterised by $(Xv, u)_U = (v, X^*u)_V$. According to Kraft and Procesi [KP82], define maps

$$\pi: \operatorname{Hom}(V, U) \to \mathfrak{sp}(U) \quad \text{and} \quad \rho: \operatorname{Hom}(V, U) \to \mathfrak{so}(V)$$

by $\pi(X) := XX^*$ and $\rho(X) := X^*X$. Note that $(A, B) \in \mathrm{SO}(V) \times \mathrm{Sp}(U)$ acts on $X \in \mathrm{Hom}(V, U)$ by $(A, B)X := BXA^{-1}$. On the other hand, $\mathfrak{so}(V)$ and $\mathfrak{sp}(U)$ have adjoint actions of $\mathrm{SO}(V)$ and $\mathrm{Sp}(U)$, respectively, and the maps π and ρ are $\mathrm{Sp}(U)$ -equivariant and $\mathrm{SO}(V)$ -equivariant, respectively. Let $\mathrm{Hom}'(V, U) \subset \mathrm{Hom}(V, U)$ be the open subset consisting of surjective linear maps, and let $D \subset \mathfrak{so}(V)$ be the determinantal variety consisting of the endomorphisms with rank less than or equal to 2n - 2. More precisely, D is cut out by the vanishing of the pfaffian. By [KP82, Theorem 1.2], we have

$$\operatorname{Im}(\rho) = D.$$

Restricting π and ρ to Hom'(V, U) we get a diagram:

$$D \xleftarrow{\rho'} \operatorname{Hom}'(V, U) \xrightarrow{\pi'} \operatorname{sp}(U).$$

Kraft and Procesi observed in [KP82, 13.5] that one can find an element $X_0 \in \text{Hom}'(V, U)$ so that $\pi'(X_0) \in O_{[2n-i,i-1]}$ and $\rho'(X_0) \in O_{[2n-i,i]}$, and so that both π' and ρ' are smooth at X_0 .

Let us recall here the notion of a *dual pair* introduced by Weinstein [Wei83] for C^{∞} -manifolds. Here we consider the analogous notion in the complex-analytic setting. A dual pair is a diagram

$$P_1 \xleftarrow{j_1} P \xrightarrow{j_2} P_2$$

with P a holomorphic symplectic manifold, and P_i , i = 1, 2, Poisson manifolds such that both j_1 and j_2 are Poisson mappings and $j_i^{-1}\mathcal{O}_{P_i}$ for i = 1, 2 are mutual centralisers with respect to $\{,\}_P$. If j_1 and j_2 are both smooth morphisms, it is called a full dual pair. For instance, when a complex Lie group G acts freely on P preserving the symplectic form and provided its moment map μ exists, the diagram

$$P/G \longleftarrow P \xrightarrow{\mu} \mathfrak{g}^*$$

is a dual pair (cf. [Wei83, §8]). Weinstein observed in [Wei83, Theorem 8.1], that if

$$P_1 \xleftarrow{\mathcal{I}_1} P \xrightarrow{\mathcal{I}_2} P_2$$

is a full dual pair, then, for any point $x \in P$, the transverse Poisson structures on P_1 and P_2 at $j_1(x)$ and $j_2(x)$ are anti-isomorphic as Poisson manifolds. In the remainder, we will apply this result to the situation above.

We define a symplectic 2-form ω on $\operatorname{Hom}(V, U)$ by $\omega(X, Y) := 2 \operatorname{tr}(XY^*)$. Then $\operatorname{Sp}(U)$ and $\operatorname{SO}(V)$ act naturally on $\operatorname{Hom}(V, U)$ preserving ω , and π and ρ are the moment maps for these actions under the identifications of $\mathfrak{sp}(U)$ with $\mathfrak{sp}(U)^*$ and of $\mathfrak{so}(V)$ with $\mathfrak{so}(V)^*$ by the trace maps. By [KP82, Proposition 11.1], $\operatorname{Sp}(U)$ acts freely on $\operatorname{Hom}'(V, U)$, and ρ' factorises as

$$\operatorname{Hom}'(V, U) \to \operatorname{Hom}'(V, U) / \operatorname{Sp}(U) \subset D,$$

where $\operatorname{Hom}'(V, U)/\operatorname{Sp}(U)$ is an open subset of D. Now let us consider the adjoint quotient map $\varphi : \mathfrak{so}(V) \to \mathbb{C}^n$. One of the components of φ is the pfaffian pf, a square root of the determinant and hence an invariant polynomial of weight n. Define a hyperplane $L \subset \mathbb{C}^n$ by the equation $\mathrm{pf} = 0$. Then we can write $D = \varphi^{-1}(L)$. By this description, we see that the standard Poisson structure of $\mathfrak{so}(V)$ restricts to give a Poisson structure on D. As an open set, $\mathrm{Hom}'(V, U)/\mathrm{Sp}(U)$ also inherits a Poisson structure. Since ρ is the moment map for the $\mathrm{SO}(V)$ -action, this Poisson structure coincides with the natural Poisson structure induced by the quotient map $\mathrm{Hom}'(V, U) \to \mathrm{Hom}'(V, U)/\mathrm{Sp}(U)$. This implies that the diagram

$$D \xleftarrow{\rho'} \operatorname{Hom}'(V, U) \xrightarrow{\pi'} \mathfrak{sp}(U)$$

is a full dual pair. The symplectic leaf of $\mathfrak{sp}(U)$ passing through $\pi'(X_0)$ is the nilpotent orbit $O_{[2n-i-1,i-1]}$. Similarly, the symplectic leaf of D passing through $\rho'(X_0)$ is the nilpotent orbit $O_{[2n-i,i]}$. We then see that the transverse Poisson structure on D at $\rho'(X_0)$ and the transverse Poisson structure on $\mathfrak{sp}(U)$ at $\pi'(X_0)$ are anti-isomorphic by [Wei83, Theorem 8.1]. By the Sp(U)-action and the SO(V)-action, we may assume that $x_1 = \rho'(X_0)$ and $x_2 = \pi'(X_0)$. Therefore, there is an anti-isomorphism $(S_1 \cap D, x_1) \cong (S_2, x_2)$ of Poisson structures.

Let (L, 0) be the germ of L at the origin. Restricting the adjoint quotient map φ to $S_1 \cap D$, we get a map $(S_1 \cap D, x_1) \to (L, 0)$. On the other hand, let $\varphi' : \mathfrak{sp}(U) \to \mathbb{C}^{n-1}$ be the adjoint quotient map for $\mathfrak{sp}(U)$. Restricting φ' to S_2 , we get a map $(S_2, x_2) \to (\mathbb{C}^{n-1}, 0)$. Since the isomorphism between $(S_1 \cap D, x_1)$ and (S_2, x_2) preserves symplectic leaves, we finally have the desired commutative diagram.

This completes the proof.

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