

## PRINCIPAL TRAIN ALGEBRAS OF RANK 3 AND DIMENSION $\leq 5$

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A commutative algebra  $A$  over the field  $F$ , endowed with a non-zero homomorphism  $\omega: A \rightarrow F$  is principal train if it satisfies the identity  $x^r + \gamma_1 \omega(x) x^{r-1} + \dots + \gamma_{r-1} \omega(x)^{r-1} x = 0$  where  $\gamma_1, \dots, \gamma_{r-1}$  are fixed elements in  $F$ . We present in this paper, after the introduction of the concept of “type” of  $A$ , some results concerning the classification in the case  $r=3$ . In particular we describe all these algebras of dimension  $\leq 5$ .

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### 1. Introduction

Let  $F$  be an infinite field of characteristic not 2 and  $A$  a finite-dimensional, non-associative algebra over  $F$ . The principal powers of  $x \in A$  are defined by  $x^1 = x$  and  $x^i = x^{i-1}x$  for  $i \geq 2$ . If  $\omega: A \rightarrow F$  is a non-zero homomorphism, the ordered pair  $(A, \omega)$  is called a baric algebra and  $\omega$  its weight function.  $(A, \omega)$  is a principal train algebra (train algebra, for short) if we have identically in  $A$ :

$$x^r + \gamma_1 \omega(x) x^{r-1} + \dots + \gamma_{r-1} \omega(x)^{r-1} x = 0 \quad (1)$$

where  $\gamma_1, \dots, \gamma_{r-1}$  are fixed elements in  $F$ . The equation like (1) with minimum degree is the rank equation of  $A$ ,  $r$  is the rank of  $A$  and the roots of the algebraic equation  $x^r + \gamma_1 x^{r-1} + \dots + \gamma_{r-1} x = 0$ , in some extension field of  $F$ , are the train roots of  $A$ . Most algebras appearing in the algebraic formalism of Genetics are in this class (see [8, chapter 3, 3, 4]).

The following properties of a train algebra are immediate:

- (a)  $1 + \gamma_1 + \dots + \gamma_{r-1} = 0$ ;
- (b) All  $x \in A$  such that  $\omega(x) = 1$  satisfy the same equation;
- (c) The kernel  $B$  of  $\omega$  is an ideal of codimension 1 satisfying the identity  $x^r = 0$ . Also it is true that  $\omega$  is the only non-zero homomorphism from  $A$  to  $F$ . We say that  $B$  is the kernel of  $A$ .

In this paper we deal only with train algebras of rank 3.

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Every baric algebra with an idempotent of weight 1 can be obtained in the following way. Suppose  $B$  is an arbitrary commutative finite-dimensional algebra over  $F$ . Take the direct sum  $B'$  of  $F$  and  $B$  and define a multiplication in  $B'$  by

$$(\alpha, a)(\beta, b) = (\alpha\beta, ab + \tau(ab + \beta a)); \quad \alpha, \beta \in F; \quad a, b \in B \quad (2)$$

where  $\tau: B \rightarrow B$  is an arbitrary  $F$ -linear mapping. Then  $\omega: B \rightarrow F$  given by  $\omega(\alpha, a) = \alpha$  is a non-zero homomorphism,  $(B, \omega)$  is a baric algebra and  $(1, 0)$  is an idempotent of weight 1. Two different  $\tau$ 's may give rise to isomorphic algebras. Note that  $(1, 0)(0, a) = (0, \tau(a))$ . If  $B$  satisfies the identity  $a^3 = 0$ , it is easy to see that  $B'$  satisfies the rank equation

$$x^3 - (1 + \gamma)\omega(x)x^2 + \gamma\omega(x)^2x = 0 \quad (1')$$

if and only if the following identities hold:

$$2\tau(a)a + \tau(a^2) = (1 + \gamma)a^2; \quad a \in B \quad (3)$$

$$2\tau^2 - (1 + 2\gamma)\tau + \gamma I = 0 \quad (I = \text{identity operator}), \quad (4)$$

Note that any  $\tau$  satisfying (3) is determined by its values on a generating system of the algebra  $B$ . It also follows from (3) by induction that the powers  $B^i$  defined by  $B^1 = B$  and  $B^i = B^{i-1}B$  ( $i \geq 2$ ) are invariant under  $\tau$ . The same holds for the ideal  $An(B)$  of absolute divisors of zero in  $B$ .

## 2. Invariants

In this paragraph we will assume that  $\gamma \neq 1/2$  in equation (1'). By (4), if we have a train algebra  $B'$  of rank 3, constructed as explained above, the proper values of  $\tau$  will be  $1/2$  and/or  $\gamma$ . Decompose  $B = B_1 \oplus B_2$  where  $B_1 = \ker(\tau - (1/2)I)$ ,  $B_2 = \ker(\tau - \lambda I)$ . The linearized form of (3) gives the following relations:

$$B_1B_1 \subset B_2 \quad (5)$$

$$B_1B_2 \subset B_1 \quad (6)$$

$$B_2B_2 = 0. \quad (7)$$

To show this, note that if  $x_1, x_2 \in B_1$ ,  $\tau(x_1x_2) = (1 + \gamma)x_1x_2 - \tau(x_1)x_2 - x_1\tau(x_2) = \gamma x_1x_2$  so (5). (6) is similar. For (7), if  $x_1, x_2 \in B_2$ ,  $\tau(x_1x_2) = (1 - \gamma)x_1x_2$  so  $x_1x_2 = 0$  because  $1 - \gamma$  is not a proper value. Take now  $x = \alpha x_1 + \beta x_2$ ,  $\alpha, \beta \in F$ ,  $x_1 \in B_1$ ,  $x_2 \in B_2$ . Then  $x^3 = 0$  implies  $0 = \alpha\beta^2(x_1x_2)x_2 + \alpha^2\beta x_1(x_1x_2)$ . Each component must be zero so:

$$(x_1x_2)x_2 = 0 \quad (8)$$

$$x_1(x_1x_2) = 0 \quad (9)$$

and the linearized forms

$$(x_1x_2)y_2 + (x_1y_2)x_2 = 0 \tag{8'}$$

$$x_1(y_1x_2) + y_1(x_1x_2) = 0. \tag{9'}$$

Suppose conversely that the algebra  $B$  is given. If a linear mapping  $\tau: B \rightarrow B$  satisfies (4) and  $B_1 = \ker(\tau - (1/2)I)$  and  $B_2 = \ker(\tau - \gamma I)$  satisfy the above relations (5), ..., (9), then  $B'$ , defined by (2), satisfies (1').

Idempotents in  $B'$  must have weight 1 because  $B$  is nil.  $(1, a) \in B'$  is idempotent if and only if  $2\tau(a) = a - a^2$ . Decomposing  $a = a_1 + a_2$ ,  $a_i \in B_i$ , we are led to the equations

$$\begin{cases} a_1a_2 = 0 \\ a_1^2 + 2\gamma a_2 = a_2 \end{cases}$$

so idempotents have the form  $(1, a_1 + (1 - 2\gamma)^{-1}a_1^2)$ ,  $a_1 \in B_1$ .

**Proposition 1.** *The function  $a_1 \in B_1 \rightarrow (1, a_1 + (1 - 2\gamma)^{-1}a_1^2)$  is a bijection between the subspace  $B_1$  and the set of idempotents of  $B'$ . In particular, the dimension of  $B_1$  is independent of the operator  $\tau$  used to construct  $B'$ . The same holds for the dimension of  $B_2$ .*

**Definition.** The type of  $B'$  is the ordered pair of non-negative integers  $(1 + \dim B_1, \dim B_2)$ .

Algebras having the extreme types are very simple, in any dimension. If the type is  $(1, n)$ , we take any basis  $\{c_1, \dots, c_n\}$  of  $B$  and call  $c_0 = (1, 0)$ . The table is:  $c_0^2 = c_0$ ,  $c_0c_i = \gamma c_i$  ( $i = 1, \dots, n$ ) and  $c_ic_j = 0$  ( $i, j = 1, \dots, n$ ). If the type is  $(n + 1, 0)$ , we have a similar table with  $\gamma$  replaced by  $1/2$ . This algebra satisfies in fact the equation  $x^2 = \omega(x)x$ .

**Proposition 2.** *If  $B'$  has type  $(2, n - 1)$ , there is a basis  $\{c_0, x_1, \dots, x_n\}$  of  $B'$  such that its multiplication table is:*

$$c_0^2 = c_0, \quad c_0x_1 = \frac{1}{2}x_1, \quad c_0x_i = \gamma x_i \quad (i = 2, \dots, n), \quad x_1^2 = \epsilon x_2,$$

where  $\epsilon = 0$  or  $1$ , other products are zero.

**Proof.** Start with  $B_1 = \langle c_1 \rangle$  and  $B_2 = \langle c_2, \dots, c_n \rangle$ . By (5), (6) and (7),  $c_1c_j = \lambda_jc_1$  ( $j = 2, \dots, n$ ),  $c_jc_k = 0$  ( $j, k = 2, \dots, n$ ),  $c_1^2 \in B_2$ . But by (8),  $0 = (c_1c_j)c_j = \lambda_j^2c_1$  so  $\lambda_j = 0$ . If  $c_1^2 = 0$ , we are done. Otherwise replace some  $c_j$  ( $2 \leq j \leq n$ ) by  $c_1^2$  where possible, permute so that  $c_1^2$  becomes the first vector. This is the case  $\epsilon = 1$ .

Other numerical invariants of train algebras  $B'$  of rank 3 are the dimensions of the ideals  $B^i$  of  $B'$ . In fact,  $B^i$  is invariant under  $\tau$  by (3) so it is an ideal in  $B'$ . We have  $B^2 = B_1B_2 \oplus B_1^2$ ,  $B^3 = ((B_1B_2)B_2 + B_1^3) \oplus (B_1B_2)B_1$  and so on. For some  $k$ ,  $B^k = 0$  ([1, Theorem 1]). Etherington introduced in [3] the concepts of "nil products" and "nil

squares” and also the ideal generated by all the nil squares. In our context, this ideal will be  $J = B_1B_2 \oplus B_2$ . In fact, the sum  $B_1B_2 + B_2$  is direct by (6) and the relations

$$x_1(u_1u_2 + v_2) = x_1(u_1u_2) + x_1v_2 \in B_2 \oplus B_1B_2$$

$$x_2(u_1u_2 + v_2) = x_2(u_1u_2) \in B_2B_1$$

if  $x_1, u_1 \in B_1$  and  $x_2, u_2, v_2 \in B_2$ , show that  $J$  is an ideal of  $B$ . As  $J$  is obviously invariant under  $\tau$ , it is an ideal of  $B'$ . If  $J'$  is the ideal generated by all nil squares  $x^2 - \omega(x)x$ , for  $x \in B'$ , then  $J' \subset J$ . In fact, if  $x = (\alpha, a)$ , where  $a = u_1 + u_2$ ,  $u_i \in B_i$ , then  $x^2 - \alpha x = 2u_1u_2 + (u_1^2 + (2\gamma - 1)\alpha u_2) \in J$ . Let us show that  $B_1B_2$  and  $B_2$  are contained in  $J'$ . For the second inclusion, if  $u_2 \in B_2$ , take  $x = (1, u_2) \in B'$ , then  $x^2 - x = (2\gamma - 1)u_2$ ,  $u_2 \in J'$ . If  $u_1u_2$  is a generator of  $B_1B_2$  take  $x = (1, u_1 + u_2)$ . Then  $x^2 - x - (u_1^2 + (2\gamma - 1)u_2) = 2u_1u_2$  and so  $B_1B_2 \subset J'$ . Hence  $J = J'$  and the dimension of  $J$  is a numerical invariant of  $B'$ .

**Remark.**  $B' \supset B \supsetneq J \supset B^2 \supset B^3 \supset \dots$  (see [4, p. 140]). The only relation to be proved is  $J \neq B$ . In fact, if  $J = B$  then it would follow that  $B^2 = B^3$ , contrary to Abraham’s Theorem 1 of [1].

**Remark.** Train algebras of rank 3, with  $\gamma = 0$ , are Bernstein algebras satisfying two additional conditions (see [8, Theorem 9.12] or [4, Theorem XII]). The ideal  $J$  coincides, in this case, with the ideal appearing in [8, equation 9.56].

We describe now train algebras of a given type having the smallest possible ideal  $J$ , that is  $J = B_2$ . Consider the set of all triples  $(B_1, B_2, \psi)$  where  $B_1$  and  $B_2$  are arbitrary finite dimensional vector spaces over the field  $F$  and  $\psi: B_1 \times B_1 \rightarrow B_2$  is an arbitrary symmetric bilinear function.

Two triples  $(B_1, B_2, \psi)$  and  $(C_1, C_2, \phi)$  are equivalent if and only if there exist bijective linear mappings  $\nu: B_1 \rightarrow C_1$  and  $\mu: B_2 \rightarrow C_2$  such that the diagram commutes:

$$\begin{array}{ccc} B_1 \times B_1 & \xrightarrow{\psi} & B_2 \\ \downarrow \nu \times \nu & & \downarrow \mu \\ C_1 \times C_1 & \xrightarrow{\phi} & C_2 \end{array}$$

This is clearly an equivalence relation. Given now  $(B_1, B_2, \psi)$  we construct a train algebra in the following way. Take in the vector space  $B = B_1 \oplus B_2$  the multiplication

$$(u_1, v_1)(u_2, v_2) = (0, \psi(u_1, u_2)); \quad u_1, u_2 \in B_1; \quad v_1, v_2 \in B_2$$

Then  $(u, v)^3 = 0$  for all  $(u, v) \in B$ . Now  $\tau: B \rightarrow B$  given by  $\tau(u, v) = ((1/2)u, \gamma v)$  satisfies (3) and (4) so  $B'$  is a train algebra of rank 3, of type  $(1 + \dim B_1, \dim B_2)$  and also  $J = B_2$ . Denote this algebra by  $[B_1, B_2, \psi]$ . If  $(B_1, B_2, \psi)$  and  $(C_1, C_2, \phi)$  are equivalent the corresponding algebras will be isomorphic,  $1_F \oplus \nu \oplus \mu$  being an isomorphism. On the

other hand every train algebra of rank 3 with  $J = B_2$  is obtained in this way, by taking  $\psi: B_1 \times B_1 \rightarrow B_2$  as the product already existing. Moreover two isomorphic train algebras  $B'$  and  $C'$  must come from equivalent triples. To see this, consider their kernels  $B_1 \oplus B_2$  and  $C_1 \oplus C_2$ . Then  $B_2$  (resp.  $C_2$ ) is formed by absolute divisors of zero in  $B$  (resp.  $C$ ). An isomorphism from  $B$  to  $C$  must therefore take  $B_2$  to  $C_2$ . The result follows by passage to quotients. We summarize these results in:

**Proposition 3.** (a) *The train algebras  $[B_1, B_1, \psi]$  and  $[C_1, C_2, \phi]$  are isomorphic if and only if the triples  $(B_1, B_2, \psi)$  and  $(C_1, C_2, \phi)$  are equivalent.*

(b) *Every train algebra of rank 3 with minimum  $J$  is isomorphic to some  $[B_1, B_2, \psi]$ .*

As a particular case, when the type of  $B'$  is  $(r + 1, r)$ , the classification of train algebras with minimum  $J$  is equivalent to the classification of commutative algebras of dimension  $r$ . In another particular case, when the type is  $(n, 1)$  and  $\dim J = 1$ , the problem reduces to the classification of bilinear forms in spaces of dimension  $n - 1$ .

**Proposition 4.** *If  $B'$  has type  $(n, 1)$  then  $\dim J \leq \frac{1}{2}(n + 1)$ .*

**Proof.** Start with  $B_1 = \langle c_1, \dots, c_{n-1} \rangle$  and  $B_2 = \langle c_n \rangle$ . Then  $J$  is generated by  $\{c_n, c_1c_n, \dots, c_{n-1}c_n\}$ . If  $\dim J = 1 + k$  ( $k \geq 0$ ) there are exactly  $k$  linearly independent vectors in the set  $\{c_1c_n, \dots, c_{n-1}c_n\}$ . We may suppose they are  $c_1c_n, \dots, c_kc_n$ . The set  $\{c_1c_n, \dots, c_kc_n, c_1, c_2, \dots, c_n\}$  which generates  $B$ , must contain a basis of the form  $\{c_1c_n, \dots, c_kc_n, c_{i_1}, \dots, c_{i_{n-k-1}}, c_n\}$ . These vectors give a new generating system of  $J$ , namely  $\{c_{i_1}c_n, \dots, c_{i_{n-k-1}}c_n, c_n\}$  because  $(c_i c_n)c_n = 0$  ( $i = 1, \dots, k$ ). Then  $k + 1 = \dim J \leq n - k$  and so  $\dim J \leq \frac{1}{2}(n + 1)$ .

**Proposition 5.** *For every train algebra  $B'$  of rank 3,  $J^2$  is an ideal. If the type of  $B'$  is  $(n, 1)$  then  $J^2 = 0$ .*

**Proof.** Clearly  $J^2$  is invariant under  $\tau$ . As  $J^2 = (B_1B_2)B_2 \oplus (B_1B_2)^2$ , the following relations show that  $J^2$  is an ideal in  $B$ :

$$x_1((u_1u_2)v_2) = -(u_1u_2)(x_1v_2) \in (B_1B_2)^2$$

$$x_1((u_1u_2)(v_1v_2)) = -(u_1u_2)(x_1(v_1v_2)) - (v_1v_2)(x_1(u_1u_2)) \in (B_1B_2)B_2$$

$$x_2((u_1u_2)v_2) = ((u_1u_2)v_2)x_2 \in (B_1B_2)B_2$$

$$x_2((u_1u_2)(v_1v_2)) = 0$$

for  $x_i, u_i, v_i \in B_i$  ( $i = 1, 2$ ).

The second assertion: if  $B_1 = \langle c_1, \dots, c_{n-1} \rangle$  and  $B_2 = \langle c_n \rangle$ , then  $J$  is linearly generated by  $c_1c_n, \dots, c_{n-1}c_n$  and  $c_n$ . The product of any two of these elements is 0 by (8).

### 3. Train algebras of dimension $\leq 5$

The invariants type,  $\dim J$  and  $\dim B^2$  classify train algebras of rank 3 (always  $\gamma \neq \frac{1}{2}$ ) up to dimension 5 or reduce this problem to the classification of other algebraic objects. Etherington proved that every train algebra of rank 3 is special triangular, a gap in his proof was filled by Abraham [1]. Algebras in this paragraph are expressed by means of a canonical basis. Almost all computational details are omitted, to save space.

(I)  $\dim B' = 2$ . The possible types are (2, 0) and (1, 1), already discussed, see Proposition 2 and the discussion preceding it.

(II)  $\dim B' = 3$ . The non-extreme type (2, 1) is covered by Proposition 2, yielding two non-isomorphic algebras.

(III)  $\dim B' = 4$ . The only type to be considered is (3, 1). The ideal  $J$  may have dimension 1 or 2, because  $J \neq B$ .

(a)  $\dim J = 1$ . The algebras have already been described by Proposition 3. Every essentially distinct bilinear form in a  $F$ -vector space of dimension 2 gives an algebra here and conversely.

(b)  $\dim J = 2$ . The answer is given by the following:

**Proposition 6.** *There is only one, up to isomorphisms, train algebra of type (3, 1) such that  $\dim J = 2$ .*

**Proof.** If  $B_1 = \langle c_1, c_2 \rangle$  and  $B_2 = \langle c_3 \rangle$  then  $J$  is generated by  $\{c_3, c_1c_3, c_2c_3\}$ . One of  $c_1c_3$  and  $c_2c_3$  is non-zero, the other is a scalar multiple of it. By symmetry we may suppose  $c_1c_3 \neq 0$  and  $c_2c_3 = kc_1c_3$ ,  $k \in F$ . The set  $\{c_1c_3, c_1, c_2, c_3\}$  generates  $B$  so it must contain a basis of the form  $\{c_1c_3, ?, c_3\}$ . There are two possibilities:

(a)  $\{c_1c_3, c_1, c_3\}$  is a basis of  $B$ . The multiplication table of  $B$ , according to (8) is (on the left):

	$c_1c_3$	$c_1$	$c_3$
$c_1c_3$	0	0	0
$c_1$		0	$c_1c_3$
$c_3$			0

	$c_0$	$x_1$	$x_2$	$x_3$
$c_0$	$c$	$\frac{1}{2}x_1$	$\gamma x_2$	$\frac{1}{2}x_3$
$x_1$		0	$x_3$	0
$x_2$			0	0
$x_3$				0

Calling  $x_1 = c_1$ ,  $x_2 = c_3$  and  $x_3 = c_1c_3$ ,  $B'$  is given by the above (on the right) table.

(b)  $\{c_1c_3, c_2, c_3\}$  is a basis of  $B$ . The multiplication table is:

	$c_1c_3$	$c_2$	$c_3$
$c_1c_3$	0	0	0
$c_2$		$\lambda c_3$	$kc_1c_3$
$c_3$			0

But here  $J$  is generated by  $c_3$  and  $kc_1c_3$  so necessarily  $k \neq 0$  because  $\dim J = 2$ . From  $0 = c_2^3 = \lambda kc_1c_3$  we get  $\lambda = 0$ . Taking now the basis  $\{k^{-1}c_1c_3, k^{-1}c_2, k^{-1}c_3\}$  we get the same table but with 1 in place of  $k$ . Introducing now  $x_i$  as in case (a) we get  $B'$  exactly as in case (a).

(IV)  $\dim B' = 5$ . There are two non-trivial types to consider : (4, 1) and (3, 2).

(A) Algebras of type (4, 1)

By Proposition 4,  $1 \leq \dim J \leq 2$ .

A.1.  $\dim J = 1$ . The algebras have already been described in Proposition 3. They correspond to essentially distinct bilinear forms in spaces of dimension 3 over the field  $F$ .

A.2.  $\dim J = 2$ . Take  $B_1 = \langle c_1, c_2, c_3 \rangle$  and  $B_2 = \langle c_4 \rangle$  so  $J$  will be generated by  $\{c_4, c_1c_4, c_2c_4, c_3c_4\}$ . One of the last 3 vectors must be non-zero and the other 2 must be scalar multiples of it. By symmetry, we may suppose that  $c_1c_4 \neq 0$  and  $c_2c_4 = k_2c_1c_4$ ,  $c_3c_4 = k_3c_1c_4$ , with  $k_2, k_3 \in F$ . The set  $\{c_1c_4, c_1, c_2, c_3, c_4\}$  must contain a basis of the form  $\{c_1c_4, ?, ?, c_4\}$ . Let us examine the three possibilities.

A.2.1.  $\{c_1c_4, c_1, c_2, c_4\}$  is a basis of  $B$ . The multiplication table:

	$c_1c_4$	$c_1$	$c_2$	$c_4$
$c_1c_4$	0	0	0	0
$c_1$		0	0	$c_1c_4$
$c_2$			0	$k_2c_1c_4$
$c_4$				0

(In fact,  $c_1^2 = \mu c_4$  but  $0 = c_1^3 = \mu c_1c_4$  so  $\mu = 0$ ;  $c_1c_2 = \lambda c_4$  and  $c_2^2 = \nu c_4$  but for all  $m, n \in F$ ,  $(mc_1 + nc_2)^3 = 0$  implies  $\nu = \lambda = 0$ , an easy calculation.)

Calling now  $k_2 = k$ ,  $x_1 = c_1$ ,  $x_2 = c_2$ ,  $x_3 = c_4$  and  $x_4 = c_1c_4$  the table of  $B'$  is:

	$c_0$	$x_1$	$x_2$	$x_3$	$x_4$
$c_0$	$c_0$	$\frac{1}{2}x_1$	$\frac{1}{2}x_2$	$\gamma x_3$	$\frac{1}{2}x_4$
$x_1$		0	0	$x_4$	0
$x_2$			0	$kx_4$	0
$x_3$				0	0
$x_4$					0

A.2.2.  $\{c_1c_4, c_2, c_3, c_4\}$  is a basis of  $B$ , whose table is:

	$c_1c_4$	$c_2$	$c_3$	$c_4$
$c_1c_4$	0	0	0	0
$c_2$		$\lambda c_4$	$\mu c_4$	$k_2c_1c_4$
$c_3$			$\nu c_4$	$k_3c_1c_4$
$c_4$				0

where the following relations hold:  $\lambda k_2 = \nu k_3 = \lambda k_3 + 2\mu k_2 = \nu k_2 + 2\mu k_3 = 0$ , a consequence of the identity  $x^3 = 0$ . We see that  $J$  is generated by the set  $\{c_4, k_2c_1c_4, k_3c_1c_4\}$  so necessarily  $k_2 \neq 0$  or  $k_3 \neq 0$ . By symmetry we may study only one case, say  $k_2 \neq 0$ . This implies that in the above table  $\lambda = \mu = \nu = 0$ . Introducing now the vectors  $x_1 = k_2^{-1}c_2$ ,  $x_2 = c_3$ ,  $x_3 = k_2^{-1}c_4$  and  $x_4 = k_2^{-1}c_1c_4$  we get the same table for  $B'$  obtained in case A.2.1.

A.2.3.  $\{c_1c_4, c_1, c_3, c_4\}$  is a basis of  $B$ . This is similar to A.2.1, because the roles of  $c_2$  and  $c_3$  can be interchanged. We summarize the facts:

**Proposition 7.** *Train algebras of type (4, 1) such that  $\dim J = 2$  form a one-parameter family, given by the above table of A.2.1.*

(B) Algebras of type (3, 2)

We have  $2 \leq \dim J \leq 3$  because  $J \neq B$ .

B.1.  $\dim J = 2$ . These algebras have already been described in Proposition 3. Every essentially distinct commutative algebra of dimension 2 gives an algebra here. The classification of such bidimensional algebras is a problem of its own interest, see for example [2] and [6].

B.2.  $\dim J = 3$ . Take  $B_1 = \langle c_1, c_2 \rangle$  and  $B_2 = \langle c_3, c_4 \rangle$ . Then as  $J$  is generated by  $\{c_3, c_4, c_1c_3, c_1c_4, c_2c_3, c_2c_4\}$  one of the last 4 vectors is non-zero and the remaining 3 are scalar multiples of it. Again by symmetry we may suppose that  $c_1c_3 \neq 0$  and  $c_1c_4 = k_1c_1c_3$ ,  $c_2c_3 = k_2c_1c_3$ ,  $c_2c_4 = k_3c_1c_3$ ,  $k_i \in F$ . The set  $\{c_1c_3, c_1, c_2, c_3, c_4\}$  generates  $B$  so it must contain a basis of the form  $\{c_1c_3, ?, c_3, c_4\}$ . There are two possibilities:

B.2.1.  $\{c_1c_3, c_1, c_3, c_4\}$  is a basis of  $B$ . The multiplication table is:

	$c_1c_3$	$c_1$	$c_3$	$c_4$
$c_1c_3$	0	0	0	0
$c_1$		$\mu(c_4 - k_1c_3)$	$c_1c_3$	$k_1c_1c_3$
$c_3$			0	0
$c_4$				0

(In general.  $c_1^2 = \lambda c_3 + \mu c_4$  but  $0 = c_1^3 = (\lambda + \mu k_1)c_1c_3$  implies  $\lambda = -\mu k_1$ .)

The ideal  $B^2$  is generated, as a vector space, by  $c_1c_3$ ,  $k_1c_1c_3$  and  $\mu(c_4 - k_1c_3)$  so  $1 \leq \dim B^2 \leq 2$ .

B.2.1.1.  $\dim B^2 = 1$ . This means that  $\mu = 0$ . Calling  $k_1 = k$ ,  $x_1 = c_1$ ,  $x_2 = c_3$ ,  $x_3 = c_4$  and  $x_4 = c_1c_3$  we get the table of  $B'$ :

	$c_0$	$x_1$	$x_2$	$x_3$	$x_4$
$c_0$	$c_0$	$\frac{1}{2}x_1$	$\gamma x_2$	$\gamma x_3$	$\frac{1}{2}x_4$
$x_1$		0	$x_4$	$kx_4$	0
$x_2$			0	0	0
$x_3$				0	0
$x_4$					0

B.2.1.2.  $\dim B^2 = 2$ . In this case  $\mu \neq 0$ . We look now for the new basis  $x_1 = \mu^{-1}c_1$ ,  $x_2 = \mu^{-1}c_3$ ,  $x_3 = \mu^{-1}(c_4 - k_1c_3)$ ,  $x_4 = \mu^{-1}c_1c_3$ . The table of  $B'$  will be, for some  $k \neq 0$ :

	$c_0$	$x_1$	$x_2$	$x_3$	$x_4$
$c_0$	$c_0$	$\frac{1}{2}x_1$	$\gamma x_2$	$\gamma x_3$	$\frac{1}{2}x_4$
$x_1$		$x_3$	$kx_4$	0	0
$x_2$			0	0	0
$x_3$				0	0
$x_4$					0

B.2.2.  $\{c_1c_3, c_2, c_3, c_4\}$  is a basis of  $B$ . The table is:

	$c_1c_3$	$c_2$	$c_3$	$c_4$
$c_1c_3$	0	0	0	0
$c_2$		$\lambda c_3 + \mu c_4$	$k_2c_1c_3$	$k_3c_1c_3$
$c_3$			0	0
$c_4$				0

with  $\lambda k_2 + \mu k_3 = 0$ , coming from  $c_2^3 = 0$ . From this table we have  $J$  generated by  $c_3, c_4, k_2c_1c_3$  and  $k_3c_1c_3$  so necessarily  $k_2 \neq 0$  or  $k_3 \neq 0$ . By symmetry we may study only the case  $k_2 \neq 0$ . This means that  $c_2^2 = \mu(c_4 - (k_3/k_2)c_3)$ . The ideal  $B^2$  is generated as a vector space by the vectors  $k_2c_1c_3, k_3c_1c_3$  and  $\mu(c_4 - (k_3/k_2)c_3)$  so  $1 \leq \dim B^2 \leq 2$ .

B.2.2.1.  $\dim B^2 = 1$ . This means  $\mu = 0$ . Calling  $k_3 = k$ ,  $x_1 = k_2^{-1}c_2$ ,  $x_2 = k_2^{-1}c_3$ ,  $x_3 = c_4$ ,  $x_4 = k_2^{-1}c_1c_3$ , we get for  $B'$  the same table already obtained in case B.2.1.1.

B.2.2.2.  $\dim B^2 = 2$ . This means  $\mu \neq 0$ . Using now the basis of  $B$   $x_1 = \mu^{-1}c_2$ ,  $x_2 = \mu^{-1}c_3$ ,  $x_3 = \mu^{-1}(c_4 - (k_3/k_2)c_3)$  and  $x_4 = \mu^{-2}c_1c_3$ , we get the same table already obtained in case B.2.1.2.

This ends the classification for the case where the type is (3,2). We have obtained one one-parameter family of algebras when  $\dim J = 3$  and  $\dim B^2 = 1$  and another one-parameter family when  $\dim J = 3$  and  $\dim B^2 = 2$ . The invariant  $\dim \text{An}(B)$  can be used to give a little bit more information about isomorphisms between algebras in the same family in the case  $\dim B^2 = 1$ .

**Added in proof:** some improvement of this classification will appear in a forthcoming paper by the author in *Linear Algebra and its Applications*.

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